

Application

Federal Award Identification Number (FAIN)	SD-2021-009-00
Temporary Application Number	6881-2021-1
Application Name	FY19 Tribal Formula and FY19 Rural Formula 5311 - Operating, PM and Admin and 5311 COVID-19 ER for Admin Leave
Application Status	Final Concurrence / Reservation
Application Budget Number	0

Period of Performance Start Date	N/A	
Original Period of Performance End Date	6/30/2023	
Current Period of Performance End Date	N/A	Revision #: N/A

Part 1: Recipient Information

Name: Rosebud Sioux Tribe

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
6881	Indian Tribe	ROSEBUD SIOUX TRIBE	105151518

Location Type	Address	City	State	Zip
Headquarters	11 LEGION AVE	ROSEBUD	SD	57570
Physical Address	11 LEGION AVE	ROSEBUD	SD	57570
Mailing Address	PO BOX 430	ROSEBUD	SD	57570

Union Information

There are no union contacts for this application

Part 2: Application Information

Title: FY19 Tribal Formula and FY19 Rural Formula 5311 - Operating, PM and Admin and 5311 COVID-19 ER for Admin Leave

FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
SD-2021-009-00	Final Concurrence / Reservation	Grant	1/5/2021	1/5/2021	No

Application Executive Summary

The Rosebud Sioux Tribe (RST) uses FFY19 5311 Tribal Formula, COVID-19 ER Response funds and FY19 Rural Formula 5311 funds in this Operating, Preventive Maintenance, Project Administration and CoVid-19 Emergency Response grant. The Rural 5311 funds have been transferred to the Tribe by the State of South Dakota, documentation allowing the Tribe to come into FTA for these directly is provided in the Recipient Documents. All funds are lapsing.

The full grant amount is \$871,922, \$818,065 Federal, as follows:

FY19 Rural Formula - \$244,516 (full apportionment)

FY19 Tribal Formula - \$573,549 (full apportionment)

- \$35,000 has been transferred to Emergency Response Activities in a separate project (Project 2) in this grant

- \$538,549 to be used for typical TTP 5311 Activities

Project 1 uses \$244,516 in 5311 Rural for the following activities:

- Admin expenses
- Vehicle preventive maintenance
- Facility preventive maintenance

Project 2 uses \$35,000 in COVID-19 ER response funds for the following activities:

- Operating expenses related to Admin Leave for operating and administration staff during the COVID-19 pandemic

Project 3 uses \$538,549 TTP formula funds for the following activities:

- Operating Assistance

Local matching funds for the Rural 5311 formula funds comes from the State of South Dakota and RST's fare revenue.

The recipient agrees that if it receives federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any federal share that duplicates funding provided by FEMA, another federal agency, or an insurance company.

Frequency of Milestone Progress Reports (MPR)

Annual

Frequency of Federal Financial Reports (FFR)

Annual

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is not using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Will this Grant be using Lapsing Funds?

Yes, this Grant will use Lapsing Funds.

Will indirect costs be applied to this application?

This award is applying an approved Indirect cost rate(s).

Indirect Rate Details: 23.43% per US Department of Interior approval letter, however the 5311 program limits IDC to 10%.

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Emma	Belmont	Transportation Program Specialist	emma.belmont@dot.gov	(303) 362-2392
John Charles	Arcoren	Director	johncharles.arcoren@rstnsn.gov	(605) 747-2718

Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$244,516
5311 COVID-19 ER Response Tribal Formula	5311-8C	20509	\$35,000
5311 - Tribal Transit Program Formula	5311-1B	20509	\$538,549
Local			\$7,855
Local/In-Kind			\$0
State			\$46,002
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$871,922

Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
SD-2021-009-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$244,516.00	\$53,857.00	\$298,373.00	3
SD-2021-009-01-00	11.79.00 PROJECT ADMINISTRATION	\$208,891.00	\$46,010.00	\$254,901.00	1
SD-2021-009-01-00	11.7A.00 PREVENTIVE MAINTENANCE - Vehicles	\$23,750.00	\$5,231.00	\$28,981.00	1
SD-2021-009-01-00	11.7A.00 PREVENTIVE MAINTENANCE - Facility	\$11,875.00	\$2,616.00	\$14,491.00	1
SD-2021-009-02-00	600-00 (600-A2) OTHER PROGRAM COSTS	\$35,000.00	\$0.00	\$35,000.00	2
SD-2021-009-02-00	11.79.00 PROJECT ADMINISTRATION	\$11,550.00	\$0.00	\$11,550.00	1
SD-2021-009-02-00	30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$23,450.00	\$0.00	\$23,450.00	1
SD-2021-009-03-00	600-00 (600-A3) OTHER PROGRAM COSTS	\$538,549.00	\$0.00	\$538,549.00	1
SD-2021-009-03-00	30.09.01 100% FEDERAL SHARE	\$538,549.00	\$0.00	\$538,549.00	1

Discretionary Allocations

This application does not contain discretionary allocations.

Part 3: Project Information

Project Title: FY19 5311 Rural Formula - Project Admin and PM (vehicles and facility)

Project Number	Temporary Project Number	Date Created	Start Date	End Date
SD-2021-009-01-00	6881-2021-1-P1	1/5/2021	6/1/2021	3/31/2023

Project Description

This project will use FY19 5311 Rural formula funds from SDDOT for the following activities: Admin

Expenses, and Preventive Maintenance for Vehicles and Facilities. These activities use the sliding scale Federal Share of 81.95%, allowable per FTA Circular 9040.1G. The total Federal share for this project is \$244,516, with local share covered by the State of South Dakota in the amount of \$46,002 and \$7,855 from the Tribe.

Project Benefits

These funds are essential for the Tribe to continue to operate and maintain transit service throughout the Reservation.

Additional Information

None provided.

Location Description

We provide services through-out the entire federally recognized boundaries of the Rosebud Sioux Tribe, as well as the State of South Dakota and a small portion of Nebraska. I would like to provide nationwide services, if feasible. We are located in south central South Dakota, in the County of Todd.

Project Location (Urbanized Areas)

UZA Code	Area Name
460000	South Dakota

Congressional District Information

State	District	Representative
South Dakota	1	Dusty Johnson

Program Plan Information

STIP/TIP

Date: N/A

Description: N/A

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$244,516

Local			\$7,855
Local/In-Kind			\$0
State			\$46,002
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$298,373

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
SD-2021-009-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$244,516.00	\$53,857.00	\$298,373.00	3
SD-2021-009-01-00	11.79.00 PROJECT ADMINISTRATION	\$208,891.00	\$46,010.00	\$254,901.00	1
SD-2021-009-01-00	11.7A.00 PREVENTIVE MAINTENANCE - Vehicles	\$23,750.00	\$5,231.00	\$28,981.00	1
SD-2021-009-01-00	11.7A.00 PREVENTIVE MAINTENANCE - Facility	\$11,875.00	\$2,616.00	\$14,491.00	1

Project Budget Activity Line Items

< tclass = 'lineItemName' > BudgetActivityLineItem: 11.7A.00 - PREVENTIVE MAINTENANCE - Facility

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	11.7A.00	PREVENTIVE MAINTENANCE	OTHER CAPITAL ITEMS (BUS)	1

Extended Budget Description

Funds for preventive maintenance of the transit facility. This includes all activities, supplies, materials, labor, services and associated costs required to preserve or extend the functionality and serviceability of the transit facility.

This activity utilizes the allowable sliding scale rate of 81.95% Federal Share noted in the FTA Circular 9040.1G noted on page III-17.

This is for the time period of 6/1/2021 to 3/31/2023.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$11,875
Local			\$382
Local/In-Kind			\$0
State			\$2,234
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$14,491

Milestone Name	Est. Completion Date	Description
Start Date	6/1/2021	Begin incurring expenses
End Date	3/31/2023	End incurring expenses

< tclass = 'lineltemName' > BudgetActivityLineItem: 11.7A.00 - PREVENTIVE MAINTENANCE - Vehicles

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	11.7A.00	PREVENTIVE MAINTENANCE	OTHER CAPITAL ITEMS (BUS)	1

Extended Budget Description

Funds for preventive maintenance of vehicles. This includes all activities, supplies, materials, labor, services and associated costs required to preserve or extend the functionality and serviceability of the transit program vehicles.

This activity utilizes the allowable sliding scale rate of 81.95% Federal Share noted in the FTA Circular 9040.1G noted on page III-17.

This is for the time period of 6/1/2021 to 3/31/2023.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$23,750
Local			\$763
Local/In-Kind			\$0

State		\$4,468
State/In-Kind		\$0
Other Federal		\$0
Transportation Development Credit		\$0
Adjustment		\$0
Total Eligible Cost		\$28,981

Milestone Name	Est. Completion Date	Description
Start Date	6/1/2021	Begin incurring expenses
End Date	3/31/2023	End incurring expenses

< tclass = 'lineltemName' > BudgetActivityLineItem: 11.79.00 - PROJECT ADMINISTRATION

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	11.79.00	PROJECT ADMINISTRATION	OTHER CAPITAL ITEMS (BUS)	1

Extended Budget Description

Funds will be used for general administrative expenses, including, but not limited to the following: salaries and fringe benefits of director, secretary, admin and bookkeeping staff; marketing/advertising expenses; insurance; office supplies; facilities/equipment rental; standard overhead rates; costs of administering drug and alcohol testing; utilities; and consultant support for admin type activities including but not limited to NTD reporting.

This activity utilizes the allowable sliding scale rate of 81.95% Federal Share noted in the FTA Circular 9040.1G noted on page III-17.

Admin expenses are for the time period of 6/1/2021 to 3/31/2023.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$208,891
Local			\$6,710
Local/In-Kind			\$0
State			\$39,300
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$254,901

Milestone Name	Est. Completion Date	Description
Start Date	6/1/2021	Begin incurring costs
End Date	3/31/2023	End incurring expenses

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	5/20/2021

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	11.79.00	PROJECT ADMINISTRATION	1	\$208,891.00	\$254,901.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	5/20/2021

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	11.7A.00	PREVENTIVE MAINTENANCE - Vehicles	1	\$23,750.00	\$28,981.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 08: Maintenance, rehabilitation, and reconstruction of facilities that occupy substantially the same geographic footprint and do not result in a change in functional use, such as: improvements to bridges, tunnels, storage yards, buildings, stations, and terminals; construction of platform extensions, passing track, and retaining walls; and improvements to tracks and railbeds.

Date Description	Date
Class IIc CE Approved	5/20/2021

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	11.7A.00	PREVENTIVE MAINTENANCE - Facility	1	\$11,875.00	\$14,491.00

Project Title: FY19 5311 TTP COVID-19 ER - Operations and Admin

Project Number	Temporary Project Number	Date Created	Start Date	End Date
SD-2021-009-02-00	6881-2021-1-P2	1/5/2021	6/1/2021	12/31/2021

Project Description

FY19 5311 Tribal COVID-19 ER response funds in the amount of \$35,000 will be used for admin leave for operating and admin staff during the COVID-19 pandemic. The Rosebud Tribal Transit Program had to shut down operations and employees were placed on leave.

Project Benefits

These funds are essential for the Tribe to keep employees on the payroll and be ready to operate and maintain transit service throughout the Reservation.

Additional Information

None provided.

Location Description

We provide services within the federally recognized boundaries of the Rosebud Sioux Tribe, as well as the

State of South Dakota and a small portion of Nebraska. I hope to provide nationwide services, if feasible. We are located in south central South Dakota, in the County of Todd.

Project Location (Urbanized Areas)

UZA Code	Area Name
460000	South Dakota

Congressional District Information

State	District	Representative
South Dakota	1	Dusty Johnson

Program Plan Information

STIP/TIP

Date: N/A

Description: N/A

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 COVID-19 ER Response Tribal Formula	5311-8C	20509	\$35,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$35,000

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
SD-2021-009-02-00	600-00 (600-A2) OTHER PROGRAM COSTS	\$35,000.00	\$0.00	\$35,000.00	2
SD-2021-009-02-00	11.79.00 PROJECT ADMINISTRATION	\$11,550.00	\$0.00	\$11,550.00	1
SD-2021-009-02-00	30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$23,450.00	\$0.00	\$23,450.00	1

Project Budget Activity Line Items

< tclass = 'lineItemName' > BudgetActivityLineItem: 30.09.08 - EMER RELIEF - OPERATING ASSIST - 100% Fed Share

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	OPERATING ASSISTANCE	1

Extended Budget Description

FY18 5311 Tribal COVID-19 ER response funds will be used for admin leave for transit operations staff (drivers, mechanics, maintenance and dispatch) during the COVID-19 pandemic from June 1, 2021 to December 31, 2021.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 COVID-19 ER Response Tribal Formula	5311-8C	20509	\$23,450
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$23,450

Milestone Name	Est. Completion Date	Description
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Start Date	6/1/2021	Begin incurring expenses
End Date	12/31/2021	Estimated end date of Administrative leave

< tclass = 'lineItemName' > BudgetActivityLineItem: 11.79.00 - PROJECT ADMINISTRATION

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	11.79.00	PROJECT ADMINISTRATION	OTHER CAPITAL ITEMS (BUS)	1

Extended Budget Description

FY19 5311 Tribal COVID-19 ER response funds will be used for admin leave for admin staff (director, secretary and admin assistant) during the COVID-19 pandemic from June 1, 2021 through December 31, 2021.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 COVID-19 ER Response Tribal Formula	5311-8C	20509	\$11,550
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$11,550

Milestone Name	Est. Completion Date	Description
Start Date	6/1/2021	Begin incurring expenses
End Date	12/31/2021	Estimated end date of administrative leave

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	5/20/2021

Project Title: FY19 TTP Formula - Operating

Project Number	Temporary Project Number	Date Created	Start Date	End Date
SD-2021-009-03-00	6881-2021-1-P3	5/21/2021	6/1/2021	8/1/2022

Project Description

This project will use FFY19 TTP formula funds for Operating Assistance. The total Federal share is 100%.

Project Benefits

Maintain transit services.

Additional Information

None provided.

Location Description

Rosebud Sioux Tribe

Project Location (Urbanized Areas)

UZA Code	Area Name
460000	South Dakota

Congressional District Information

State	District	Representative
South Dakota	1	Dusty Johnson

Program Plan Information

STIP/TIP

Date: N/A

Description: N/A

UPWP

Date: N/A
 Description: N/A

Long Range Plan

Date: N/A
 Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$538,549
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$538,549

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
SD-2021-009-03-00	600-00 (600-A3) OTHER PROGRAM COSTS	\$538,549.00	\$0.00	\$538,549.00	1
SD-2021-009-03-00	30.09.01 100% FEDERAL SHARE	\$538,549.00	\$0.00	\$538,549.00	1

Project Budget Activity Line Items

< tdclass = 'lineItemName' > BudgetActivityLineItem: 30.09.01 - 100% FEDERAL SHARE

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE	1

Extended Budget Description

Operating expenses include, but are not limited to the following: fuel, oil, antifreeze, drivers' salaries and fringe benefits, dispatcher salary and fringe benefits, mechanic/maintenance staff salaries and fringe benefits, and licenses.

Funds are for operating expenses for the time period of 6/1/2021 to 8/1/2022.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$538,549
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$538,549

Milestone Name	Est. Completion Date	Description
Start Date	6/1/2021	Begin incurring expenses
End Date	8/1/2022	End incurring expenses

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Part 4: Fleet Details

No fleet data exists for this application.

Part 5: FTA Review Comments

FTA Comments for DOL

Comment By Emma Belmont

Comment Type DOL Review for Information

Date 5/26/2021

Comment Grantee contact:
John Charles Arcoren, Director
Rosebud Sioux Tribe
Transportation Program
PO Box 430
Rosebud, South Dakota 57570
Phone: (605)747-2718
Fax: (605)747-2471

Application Review Comments

Comment By Emma Belmont

Comment Type Pre-Award Manager Returns Application

Date 5/18/2021

Comment Need to add 5311 rural formula funds. Won't let me add them in Transmitted to FTA status.

Comment By Emma Belmont

Comment Type Pre-Award Manager Returns Application

Date 5/21/2021

Comment

Comment By Emma Belmont

Comment Type Pre-Award Manager Returns Application

Date 5/21/2021

Comment John Charles, I can't delete the old ALI for Operating in this status...

Part 6: Agreement

UNITED STATES OF AMERICA

**DEPARTMENT OF TRANSPORTATION
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT
(FTA G-28, February 9, 2021)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(28), February 9, 2021, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

FTA AWARD

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

Recipient Information

Recipient Name: Rosebud Sioux Tribe

Recipient ID: 6881

DUNS No: 105151518

Application Information

Federal Award Identification Number: SD-2021-009-00

Application Name: FY19 Tribal Formula and FY19 Rural Formula 5311 - Operating, PM and Admin and 5311 COVID-19 ER for Admin Leave

Application Start Date: N/A

Original Award End Date: 6/30/2023

Current Award End Date: N/A

Application Executive Summary: The Rosebud Sioux Tribe (RST) uses FFY19 5311 Tribal Formula, COVID-19 ER Response funds and FY19 Rural Formula 5311 funds in this Operating, Preventive Maintenance, Project Administration and CoVid-19 Emergency Response grant. The Rural 5311 funds have been transferred to the Tribe by the State of South Dakota, documentation allowing the Tribe to come into FTA for these directly is provided in the Recipient Documents. All funds are lapsing.

The full grant amount is \$871,922, \$818,065 Federal, as follows:

FY19 Rural Formula - \$244,516 (full apportionment)

FY19 Tribal Formula - \$573,549 (full apportionment)

- \$35,000 has been transferred to Emergency Response Activities in a separate project (Project 2) in this grant

- \$538,549 to be used for typical TTP 5311 Activities

Project 1 uses \$244,516 in 5311 Rural for the following activities:

- Admin expenses

- Vehicle preventive maintenance

- Facility preventive maintenance

Project 2 uses \$35,000 in COVID-19 ER response funds for the following activities:

- Operating expenses related to Admin Leave for operating and administration staff during the COVID-19 pandemic

Project 3 uses \$538,549 TTP formula funds for the following activities:

- Operating Assistance

Local matching funds for the Rural 5311 formula funds comes from the State of South Dakota and RST's fare revenue.

The recipient agrees that if it receives federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any federal share that duplicates funding provided by FEMA, another federal agency, or an insurance company.

Research and Development: This award does not include research and development activities.

Indirect Costs: This award is applying an approved Indirect cost rate(s).

Suballocation Funds: Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Pre-Award Authority: This award is not using Pre-Award Authority.

Application Budget

Total Application Budget: \$871,922.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$818,065.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$53,857.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$818,065.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$53,857.00

Application Budget Control Totals

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$244,516
5311 COVID-19 ER Response Tribal Formula	5311-8C	20509	\$35,000
5311 - Tribal Transit Program Formula	5311-1B	20509	\$538,549
Local			\$7,855
Local/In-Kind			\$0
State			\$46,002
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$871,922

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:

Original Certification Date:

Special Conditions

There are no special conditions.

FINDINGS AND DETERMINATIONS

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

FTA AWARD OF THE GRANT AGREEMENT

Awarded By:

FEDERAL TRANSIT ADMINISTRATION
U.S. DEPARTMENT OF TRANSPORTATION
Contact Info:
Award Date:

EXECUTION OF THE GRANT AGREEMENT

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
 - (a) Statements,
 - (b) Representations,
 - (c) Warranties,
 - (d) Covenants, and
 - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

Rosebud Sioux Tribe