

# Application

<b>Federal Award Identification Number (FAIN)</b>	WI-2020-006-01
<b>Temporary Application Number</b>	7311-2020-1
<b>Application Name</b>	Oneida Nation 5311 Tribal Transit Operating 2020
<b>Application Status</b>	Final Concurrence / Reservation
<b>Application Budget Number</b>	0

<b>Period of Performance Start Date</b>	1/22/2020
<b>Original Period of Performance End Date</b>	3/30/2023
<b>Current Period of Performance End Date</b>	3/30/2023 Revision #: 0

## Part 1: Recipient Information

**Name: Oneida Nation**

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
7311	Indian Tribe	ONEIDA NATION	798227278

Location Type	Address	City	State	Zip
Headquarters	N 7210 SEMINARY RD	ONEIDA	WI	541559501
Physical Address	909 PACKERLAND DR	GREEN BAY	WI	54303
Mailing Address	P. O. BOX 365	ONEIDA	WI	54155

## Union Information

There are no union contacts for this application

## Part 2: Application Information

**Title: Oneida Nation 5311 Tribal Transit Operating 2020**

<b>FAIN</b>	<b>Application Status</b>	<b>Application Type</b>	<b>Date Created</b>	<b>Last Updated Date</b>	<b>From TEAM?</b>
WI-2020-006-01	Final Concurrence / Reservation	Grant	2/16/2021	2/16/2021	No

**Application Executive Summary**

Amendment #1:

Reducing operating expenses and increasing capital expenses to replace 2 vehicles due to end of lifecycle. The reasoning is new COVID Relief funding is covering some operating costs during the pandemic. The amount to be redirected from operating to capital is \$110,000. No new funding sources will be added.

Attached is the apportionment tables which provide proof of funding.

In executing this grant, Oneida Nation agrees to abide by Section 3011 of the FAST Act (49 U.S.C. 5323(j)(2)(C) regarding the phased increase in domestic content under the Buy America statute for rolling stock.

As the Grant Agreement includes an FTA-funded transit vehicle purchase, Oneida Nation agrees to comply with the related requirements set forth in 49 CFR § 26.49(a). Specifically, the recipient must ensure as a condition of being authorized to bid or propose on FTA-assisted transit vehicle procurements, that each transit vehicle manufacturer certified that it complied with DOT requirements for submitting a DBE program and goal to FTA prior to bidding. The recipient is also required to submit the name of the successful bidder and the total dollar value of the contract within 30 days of making a FTA- assisted transit vehicle award using the required FTA reporting tool.

Oneida Nation understands that failure to comply with these requirements may result in formal enforcement action or appropriate sanction as determined by FTA, including reimbursement of FTA funds used for the vehicle procurement.

Original:

This grant is for the Oneida Nation of Wisconsin for CY 2020 operating assistance to be funded from the FY 19 Section 5311 Tribal Transit program, in the amount of \$447,465. The funding is 100% federal share and the projects are not required to be listed in the STIP/TIP. The project will consist of Operating Assistance only.

The period of performance is from January 1, 2020-December 30, 2020.

This application for the Oneida Nation of Wisconsin Section 5311 federal assistance is consistent with the Direct Recipient as listed in the Apportionment Table attached.

This application does not include funds for Research and/ Development Activities.

Indirect Costs will not be applied to this application and its scope of work.

**Frequency of Milestone Progress Reports (MPR)**

Annual

**Frequency of Federal Financial Reports (FFR)**

Annual

**Does this application include funds for research and/or development activities?**

This award does not include research and development activities.

**Pre-Award Authority**

This award is using Pre-Award Authority.

**Does this application include suballocation funds?**

Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

**Will this Grant be using Lapsing Funds?**

No, this Grant does not use Lapsing Funds.

**Will indirect costs be applied to this application?**

This award does not include an indirect cost rate.

*Indirect Rate Details: N/A*

**Requires E.O. 12372 Review**

No, this application does not require E.O. 12372 Review.

**Delinquent Federal Debt**

No, my organization does not have delinquent federal debt.

**Application Point of Contact Information**

First Name	Last Name	Title	E-mail Address	Phone
Carol	Moore	Assistant	cmoore@oneidanation.org	920-496-5775
Colin	Korst	Transportation Program Specialist	colin.korst@dot.gov	312-353-3853

**Application Budget Control Totals**

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$447,465
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$447,465</b>

**Application Budget**

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
WI-2020-006-01-01	600-00 (600-A1) OTHER PROGRAM COSTS	\$447,465.00	\$0.00	\$447,465.00	3

WI-2020-006-01-01	11.12.04	BUY REPLACEMENT <30 FT BUS	\$110,000.00	\$0.00	\$110,000.00	2
WI-2020-006-01-01	30.09.01	UP TO 50% FEDERAL SHARE	\$337,465.00	\$0.00	\$337,465.00	1

## Discretionary Allocations

This application does not contain discretionary allocations.

## Part 3: Project Information

### Project Title: Oneida Transit Operating Assistance and Bus Purchases

Project Number	Temporary Project Number	Date Created	Start Date	End Date
WI-2020-006-01-01	7311-2020-1-P1	2/16/2021	1/1/2020	8/1/2021

#### Project Description

Amendment #1: Transferring funds to capital to replace to 2 vehicles for 2 minibuses due to end of lifecycle. Operating is covered by COVID Relief funding. The cost to be transferred is \$110, 000. No new funding sources will be added.

#### Original:

This grant is for the Oneida Nation of Wisconsin for projects to be funded from Section 5311, Tribal Transit Program, FY 19 in the total amount of \$447,465. The funding is 100% and the projects are not required to be listed in the STIP/TIP. The project will consist of Operating Assistance.

The period of performance is from January 1, 2020-December 30, 2020.

#### Project Benefits

Oneida Public Transit provides secure-reliable transportation at a reasonable cost to our passengers of the Oneida Community and surrounding communities.

Door-Door service: Helping our elders to keep their independence. Helping employees to get to work. Helping students to get to recreation centers. Community members to Health center appointments, fitness centers and travel out of town for medical appointments.

Benefits of replacement buses: New buses will be safer and more cost effective, they will need less repairs and maintenance.

#### Additional Information

Annual Trips is approximately 35,000-40,000

#### Location Description

Oneida Public Transit provides public transportation in the service area defined by the Federally-recognized Oneida Reservation located in Brown & Outagamie counties approximately 100-120 square miles.

## Project Location (Urbanized Areas)

UZA Code	Area Name
550000	Wisconsin

## Congressional District Information

State	District	Representative
Wisconsin	8	Reid J Ribble

## Program Plan Information

### STIP/TIP

Date: N/A

Description: N/A

### UPWP

Date: N/A

Description: N/A

### Long Range Plan

Date: N/A

Description: N/A

## Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$447,465
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$447,465</b>

## Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
WI-2020-006-	600- OTHER PROGRAM COSTS	\$447,465.00	\$0.00	\$447,465.00	3

01-01	00 (600- A1)						
WI-2020-006-01-01		11.12.04	BUY REPLACEMENT <30 FT BUS	\$110,000.00	\$0.00	\$110,000.00	2
WI-2020-006-01-01		30.09.01	UP TO 50% FEDERAL SHARE	\$337,465.00	\$0.00	\$337,465.00	1

## Project Budget Activity Line Items

### Budget Activity Line Item: 11.12.04 - BUY REPLACEMENT <30 FT BUS

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	11.12.04	BUY REPLACEMENT	BUY REPLACEMENTS - CAPITAL BUS	2

#### Extended Budget Description

Amendment #1: Transferring funds to capital to replace to 2 vehicles for 2 minibuses due to end of lifecycle. Operating is covered by COVID Relief funding. The cost to be transferred is \$110, 000. No new funding sources will be added.

Vehicles to be replace: QTY. 2 -2015 Dodge Caravan 2passenger 2 Wheelchair  
VIN#2C7WDGBG7FR642802 Mileage: 95,534 & VIN#2C7WDGBG7FR642816 Mileage: 75,224 Both vehicles have exceeded their useful life of 4 years.

Replacement Vehicles: QTY. 2 2021 Starlite Starcraft Minibus Ford E350 6 passenger 2 Wheelchair.  
1Double Fold Both vehicles will have a useful life of 5 years and 150,000 miles.

#### Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$110,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$110,000</b>

Milestone Name	Est. Completion Date	Description
Start Date	3/15/2021	
RFP	3/31/2021	
Final Vehicle Delivery Date	8/1/2021	

**Budget Activity Line Item: 30.09.01 - UP TO 50% FEDERAL SHARE**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE	1

**Extended Budget Description**

Amendment 1: Removing \$110, 000 from operating cost to purchase bus replacement. Estimated end date to spend down \$157,491 operating funds will be 8/1/2021.

Original:

Oneida Public Transit provides secure-reliable transportation at a reasonable cost to our passenger of the Oneida community and surrounding communities. Our door to door services helps elders keep their independence; employees get to work; student/children get to to after school activities and recreation facilities. Helps all community members to get to medical appointments, out of town medical appointments and to fitness centers and shopping centers. This funding covers the period between 1/1/20 and 12/30/20. Undertakings are consistent with allowable activities outlined in C 9040.1G Pg. III-11.

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$337,465
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$337,465</b>

Milestone Name	Est. Completion Date	Description
Start Date	1/1/2020	
End Date	12/30/2020	

## Project Environmental Findings

<b>Finding: Class II(c) - Categorical Exclusions (C-List)</b>
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**Class Level Description**

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

**Categorical Exclusion Description**

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	30.09.01	UP TO 50% FEDERAL SHARE	1	\$337,465.00	\$337,465.00

<b>Finding: Class II(c) - Categorical Exclusions (C-List)</b>
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**Class Level Description**

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

**Categorical Exclusion Description**

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	11.12.04	BUY REPLACEMENT	2	\$110,000.00	\$110,000.00



## Part 4: Fleet Details

No fleet data exists for this application.

## Part 5: FTA Review Comments

### FTA Comments for DOL

Comment By **Colin Korst**

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Comment Type DOL Review for Information

Date 3/4/2021

Comment 5311 Special Waiver for Tribal Transit Funds. No DOL Certification Required.

## Part 6: Agreement

**UNITED STATES OF AMERICA  
DEPARTMENT OF TRANSPORTATION  
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT  
(FTA G-28, February 9, 2021)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(28), February 9, 2021, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

### **FTA AWARD**

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

### **Recipient Information**

Recipient Name: Oneida Nation

Recipient ID: 7311

DUNS No: 798227278

## **Application Information**

Federal Award Identification Number: WI-2020-006-01

Application Name: Oneida Nation 5311 Tribal Transit Operating 2020

Application Start Date: 1/22/2020

Original Award End Date: 3/30/2023

Current Award End Date: 3/30/2023

Application Executive Summary: Amendment #1:  
Reducing operating expenses and increasing capital expenses to replace 2 vehicles due to end of lifecycle. The reasoning is new COVID Relief funding is covering some operating costs during the pandemic. The amount to be redirected from operating to capital is \$110,000. No new funding sources will be added.

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The period of performance is from January 1, 2020-December 30, 2020.

This application for the Oneida Nation of Wisconsin Section 5311 federal assistance is consistent with the Direct Recipient as listed in the Apportionment Table attached.

This application does not include funds for Research and/ Development Activities.

Indirect Costs will not be applied to this application and its scope of work.

Research and Development: This award does not include research and development activities.

Indirect Costs: This award does not include an indirect cost rate.

Suballocation Funds: Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Pre-Award Authority: This award is using Pre-Award Authority.

### **Application Budget**

Total Application Budget: \$447,465.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$0.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$0.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$447,465.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$0.00

### **Application Budget Control Totals**

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

<b>Funding Source</b>	<b>Section of Statute</b>	<b>CFDA Number</b>	<b>Amount</b>
5311 - Tribal Transit Program Formula	5311-1B	20509	\$447,465
Local			\$0
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State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$447,465</b>

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

### **U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:**

Original Certification Date:

### **Special Conditions**

There are no special conditions.

## **FINDINGS AND DETERMINATIONS**

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

## **FTA AWARD OF THE GRANT AGREEMENT**

Awarded By:

FEDERAL TRANSIT ADMINISTRATION  
U.S. DEPARTMENT OF TRANSPORTATION  
Contact Info:  
Award Date:

## **EXECUTION OF THE GRANT AGREEMENT**

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
  - (a) Statements,
  - (b) Representations,
  - (c) Warranties,
  - (d) Covenants, and
  - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

*Oneida Nation*