

## Application

<b>Federal Award Identification Number (FAIN)</b>	TN-2018-043-01
<b>Temporary Application Number</b>	1007-2018-5
<b>Application Name</b>	5311 FFY2018
<b>Application Status</b>	Final Concurrence / Reservation
<b>Application Budget Number</b>	0

<b>Period of Performance Start Date</b>	8/15/2018
<b>Original Period of Performance End Date</b>	3/30/2023
<b>Current Period of Performance End Date</b>	3/30/2023 Revision #: 0

### Part 1: Recipient Information

**Name: Transportation, Tennessee Department Of**

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
1007	State Agency	TENNESSEE DEPARTMENT OF TRANSPORTATION	878297712

Location Type	Address	City	State	Zip
Headquarters	600 CHARLOTTE AVE	NASHVILLE	TN	372439034
Physical Address	505 DEADRICK ST STE 700	NASHVILLE	TN	37243
Mailing Address	505 DEADRICK ST STE 800	NASHVILLE	TN	37243

### Union Information

There are no union contacts for this application

### Part 2: Application Information

**Title: 5311 FFY2018**

<b>FAIN</b>	<b>Application Status</b>	<b>Application Type</b>	<b>Date Created</b>	<b>Last Updated Date</b>	<b>From TEAM?</b>
TN-2018-043-01	Final Concurrence / Reservation	Grant	2/4/2021	2/4/2021	No

**Application Executive Summary****AMENDMENT 01**

This is a grant application for Section 5311 (Non-Urbanized Area Formula Program) for TDOT for Section 5311, RTAP, and Appalachian Development Public Transportation Assistance Program funds, in the amount of \$7,609,530 in federal funds from Tennessee's FFY2019 apportionment and \$14,640,876 in federal funds from Tennessee's FFY2020 apportionment. To maximize the use of Tennessee's oldest 5311 funds first, this grant application represents the obligation of remaining FFY2019 apportionment funds that were not obligated in the TN-2019-037 grant. This grant application also includes a partial obligation of the FFY2020 Apportionment. The remaining FFY2020 apportionment will be obligated in a future grant. This grant will provide funds to nine (9) rural public transportation systems for transportation in non-urban areas and two (2) rural transit-intensive agencies (Pigeon Forge Mass Transit and Gatlinburg: Gatlinburg Mass Transit System) in areas serving the tourist trade in East Tennessee. The Appalachian funds are allocated to agencies serving Appalachian areas based on the 2010 Census. TDOT utilizes a performance-based formula for the 5311 allocation, including the 2010 Census non-urbanized population, public ridership, and public revenue miles. TDOT will not use 15% of the 5311 funds for intercity bus service, per the attached Intercity Bus Certification Letter.

This is a FFY2018 grant application for Section 5311 (Non-Urbanized Area Formula Program) for TDOT for Section 5311, RTAP, and Appalachian Development Public Transportation Assistance Program funds, in the amount of \$21,071,879. This grant will provide funds to nine (9) rural public transportation systems for transportation in non-urban areas and two (2) rural transit-intensive agencies (Pigeon Forge Fun Time Trolley and Gatlinburg: Gatlinburg Mass Transit) in areas serving the tourist trade in East Tennessee. The Appalachian funds are allocated to agencies serving Appalachian areas based on the 2010 Census. TDOT is phasing in a new performance-based formula for the 5311 allocation. FFY2018 allocation is the second year of the phase-in at a 50% old, 50% new formula. Factors for the old formula being phased-out include the 2010 Census non-urbanized population, the number of counties served, and the number of vehicles operated by each system. Factors for the new formula being phased-in include the 2010 Census non-urbanized population, public ridership, and public revenue miles. TDOT will not use 15% of the 5311 funds for intercity bus service, per the attached Intercity Bus Certification Letter.

TDOT will ensure that all vehicles purchased are accessible, purchased from an FTA approved TVM, and reported to FTA within 30 days after contract is signed.

TDOT will check the current listing of Transit Vehicle Manufacturers (TVM) to ensure each is listed as a certified TVM in compliance with FTA regulations.

TDOT will follow all 3rd party procurement policies as defined in C4220. 1F (Third Party Contracting Guidance).

All subrecipients will follow useful life standards as defined in the TDOT State Management Plan.

TDOT will ensure contractors procured will not be on the FTA Suspension and Debarment list.

Recipients receiving grant awards over \$25,000 from FTA or any other Federal agency after October 1, 2010, must comply with the Federal Financial Accountability and Transparency Act (FFATA) sub-award reporting requirements.

The FFY2018 Program of Projects (POP) is attached. Funds are allocated to 11 public agencies in the

following categories: State Administration, Project Administration, Capital, Operating, and RTAP.

**Frequency of Milestone Progress Reports (MPR)**

Annual

**Frequency of Federal Financial Reports (FFR)**

Annual

**Does this application include funds for research and/or development activities?**

This award includes research and development activities.

**Pre-Award Authority**

This award is using Pre-Award Authority.

**Does this application include suballocation funds?**

Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

**Will this Grant be using Lapsing Funds?**

No, this Grant does not use Lapsing Funds.

**Will indirect costs be applied to this application?**

This award does not include an indirect cost rate.

*Indirect Rate Details: N/A*

**Requires E.O. 12372 Review**

No, this application does not require E.O. 12372 Review.

**Delinquent Federal Debt**

No, my organization does not have delinquent federal debt.

**Application Point of Contact Information**

First Name	Last Name	Title	E-mail Address	Phone
Michael	Sherman	Community Planner	michael.sherman@dot.gov	+1(404) 865-5616
Kaitlyn	McClanahan	Transit Manager	kaitlyn.mcclanahan@tn.gov	615-532-5835

**Application Budget Control Totals**

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$43,322,285
Local			\$16,514,344
Local/In-Kind			\$0
State			\$16,514,352
State/In-Kind			\$0

Other Federal		\$0
Transportation Development Credit		\$0
Adjustment		\$0
<b>Total Eligible Cost</b>		<b>\$76,350,981</b>

## Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
TN-2018-043-01-01	111-00 (111-A1) BUS - ROLLING STOCK	\$742,319.00	\$183,111.00	\$925,430.00	4
TN-2018-043-01-01	11.12.04 BUY REPLACEMENT <30 FT BUS	\$654,319.00	\$161,111.00	\$815,430.00	4
TN-2018-043-01-01	11.13.04 BUY <30-FT BUS FOR EXPANSION	\$88,000.00	\$22,000.00	\$110,000.00	0
TN-2018-043-01-01	114-00 (114-A8) BUS: SUPPORT EQUIP AND FACILITIES	\$437,951.00	\$109,488.00	\$547,439.00	7
TN-2018-043-01-01	11.42.11 ACQUIRE - SUPPORT VEHICLES	\$188,159.00	\$47,040.00	\$235,199.00	4
TN-2018-043-01-01	11.42.20 ACQUIRE - MISC SUPPORT EQUIPMENT	\$138,592.00	\$34,648.00	\$173,240.00	3
TN-2018-043-01-01	11.44.02 REHAB/RENOVATE - MAINTENANCE FACILITY	\$111,200.00	\$27,800.00	\$139,000.00	0
TN-2018-043-01-01	116-00 (116-A9) SIGNAL & COMM EQUIPMENT (BUS)	\$64,000.00	\$16,000.00	\$80,000.00	0
TN-2018-043-01-01	11.62.20 PURCHASE MISC COMMUNICATIONS EQUIP	\$64,000.00	\$16,000.00	\$80,000.00	0
TN-2018-043-01-01	117-00 (117-A3) OTHER CAPITAL ITEMS (BUS)	\$2,241,084.00	\$560,272.00	\$2,801,356.00	0
TN-2018-043-01-01	11.7A.00 PREVENTIVE MAINTENANCE	\$2,045,996.00	\$511,500.00	\$2,557,496.00	0
TN-2018-	11.7L.00 MOBILITY	\$195,088.00	\$48,772.00	\$243,860.00	0

043-01-01		MANAGEMENT (5302(A)(1)(L))					
TN-2018-043-01-01	119-00 (119-B1)	Bus Associated Transit Improvements	\$40,000.00	\$10,000.00	\$50,000.00		0
TN-2018-043-01-01		11.92.08 PURCHASE SIGNAGE	\$40,000.00	\$10,000.00	\$50,000.00		0
TN-2018-043-01-01	300-00 (300-A4)	OPERATING ASSISTANCE	\$27,833,926.00	\$27,833,916.00	\$55,667,842.00		0
TN-2018-043-01-01		30.09.01 UP TO 50% FEDERAL SHARE	\$27,833,926.00	\$27,833,916.00	\$55,667,842.00		0
TN-2018-043-01-01	441-00 (441-B2)	STATE PLANNING & RESEARCH	\$346,667.00	\$86,666.00	\$433,333.00		0
TN-2018-043-01-01		44.24.00 SHORT RANGE TRANSIT PLANNING	\$346,667.00	\$86,666.00	\$433,333.00		0
TN-2018-043-01-01	610-00 (610-A5)	STATE / PROGRAMS ADMINISTRATION	\$982,456.00	\$0.00	\$982,456.00		0
TN-2018-043-01-01		11.80.00 STATE OR PROGRAM ADMINISTRATION	\$982,456.00	\$0.00	\$982,456.00		0
TN-2018-043-01-01	620-00 (620-A6)	PROJECT ADMINISTRATION	\$8,065,285.00	\$2,016,326.00	\$10,081,611.00		0
TN-2018-043-01-01		11.79.00 PROJECT ADMINISTRATION	\$8,065,285.00	\$2,016,326.00	\$10,081,611.00		0
TN-2018-043-01-01	635-00 (635-A2)	RURAL TRANSIT ASSISTANCE PROGRAM	\$348,597.00	\$0.00	\$348,597.00		0
TN-2018-043-01-01		43.50.01 TRAINING	\$79,831.00	\$0.00	\$79,831.00		0
TN-2018-043-01-01		43.50.02 TECHNICAL ASSISTANCE	\$268,766.00	\$0.00	\$268,766.00		0
TN-2018-043-01-01	648-00 (648-	APPALACHIAN DEV PUB TRANSIT ASSIST	\$2,220,000.00	\$2,212,917.00	\$4,432,917.00		1

A7)						
TN-2018-043-01-01	11.12.04	BUY REPLACEMENT <30 FT BUS	\$9,446.00	\$2,363.00	\$11,809.00	1
TN-2018-043-01-01	30.09.01	UP TO 50% FEDERAL SHARE	\$2,210,554.00	\$2,210,554.00	\$4,421,108.00	0

## Discretionary Allocations

This application does not contain discretionary allocations.

## Part 3: Project Information

### Project Title: 5311 FFY2018

Project Number	Temporary Project Number	Date Created	Start Date	End Date
TN-2018-043-01-01	1007-2018-5-P1	2/4/2021	2/28/2017	9/30/2022

#### Project Description

These funds will be used for operating, capital, planning, and administrative activities of rural public transportation agencies and to provide technical assistance to grantees. The subrecipients will own, operate, and procure vehicles under this grant. TDOT, the pass-through entity, will maintain satisfactory continuing control by requesting and keeping on file all title records, proof of insurance, and vehicle registration. Vehicle information and records will be maintained and updated through the TDOT Perpetual Vehicle Inventory. Also, subrecipients will be required to: have an approved Maintenance Plan and submit annual inspection sheets as well as maintenance logs. In addition, TDOT will ensure that specific procurement steps and policies are followed as required in the State Management Plan including pre-approval of asset specifications, independent cost estimates, RFP, and selected bidder. Purchased vehicles will be ADA compliant. The Appalachian funds will be used for rolling stock, associated capital, and operating activities of rural public transit agencies located in the Appalachian region. Grantees in the Appalachian region include ETHRA, FTHRA, Gatlinburg, Pigeon Forge, SCTDD, SETHRA, and UCHRA.

#### Project Benefits

Section 5311 funds support rural transportation service statewide in Tennessee.

#### Additional Information

*None provided.*

#### Location Description

Section 5311 funds support rural transportation service statewide in Tennessee.

## Project Location (Urbanized Areas)

UZA Code	Area Name
470000	Tennessee

## Congressional District Information

State	District	Representative
Tennessee	1	David P Roe
Tennessee	2	John J Duncan
Tennessee	3	Charles J Fleischmann
Tennessee	4	Scott DesJarlais
Tennessee	5	Jim Cooper
Tennessee	6	Diane Black
Tennessee	7	Marsha Blackburn
Tennessee	8	Stephen Fincher
Tennessee	9	Steve Cohen

## Program Plan Information

### STIP/TIP

Date: 1/6/2017

Description: STIP 2017-2020.

### UPWP

Date: N/A

Description: N/A

### Long Range Plan

Date: N/A

Description: N/A

## Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$43,322,285
Local			\$16,514,344
Local/In-Kind			\$0
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State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$76,350,981</b>

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TN-2018-043-01-01		11.12.04 BUY REPLACEMENT <30 FT BUS	\$654,319.00	\$161,111.00	\$815,430.00	4
TN-2018-043-01-01		11.13.04 BUY <30-FT BUS FOR EXPANSION	\$88,000.00	\$22,000.00	\$110,000.00	0
TN-2018-043-01-01	114-00 (114-A8)	BUS: SUPPORT EQUIP AND FACILITIES	\$437,951.00	\$109,488.00	\$547,439.00	7
TN-2018-043-01-01		11.42.11 ACQUIRE - SUPPORT VEHICLES	\$188,159.00	\$47,040.00	\$235,199.00	4
TN-2018-043-01-01		11.42.20 ACQUIRE - MISC SUPPORT EQUIPMENT	\$138,592.00	\$34,648.00	\$173,240.00	3
TN-2018-043-01-01		11.44.02 REHAB/RENOVATE - MAINTENANCE FACILITY	\$111,200.00	\$27,800.00	\$139,000.00	0
TN-2018-043-01-01	116-00 (116-A9)	SIGNAL & COMM EQUIPMENT (BUS)	\$64,000.00	\$16,000.00	\$80,000.00	0
TN-2018-043-01-01		11.62.20 PURCHASE MISC COMMUNICATIONS EQUIP	\$64,000.00	\$16,000.00	\$80,000.00	0
TN-2018-043-01-01	117-00 (117-A3)	OTHER CAPITAL ITEMS (BUS)	\$2,241,084.00	\$560,272.00	\$2,801,356.00	0
TN-2018-043-01-01		11.7A.00 PREVENTIVE MAINTENANCE	\$2,045,996.00	\$511,500.00	\$2,557,496.00	0
TN-2018-043-01-01		11.7L.00 MOBILITY MANAGEMENT (5302(A)(1)(L))	\$195,088.00	\$48,772.00	\$243,860.00	0



TN-2018-043-01-01	119-00 (119-B1)	Bus Associated Transit Improvements	\$40,000.00	\$10,000.00	\$50,000.00	0
TN-2018-043-01-01	11.92.08	PURCHASE SIGNAGE	\$40,000.00	\$10,000.00	\$50,000.00	0
TN-2018-043-01-01	300-00 (300-A4)	OPERATING ASSISTANCE	\$27,833,926.00	\$27,833,916.00	\$55,667,842.00	0
TN-2018-043-01-01	30.09.01	UP TO 50% FEDERAL SHARE	\$27,833,926.00	\$27,833,916.00	\$55,667,842.00	0
TN-2018-043-01-01	441-00 (441-B2)	STATE PLANNING & RESEARCH	\$346,667.00	\$86,666.00	\$433,333.00	0
TN-2018-043-01-01	44.24.00	SHORT RANGE TRANSIT PLANNING	\$346,667.00	\$86,666.00	\$433,333.00	0
TN-2018-043-01-01	610-00 (610-A5)	STATE / PROGRAMS ADMINISTRATION	\$982,456.00	\$0.00	\$982,456.00	0
TN-2018-043-01-01	11.80.00	STATE OR PROGRAM ADMINISTRATION	\$982,456.00	\$0.00	\$982,456.00	0
TN-2018-043-01-01	620-00 (620-A6)	PROJECT ADMINISTRATION	\$8,065,285.00	\$2,016,326.00	\$10,081,611.00	0
TN-2018-043-01-01	11.79.00	PROJECT ADMINISTRATION	\$8,065,285.00	\$2,016,326.00	\$10,081,611.00	0
TN-2018-043-01-01	635-00 (635-A2)	RURAL TRANSIT ASSISTANCE PROGRAM	\$348,597.00	\$0.00	\$348,597.00	0
TN-2018-043-01-01	43.50.01	TRAINING	\$79,831.00	\$0.00	\$79,831.00	0
TN-2018-	43.50.02	TECHNICAL ASSISTANCE	\$268,766.00	\$0.00	\$268,766.00	0

043-01-01	TN-2018-043-01-01	648-00 (648-A7)	APPALACHIAN DEV PUB TRANSIT ASSIST	\$2,220,000.00	\$2,212,917.00	\$4,432,917.00	1
	TN-2018-043-01-01	11.12.04	BUY REPLACEMENT <30 FT BUS	\$9,446.00	\$2,363.00	\$11,809.00	1
	TN-2018-043-01-01	30.09.01	UP TO 50% FEDERAL SHARE	\$2,210,554.00	\$2,210,554.00	\$4,421,108.00	0

### Project Budget Activity Line Items

**Budget Activity Line Item: 11.7L.00 - MOBILITY MANAGEMENT (5302(A)(1)(L))**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER CAPITAL ITEMS (BUS) (117-00)	11.7L.00	MOBILITY MANAGEMENT (5302(A)(1)(L))	OTHER CAPITAL ITEMS (BUS)	0

#### Extended Budget Description

UCHRA will utilize funds for Mobility Management activities, including salary and benefits for mobility managers.

#### Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$195,088
Local			\$24,386
Local/In-Kind			\$0
State			\$24,386
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$243,860</b>

Milestone Name	Est. Completion Date	Description
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Start Date	7/1/2020	Mobility Management activities begin.
End Date	6/30/2022	Mobility Management activities complete.

**Budget Activity Line Item: 11.13.04 - BUY <30-FT BUS FOR EXPANSION**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS - ROLLING STOCK (111-00)	11.13.04	BUY	BUY EXPANSION - CAPITAL BUS	0

**Extended Budget Description**

**AMENDMENT 01**

This ALI will partially fund the purchase of 13 expansion vehicles that were awarded in a 5310 Call for Projects, as obligated in TN2018042-01. The vehicle base price is covered by the 5310 award, but add-ons, including a wheelchair lift upgrade, are not covered by that award. The vehicle quantity is credited to the 5310 grant that purchased the base vehicles. The funds in this ALI represent 16% of each vehicle's total cost.

The expansion vehicles will reduce passenger capacity due to the ongoing COVID-19 pandemic, as well as to accommodate increased demand for service within the agency's service area. TDOT will maintain satisfactory continuing control by requesting and keeping on file all title records, proof of insurance, and vehicle registration. Vehicle information and records will be maintained and updated through the TDOT Perpetual Vehicle Inventory. Also, UCHRA will be required to: have an approved Maintenance Plan and submit annual inspection sheets as well as maintenance logs. In addition, TDOT will ensure that specific procurement steps and policies are followed as required in the State Management Plan including pre-approval of asset specifications, independent cost estimates, RFP, and selected bidder. Purchased vehicle will be ADA compliant. Useful Life of vehicles will be 5 years, 150,000 miles.

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Propulsion	Fuel Type	Vehicle Condition	Vehicle Size (ft.)
N/A	Gasoline	New	<30FT

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$88,000
Local			\$11,000
Local/In-Kind			\$0
State			\$11,000
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$110,000</b>

Milestone Name	Est. Completion Date	Description
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RFP/IFB Issue Date	4/2/2018	Invitation to Bid for Statewide Contract for vehicle posted. Contract awarded to manufacturers on 6/13/2018
Contract Award Date	8/31/2021	Purchase Order issued to Statewide Contract Vendor
Initial Delivery Date	8/31/2021	Initial vehicle delivered
Final Delivery Date	12/31/2021	Final Vehicle Delivered
Contract Completion Date	12/31/2021	Contract complete

**Budget Activity Line Item: 11.42.20 - ACQUIRE - MISC SUPPORT EQUIPMENT**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS: SUPPORT EQUIP AND FACILITIES (114-00)	11.42.20	ACQUIRE - MISC SUPPORT EQUIPMENT	ACQUISITION OF BUS SUPPORT EQUIP/FACILITIES	3

**Extended Budget Description**

FTHRA and UCHRA will utilize funds in this ALI to purchase miscellaneous transit operations support equipment. TDOT will ensure that specific procurement steps and policies are followed as required in the State Management Plan including pre-approval of asset specifications, independent cost estimates, RFP, and selected bidder. Any assets purchased with this ALI will be tracked and maintained in compliance with the TDOT State Management Plan.

FTHRA will purchase multiple items under this ALI, including a bus washer, 13 propane vehicle conversion kits, 13 wheelchair lift guards, and 95 vehicle monitor devices. The Bus Washer will be the only trackable asset and has a useful life of 10 years. Procurement Method: Invitation to Bid.

UCHRA will purchase 2 vehicle lifts for the maintenance shop, with a useful life of the lifts will be 5 years. Procurement Method: Statewide Contract.

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$138,592
Local			\$17,324
Local/In-Kind			\$0
State			\$17,324
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$173,240</b>

Milestone Name	Est. Completion Date	Description
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Procurement Initiated	6/30/2021	Procurements initiated for capital items
Contracts Awarded	8/31/2021	Contracts awarded to vendors/manufacturers
Initial delivery of assets	9/30/2021	Initial assets delivered.
Final Delivery & Contract Complete	12/31/2021	Final asset delivery and contract completion.

**Budget Activity Line Item: 11.42.11 - ACQUIRE - SUPPORT VEHICLES**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS: SUPPORT EQUIP AND FACILITIES (114-00)	11.42.11	ACQUIRE - SUPPORT VEHICLES	ACQUISITION OF BUS SUPPORT EQUIP/FACILITIES	4

**Extended Budget Description**

FTHRA and UCHRA will utilize funds in this ALI to purchase support vehicles. TDOT will maintain satisfactory continuing control by requesting and keeping on file all title records, proof of insurance, and vehicle registration. Vehicle information and records will be maintained and updated through the TDOT Perpetual Vehicle Inventory. Also, agencies will be required to: have an approved Maintenance Plan and submit annual inspection sheets as well as maintenance logs. In addition, TDOT will ensure that specific procurement steps and policies are followed as required in the State Management Plan including pre-approval of asset specifications, independent cost estimates, RFP, and selected bidder.

FTHRA will purchase a Ford Transit 150 cargo vehicle for the transit maintenance department a useful life of 4 years/100,000 miles. Procurement Method: Statewide Contract

UCHRA will purchase a tow truck for the transit maintenance department, with a useful life of the lifts will be 5 years/150,000 miles. Procurement Method: RFP

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$188,159
Local			\$23,520
Local/In-Kind			\$0
State			\$23,520
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$235,199</b>

Milestone Name	Est. Completion Date	Description
Procurement Initiated	5/31/2021	Procurement initiated

Contract Awarded	6/30/2021	Contract awarded to vendor/manufacturer
Initial Delivery	8/31/2021	Initial Vehicle delivered.
Final Delivery	12/31/2021	Final vehicles delivered.
Contract Complete	12/31/2021	Contract activities complete.

**Budget Activity Line Item: 11.44.02 - REHAB/RENOVATE - MAINTENANCE FACILITY**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS: SUPPORT EQUIP AND FACILITIES (114-00)	11.44.02	REHAB/RENOVATE - MAINTENANCE FACILITY	REHAB/RENOVATE BUS SUPPORT FACIL/EQUIP	0

**Extended Budget Description**

FTHRA will pave the parking lot at its Maintenance Facility (located at 100 Parkway Blvd in Elizabethton, TN). The project is expected to cost a total of \$139,000 and the procurement method will be an Invitation to Bid. The project will include federal, state, and local funds at an 80/10/10 match level. The parking lot will have a useful life of 15 years. TDOT will maintain satisfactory continuing control through the Transit Asset Management Plan. Facility information and records will be maintained and updated through the TDOT TAM Inventory. In addition, TDOT will ensure that specific procurement steps and policies are followed as required in the State Management Plan including pre-approval of asset specifications, independent cost estimates, RFP, and selected bidder.

**Will 3rd Party contractors be used to fulfill this activity line item?**

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$111,200
Local			\$13,900
Local/In-Kind			\$0
State			\$13,900
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$139,000</b>

Milestone Name	Est. Completion Date	Description
ITB Issued	6/30/2021	FTHRA will issue the ITB
Contract Awarded	7/30/2021	Contract is awarded
Contractor Work Begins	9/30/2021	Work begins
Work Complete	11/30/2021	Work on the project is complete.

**Budget Activity Line Item: 11.62.20 - PURCHASE MISC COMMUNICATIONS EQUIP**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
SIGNAL & COMM EQUIPMENT (BUS) (116-00)	11.62.20	PURCHASE MISC COMMUNICATIONS EQUIP	AQUISITION	0

**Extended Budget Description**

SCTDD and UCHRA will purchase miscellaneous communications equipment. TDOT will ensure that specific procurement steps and policies are followed as required in the State Management Plan including pre-approval of asset specifications, independent cost estimates, RFP, and selected bidder. None of the purchases meet the trackable asset threshold.

SCTDD will purchase tablets and associated communications equipment from a Statewide Contract to upgrade the fleet's mobile digital computer systems.

UCHRA will purchase security equipment and cameras from a Statewide Contract for the maintenance shop and vehicle lot at the transit hub.

**Will 3rd Party contractors be used to fulfill this activity line item?**

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$64,000
Local			\$8,000
Local/In-Kind			\$0
State			\$8,000
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$80,000</b>

Milestone Name	Est. Completion Date	Description
Procurement Initiated	5/31/2021	Procurement initiated.
PO Issued	7/31/2021	Purchase order issued to vendor/manufacturer
Contract Complete	12/31/2021	All items received and contract complete.

**Budget Activity Line Item: 11.92.08 - PURCHASE SIGNAGE**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
Bus Associated Transit Improvements (119-00)	11.92.08	PURCHASE SIGNAGE	ACQUISITION	0

**Extended Budget Description**

UCHRA will utilize the funds in this ALI to wrap new buses with agency signage. UCHRA will utilize the options on an existing contract with a signage company, which was procured using an FTA-compliance RFP. None of the purchases on this ALI are trackable assets.

**Will 3rd Party contractors be used to fulfill this activity line item?**

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$40,000
Local			\$5,000
Local/In-Kind			\$0
State			\$5,000
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$50,000</b>

Milestone Name	Est. Completion Date	Description
RFP Issued	6/27/2019	RFP issued for a signage contract
Contract Awarded	9/4/2019	Contract awarded to FastSigns.
Work Begins	9/30/2021	Installation activities begin
Work Ends	12/31/2021	Installation activities complete.

**Budget Activity Line Item: 44.24.00 - SHORT RANGE TRANSIT PLANNING**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
STATE PLANNING & RESEARCH (441-00)	44.24.00	SHORT RANGE TRANSIT PLANNING	STATE PLANNING & RESEARCH	0

**Extended Budget Description**

AMENDMENT 01

TDOT will utilize \$346,667 in federal funds and \$43,333 in state funds to conduct two short range transit planning studies. TDOT will utilize the existing, FTA-compliance on-call consultant contract to issue a Transit Facility Feasibility Study for SWHRA and a Transit Development Plan for UCHRA.

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$346,667
Local			\$43,333



Local/In-Kind		\$0
State		\$43,333
State/In-Kind		\$0
Other Federal		\$0
Transportation Development Credit		\$0
Adjustment		\$0
<b>Total Eligible Cost</b>		<b>\$433,333</b>

Milestone Name	Est. Completion Date	Description
RFQ Issued	8/13/2019	TDOT issued RFQ for on-call consultants.
Contracts Awarded	10/1/2019	TDOT awarded consulting contracts to 4 consulting firms.
Task Orders Issued	8/31/2021	TDOT will issue Task Orders to selected consultants to begin work on the projects.
Task Orders Complete	9/30/2022	Project activities are complete.

**Budget Activity Line Item: 11.12.04 - BUY REPLACEMENT <30 FT BUS**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS - ROLLING STOCK (111-00)	11.12.04	BUY REPLACEMENT	BUY REPLACEMENTS - CAPITAL BUS	4

**Extended Budget Description**

**AMENDMENT 01**

Adding \$365,865 in federal funds and \$44,499 in state funds. Three agencies, SETHRA, Pigeon Forge, and UCHRA, will procure, own, operate, and maintain replacement vehicles. TDOT will maintain satisfactory continuing control by requesting and keeping on file all title records, proof of insurance, and vehicle registration. Vehicle information and records will be maintained and updated through the TDOT Perpetual Vehicle Inventory. The agencies will also be required to: have an approved Maintenance Plan and submit annual inspection sheets as well as maintenance logs. In addition, TDOT will ensure that specific procurement steps and policies are followed as required in the State Management Plan including pre-approval of asset specifications, independent cost estimates, RFP, and selected bidder. Purchased vehicle will be ADA compliant.

SETHRA: Funds in this ALI represent the partial purchase of a bus, combined with funds from a prior 5339 award. SETHRA is replacing 2007 Chevy Malibu 1G1ZS58N87F215480, which has 153,915 miles. The useful life of the new bus is 5 years/ 150,000 miles.

UCHRA: Funds in this ALI represent the partial purchase of a bus, combined with funds from a prior 5339 award. UCHRA is replacing 2010 Ford E350 1FDEE3FL0ADA69058, which has 241,506 miles. The useful life of the new vehicle is 5 years/ 150,000 miles.

Pigeon Forge: Funds in this ALI represent the partial purchase of 1 vehicle, combined with the Appalachian Capital ALI in this grant. The vehicle will replace 2009 Ford E450 1FDFF45P79DA19459, which has 135,131 miles. The useful life of the new vehicle is 7 years/200,000 miles.

**ORIGINAL GRANT**

Pigeon Forge will procure, own, operate, and maintain a replacement trolley. TDOT will maintain satisfactory continuing control by requesting and keeping on file all title records, proof of insurance, and vehicle registration. Vehicle information and records will be maintained and updated through the TDOT Perpetual Vehicle Inventory. Also, Pigeon Forge will be required to: have an approved Maintenance Plan and submit annual inspection sheets as well as maintenance logs. In addition, TDOT will ensure that specific procurement steps and policies are followed as required in the State Management Plan including pre-approval of asset specifications, independent cost estimates, RFP, and selected bidder. Purchased vehicle will be ADA compliant.

Funds in this ALI represent the purchase of 1 trolley replacing 2005, SPECIALTY, TROLLEY, 4UZAACBW05CV19085, with 189,8001 miles .  
The useful life of the new trolley is 7 years/ 200,000 miles.

Remaining funds will be used for partial funding to replace a 2005, SPECIALTY, TROLLEY, 4UZAACBW95CV19084 with 154900 miles.  
The useful life of the new trolley is 7 years/ 200,000 miles.

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Propulsion	Fuel Type	Vehicle Condition	Vehicle Size (ft.)
N/A	Diesel Fuel	New	

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$654,319
Local			\$80,555
Local/In-Kind			\$0
State			\$80,556
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$815,430</b>

Milestone Name	Est. Completion Date	Description
RFP/IFB Issue Date	4/24/2017	RFP Issued.
Contract Award Date	2/28/2018	Contract Awarded.
Initial Delivery Date	6/1/2019	First Vehicle Delivered.
Final Delivery Date	6/1/2020	Last vehicle delivered.
Contract Completion Date	6/30/2020	Contract completed.

**Budget Activity Line Item: 43.50.01 - TRAINING**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
RURAL TRANSIT ASSISTANCE PROGRAM (635-00)	43.50.01	TRAINING	RURAL TRANSIT ASST PROGRAM	0

**Extended Budget Description**

**AMENDMENT 01**

Adding \$35,831 in Federal RTAP funds to be used by all grantees of the program for receiving and/or accessing training or technical assistance.

**ORIGINAL 00**

Funds to be used by all grantees of the program for receiving and/or accessing training or technical assistance.

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$79,831
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$79,831</b>

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2018	
End Date	6/30/2020	

**Budget Activity Line Item: 43.50.02 - TECHNICAL ASSISTANCE**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
RURAL TRANSIT ASSISTANCE PROGRAM (635-00)	43.50.02	TECHNICAL ASSISTANCE	RURAL TRANSIT ASST PROGRAM	0

**Extended Budget Description**

Funds to be used for facilitating and providing technical assistance to all grantees of the program

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$268,766
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$268,766</b>

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2018	
End Date	6/30/2020	

**Budget Activity Line Item: 11.7A.00 - PREVENTIVE MAINTENANCE**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER CAPITAL ITEMS (BUS) (117-00)	11.7A.00	PREVENTIVE MAINTENANCE	OTHER CAPITAL ITEMS (BUS)	0

**Extended Budget Description**

**AMENDMENT 01**

Adding \$1,518,941 in federal funds and \$189,868 in state funds. Agencies utilizing Preventive Maintenance funding do not anticipate the purchase of items over \$5,000 in value at this time. Any items with value over \$5,000 identified during the execution of the activities under this ALI will be included via budget revision (actual value and useful life). The period for Preventative Maintenance will be from July 1, 2020 through June 30, 2022.

**ORIGINAL 00**

ETHRA, SETHRA, and SWHRA do not anticipate the purchase of items over \$5,000 in value at this time. Any items with value over \$5,000 identified during the execution of the activities under this ALI will be included via budget revision (actual value and useful life). The period for Preventative Maintenance will be from July 1, 2018 through June 30, 2019.

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$2,045,996
Local			\$255,750
Local/In-Kind			\$0
State			\$255,750

State/In-Kind		\$0
Other Federal		\$0
Transportation Development Credit		\$0
Adjustment		\$0
<b>Total Eligible Cost</b>		<b>\$2,557,496</b>

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2018	Begin Preventative Maintenance
End Date	6/30/2019	Preventative Maintenance period ends.

**Budget Activity Line Item: 30.09.01 - UP TO 50% FEDERAL SHARE**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OPERATING ASSISTANCE (300-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE	0

**Extended Budget Description**

**AMENDMENT 01**

Adding \$14,110,735 in federal funds and \$7,055,362 in state funds. Operating funds for 10 rural public agencies (not Pigeon Forge) for the period of July 1, 2020 through June 30, 2022. Funds will be used by grantees for salary, wages, benefits, payroll taxes, travel and training, professional fees, licenses and insurance, administrative expenses, postage and shipping, equipment rental and maintenance, printing and publications, conferences and meetings, telephone, occupancy, rent, utilities, supplies, administrative expenses, fuel and oil, maintenance and repairs, uniforms, D&A testing, other direct costs, indirect costs, and other non-personnel expenses.

**ORIGINAL 00**

Operating funds for 10 rural public agencies (not Pigeon Forge) for the period of July 1, 2018 through June 30, 2019. Funds will be used by grantees for salary, wages, benefits, payroll taxes, travel and training, professional fees, licenses and insurance, administrative expenses, postage and shipping, equipment rental and maintenance, printing and publications, conferences and meetings, telephone, occupancy, rent, utilities, supplies, administrative expenses, fuel and oil, maintenance and repairs, uniforms, D&A testing, other direct costs, indirect costs, and other non-personnel expenses.

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$27,833,926
Local			\$13,916,958
Local/In-Kind			\$0
State			\$13,916,958
State/In-Kind			\$0
Other Federal			\$0

Transportation Development Credit	\$0
Adjustment	\$0
<b>Total Eligible Cost</b>	<b>\$55,667,842</b>

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2018	Period of operations activities begins.
End Date	6/30/2019	Period of operations activities ends

**Budget Activity Line Item: 11.80.00 - STATE OR PROGRAM ADMINISTRATION**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
STATE / PROGRAMS ADMINISTRATION (610-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	STATE / PROGRAM ADMINISTRATION	0

**Extended Budget Description**

Funds will be used by TDOT Multimodal for grantee monitoring activities, staff travel and training expenses, staff salaries, and office stationary and supplies.

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$982,456
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$982,456</b>

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2018	Start of Program Admin activities.
End Date	6/30/2019	End of Program Admin activities.

**Budget Activity Line Item: 11.79.00 - PROJECT ADMINISTRATION**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
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PROJECT ADMINISTRATION (620-00) 11.79.00 PROJECT ADMINISTRATION PROJECT ADMINISTRATION 0

**Extended Budget Description**

**AMENDMENT 01**

Adding \$3,937,328 in federal funds and \$492,169 in state funds. Funds will be used by grantees from 7/1/2020 - 6/30/2022 for salary, wages, benefits, and payroll taxes for administrative staff, travel and training, professional fees, licenses and insurance, administrative expenses, equipment rental and maintenance, printing and publications, conferences and meetings, telephone, occupancy, rent, utilities, supplies, advertising, other direct costs, indirect costs, and administrative expenses including incidental costs associated with operating and maintaining administrative premises.

Grantees with sales tax exemptions will attempt to remove all sales tax from reimbursement requests; however, the reimbursement of sales tax when exempted is allowed.

Grantees will attempt to remove all late fees from reimbursement requests; however, the reimbursement of late fees is allowed.

**ORIGINAL 00**

Funds will be used by grantees from 7/1/2018 - 6/30/2019 for salary, wages, benefits, and payroll taxes for administrative staff, travel and training, professional fees, licenses and insurance, administrative expenses, equipment rental and maintenance, printing and publications, conferences and meetings, telephone, occupancy, rent, utilities, supplies, advertising, other direct costs, indirect costs, and administrative expenses including incidental costs associated with operating and maintaining administrative premises.

Grantees with sales tax exemptions will attempt to remove all sales tax from reimbursement requests; however, the reimbursement of sales tax when exempted is allowed.

Grantees will attempt to remove all late fees from reimbursement requests; however, the reimbursement of late fees is allowed.

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$8,065,285
Local			\$1,008,162
Local/In-Kind			\$0
State			\$1,008,164
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$10,081,611</b>

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2018	Start of Project Admin activities.

End Date	6/30/2019	End of Project Admin activities.
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**Budget Activity Line Item: 11.12.04 - BUY REPLACEMENT <30 FT BUS**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
APPALACHIAN DEV PUB TRANSIT ASSIST (648-00)	11.12.04	BUY REPLACEMENT	BUY REPLACEMENTS - BUS ROLLING STOCK APPALACHIAN	1

**Extended Budget Description**

**AMENDMENT 01**

Pigeon Forge will procure, own, operate, and maintain a replacement replica trolley. TDOT will maintain satisfactory continuing control by requesting and keeping on file all title records, proof of insurance, and vehicle registration. Vehicle information and records will be maintained and updated through the TDOT Perpetual Vehicle Inventory. Also, Pigeon Forge will be required to: have an approved Maintenance Plan and submit annual inspection sheets as well as maintenance logs. In addition, TDOT will ensure that specific procurement steps and policies are followed as required in the State Management Plan including pre-approval of asset specifications, independent cost estimates, RFP, and selected bidder. Purchased vehicle will be ADA compliant.

Pigeon Forge: Funds in this ALI represent the partial purchase of 1 vehicle, combined with the 11.12.04 Capital ALI in this grant. The vehicle will replace 2009 Ford E450 1FDFE45P79DA19459, which has 135,131 miles. The useful life of the new vehicle is 7 years/200,000 miles.

**ORIGINAL**

Pigeon Forge will procure, own, operate, and maintain a replacement trolley. TDOT will maintain satisfactory continuing control by requesting and keeping on file all title records, proof of insurance, and vehicle registration. Vehicle information and records will be maintained and updated through the TDOT Perpetual Vehicle Inventory. Also, Pigeon Forge will be required to: have an approved Maintenance Plan and submit annual inspection sheets as well as maintenance logs. In addition, TDOT will ensure that specific procurement steps and policies are followed as required in the State Management Plan including pre-approval of asset specifications, independent cost estimates, RFP, and selected bidder. Purchased vehicle will be ADA compliant.

Funds in this ALI represent partial funding of Pigeon Forge replacing a 2005, SPECIALTY, TROLLEY, 4UZAACBW95CV19084 with 154900 miles. The useful life of the new trolley is 7 years/ 200,000 miles.

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$9,446
Local			\$1,181
Local/In-Kind			\$0
State			\$1,182
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0



Adjustment		\$0
<b>Total Eligible Cost</b>		<b>\$11,809</b>

Milestone Name	Est. Completion Date	Description
RFP/ITB Issued	2/28/2017	RFP for vehicles issued
Contract Start Date	4/24/2017	Contract Start Date
First Vehicle Delivered	6/1/2019	First Vehicle Delivered.
Last Vehicle Delivered	6/1/2019	Last Vehicle Delivered
Contract End Date	4/30/2020	Contract End Date

**Budget Activity Line Item: 30.09.01 - UP TO 50% FEDERAL SHARE**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
APPALACHIAN DEV PUB TRANSIT ASSIST (648-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE - APPALACHIAN	0

**Extended Budget Description**

**AMENDMENT 01**

Adding \$1,105,277 in federal funds and \$522,640 in state funds. Operating funds to be used by six (6) Appalachian area grantees from 7/1/2020 through 6/30/2022. Funds will be used for salary, wages, benefits, payroll taxes, travel and training, professional fees, licenses and insurance, administrative expenses, postage and shipping, equipment rental and maintenance, printing and publications, conferences and meetings, telephone, occupancy, rent, utilities, supplies, administrative expenses, fuel and oil, maintenance and repairs, uniforms, D&A testing, other direct costs, indirect costs, and other non-personnel expenses.

**ORIGINAL 00**

Operating funds to be used by six (6) Appalachian area grantees from 7/1/2018 through 6/30/2019. Funds will be used for salary, wages, benefits, payroll taxes, travel and training, professional fees, licenses and insurance, administrative expenses, postage and shipping, equipment rental and maintenance, printing and publications, conferences and meetings, telephone, occupancy, rent, utilities, supplies, administrative expenses, fuel and oil, maintenance and repairs, uniforms, D&A testing, other direct costs, indirect costs, and other non-personnel expenses.

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$2,210,554
Local			\$1,105,275
Local/In-Kind			\$0
State			\$1,105,279
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0

Adjustment		\$0
<b>Total Eligible Cost</b>		<b>\$4,421,108</b>

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2018	Operating activities begin.
End Date	6/30/2019	Operating activities end.

## Project Environmental Findings

### Finding: Class II(c) - Categorical Exclusions (C-List)

#### Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

#### Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
BUS - ROLLING STOCK (111-00)	11.12.04	BUY REPLACEMENT	4	\$654,319.00	\$815,430.00

### Finding: Class II(c) - Categorical Exclusions (C-List)

#### Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

#### Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
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Class IIc CE Approved
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Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
RURAL TRANSIT ASSISTANCE PROGRAM (635-00)	43.50.01	TRAINING	0	\$79,831.00	\$79,831.00

<b>Finding: Class II(c) - Categorical Exclusions (C-List)</b>
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**Class Level Description**

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

**Categorical Exclusion Description**

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
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Class IIc CE Approved
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Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
RURAL TRANSIT ASSISTANCE PROGRAM (635-00)	43.50.02	TECHNICAL ASSISTANCE	0	\$268,766.00	\$268,766.00

<b>Finding: Class II(c) - Categorical Exclusions (C-List)</b>
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**Class Level Description**

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

**Categorical Exclusion Description**

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
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Class IIc CE Approved
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Scope Name / Code	Line Item	Line Item Name	Quantity	FTA Amount	Total Eligible
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	Number			Cost
OTHER CAPITAL ITEMS (BUS) (117-00)	11.7A.00	PREVENTIVE MAINTENANCE	0	\$2,045,996.00 \$2,557,496.00

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

**Categorical Exclusion Description**

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OPERATING ASSISTANCE (300-00)	30.09.01	UP TO 50% FEDERAL SHARE	0	\$27,833,926.00	\$55,667,842.00

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

**Categorical Exclusion Description**

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
STATE / PROGRAMS ADMINISTRATION (610-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	0	\$982,456.00	\$982,456.00

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

**Categorical Exclusion Description**

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Date	Description
	Class IIc CE Approved

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
PROJECT ADMINISTRATION (620-00)	11.79.00	PROJECT ADMINISTRATION	0	\$8,065,285.00	\$10,081,611.00

**Finding: Class II(c) - Categorical Exclusions (C-List)****Class Level Description**

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

**Categorical Exclusion Description**

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date	Description
	Class IIc CE Approved

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
APPALACHIAN DEV PUB TRANSIT ASSIST (648-00)	11.12.04	BUY REPLACEMENT	1	\$9,446.00	\$11,809.00

**Finding: Class II(c) - Categorical Exclusions (C-List)****Class Level Description**

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

**Categorical Exclusion Description**

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
APPALACHIAN DEV PUB TRANSIT ASSIST (648-00)	30.09.01	UP TO 50% FEDERAL SHARE	0	\$2,210,554.00	\$4,421,108.00

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

**Categorical Exclusion Description**

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER CAPITAL ITEMS (BUS) (117-00)	11.7L.00	MOBILITY MANAGEMENT (5302(A)(1)(L))	0	\$195,088.00	\$243,860.00

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

**Categorical Exclusion Description**

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment,

within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date	Description
	Class IIc CE Approved

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
BUS - ROLLING STOCK (111-00)	11.13.04	BUY	0	\$88,000.00	\$110,000.00

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

**Categorical Exclusion Description**

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date	Description
	Class IIc CE Approved

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
BUS: SUPPORT EQUIP AND FACILITIES (114-00)	11.42.20	ACQUIRE - MISC SUPPORT EQUIPMENT	3	\$138,592.00	\$173,240.00

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

**Categorical Exclusion Description**

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the

facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date	Description
	Class IIc CE Approved

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
BUS: SUPPORT EQUIP AND FACILITIES (114-00)	11.42.11	ACQUIRE - SUPPORT VEHICLES	4	\$188,159.00	\$235,199.00

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

**Categorical Exclusion Description**

Type 08: Maintenance, rehabilitation, and reconstruction of facilities that occupy substantially the same geographic footprint and do not result in a change in functional use, such as: improvements to bridges, tunnels, storage yards, buildings, stations, and terminals; construction of platform extensions, passing track, and retaining walls; and improvements to tracks and railbeds.

Date	Description
	Class IIc CE Approved

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
BUS: SUPPORT EQUIP AND FACILITIES (114-00)	11.44.02	REHAB/RENOVATE - MAINTENANCE FACILITY	0	\$111,200.00	\$139,000.00

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

**Categorical Exclusion Description**

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts;



and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date	Description
	Class IIc CE Approved

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
SIGNAL & COMM EQUIPMENT (BUS) (116-00)	11.62.20	PURCHASE MISC COMMUNICATIONS EQUIP	0	\$64,000.00	\$80,000.00

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

**Categorical Exclusion Description**

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date	Description
	Class IIc CE Approved

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
Bus Associated Transit Improvements (119-00)	11.92.08	PURCHASE SIGNAGE	0	\$40,000.00	\$50,000.00

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

**Categorical Exclusion Description**

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description	Date
	Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
STATE PLANNING & RESEARCH (441-00)	44.24.00	SHORT RANGE TRANSIT PLANNING	0	\$346,667.00	\$433,333.00

## Part 4: Fleet Details

No fleet data exists for this application.

## Part 5: FTA Review Comments

### FTA Comments for DOL

Comment By **Michael Sherman**

Comment Type DOL Review for Information

Date 3/16/2021

Comment This is a 5311 capital and operating application, per FTA A to Z, is being shared with DOL for information only.

## Part 6: Agreement

**UNITED STATES OF AMERICA  
DEPARTMENT OF TRANSPORTATION  
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT  
(FTA G-28, February 9, 2021)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(28), February 9, 2021, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR

THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

### **FTA AWARD**

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

#### **Recipient Information**

Recipient Name: Transportation, Tennessee Department Of

Recipient ID: 1007

DUNS No: 878297712

#### **Application Information**

Federal Award Identification Number: TN-2018-043-01

Application Name: 5311 FFY2018

Application Start Date: 8/15/2018

Original Award End Date: 3/30/2023

Current Award End Date: 3/30/2023

Application Executive Summary: AMENDMENT 01

This is a grant application for Section 5311 (Non-Urbanized Area Formula Program) for TDOT for Section 5311, RTAP, and Appalachian Development Public Transportation Assistance Program funds, in the amount of \$7,609,530 in federal funds from Tennessee's FFY2019 apportionment and \$14,640,876 in federal funds from Tennessee's FFY2020 apportionment. To maximize the use of Tennessee's oldest 5311 funds first, this grant application represents the obligation of remaining FFY2019 apportionment funds that were not obligated in the TN-2019-037 grant. This grant application also includes a partial obligation of the FFY2020 Apportionment. The remaining FFY2020 apportionment will be obligated in a future grant. This grant will provide funds to nine (9) rural public transportation systems for transportation in non-urban areas and two (2) rural transit-intensive agencies (Pigeon Forge Mass Transit and Gatlinburg: Gatlinburg Mass Transit System) in areas serving the tourist trade in East Tennessee. The Appalachian funds are allocated to agencies serving Appalachian areas based on the 2010 Census. TDOT utilizes a performance-based formula for the 5311 allocation, including the 2010 Census non-urbanized population, public ridership, and public revenue miles. TDOT will not use 15% of the 5311 funds for intercity bus service, per the attached Intercity Bus Certification Letter.

This is a FFY2018 grant application for Section 5311 (Non-Urbanized Area Formula Program) for TDOT for Section 5311, RTAP, and Appalachian Development Public Transportation Assistance Program funds, in the amount of \$21,071,879. This grant will provide funds to nine (9) rural public transportation systems for transportation in non-urban areas and two (2) rural transit-intensive agencies (Pigeon Forge Fun Time

Trolley and Gatlinburg: Gatlinburg Mass Transit) in areas serving the tourist trade in East Tennessee. The Appalachian funds are allocated to agencies serving Appalachian areas based on the 2010 Census. TDOT is phasing in a new performance-based formula for the 5311 allocation. FFY2018 allocation is the second year of the phase-in at a 50% old, 50% new formula. Factors for the old formula being phased-out include the 2010 Census non-urbanized population, the number of counties served, and the number of vehicles operated by each system. Factors for the new formula being phased-in include the 2010 Census non-urbanized population, public ridership, and public revenue miles. TDOT will not use 15% of the 5311 funds for intercity bus service, per the attached Intercity Bus Certification Letter.

TDOT will ensure that all vehicles purchased are accessible, purchased from an FTA approved TVM, and reported to FTA within 30 days after contract is signed.

TDOT will check the current listing of Transit Vehicle Manufacturers (TVM) to ensure each is listed as a certified TVM in compliance with FTA regulations.

TDOT will follow all 3rd party procurement policies as defined in C4220. 1F (Third Party Contracting Guidance).

All subrecipients will follow useful life standards as defined in the TDOT State Management Plan.

TDOT will ensure contractors procured will not be on the FTA Suspension and Debarment list.

Recipients receiving grant awards over \$25,000 from FTA or any other Federal agency after October 1, 2010, must comply with the Federal Financial Accountability and Transparency Act (FFATA) sub-award reporting requirements.

The FFY2018 Program of Projects (POP) is attached. Funds are allocated to 11 public agencies in the following categories: State Administration, Project Administration, Capital, Operating, and RTAP.

Research and Development: This award includes research and development activities.

Indirect Costs: This award does not include an indirect cost rate.

Suballocation Funds: Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Pre-Award Authority: This award is using Pre-Award Authority.

## **Application Budget**

Total Application Budget: \$76,350,981.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$22,250,406.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$16,963,179.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$43,322,285.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$33,028,696.00

## **Application Budget Control Totals**

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

<b>Funding Source</b>	<b>Section of Statute</b>	<b>CFDA Number</b>	<b>Amount</b>
5311 - Rural Area Formula	5311-1A	20509	\$43,322,285
Local			\$16,514,344
Local/In-Kind			\$0
State			\$16,514,352
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$76,350,981</b>

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

**U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:**

Original Certification Date:

**Special Conditions**

There are no special conditions.

**FINDINGS AND DETERMINATIONS**

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

**FTA AWARD OF THE GRANT AGREEMENT**

Awarded By:

FEDERAL TRANSIT ADMINISTRATION  
U.S. DEPARTMENT OF TRANSPORTATION  
Contact Info:  
Award Date:

**EXECUTION OF THE GRANT AGREEMENT**

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

(1) Affirms this FTA Award,

- (2) Adopts and ratifies all of the following information it has submitted to FTA:
  - (a) Statements,
  - (b) Representations,
  - (c) Warranties,
  - (d) Covenants, and
  - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

*Transportation, Tennessee Department Of*