

## Application

<b>Federal Award Identification Number (FAIN)</b>	UT-2021-003-00
<b>Temporary Application Number</b>	7309-2021-1
<b>Application Name</b>	Ute Tribe 5311 Tribal CARES Act - Operations, Project Admin, and Preventive Maintenance
<b>Application Status</b>	Final Concurrence / Reservation
<b>Application Budget Number</b>	0

<b>Period of Performance Start Date</b>	N/A	
<b>Original Period of Performance End Date</b>	3/1/2022	
<b>Current Period of Performance End Date</b>	N/A	Revision #: N/A

### Part 1: Recipient Information

**Name: Ute Indian Tribe**

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
7309	Indian Tribe	UTE INDIAN TRIBE	073124562

Location Type	Address	City	State	Zip
Headquarters	6964 E 1000 S	Fort Duchesne	UT	84026
Physical Address	6964 E 1000 S	Fort Duchesne	UT	84026
Mailing Address	PO BOX 190	FORT DUCHESNE	UT	84026

### Union Information

There are no union contacts for this application

### Part 2: Application Information

**Title: Ute Tribe 5311 Tribal CARES Act - Operations, Project Admin, and Preventive Maintenance**

<b>FAIN</b>	<b>Application Status</b>	<b>Application Type</b>	<b>Date Created</b>	<b>Last Updated Date</b>	<b>From TEAM?</b>
UT-2021-003-00	Final Concurrence / Reservation	Grant	2/23/2021	2/23/2021	No

**Application Executive Summary**

Application Executive Summary

This is a FFY2020 Section 5311 Tribal CARES Act grant in the amount of \$140,743 (full apportionment). Per the CARES Act, the grant requests 100% federal share. This grant utilizes CARES Act funding to prevent, prepare for, and respond to COVID-19.

The grant scope of work includes the following projects in support of the Tribal Transit Program:

- 1) Operating Assistance;
- 2) Preventive Maintenance; and
- 3) Project Administration.

The Recipient certifies that it, and its subrecipients and contractors that are providers of public transportation, have not furloughed any employees since March 27, 2020 (the enactment date of the CARES Act).

The Recipient agrees that if it receives federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any federal share that duplicates funding provided by FEMA, another federal agency, or an insurance company.

**Frequency of Milestone Progress Reports (MPR)**

Annual

**Frequency of Federal Financial Reports (FFR)**

Annual

**Does this application include funds for research and/or development activities?**

This award does not include research and development activities.

**Pre-Award Authority**

This award is using Pre-Award Authority.

**Does this application include suballocation funds?**

Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

**Will this Grant be using Lapsing Funds?**

No, this Grant does not use Lapsing Funds.

**Will indirect costs be applied to this application?**

This award does not include an indirect cost rate.

*Indirect Rate Details: N/A*

**Requires E.O. 12372 Review**

No, this application does not require E.O. 12372 Review.

**Delinquent Federal Debt**

No, my organization does not have delinquent federal debt.

**Application Point of Contact Information**

First Name	Last Name	Title	E-mail Address	Phone
Jeromy	Groves	Transit Coordinator	jeromyg@utetribes.com	435-725-4924
Eboni	Younger-Riehl	Transportation Program Specialist	eboni.younger-riehl@dot.gov	(303) 362-2394

**Application Budget Control Totals**

Funding Source	Section of Statute	CFDA Number	Amount
5311 Tribal formula (CARES Act)	5311-7	20509	\$140,743
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$140,743</b>

**Application Budget**

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
UT-2021-003-01-00	600-00 (600-A3) OTHER PROGRAM COSTS	\$20,000.00	\$0.00	\$20,000.00	1
UT-2021-003-01-00	30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$20,000.00	\$0.00	\$20,000.00	1
UT-2021-003-02-00	600-00 (600-A2) OTHER PROGRAM COSTS	\$24,743.00	\$0.00	\$24,743.00	1
UT-2021-003-02-	11.7A.00 PREVENTIVE	\$24,743.00	\$0.00	\$24,743.00	1

00	MAINTENANCE					
UT-2021-003-03-00	600-00 (600-A1)	OTHER PROGRAM COSTS	\$96,000.00	\$0.00	\$96,000.00	1
UT-2021-003-03-00	11.79.00	PROJECT ADMINISTRATION	\$96,000.00	\$0.00	\$96,000.00	1

## Discretionary Allocations

This application does not contain discretionary allocations.

## Part 3: Project Information

### Project Title: Tribal Transit Operations

Project Number	Temporary Project Number	Date Created	Start Date	End Date
UT-2021-003-01-00	7309-2021-1-P1	2/23/2021	1/1/2021	12/31/2021

#### Project Description

FFY20 CARES Act 5311 Tribal funds in the amount of \$20,000 will be used for operating assistance for the Tribal Transit Program. Federal share is 100%.

#### Project Benefits

The funds are essential for the Tribe to continue to operate transit service during the COVID-19 pandemic. The Tribal Transit Program provides needed transportation for the general public, elderly and individuals with disabilities to shopping, employment, medical, work, and social appointments throughout the Reservation and beyond.

#### Additional Information

*None provided.*

#### Location Description

The Ute Indian Tribe is located in Fort Duchesne UT on the Uintah and Ouray Indian Reservation which is in the northeast corner of Utah, 150 miles east of Salt Lake City UT and 40 miles west of the Colorado border.

## Project Location (Urbanized Areas)

UZA Code	Area Name
490000	Utah

## Congressional District Information

State	District	Representative
Utah	1	Blake D. Moore

## Program Plan Information

### STIP/TIP

Date: N/A

Description: N/A

### UPWP

Date: N/A

Description: N/A

### Long Range Plan

Date: N/A

Description: N/A

## Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 Tribal formula (CARES Act)	5311-7	20509	\$20,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$20,000</b>

## Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
UT-2021-003-01-00	600-00 (600-A3) OTHER PROGRAM COSTS	\$20,000.00	\$0.00	\$20,000.00	1
UT-2021-003-01-00	30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$20,000.00	\$0.00	\$20,000.00	1

## Project Budget Activity Line Items

**Budget Activity Line Item: 30.09.08 - EMER RELIEF - OPERATING ASSIST - 100% Fed Share**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	OPERATING ASSISTANCE	1

**Extended Budget Description**

FY20 CARES Act funds will be used to provide operating assistance for the continued operations of the Tribal Transit Program. Federal share is 100%.

Operating funds will cover expenses from January 1, 2021 to December 31, 2021, including drivers' salaries and fringe benefits, fuel, oil, dispatcher salaries and fringe benefits, and licenses. This also includes paying administrative leave for operations and maintenance personnel due to reductions/suspending of service. Operating expenses are based on past operating.

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 Tribal formula (CARES Act)	5311-7	20509	\$20,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$20,000</b>

Milestone Name	Est. Completion Date	Description
Start Date	1/1/2021	Start of operating expenses
End Date	12/31/2021	End of operating expenses

**Project Environmental Findings**

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

**Categorical Exclusion Description**

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description	Date
	Class IIc CE Approved	

**Project Title: Tribal Transit Preventive Maintenance**

Project Number	Temporary Project Number	Date Created	Start Date	End Date
UT-2021-003-02-00	7309-2021-1-P2	2/23/2021	1/1/2021	12/31/2021

**Project Description**

FFY20 CARES Act 5311 funds in the amount of \$24,743 will be used for preventive maintenance for Tribal Transit Program vehicles, equipment and facilities. Federal share is 100%.

**Project Benefits**

Funds will keep vehicles in a state of good repair and will be used to clean vehicles and facilities.

**Additional Information**

*None provided.*

**Location Description**

The Ute Indian Tribe is located in Fort Duchesne UT on the Uintah and POurray Indian Reservation which is in the northeast corner of Utah, 150 east of Salt lake City UT and 40 miles west of the Colorado Border.

**Project Location (Urbanized Areas)**

UZA Code	Area Name
490000	Utah

**Congressional District Information**

State	District	Representative
Utah	1	Blake D. Moore

**Program Plan Information**

**STIP/TIP**

Date: N/A

Description: N/A

**UPWP**

Date: N/A

Description: N/A

**Long Range Plan**

Date: N/A

Description: N/A

**Project Control Totals**

Funding Source	Section of Statute	CFDA Number	Amount
5311 Tribal formula (CARES Act)	5311-7	20509	\$24,743
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$24,743</b>

**Project Budget**

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
UT-2021-003-02-00	600-00 (600-A2) OTHER PROGRAM COSTS	\$24,743.00	\$0.00	\$24,743.00	1
UT-2021-003-02-00	11.7A.00 PREVENTIVE MAINTENANCE	\$24,743.00	\$0.00	\$24,743.00	1

**Project Budget Activity Line Items****Budget Activity Line Item: 11.7A.00 - PREVENTIVE MAINTENANCE**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	11.7A.00	PREVENTIVE MAINTENANCE	OTHER CAPITAL ITEMS (BUS)	1

**Extended Budget Description**

FF20 CARES Act funds will be used for preventive maintenance expenses for the Tribal Transit Program, including small capital purchases for preventive maintenance and repairs to vehicles, equipment and



facilities. This also includes the purchase of personal protective equipment and other supplies to modify and clean vehicles, equipment and facilities expressly due to the COVID-19 pandemic. Timeframe for PM expenses is from January 1, 2021 to December 31, 2021.

Any equipment exceeding \$5000 per unit and useful life greater than 1 year, and any supplies with an aggregate value greater than \$5000, will be reported as project property at closeout of this award. Federal share is 100%.

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 Tribal formula (CARES Act)	5311-7	20509	\$24,743
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$24,743</b>

Milestone Name	Est. Completion Date	Description
Start Date	1/1/2021	Start of PM expenses
End Date	12/31/2021	End of PM expenses

## Project Environmental Findings

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

**Categorical Exclusion Description**

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
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Class IIc CE Approved
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<b>Project Title: Tribal Transit Project Administration</b>
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Project Number	Temporary Project Number	Date Created	Start Date	End Date
UT-2021-003-03-00	7309-2021-1-P3	2/23/2021	1/1/2021	12/31/2021

**Project Description**

FFY20 CARES Act 5311 Tribal funds in the amount of \$96,000 will be used for project administration. Federal share is 100%.

**Project Benefits**

Funds will be used to support staff and eligible project administration activities.

**Additional Information**

*None provided.*

**Location Description**

The Ute Indian Tribe is located in Fort Duchesne UT on the Uintah and Ouray Indian Reservation which is in the northeast corner of Utah, 150 east of Salt lake City UT and 40 miles west of the Colorado Border.

**Project Location (Urbanized Areas)**

UZA Code	Area Name
490000	Utah

**Congressional District Information**

State	District	Representative
Utah	1	Blake D. Moore

**Program Plan Information**

**STIP/TIP**

Date: N/A

Description: N/A

**UPWP**

Date: N/A

Description: N/A

**Long Range Plan**

Date: N/A

Description: N/A

## Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 Tribal formula (CARES Act)	5311-7	20509	\$96,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$96,000</b>

## Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
UT-2021-003-03-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$96,000.00	\$0.00	\$96,000.00	1
UT-2021-003-03-00	11.79.00 PROJECT ADMINISTRATION	\$96,000.00	\$0.00	\$96,000.00	1

## Project Budget Activity Line Items

### Budget Activity Line Item: 11.79.00 - PROJECT ADMINISTRATION

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	11.79.00	PROJECT ADMINISTRATION	OTHER CAPITAL ITEMS (BUS)	1

### Extended Budget Description

FFY20 CARES Act funds will be used for Project Administration for the Tribal Transit Program to provide transportation services throughout the Reservation. Federal share is 100%.

Project Admin expenses are from January 1, 2021 to December 31, 2021 and include general admin expenses (salaries of project director, admin assistant, accounting/finance staff), marketing expenses, insurance premiums, office supplies, facilities and equipment rental, standard overhead rates, and costs of administering drug and alcohol testing. This also includes paying administrative leave for general administrative personnel due to reductions/suspending of service.

Any equipment exceeding \$5000 per unit and useful life greater than 1 year, and any supplies with an aggregate value greater than \$5000, will be reported as project property at closeout of this award.

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 Tribal formula (CARES Act)	5311-7	20509	\$96,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$96,000</b>

Milestone Name	Est. Completion Date	Description
Start date	1/1/2021	Start of Project Admin line item expenses
End Date	12/31/2021	End of Project Admin line item expenses.

## Project Environmental Findings

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

**Categorical Exclusion Description**

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description	Date
	Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
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OTHER PROGRAM COSTS (600-00)	11.79.00	PROJECT ADMINISTRATION	1	\$96,000.00	\$96,000.00
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## Part 4: Fleet Details

No fleet data exists for this application.

## Part 5: FTA Review Comments

### FTA Comments for DOL

Comment By **Eboni Younger-Riehl**

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Comment Type	DOL Review for Information
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Date	3/25/2021
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Comment	Jeromy B. Groves Ute Tribe Transit Coordinator /Acting Motor Pool Director Transit Office: 435-725-4924 Cell Number: 435-823-8880 Fax: 435-725-2346 email: jeromyg@utetribe.com
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## Part 6: Agreement

**UNITED STATES OF AMERICA  
DEPARTMENT OF TRANSPORTATION  
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT  
(FTA G-28, February 9, 2021)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(28), February 9, 2021, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL

ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

### **FTA AWARD**

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

#### **Recipient Information**

Recipient Name: Ute Indian Tribe

Recipient ID: 7309

DUNS No: 073124562

#### **Application Information**

Federal Award Identification Number: UT-2021-003-00

Application Name: Ute Tribe 5311 Tribal CARES Act - Operations, Project Admin, and Preventive Maintenance

Application Start Date: N/A

Original Award End Date: 3/1/2022

Current Award End Date: N/A

Application Executive Summary: Application Executive Summary

This is a FFY2020 Section 5311 Tribal CARES Act grant in the amount of \$140,743 (full apportionment). Per the CARES Act, the grant requests 100% federal share. This grant utilizes CARES Act funding to prevent, prepare for, and respond to COVID-19.

The grant scope of work includes the following projects in support of the Tribal Transit Program:

- 1) Operating Assistance;
- 2) Preventive Maintenance; and
- 3) Project Administration.

The Recipient certifies that it, and its subrecipients and contractors that are providers of public transportation, have not furloughed any employees since March 27, 2020 (the enactment date of the CARES Act).

The Recipient agrees that if it receives federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any federal share that duplicates funding provided by FEMA, another federal agency, or an insurance company.

Research and Development: This award does not include research and development activities.

Indirect Costs: This award does not include an indirect cost rate.

Suballocation Funds: Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Pre-Award Authority: This award is using Pre-Award Authority.

**Application Budget**

Total Application Budget: \$140,743.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$140,743.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$0.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$140,743.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$0.00

**Application Budget Control Totals**

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

<b>Funding Source</b>	<b>Section of Statute</b>	<b>CFDA Number</b>	<b>Amount</b>
5311 Tribal formula (CARES Act)	5311-7	20509	\$140,743
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$140,743</b>

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

**U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:**

Original Certification Date:

**Special Conditions**

There are no special conditions.

**FINDINGS AND DETERMINATIONS**

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

## **FTA AWARD OF THE GRANT AGREEMENT**

Awarded By:

FEDERAL TRANSIT ADMINISTRATION  
U.S. DEPARTMENT OF TRANSPORTATION  
Contact Info:  
Award Date:

## **EXECUTION OF THE GRANT AGREEMENT**

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
  - (a) Statements,
  - (b) Representations,
  - (c) Warranties,
  - (d) Covenants, and
  - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

*Ute Indian Tribe*