

Application

Federal Award Identification Number (FAIN)	WI-2021-022-00
Temporary Application Number	6818-2021-2
Application Name	5311 Tribal Formula for Operating Assistance & Vehicle Purchase
Application Status	Final Concurrence / Reservation
Application Budget Number	0

Period of Performance Start Date	N/A
Original Period of Performance End Date	3/30/2024
Current Period of Performance End Date	N/A Revision #: N/A

Part 1: Recipient Information

Name: LAC COURTE OREILLES BAND OF LAKE SUPERIOR CHIPPEWA INDIANS OF WI

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
6818	Indian Tribe	LAC COURTE OREILLES TRIBAL GOVERNMENT	063616700

Location Type	Address	City	State	Zip
Headquarters	13394 W Trepania Rd	Hayward	WI	54843
Physical Address	13394 W TREPANIA RD BLD 1	HAYWARD	WI	54843
Mailing Address	13394W TREPANIA ROAD	HAYWARD	WI	54843

Union Information

There are no union contacts for this application

Part 2: Application Information

Title: 5311 Tribal Formula for Operating Assistance & Vehicle Purchase

FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
WI-2021-022-00	Final Concurrence / Reservation	Grant	3/17/2021	3/17/2021	No

Application Executive Summary

This grant uses \$92,095 in FY21 5311 Tribal Formula Acts Funds for one capital and one operating assistance project. Capital project: 1 new transit vehicle in the amount of \$56,421 to expand tribal transit fleet operations. Operating Assistance: expenses in the amount of \$35,674 for salaries and wages, fringe benefits, and other allowable operating expenses. This application uses 100% Federal share under the 5311 Tribal Formula Act program. No local match required. Apportionment Table attached.

In executing this grant, the Lac Courte Oreilles Tribe agrees to abide by Section 3011 of the FAST Act (49 U.S.C. 5323(j)(2)(C) regarding the phased increase in domestic content under the Buy America statute for rolling stock.

As the Grant Agreement includes an FTA-funded transit vehicle purchase, the Lac Courte Oreilles Tribe agrees to comply with the related requirements set forth in 49 CFR § 26.49(a). Specifically, the Lac Courte Oreilles Tribe must ensure as a condition of being authorized to bid or propose on FTA-assisted transit vehicle procurements, that each transit vehicle manufacturer certified that it complied with DOT requirements for submitting a DBE program and goal to FTA prior to bidding. The Lac Courte Oreilles Tribe is also required to submit the name of the successful bidder and the total dollar value of the contract within 30 days of making a FTA- assisted transit vehicle award using the required FTA reporting tool. The Lac Courte Oreilles Tribe understands that failure to comply with these requirements may result in formal enforcement action or appropriate sanction as determined by FTA, including reimbursement of FTA funds used for the vehicle procurement.

Frequency of Milestone Progress Reports (MPR)

Annual

Frequency of Federal Financial Reports (FFR)

Annual

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Will this Grant be using Lapsing Funds?

No, this Grant does not use Lapsing Funds.

Will indirect costs be applied to this application?

This award does not include an indirect cost rate.

Indirect Rate Details: N/A

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Elissa	DeCora	Compliance Officer	elissa.decora@lco-nsn.gov	(715) 634-8934
Colin	Korst	Transportation Program Specialist	colin.korst@dot.gov	312-353-3853

Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$92,095
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$92,095

Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
WI-2021-022-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$35,674.00	\$0.00	\$35,674.00	1
WI-2021-022-01-00	30.09.01 UP TO 50% FEDERAL SHARE	\$35,674.00	\$0.00	\$35,674.00	1
WI-2021-022-02-00	600-00 (600-A2) OTHER PROGRAM COSTS	\$56,421.00	\$0.00	\$56,421.00	1
WI-2021-022-02-00	11.13.04 BUY <30-FT BUS FOR	\$56,421.00	\$0.00	\$56,421.00	1

EXPANSION

Discretionary Allocations

This application does not contain discretionary allocations.

Part 3: Project Information

Project Title: Operating FY 2021 5311 Tribal Formula

Project Number	Temporary Project Number	Date Created	Start Date	End Date
WI-2021-022-01-00	6818-2021-2-P1	3/19/2021	10/1/2020	3/1/2022

Project Description

Original: This project budget for operating assistance utilizes \$35,674 in FY2021 5311 Tribal Formula funds for Operating Assistance for wages, admin, supplies, advertising/marketing, utilities, travel and meetings. Also, provides fluid and fuel for the new vehicle added to the fleet. This project is funded at 100% federal share. Period of performance 10/1/20 - 12/31/21.

Project Benefits

Continuing essential operations in the community.

Additional Information

None provided.

Location Description

Lac Courte Oreilles Reservation

Project Location (Urbanized Areas)

UZA Code	Area Name
550000	Wisconsin

Congressional District Information

State	District	Representative
Wisconsin	7	Tom Tiffany

Program Plan Information

STIP/TIP

Date: N/A

Description: N/A

UPWP

Date: N/A
 Description: N/A

Long Range Plan

Date: N/A
 Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$35,674
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$35,674

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
WI-2021-022-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$35,674.00	\$0.00	\$35,674.00	1
WI-2021-022-01-00	30.09.01 UP TO 50% FEDERAL SHARE	\$35,674.00	\$0.00	\$35,674.00	1

Project Budget Activity Line Items

Budget Activity Line Item: 30.09.01 - UP TO 50% FEDERAL SHARE

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE	1

Extended Budget Description

Operating expenses: Salaries-Wages, Fringe benefits, professional and technical services, gas, direct vehicle maintenance, supplies, utilities, travel & meetings. Period of Performance: 10/01/2020 - 12/31/2021

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$35,674
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$35,674

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2020	Beginning of claimed expenses
End Date	3/1/2022	Final drawdown date

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	3/19/2021

;

Project Title: FY 2021 Vehicle Purchase 5311 Tribal Transit Formula

Project Number	Temporary Project Number	Date Created	Start Date	End Date
WI-2021-022-02-00	6818-2021-2-P2	3/19/2021	10/1/2020	12/1/2021

Project Description

Funding will be used to purchase a Wheel Chair Accessible bus as an expansion to the fleet.

Project Benefits

Benefit would be to provide access to the community who are in wheel chairs, transportation for transit purposes. This would be a new services as Lac Courte Oreilles does not currently have a wheel chair accessible bus operating at this time.

Additional Information

None provided.

Location Description

Lac Courte Oreilles Reservation

Project Location (Urbanized Areas)

UZA Code	Area Name
550000	Wisconsin

Congressional District Information

State	District	Representative
Wisconsin	7	Tom Tiffany

Program Plan Information

STIP/TIP

Date: N/A

Description: N/A

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$56,421
Local			\$0

Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$56,421

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
WI-2021-022-02-00	600-00 (600-A2) OTHER PROGRAM COSTS	\$56,421.00	\$0.00	\$56,421.00	1
WI-2021-022-02-00	11.13.04 BUY <30-FT BUS FOR EXPANSION	\$56,421.00	\$0.00	\$56,421.00	1

Project Budget Activity Line Items

Budget Activity Line Item: 11.13.04 - BUY <30-FT BUS FOR EXPANSION

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	11.13.04	BUY	BUY EXPANSION - CAPITAL BUS	1

Extended Budget Description

Purchasing a wheelchair accessible bus as a new addition to the fleet. This bus is a 2021 Ford E350 Star Craft All Star Mini 8 passenger 2 wheelchair accessible side load bus. Less than 30 feet. Useful life for the vehicle is 100,000 miles or 5 years. No buses are being replaced.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$56,421
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0

Other Federal		\$0
Transportation Development Credit		\$0
Adjustment		\$0
Total Eligible Cost		\$56,421

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2020	Beginning of Procurement Process
Order Placement	5/31/2021	
Delivery Date	12/1/2021	

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	3/19/2021

Part 4: Fleet Details

No fleet data exists for this application.

Part 5: FTA Review Comments

FTA Comments for DOL

Comment By Colin Korst

Comment Type DOL Review for Information

Date 3/26/2021

Part 6: Agreement

**UNITED STATES OF AMERICA
DEPARTMENT OF TRANSPORTATION
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT
(FTA G-28, February 9, 2021)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(28), February 9, 2021, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

FTA AWARD

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

Recipient Information

Recipient Name: LAC COURTE OREILLES BAND OF LAKE SUPERIOR CHIPPEWA INDIANS OF WI

Recipient ID: 6818

DUNS No: 063616700

Application Information

Federal Award Identification Number: WI-2021-022-00

Application Name: 5311 Tribal Formula for Operating Assistance & Vehicle Purchase

Application Start Date: N/A

Original Award End Date: 3/30/2024

Current Award End Date: N/A

Application Executive Summary: This grant uses \$92,095 in FY21 5311 Tribal Formula Acts Funds for one capital and one operating assistance project. Capital project: 1 new transit vehicle in the amount of \$56,421 to expand tribal transit fleet operations. Operating Assistance: expenses in the amount of \$35,674 for salaries and wages, fringe benefits, and other allowable operating expenses. This application uses 100% Federal share under the 5311 Tribal Formula Act program. No local match required. Apportionment Table attached.

In executing this grant, the Lac Courte Oreilles Tribe agrees to abide by Section 3011 of the FAST Act (49 U.S.C. 5323(j)(2)(C) regarding the phased increase in domestic content under the Buy America statute for rolling stock.

As the Grant Agreement includes an FTA-funded transit vehicle purchase, the Lac Courte Oreilles Tribe agrees to comply with the related requirements set forth in 49 CFR § 26.49(a). Specifically, the Lac Courte Oreilles Tribe must ensure as a condition of being authorized to bid or propose on FTA-assisted transit vehicle procurements, that each transit vehicle manufacturer certified that it complied with DOT requirements for submitting a DBE program and goal to FTA prior to bidding. The Lac Courte Oreilles Tribe is also required to submit the name of the successful bidder and the total dollar value of the contract within 30 days of making a FTA- assisted transit vehicle award using the required FTA reporting tool. The Lac Courte Oreilles Tribe understands that failure to comply with these requirements may result in formal enforcement action or appropriate sanction as determined by FTA, including reimbursement of FTA funds used for the vehicle procurement.

Research and Development: This award does not include research and development activities.

Indirect Costs: This award does not include an indirect cost rate.

Suballocation Funds: Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Pre-Award Authority: This award is using Pre-Award Authority.

Application Budget

Total Application Budget: \$92,095.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$92,095.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$0.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$92,095.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$0.00

Application Budget Control Totals

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$92,095
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$92,095

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:

Original Certification Date:

Special Conditions

There are no special conditions.

FINDINGS AND DETERMINATIONS

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

FTA AWARD OF THE GRANT AGREEMENT

Awarded By:

FEDERAL TRANSIT ADMINISTRATION
U.S. DEPARTMENT OF TRANSPORTATION
Contact Info:
Award Date:

EXECUTION OF THE GRANT AGREEMENT

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
 - (a) Statements,

- (b) Representations,
 - (c) Warranties,
 - (d) Covenants, and
 - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

LAC COURTE OREILLES BAND OF LAKE SUPERIOR CHIPPEWA INDIANS OF WI