

## Application

<b>Federal Award Identification Number (FAIN)</b>	WI-2021-018-00
<b>Temporary Application Number</b>	7230-2020-3
<b>Application Name</b>	Bad River Transit CARES Act fund for Operating
<b>Application Status</b>	Final Concurrence / Reservation
<b>Application Budget Number</b>	0

<b>Period of Performance Start Date</b>	N/A	
<b>Original Period of Performance End Date</b>	3/30/2024	
<b>Current Period of Performance End Date</b>	N/A	Revision #: N/A

### Part 1: Recipient Information

**Name: Bad River Band Of Lake Superior Tribe Of Chippewa Indians**

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
7230	Indian Tribe	BAD RIVER BAND OF LAKE SUPERIOR TRIBE OF CHIPPEWA INDIANS	053806998

Location Type	Address	City	State	Zip
Headquarters	72682 MAPLE ST	ODANAH	WI	54861
Physical Address	72682 MAPLE ST	ODANAH	WI	54861
Mailing Address	P.O. BOX 39	ODANAH	WI	54861

### Union Information

There are no union contacts for this application

### Part 2: Application Information

## Title: Bad River Transit CARES Act fund for Operating

FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
WI-2021-018-00	Final Concurrence / Reservation	Grant	7/29/2020	7/29/2020	No

### Application Executive Summary

This grant will provide operating assistance for FY 2021 to Bad River Tribal Transit Services utilizing \$341,361 in FY2020 CARES Act funds to support costs associated with providing public transportation services through and within Bad River Reservation and surrounding areas during the COVID-19 pandemic. Operating assistance costs include drivers salaries and overhead, and extra cleaning and disinfectant supplies, equipment, and hours needed to ensure a clean, sanitized, and safe environment for drivers and passengers. No CARES act funds may reimburse expenses prior to 1/20/20. Attachments to this application includes the CARES Act apportionment table.

The Recipient agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.

### Frequency of Milestone Progress Reports (MPR)

Annual

### Frequency of Federal Financial Reports (FFR)

Annual

### Does this application include funds for research and/or development activities?

This award does not include research and development activities.

### Pre-Award Authority

This award is using Pre-Award Authority.

### Does this application include suballocation funds?

Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

### Will this Grant be using Lapsing Funds?

No, this Grant does not use Lapsing Funds.

### Will indirect costs be applied to this application?

This award does not include an indirect cost rate.

*Indirect Rate Details: N/A*

### Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

### Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

## Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Colin	Korst	Transportation Program Specialist	colin.korst@dot.gov	312-353-3853
Lloyd	Hartwell	Transit Manager	transitmgr@badriver-nsn.gov	715-685-9461 1315

## Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 Tribal formula (CARES Act)	5311-7	20509	\$341,361
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$341,361</b>

## Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
WI-2021-018-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$341,361.00	\$0.00	\$341,361.00	1
WI-2021-018-01-00	30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$341,361.00	\$0.00	\$341,361.00	1

## Discretionary Allocations

This application does not contain discretionary allocations.

## Part 3: Project Information

## Project Title: FY20 5311 Tribal Transit Program CARES Act Operating Assistance

Project Number	Temporary Project Number	Date Created	Start Date	End Date
WI-2021-018-01-00	7230-2020-3-P1	7/29/2020	1/27/2021	9/30/2021

### Project Description

\$341,361 in FY20 5311 CARES Act Formula funds will be used entirely for operating assistance to support Bad River Transit Services between 1/20/2021- 09/30/2021 during the COVID-19 pandemic. Operating assistance costs include drivers salaries and overhead, and extra cleaning and disinfectant supplies, equipment, and hours needed to ensure a clean, sanitized, and safe environment for drivers and passengers. No local match is required.

### Project Benefits

Covers deficit from Covid-19 used to provide extra staff hours for cleaning and disinfecting and regular operating assistance expenses consistent with the Tribal Transit Program. The FY20 and FY21 budgets are expected to experience deficits due to extra costs associated with Covid-19 response. The funding will allow vital transit services to continue serving the Bad River community and essential employment, commerce, medical, educational, and community services needs.

### Additional Information

*None provided.*

### Location Description

Bad River Indian Reservation in Odanah, WI.

## Project Location (Urbanized Areas)

UZA Code	Area Name
550000	Wisconsin

## Congressional District Information

State	District	Representative
Wisconsin	7	Tom Tiffany

## Program Plan Information

### STIP/TIP

Date: Not Provided

Description: No STIP/TIP requirement for Tribal Transit Program funds

### UPWP

Date: N/A

Description: N/A

### Long Range Plan

Date: N/A  
 Description: N/A

### Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 Tribal formula (CARES Act)	5311-7	20509	\$341,361
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$341,361</b>

### Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
WI-2021-018-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$341,361.00	\$0.00	\$341,361.00	1
WI-2021-018-01-00	30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$341,361.00	\$0.00	\$341,361.00	1

### Project Budget Activity Line Items

Budget Activity Line Item: 30.09.08 - EMER RELIEF - OPERATING ASSIST - 100% Fed Share				
Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	OPERATING ASSISTANCE	1

#### Extended Budget Description

Operating assistance expenses between 1/20/21 and 09/30/21. Operating assistance costs include transit staff salaries and overhead, vehicle maintenance and repairs, program supplies, office supplies and facility utilities. Expenses consistent with eligible operating costs under Circular 9040.1G III-11 and Ch. X, and the CARES Act.

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 Tribal formula (CARES Act)	5311-7	20509	\$341,361
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Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$341,361</b>

Milestone Name	Est. Completion Date	Description
Start Date	1/27/2021	
End Date	9/30/2021	

**Project Environmental Findings****Finding: Class II(c) - Categorical Exclusions (C-List)****Class Level Description**

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

**Categorical Exclusion Description**

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	8/4/2020

**Part 4: Fleet Details**

No fleet data exists for this application.

## Part 5: FTA Review Comments

### FTA Comments for DOL

Comment By Colin Korst

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Comment Type DOL Review for Information

Date 3/4/2021

Comment 5311 Special Waiver for Tribal Transit Funds. No DOL Certification Required.

## Part 6: Agreement

**UNITED STATES OF AMERICA  
DEPARTMENT OF TRANSPORTATION  
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT  
(FTA G-26, October 1, 2019)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(26), October 1, 2019, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

### **FTA AWARD**

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

#### **Recipient Information**

Recipient Name: Bad River Band Of Lake Superior Tribe Of Chippewa Indians

Recipient ID: 7230

DUNS No: 053806998

## **Application Information**

Federal Award Identification Number: WI-2021-018-00

Application Name: Bad River Transit CARES Act fund for Operating

Application Start Date: N/A

Original Award End Date: 3/30/2024

Current Award End Date: N/A

Application Executive Summary: This grant will provide operating assistance for FY 2021 to Bad River Tribal Transit Services utilizing \$341,361 in FY2020 CARES Act funds to support costs associated with providing public transportation services through and within Bad River Reservation and surrounding areas during the COVID-19 pandemic. Operating assistance costs include drivers salaries and overhead, and extra cleaning and disinfectant supplies, equipment, and hours needed to ensure a clean, sanitized, and safe environment for drivers and passengers. No CARES act funds may reimburse expenses prior to 1/20/20

Attachments to this application includes the CARES Act apportionment table.

The Recipient agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.

Research and Development: This award does not include research and development activities.

Indirect Costs: This award does not include an indirect cost rate.

Suballocation Funds: Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Pre-Award Authority: This award is using Pre-Award Authority.

## **Application Budget**

Total Application Budget: \$341,361.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$341,361.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$0.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$341,361.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$0.00



**Application Budget Control Totals**

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

<b>Funding Source</b>	<b>Section of Statute</b>	<b>CFDA Number</b>	<b>Amount</b>
5311 Tribal formula (CARES Act)	5311-7	20509	\$341,361
Local			\$0
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State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$341,361</b>

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

**U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:**

Original Certification Date:

**Special Conditions**

There are no special conditions.

**FINDINGS AND DETERMINATIONS**

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

**FTA AWARD OF THE GRANT AGREEMENT**

Awarded By:

FEDERAL TRANSIT ADMINISTRATION  
U.S. DEPARTMENT OF TRANSPORTATION  
Contact Info:  
Award Date:

**EXECUTION OF THE GRANT AGREEMENT**

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in

which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
  - (a) Statements,
  - (b) Representations,
  - (c) Warranties,
  - (d) Covenants, and
  - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

*Bad River Band Of Lake Superior Tribe Of Chippewa Indians*