

DOT

FTA

U.S. Department of Transportation

Federal Transit Administration

Application

Federal Award Identification Number (FAIN)	MA-2021-024-00
Temporary Application Number	1349-2021-1
Application Name	Section 5311 FFY'20 Operating, Intercity, RTAP and Admin MA-18-X060
Application Status	Final Concurrence / Reservation
Application Budget Number	0

Period of Performance Start Date	N/A
Original Period of Performance End Date	9/30/2024
Current Period of Performance End Date	N/A Revision #: N/A

Part 1: Recipient Information

Name: Transportation, Massachusetts Executive Office Of

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
1349	State Agency	MASSACHUSETTS DEPARTMENT OF TRANSPORTATION	824848659

Location Type	Address	City	State	Zip
Headquarters	24 BEACON ST RM 360	BOSTON	MA	021331099
Physical Address	10 PARK PLAZA STE 3170	BOSTON	MA	02116
Mailing Address	10 PARK PLAZA, SUITE 5562	BOSTON	MA	02116

Union Information

Union Name	AMALGAMATED TRANSIT UNION LOCAL 690
Address 1	163 Prichard Street

Address 2	
City	Fitchburg
State	Massachusetts
Zipcode	01420
Contact Name	Michael Prest
Telephone	9787294550
Fax	
E-mail	
Website	
<hr/>	
Union Name	UNITED ELECTRICAL, RADIO, AND MACHINE WORKERS OF AMERICA LOCAL 274
Address 1	80 School Street
Address 2	
City	Greenfield
State	Massachusetts
Zipcode	01301
Contact Name	Paul Ryan
Telephone	4137739519
Fax	
E-mail	
Website	

Part 2: Application Information

Title: Section 5311 FFY'20 Operating, Intercity, RTAP and Admin MA-18-X060

FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
MA-2021-024-00	Final Concurrence / Reservation	Grant	1/5/2021	1/5/2021	No

Application Executive Summary

The Massachusetts Department of Transportation (MassDOT) requests \$4,353,206 (Federal \$4,353,206 /\$3,803,114 Local Match) of FFY'20 Section 5311 Non-Urbanized Area Formula Grant (CFDA #: 20.509).

Projects included in this grant are:

- Operating Assistance to (3) Rural Transit Authorities (FRTA, VTA, NRTA) and (1) partially rural transit authority (BRTA).
- 15% of funding to be used for Intercity Bus Operating Assistance.
- Rural Transit Assistance Program (RTAP).
- 10% of the funding to be used for State Administration and technical assistance.

While FTA is making this award, we are in the process of reviewing documentation furnished in response

to possible questioned costs and unauthorized use of FTA funded assets associated with MassDOT's BusPlus program. Should questioned costs, improper management of the program and/or misuse of FTA funded assets be determined, corrective action(s) may be taken, repayment of previously dispersed funds may be required, and a return of funds may be processed. MassDOT must ensure that the private operators adhere to the terms and conditions of their Bus Plus Program Service and Maintenance Agreements and any Service and Maintenance Agreement Extensions, including but not limited to the following:

- Operator agrees to operate Coach(es) for regularly scheduled regional (intercity & commuter) bus service only. The use of Coaches for charter, sightseeing, special, contract, and other non-regularly scheduled route purposes is prohibited
- The coaches may not be used for third-party contracts of any sort unless MassDOT Rail and Transit Division gives advance approval in writing
- Operator agrees to operate the Coach(es) in accordance with the requirements applicable to a "carrier" set forth in the BusPlus+ Program Guidance

MassDOT is charged with managing all modes of transportation within the Commonwealth of Massachusetts. MassDOT has oversight responsibility for the Registry of Motor Vehicles Division, the Aeronautics Division, the Highway Division, and the Rail & Transit Division. MassDOT Transit provides fiscal guidance and oversight over all fifteen (15) Regional Transit Authorities (RTAs), providing local bus transit across the Commonwealth.

MassDOT is current with all Civil Rights submissions. The FY'21 Certifications and Assurances were executed in TrAMS on 3/4/2021. All administrative and statutory requirements have been met in accordance with the §5311 Formula Grants for Rural Areas Program, as articulated in FTA Circular 9040.1G. All elements are included in the Massachusetts Statewide Transportation Improvement Program (TIP) in 2021.

Frequency of Milestone Progress Reports (MPR)

Annual

Frequency of Federal Financial Reports (FFR)

Annual

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Will this Grant be using Lapsing Funds?

No, this Grant does not use Lapsing Funds.

Will indirect costs be applied to this application?

This award is applying an approved Indirect cost rate(s).

Indirect Rate Details: MassDOT's Indirect Cost Rate for FY21 is 0.81%% which is used for the Administration Scope (610-00) for MassDOT transit division salaries. The approved letter, dated November 13, 2020, is attached in the Recipient Organization profile.

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Ryan	Bartlett	Transportation Program Specialist	ryan.bartlett@dot.gov	617-494-3940
Lauren	Richmond	Finance Manager	lauren.richmond@state.ma.us	857-368-8962

Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$4,353,205
Local			\$332,486
Local/In-Kind			\$633,852
State			\$2,836,776
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$8,156,319

Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
MA-2021-024-01-00	300-00 (300-A1) OPERATING ASSISTANCE	\$3,169,262.00	\$3,169,262.00	\$6,338,524.00	0
MA-2021-024-01-00	30.09.01 UP TO 50% FEDERAL SHARE	\$3,169,262.00	\$3,169,262.00	\$6,338,524.00	0
MA-2021-024-01-00	610-00 (610-A3) STATE / PROGRAMS ADMINISTRATION	\$422,568.00	\$0.00	\$422,568.00	0
MA-2021-024-01-00	11.80.00 STATE OR PROGRAM	\$422,568.00	\$0.00	\$422,568.00	0

ADMINISTRATION						
MA-2021-024-01-00	634-00 (634-A2)	INTERCITY BUS TRANSPORTATION	\$633,852.00	\$633,852.00	\$1,267,704.00	0
MA-2021-024-01-00		30.09.01 UP TO 50% FEDERAL SHARE-Peter Pan Bus	\$392,895.00	\$392,895.00	\$785,790.00	0
MA-2021-024-01-00		30.09.01 UP TO 50% FEDERAL SHARE-Greyhound Lines, Inc.	\$240,957.00	\$240,957.00	\$481,914.00	0
MA-2021-024-01-00	635-00 (635-A4)	RURAL TRANSIT ASSISTANCE PROGRAM	\$127,523.00	\$0.00	\$127,523.00	0
MA-2021-024-01-00		43.50.01 TRAINING	\$112,147.00	\$0.00	\$112,147.00	0
MA-2021-024-01-00		43.50.02 TECHNICAL ASSISTANCE	\$9,000.00	\$0.00	\$9,000.00	0
MA-2021-024-01-00		43.50.04 RELATED SUPPORT SERVICES	\$6,376.00	\$0.00	\$6,376.00	0

Discretionary Allocations

This application does not contain discretionary allocations.

Part 3: Project Information

Project Title: Section 5311 FFY'20 Operating, Intercity, RTAP and Admin MA-18-X060

Project Number	Temporary Project Number	Date Created	Start Date	End Date
MA-2021-024-01-00	1349-2021-1-P1	1/18/2021	7/1/2019	9/30/2024

Project Description

The Massachusetts Department of Transportation (MassDOT) is requesting FFY'20 Section 5311 Non-Urbanized Area Formula Grant funding in the amount of \$8,156,321 (\$4,353,206 Federal/\$3,803,114 Local) for Operating Assistance, Intercity Bus, RTAP and state administration. The selected projects will utilize funding as follows:

OPERATING ASSISTANCE:

MassDOT requests \$3,169,262 FFY'20 statewide apportionment for Operating Assistance to four (4) regional transit authorities operating in totally or partially rural areas of the Commonwealth as follows:

1) Franklin Regional Transit Authority 2) Martha's Vineyard Transit Authority 3) Nantucket Regional Transit Authority and 4) Berkshire Regional Transit Authority (partially rural). The Local Match is funded from state operating assistance and local assessments.

INTERCITY BUS PROJECTS (\$633,852 / 15%) of the statewide apportionment):

The grant also provides operating assistance to support Intercity Bus Service in the Commonwealth of Massachusetts. Funds of \$633,852 (50%) will be used to subsidize operating costs for Intercity Bus routes. The Intercity Bus operators and routes will be selected from a competitive application process which began in October, 2020. The notice of solicitation was emailed to the Massachusetts's Regional Transit Authorities and Intercity Bus operators. Awards were made in December, 2020. MassDOT will issue one-year contract with the intercity bus operators, with an option to renew for additional terms pending annual performance and compliance reviews. The funding in this grant is for year 1 of the award program.

RTAP:

Funds of \$127,523 of the statewide apportionment is requested to continue MassDOT's successful Massachusetts Rural Transit Assistance Program (MARTap). Through its MARTap program, MassDOT offers several courses each month such as: Defensive Driving, Accessible Lift Use and Passenger Securement and Disability Awareness. We also offer Specialty Training as needed. For Drug & Alcohol Sensitivity, our 5310/MAP sub-recipients take e-learning classes through the National RTAP website. The MARTap Training Programs are exemplary in that it provides `no cost` training to hundreds of drivers a year. In addition, the RTAP program offers technical assistance to include scholarships. A Local Match is not required.

ADMINISTRATION:

MassDOT hereby requests ten (10%) percent of the FFY'20 apportionment (\$422,568) to be used for State administration and technical assistance. A Local Match is not required.

Project Benefits

This project provides operating assistance to three non-urbanized RTAs for operations throughout the entire service area and Berkshire Regional Transit Authority, a partially non-urbanized RTA. Intercity bus projects will be used to subsidize operating costs for rural routes. The RTAP program provides various courses and technical assistance at no-cost to drivers and sub-recipients of 5310 & MAP funding.

Additional Information

MassDOT is charged with managing all modes of transportation within the Commonwealth of Massachusetts. MassDOT has oversight responsibility for the Registry of Motor Vehicles Division, the Aeronautics Division, the Highway Division, and the Rail & Transit Division. MassDOT Transit provides fiscal guidance and oversight over all fifteen (15) Regional Transit Authorities (RTAs), providing local bus transit across the Commonwealth.

Location Description

MassDOT is charged with managing all modes of transportation within the Commonwealth of Massachusetts. MassDOT has oversight responsibility for the Registry of Motor Vehicles Division, the Aeronautics Division, the Highway Division, and the Rail & Transit Division. MassDOT Transit provides fiscal guidance and oversight over all fifteen (15) Regional Transit Authorities (RTAs), providing local bus transit across the Commonwealth.

Project Location (Urbanized Areas)

UZA Code	Area Name
250000	Massachusetts

Congressional District Information

State	District	Representative
Massachusetts	1	Richard E Neal
Massachusetts	3	Niki Tsongas
Massachusetts	4	Joseph P Kennedy
Massachusetts	5	Katherine M Clark
Massachusetts	6	Seth Moulton
Massachusetts	7	Michael E Capuano
Massachusetts	8	Stephen F Lynch
Massachusetts	9	William R Keating

Program Plan Information

STIP/TIP

Date: N/A

Description: N/A

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$4,353,205
Local			\$332,486
Local/In-Kind			\$633,852
State			\$2,836,776
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$8,156,319

Project Budget

Project Number		Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
MA-2021-024-01-00	300-00 (300-A1)	OPERATING ASSISTANCE	\$3,169,262.00	\$3,169,262.00	\$6,338,524.00	0
MA-2021-024-01-00	30.09.01	UP TO 50% FEDERAL SHARE	\$3,169,262.00	\$3,169,262.00	\$6,338,524.00	0
MA-2021-024-01-00	610-00 (610-A3)	STATE / PROGRAMS ADMINISTRATION	\$422,568.00	\$0.00	\$422,568.00	0
MA-2021-024-01-00	11.80.00	STATE OR PROGRAM ADMINISTRATION	\$422,568.00	\$0.00	\$422,568.00	0
MA-2021-024-01-00	634-00 (634-A2)	INTERCITY BUS TRANSPORTATION	\$633,852.00	\$633,852.00	\$1,267,704.00	0
MA-2021-024-01-00	30.09.01	UP TO 50% FEDERAL SHARE-Peter Pan Bus	\$392,895.00	\$392,895.00	\$785,790.00	0
MA-2021-024-01-00	30.09.01	UP TO 50% FEDERAL SHARE-Greyhound Lines, Inc.	\$240,957.00	\$240,957.00	\$481,914.00	0
MA-2021-024-01-00	635-00 (635-A4)	RURAL TRANSIT ASSISTANCE PROGRAM	\$127,523.00	\$0.00	\$127,523.00	0
MA-2021-024-01-00	43.50.01	TRAINING	\$112,147.00	\$0.00	\$112,147.00	0
MA-2021-024-01-00	43.50.02	TECHNICAL ASSISTANCE	\$9,000.00	\$0.00	\$9,000.00	0
MA-2021-024-01-00	43.50.04	RELATED SUPPORT SERVICES	\$6,376.00	\$0.00	\$6,376.00	0

Project Budget Activity Line Items

Budget Activity Line Item: 43.50.04 - RELATED SUPPORT SERVICES

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
RURAL TRANSIT ASSISTANCE PROGRAM (635-00)	43.50.04	RELATED SUPPORT SERVICES	RURAL TRANSIT ASST PROGRAM	0

Extended Budget Description

This is for invoice administration conducted by our 3rd party vendor.

No local match is required for Rural Transit Assistance Programs.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$6,376
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$6,376

Milestone Name	Est. Completion Date	Description
Start Date	3/1/2021	start date
End Date	2/28/2024	end of milestone

Budget Activity Line Item: 30.09.01 - UP TO 50% FEDERAL SHARE-Greyhound Lines, Inc.
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Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
INTERCITY BUS TRANSPORTATION (634-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE	0

Extended Budget Description

Greyhound Lines, Inc. was awarded \$382,990 of 5311(f) funds to run service between Boston, MA and Albany, NY. This application is funding year 1 for \$240,957. The FTA portion is \$240,957 with an in-kind local match of \$240.957. The in-kind local match was approved by FTA Region 1 on 4/5/2021 to provide intercity bus feeder service between Boston, MA and Albany, NY. MassDOT will apply for additional 5311(f) funds to fulfill the award to Greyhound Lines, Inc. Amount to be funded by FFY21 funds is

\$142,033.

The in-kind match requested is for Greyhound Lines, Inc. costs for the 12 month period ending March 1, 2022 in the amount of \$240,957.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$240,957
Local			\$0
Local/In-Kind			\$240,957
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$481,914

Milestone Name	Est. Completion Date	Description
Start Date	2/1/2021	Service between Boston, MA and Albany, NY to start 2/1/2021.
End Date	2/28/2022	Service for Year 1 of the award to end 2/28/22.

Budget Activity Line Item: 30.09.01 - UP TO 50% FEDERAL SHARE

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OPERATING ASSISTANCE (300-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE	0

Extended Budget Description

Operating assistance for Berkshire Regional Transit Authority (BRTA), Franklin Regional Transit Authority (FRTA), Martha's Vineyard Transit Authority (VTA) and Nantucket Regional Transit Authority (NRTA) in the amount of \$3,169,262. Funds will be used for all operating activities (net fare revenues) incurred from July 1, 2019 to June 30, 2020 for demand response, ADA Paratransit, Fixed Route and Shuttle Services. The local match of \$3,169,262 (50%) is funded by state contract assistance and local municipality assessments.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$3,169,262

Local		\$332,486
Local/In-Kind		\$0
State		\$2,836,776
State/In-Kind		\$0
Other Federal		\$0
Transportation Development Credit		\$0
Adjustment		\$0
Total Eligible Cost		\$6,338,524

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2019	Reimbursement for net rural operating costs expended in the prior fiscal year (SFY20).
End Date	6/30/2020	Operating assistance service end date.
MassDOT Contract with RTAs	5/31/2021	MassDOT contract approved
Payment to Subrecipients	6/15/2021	Operating costs for SFY19 paid to sub-recipients
Contract Complete	6/30/2021	Contract closed

Budget Activity Line Item: 30.09.01 - UP TO 50% FEDERAL SHARE-Peter Pan Bus

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
INTERCITY BUS TRANSPORTATION (634-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE	0

Extended Budget Description

Peter Pan Bus Lines, Inc. was awarded \$457,792 of 5311(f) funds - \$392,895 is funded by this grant application, \$64,897 is funded in the FFY'18 5311 application (FAIN # MA-2018-031-00). The local match in this application is \$392,895 in-kind. The in-kind was approved by FTA Region 1 on 3/18/2021 (see attached). This is for continued service between Provincetown and Hyannis, Mass.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$392,895
Local			\$0
Local/In-Kind			\$392,895
State			\$0
State/In-Kind			\$0
Other Federal			\$0

Transportation Development Credit	\$0
Adjustment	\$0
Total Eligible Cost	\$785,790

Milestone Name	Est. Completion Date	Description
Start Date	1/17/2021	Service to continue between Hyannis and Provincetown, MA.
End Date	1/16/2022	Service is a one year contact to end 1/16/22.

Budget Activity Line Item: 11.80.00 - STATE OR PROGRAM ADMINISTRATION

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
STATE / PROGRAMS ADMINISTRATION (610-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	STATE / PROGRAM ADMINISTRATION	0

Extended Budget Description
 MassDOT hereby requests ten (10%) percent of the total FFY'20 statewide allocation (\$422,568) for State Administration. These funds will be used to support staff salaries, planning activities, and technical assistance from 3rd party vendors.

Will 3rd Party contractors be used to fulfill this activity line item?
 Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$422,568
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$422,568

Milestone Name	Est. Completion Date	Description
Start Date	3/1/2021	Estimated start date of Admin spending.
End Date	9/30/2024	Estimated Admin end date.

Budget Activity Line Item: 43.50.01 - TRAINING

Scope Name / Code	Line Item	Line Item	Activity	Quantity
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	#	Name		
RURAL TRANSIT ASSISTANCE PROGRAM (635-00)	43.50.01	TRAINING	RURAL TRANSIT ASST PROGRAM	0

Extended Budget Description

The Massachusetts Rural Transit Assistance program (MArtap) is a MassDOT program, which offers free of charge training programs throughout the year such as: Defensive Driving, Accessible Lift Use and Passenger Securement, and Disability Awareness and Specialty Training as needed. For Drug and Alcohol, our 5310/MAP sub-recipients go through the National RTAP eLearning Center. These courses are required training for all of MassDOT's 5310 & MAP sub-recipients. Courses are offered to eligible rural agencies.

No local match is required for Rural Transit Assistance Programs.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$112,147
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$112,147

Milestone Name	Est. Completion Date	Description
Start Date	3/1/2021	Start of Driver Training programs.
End Date	2/28/2024	Driver training programs to end.

Budget Activity Line Item: 43.50.02 - TECHNICAL ASSISTANCE

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
RURAL TRANSIT ASSISTANCE PROGRAM (635-00)	43.50.02	TECHNICAL ASSISTANCE	RURAL TRANSIT ASST PROGRAM	0

Extended Budget Description

MArtap Scholarships are available to: Support rural and small urban transportation providers through training and technical assistance; further the development of the professional skills and abilities of rural and small urban transportation providers in Massachusetts; increase the efficiency and effectiveness of the MArtap training efforts by taking advantage of training resources developed by other organizations within Massachusetts and in other states; to provide financial assistance to attend eligible national, state and local transit training and educational programs, workshops and conferences and to encourage safety

and promote improved transportation services.

No local match is required for Rural Transit Assistance Programs.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$9,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$9,000

Milestone Name	Est. Completion Date	Description
Start Date	3/1/2021	MARTap Scholarships are available to support rural and small urban transportation providers through training and technical assistance.
End Date	2/28/2024	End of technical assistance.

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Part 4: Fleet Details

No fleet data exists for this application.

Part 5: FTA Review Comments

FTA Comments for DOL

Comment By Ryan Bartlett

Comment Type DOL Review for Information

Date 5/4/2021

The Massachusetts Department of Transportation (MassDOT) requests \$4,353,206 of FFY'20 Section 5311 Non-Urbanized Area Formula Grant (CFDA #: 20.509).

Comment Projects included in this grant are:

- Operating Assistance to (3) Rural Transit Authorities (FRTA, VTA, NRTA) and (1) partially rural transit authority (BRTA).
- 15% of funding to be used for Intercity Bus Operating Assistance.
- Rural Transit Assistance Program (RTAP).
- 10% of the funding to be used for State Administration and technical assistance.

Part 6: Agreement

**UNITED STATES OF AMERICA
DEPARTMENT OF TRANSPORTATION
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT
(FTA G-28, February 9, 2021)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(28), February 9, 2021, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL

ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

FTA AWARD

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

Recipient Information

Recipient Name: Transportation, Massachusetts Executive Office Of

Recipient ID: 1349

DUNS No: 824848659

Application Information

Federal Award Identification Number: MA-2021-024-00

Application Name: Section 5311 FFY'20 Operating, Intercity, RTAP and Admin MA-18-X060

Application Start Date: N/A

Original Award End Date: 9/30/2024

Current Award End Date: N/A

Application Executive Summary: The Massachusetts Department of Transportation (MassDOT) requests \$4,353,206 (Federal \$4,353,206 /\$3,803,114 Local Match) of FFY'20 Section 5311 Non-Urbanized Area Formula Grant (CFDA #: 20.509).

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- Rural Transit Assistance Program (RTAP).
- 10% of the funding to be used for State Administration and technical assistance.

While FTA is making this award, we are in the process of reviewing documentation furnished in response to possible questioned costs and unauthorized use of FTA funded assets associated with MassDOT's BusPlus program. Should questioned costs, improper management of the program and/or misuse of FTA funded assets be determined, corrective action(s) may be taken, repayment of previously dispersed funds may be required, and a return of funds may be processed. MassDOT must ensure that the private operators adhere to the terms and conditions of their Bus Plus Program Service and Maintenance Agreements and any Service and Maintenance Agreement Extensions, including but not limited to the following:

- Operator agrees to operate Coach(es) for regularly scheduled regional (intercity & commuter) bus service only. The use of Coaches for charter, sightseeing, special, contract, and other non-regularly scheduled route purposes is prohibited
- The coaches may not be used for third-party contracts of any sort unless MassDOT Rail and Transit Division gives advance approval in writing
- Operator agrees to operate the Coach(es) in accordance with the requirements applicable to a "carrier"

set forth in the BusPlus+ Program Guidance

MassDOT is charged with managing all modes of transportation within the Commonwealth of Massachusetts. MassDOT has oversight responsibility for the Registry of Motor Vehicles Division, the Aeronautics Division, the Highway Division, and the Rail & Transit Division. MassDOT Transit provides fiscal guidance and oversight over all fifteen (15) Regional Transit Authorities (RTAs), providing local bus transit across the Commonwealth.

MassDOT is current with all Civil Rights submissions. The FY'21 Certifications and Assurances were executed in TrAMS on 3/4/2021. All administrative and statutory requirements have been met in accordance with the §5311 Formula Grants for Rural Areas Program, as articulated in FTA Circular 9040.1G. All elements are included in the Massachusetts Statewide Transportation Improvement Program (TIP) in 2021.

Research and Development: This award does not include research and development activities.

Indirect Costs: This award is applying an approved Indirect cost rate(s).

Suballocation Funds: Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Pre-Award Authority: This award is using Pre-Award Authority.

Application Budget

Total Application Budget: \$8,156,319.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$4,353,205.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$3,803,114.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$4,353,205.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$3,803,114.00

Application Budget Control Totals

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$4,353,205
Local			\$332,486
Local/In-Kind			\$633,852
State			\$2,836,776
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$8,156,319

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:

Original Certification Date:

Special Conditions

There are no special conditions.

FINDINGS AND DETERMINATIONS

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

FTA AWARD OF THE GRANT AGREEMENT

Awarded By:

FEDERAL TRANSIT ADMINISTRATION
U.S. DEPARTMENT OF TRANSPORTATION
Contact Info:
Award Date:

EXECUTION OF THE GRANT AGREEMENT

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
 - (a) Statements,
 - (b) Representations,
 - (c) Warranties,
 - (d) Covenants, and
 - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

Transportation, Massachusetts Executive Office Of