

Application

Federal Award Identification Number (FAIN)	TN-2021-017-00
Temporary Application Number	1007-2020-4
Application Name	FFY2019 - 2021 RTAP & State Administration
Application Status	Final Concurrence / Reservation
Application Budget Number	0

Period of Performance Start Date	N/A	
Original Period of Performance End Date	3/30/2027	
Current Period of Performance End Date	N/A	Revision #: N/A

Part 1: Recipient Information

Name: Transportation, Tennessee Department Of

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
1007	State Agency	TENNESSEE DEPARTMENT OF TRANSPORTATION	878297712

Location Type	Address	City	State	Zip
Headquarters	505 DEADRICK ST STE 700	NASHVILLE	TN	372431402
Physical Address	505 DEADRICK ST STE 700	NASHVILLE	TN	37243
Mailing Address	505 DEADRICK ST STE 800	NASHVILLE	TN	37243

Union Information

There are no union contacts for this application

Part 2: Application Information

Title: FFY2019 - 2021 RTAP & State Administration

FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
TN-2021-017-00	Final Concurrence / Reservation	Grant	1/29/2020	1/29/2020	No

Application Executive Summary

This grant application obligates Rural Technical Assistance Program and State Administration funds for Federal Fiscal Years 2019, 2020, and 2021. Tennessee DOT reserved 5% of the FFY2019 Section 5311 Apportionment for State Administration, and 2.5% of the apportionments in FFY2020 and FFY2021. For Fiscal Years 2019 and 2020, Tennessee operated under a Governor's Certification (attached as an application document) certifying that intercity bus needs were met by rural providers and TDOT did not need to use 15% of 5311 funds on the 5311(f) program. For FFY2021, TDOT is currently assessing the intercity bus services and has withheld 15% of the 2021 5311 Apportionment until the findings of the assessment are known. The funds in this grant are not impacted by the result of that assessment.

FFY2019: \$1,062,084 in 100% federal State Administration, \$262,583 in 100% federal RTAP. Remainder of RTAP apportionment for FFY2019 obligated for subrecipient use in TN2019037 & TN2018043.

FFY2020: \$538,632 in 100% federal State Administration, \$295,116 in 100% federal RTAP. Remainder of RTAP apportionment for FFY2020 obligated for subrecipient use in TN2018043.

FFY2021: \$458,581 in 100% federal State Administration, \$295,116 in 100% federal RTAP. Remainder of RTAP apportionment for FFY2021 will be obligated for subrecipient use in the FFY2021 5311 Grant (Application 1007-2021-3 currently in-process).

Frequency of Milestone Progress Reports (MPR)

Annual

Frequency of Federal Financial Reports (FFR)

Annual

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Will this Grant be using Lapsing Funds?

Yes, this Grant will use Lapsing Funds.

Will indirect costs be applied to this application?

This award does not include an indirect cost rate.

Indirect Rate Details: N/A

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Michael	Sherman	Community Planner	michael.sherman@dot.gov	+1(404) 865-5616
Kaitlyn	McClanahan	Transit Manager	kaitlyn.mcclanahan@tn.gov	615-532-5835

Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$2,912,112
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$2,912,112

Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
TN-2021-017-01-00	610-00 (610-A2) STATE / PROGRAMS ADMINISTRATION	\$2,059,297.00	\$0.00	\$2,059,297.00	0
TN-2021-017-01-00	11.80.00 STATE OR PROGRAM ADMINISTRATION	\$2,059,297.00	\$0.00	\$2,059,297.00	0
TN-2021-017-01-00	635-00 (635-A1) RURAL TRANSIT ASSISTANCE PROGRAM	\$852,815.00	\$0.00	\$852,815.00	0
TN-2021-017-01-00	43.50.02 TECHNICAL ASSISTANCE	\$852,815.00	\$0.00	\$852,815.00	0

Discretionary Allocations

This application does not contain discretionary allocations.

Part 3: Project Information

Project Title: FFY2019 - 2021 RTAP & State Administration
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Project Number	Temporary Project Number	Date Created	Start Date	End Date
TN-2021-017-01-00	1007-2020-4-P1	5/5/2021	10/1/2019	12/31/2026

Project Description

This grant obligates RTAP and State Administration funds for use by the Tennessee Department of Transportation to support rural program administration, consultant work, and technical assistance relevant to rural public transportation in Tennessee.

Project Benefits

Funds for the Department of Transportation to administer programs and fund technical assistance for rural area programs.

Additional Information

None provided.

Location Description

RTAP and State Administration funds support rural transportation statewide in Tennessee

Project Location (Urbanized Areas)

UZA Code	Area Name
470000	Tennessee

Congressional District Information

State	District	Representative
Tennessee	1	David P Roe
Tennessee	3	Charles J Fleischmann
Tennessee	4	Scott DesJarlais
Tennessee	5	Jim Cooper
Tennessee	9	Steve Cohen
Tennessee	2	Tim Burchett
Tennessee	6	John Rose
Tennessee	7	Mark Green
Tennessee	8	David Kustoff

Program Plan Information

STIP/TIP

Date: 3/2/2021

Description: Transit Section of STIP 2020 - 2023 Amended March 2021 to add 5339(b) and HOPE

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$2,912,112
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$2,912,112

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
TN-2021-017-01-00	610-00 STATE / PROGRAMS ADMINISTRATION (610-A2)	\$2,059,297.00	\$0.00	\$2,059,297.00	0
TN-2021-017-01-00	11.80.00 STATE OR PROGRAM ADMINISTRATION	\$2,059,297.00	\$0.00	\$2,059,297.00	0
TN-2021-017-01-00	635-00 RURAL TRANSIT ASSISTANCE PROGRAM (635-A1)	\$852,815.00	\$0.00	\$852,815.00	0
TN-2021-017-01-00	43.50.02 TECHNICAL ASSISTANCE	\$852,815.00	\$0.00	\$852,815.00	0

Project Budget Activity Line Items

Budget Activity Line Item: 43.50.02 - TECHNICAL ASSISTANCE

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
RURAL TRANSIT ASSISTANCE PROGRAM (635-00)	43.50.02	TECHNICAL ASSISTANCE	RURAL TRANSIT ASST PROGRAM	0

Extended Budget Description

The Tennessee Department of Transportation will utilize Rural Technical Assistance Program funds to facilitate statewide technical assistance initiatives and contractor assistance for execution of the rural public transportation programs.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$852,815
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$852,815

Milestone Name	Est. Completion Date	Description
On-Call Contract Procurement	10/1/2019	RFQ for on-call consultants was issued on 8/13/2019. Contracts were awarded to 4 consulting firms on 10/1/2019.
Start Date	1/1/2021	RTAP activities begin
End Date	12/31/2026	RTAP activities complete.

Budget Activity Line Item: 11.80.00 - STATE OR PROGRAM ADMINISTRATION

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
STATE / PROGRAMS ADMINISTRATION (610-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	STATE / PROGRAM ADMINISTRATION	0

Extended Budget Description

The Tennessee Department of Transportation will utilize State Administration to fund staff operations and contractor assistance for the administration of the rural public transportation programs. Funds will be used by TDOT Multimodal for grantee monitoring activities, staff travel and training expenses, staff salaries, and consultant work to support staff needs.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$2,059,297
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$2,059,297

Milestone Name	Est. Completion Date	Description
On-Call Contract Procurement	10/1/2019	RFQ for on-call consultants was issued on 8/13/2019. Contracts were awarded to 4 consulting firms on 10/1/2019.
Start Date	1/1/2021	State Administration activities begin
End Date	12/31/2026	State Administration activities complete.

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
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Class IIc CE Approved

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
RURAL TRANSIT ASSISTANCE PROGRAM (635-00)	43.50.02	TECHNICAL ASSISTANCE	0	\$852,815.00	\$852,815.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

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Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
STATE / PROGRAMS ADMINISTRATION (610-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	0	\$2,059,297.00	\$2,059,297.00

Part 4: Fleet Details

No fleet data exists for this application.

Part 5: FTA Review Comments

FTA Comments for DOL

Comment By Michael Sherman

Comment Type DOL Review for Information

Date 5/17/2021

Comment This is a 5311 capital and operating application, per FTA A to Z, is being shared with DOL for information only.

Application Review Comments

Comment By **Michael Sherman**

Comment Type Pre-Award Manager Returns Application

Date 5/7/2021

Comment 1) For both of the projects included in the application, the application indicated 3rd party contractors will be utilized. This requires each project to have 3 milestones. Please go back into the application and add an additional milestone to RTAP and State Admin activities in the application.
2) Please go back into the Executive Summary and include a plan to cover the 15% of TNs apportionment toward intercity bus activities or provide a certification stating that all intercity bus needs have been met through extensive consultation.

Part 6: Agreement

**UNITED STATES OF AMERICA
DEPARTMENT OF TRANSPORTATION
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT
(FTA G-26, October 1, 2019)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(26), October 1, 2019, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

FTA AWARD

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

Recipient Information

Recipient Name: Transportation, Tennessee Department Of

Recipient ID: 1007

DUNS No: 878297712

Application Information

Federal Award Identification Number: TN-2021-017-00

Application Name: FFY2019 - 2021 RTAP & State Administration

Application Start Date: N/A

Original Award End Date: 3/30/2027

Current Award End Date: N/A

Application Executive Summary: This grant application obligates Rural Technical Assistance Program and State Administration funds for Federal Fiscal Years 2019, 2020, and 2021. Tennessee DOT reserved 5% of the FFY2019 Section 5311 Apportionment for State Administration, and 2.5% of the apportionments in FFY2020 and FFY2021. For Fiscal Years 2019 and 2020, Tennessee operated under a Governor's Certification (attached as an application document) certifying that intercity bus needs were met by rural providers and TDOT did not need to use 15% of 5311 funds on the 5311(f) program. For FFY2021, TDOT is currently assessing the intercity bus services and has withheld 15% of the 2021 5311 Apportionment until the findings of the assessment are known. The funds in this grant are not impacted by the result of that assessment.

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FFY2020: \$538,632 in 100% federal State Administration, \$295,116 in 100% federal RTAP. Remainder of RTAP apportionment for FFY2020 obligated for subrecipient use in TN2018043.
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Research and Development: This award does not include research and development activities.

Indirect Costs: This award does not include an indirect cost rate.

Suballocation Funds: Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Pre-Award Authority: This award is using Pre-Award Authority.

Application Budget

Total Application Budget: \$2,912,112.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$2,912,112.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$0.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$2,912,112.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$0.00

Application Budget Control Totals

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$2,912,112
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$2,912,112

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:

Original Certification Date:

Special Conditions

There are no special conditions.

FINDINGS AND DETERMINATIONS

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

FTA AWARD OF THE GRANT AGREEMENT

Awarded By:

FEDERAL TRANSIT ADMINISTRATION
U.S. DEPARTMENT OF TRANSPORTATION
Contact Info:
Award Date:

EXECUTION OF THE GRANT AGREEMENT

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
 - (a) Statements,
 - (b) Representations,
 - (c) Warranties,
 - (d) Covenants, and
 - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

Transportation, Tennessee Department Of