

Application

Federal Award Identification Number (FAIN)	SD-2021-008-00
Temporary Application Number	7044-2021-1
Application Name	FFY20 5311 Tribal Formula - Operating and PM
Application Status	Final Concurrence / Reservation
Application Budget Number	0

Period of Performance Start Date	N/A
Original Period of Performance End Date	6/30/2021
Current Period of Performance End Date	N/A Revision #: N/A

Part 1: Recipient Information

Name: Yankton Sioux Tribe

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
7044	Indian Tribe	YANKTON SIOUX TRIBE DBA FORT RANDALL CASINO	122118409

Location Type	Address	City	State	Zip
Headquarters	1153 Main SW	Wagner	SD	57380
Physical Address	1153 Main SW	Wagner	SD	57380
Mailing Address	800 MAIN SW	WAGNER	SD	57380

Union Information

There are no union contacts for this application

Part 2: Application Information

Title: FFY20 5311 Tribal Formula - Operating and PM

FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
SD-2021-008-00	Final Concurrence / Reservation	Grant	1/20/2021	1/20/2021	No

Application Executive Summary

Yankton Sioux Tribe will use FFY20 Section 5311 Formula funds in the amount of \$93,431 (partial amount) to fund transit operating expenses and preventive maintenance activities. This grant utilizes pre-award authority to reimburse the tribe for the timeframe of 10/1/2019 through 3/13/2020. The funds will be drawn in full once the grant is executed and closed immediately. All actual expenses are available upon request and will be saved for potential audits. The remaining FFY20 5311 formula funds will be used at a later date.

The Federal share is 100% for all activities.

Frequency of Milestone Progress Reports (MPR)

Annual

Frequency of Federal Financial Reports (FFR)

Annual

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Will this Grant be using Lapsing Funds?

No, this Grant does not use Lapsing Funds.

Will indirect costs be applied to this application?

This award does not include an indirect cost rate.

Indirect Rate Details: N/A

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
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Emma Belmont	Transportation Program Specialist	emma.belmont@dot.gov	303-362-2392
Georgiana Abdo	Transit Director	georgianaabdo2019@gmail.com	(605) 384-5050

Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$93,431
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$93,431

Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
SD-2021-008-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$93,431.00	\$0.00	\$93,431.00	2
SD-2021-008-01-00	11.7A.00 PREVENTIVE MAINTENANCE	\$25,000.00	\$0.00	\$25,000.00	1
SD-2021-008-01-00	30.09.01 UP TO 100% Federal Share	\$68,431.00	\$0.00	\$68,431.00	1

Discretionary Allocations

This application does not contain discretionary allocations.

Part 3: Project Information

Project Title: Operating and PM

Project Number	Temporary Project Number	Date Created	Start Date	End Date
SD-2021-008-01-00	7044-2021-1-P1	1/20/2021	10/1/2019	3/13/2020

Project Description

Reimburse the Tribe for transit expenses for operating expenses and preventive maintenance between 10/1/2019 thru 3/13/2020.

Project Benefits

Maintain transit service

Additional Information

None provided.

Location Description

Yankton Sioux Tribe Wagner, Lake Andes, Marty, Ravinia Southeastern South Dakota

Project Location (Urbanized Areas)

UZA Code	Area Name
460000	South Dakota

Congressional District Information

State	District	Representative
South Dakota	1	Kristi L. Noem

Program Plan Information

STIP/TIP

Date: N/A

Description: N/A

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$93,431
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0

Other Federal		\$0
Transportation Development Credit		\$0
Adjustment		\$0
Total Eligible Cost		\$93,431

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
SD-2021-008-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$93,431.00	\$0.00	\$93,431.00	2
SD-2021-008-01-00	11.7A.00 PREVENTIVE MAINTENANCE	\$25,000.00	\$0.00	\$25,000.00	1
SD-2021-008-01-00	30.09.01 UP TO 100% Federal Share	\$68,431.00	\$0.00	\$68,431.00	1

Project Budget Activity Line Items

Budget Activity Line Item: 11.7A.00 - PREVENTIVE MAINTENANCE

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	11.7A.00	PREVENTIVE MAINTENANCE	OTHER CAPITAL ITEMS (BUS)	1

Extended Budget Description

Preventive Maintenance for vehicle fleet. The majority of minor services are provided by Tribal DOT Employees. For specialized maintenance work, vehicles are sent to a mechanic or body shop. All documentation; invoices, quotes etc, are filed for reporting purposes and are for specific preventive maintenance services from 10/1/2019 through 3/13/2020. After this date, the tribe began Administrative Leave due to the COVID-19 pandemic, which is covered in a CARES grant.

YST did not purchase any assets over 5,000 as part of this activity.

Federal share is 100%.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$25,000
Local			\$0

Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$25,000

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2019	Begin incurring expenses
End Date	3/13/2020	End incurring expenses.

Budget Activity Line Item: 30.09.01 - UP TO 100% Federal Share

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE	1

Extended Budget Description

This activity includes fuel, oil, driver's salaries and fringe benefits, dispatcher salaries and fringe benefits, and licenses, less revenues (fare revenue, advertising revenue). This line item also includes Program Administrative activities, which includes the salary of the transit manager, fringe benefits, facility costs, office supplies and insurance, which are categorized with general operating expenses in the Tribe's budget format. The timeframe covered by the grant had reduced services as compared to typical levels, approximately at half capacity, because YST had reorganized the Transit program.

This is for the timeframe of 10/1/2010-3/13/2020.

Federal share is 100%.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$68,431
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0

Total Eligible Cost	\$68,431
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Milestone Name	Est. Completion Date	Description
Start date	10/1/2019	
End Date	3/13/2020	

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	3/18/2021

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	30.09.01	UP TO 100% Federal Share	1	\$68,431.00	\$68,431.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
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Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	11.7A.00	PREVENTIVE MAINTENANCE	1	\$25,000.00	\$25,000.00

Part 4: Fleet Details

No fleet data exists for this application.

Part 5: FTA Review Comments

FTA Comments for DOL

Comment By Emma Belmont

Comment Type DOL Review for Information

Date 3/25/2021

Comment Georgiana Abdo
Tribal Transit Program Manager
(605) 384-5050
georgianaabdo2019@gmail.com

Part 6: Agreement

**UNITED STATES OF AMERICA
DEPARTMENT OF TRANSPORTATION
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT
(FTA G-28, February 9, 2021)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(28), February 9, 2021, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR

THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

FTA AWARD

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

Recipient Information

Recipient Name: Yankton Sioux Tribe

Recipient ID: 7044

DUNS No: 122118409

Application Information

Federal Award Identification Number: SD-2021-008-00

Application Name: FFY20 5311 Tribal Formula - Operating and PM

Application Start Date: N/A

Original Award End Date: 6/30/2021

Current Award End Date: N/A

Application Executive Summary: Yankton Sioux Tribe will use FFY20 Section 5311 Formula funds in the amount of \$93,431 (partial amount) to fund transit operating expenses and preventive maintenance activities. This grant utilizes pre-award authority to reimburse the tribe for the timeframe of 10/1/2019 through 3/13/2020. The funds will be drawn in full once the grant is executed and closed immediately. All actual expenses are available upon request and will be saved for potential audits. The remaining FFY20 5311 formula funds will be used at a later date.

The Federal share is 100% for all activities.

Research and Development: This award does not include research and development activities.

Indirect Costs: This award does not include an indirect cost rate.

Suballocation Funds: Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Pre-Award Authority: This award is using Pre-Award Authority.

Application Budget

Total Application Budget: \$93,431.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$93,431.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$0.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$93,431.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$0.00

Application Budget Control Totals

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$93,431
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$93,431

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:

Original Certification Date:

Special Conditions

There are no special conditions.

FINDINGS AND DETERMINATIONS

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

FTA AWARD OF THE GRANT AGREEMENT

Awarded By:

FEDERAL TRANSIT ADMINISTRATION
U.S. DEPARTMENT OF TRANSPORTATION
Contact Info:
Award Date:

EXECUTION OF THE GRANT AGREEMENT

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
 - (a) Statements,
 - (b) Representations,
 - (c) Warranties,
 - (d) Covenants, and
 - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

Yankton Sioux Tribe