

Application

Federal Award Identification Number (FAIN)	SD-2021-006-00
Temporary Application Number	7331-2020-1
Application Name	FY19 Tribal Discretionary 5311 - Operating, Project Admin and Capital
Application Status	Final Concurrence / Reservation
Application Budget Number	0

Period of Performance Start Date	N/A
Original Period of Performance End Date	3/31/2022
Current Period of Performance End Date	N/A Revision #: N/A

Part 1: Recipient Information

Name: Sisseton-wahpeton Oyate

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
7331	Indian Tribe	SISSETON-WAHPETON OYATE	050750470

Location Type	Address	City	State	Zip
Headquarters	12554 BIA HWY 711	AGENCY VILLAGE	SD	572627409
Physical Address	12554 BIA HWY 711	AGENCY VILLAGE	SD	57262
Mailing Address	12554 BIA HWY 711	AGENCY VILLAGE	SD	57262

Union Information

There are no union contacts for this application

Part 2: Application Information

Title: FY19 Tribal Discretionary 5311 - Operating, Project Admin and Capital

FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
SD-2021-006-00	Final Concurrence / Reservation	Grant	9/3/2020	9/3/2020	No

Application Executive Summary

The Sisseton-Wahpeton Oyate Tribe will use the full apportionment of FY2019 Tribal Discretionary 5311 award of \$481,761 to fund operating, project admin and capital purchases. The Discretionary ID for the award is D2020-TRTR-022. Local matching dollars will come from the Tribal Transportation Program.

Supporting Documents attached in Application Documents include:
- Discretionary Application

Frequency of Milestone Progress Reports (MPR)

Annual

Frequency of Federal Financial Reports (FFR)

Annual

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Will this Grant be using Lapsing Funds?

No, this Grant does not use Lapsing Funds.

Will indirect costs be applied to this application?

This award does not include an indirect cost rate.

Indirect Rate Details: N/A

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Emma	Belmont	Transportation Program Specialist	emma.belmont@dot.gov	303-362-2392

Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Competitive	5311-1C	20509	\$481,761
Local			\$53,529
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$535,290

Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
SD-2021-006-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$328,761.00	\$36,529.00	\$365,290.00	2
SD-2021-006-01-00	11.79.00 PROJECT ADMINISTRATION	\$113,400.00	\$12,600.00	\$126,000.00	1
SD-2021-006-01-00	30.09.01 90% FEDERAL SHARE - OPERATING	\$215,361.00	\$23,929.00	\$239,290.00	1
SD-2021-006-02-00	600-00 (600-A2) OTHER PROGRAM COSTS	\$153,000.00	\$17,000.00	\$170,000.00	2
SD-2021-006-02-00	11.13.15 BUY VAN FOR SVC EXPANSION	\$153,000.00	\$17,000.00	\$170,000.00	2

Discretionary Allocations

Discretionary ID	Project Title	Amount Applied	FAIN	Congressional Release Date
D2020-TRTR-022	Transit Program Expansion Project	\$481,761	SD-2021-006-00	

Part 3: Project Information

Project Title: Operating and Project Admin

Project Number	Temporary Project Number	Date Created	Start Date	End Date
SD-2021-006-01-00	7331-2020-1-P1	9/3/2020	1/1/2021	12/31/2021

Project Description

This will fund existing operating and project admin expenses initially and expanded expenses midway through the year, plus a new position, per the FY2019 5311 Discretionary Tribal grant application. The total operating budget is \$365,290, with a Federal share of 90% (\$328,761), per the discretionary application.

Project Benefits

The Sisseton-Wahpeton Oyate Tribe does not yet receive an annual formula allocation from the Federal Transit Administration yet, so to facilitate service, operating funds are being requested to maintain operating levels of service.

Additional Information

The Lake Traverse Reservation is in the northeastern corner of South Dakota, stretching into North Dakota and Minnesota. The Lake Traverse Reservation is an impoverished rural area, with 21.3 percent of all individuals living below poverty level, so access to reliable, safe transportation is critical to individuals and families living in the area. According to 2012-2016 American Community Survey 5-Year Estimates, the unemployment rate of the Reservation was 8 percent, double that of the United States.

The existing buses regularly serve, Agency Village, where the tribal headquarters is located, but the other six districts are visited only on a limited basis. The addition of two more vans to the Tribe's inventory would move them closer to the goal of regular service to the six reservation districts that are not regularly served at this time.

Location Description

The Lake Traverse Reservation is in the northeastern corner of South Dakota, stretching into North Dakota and Minnesota. The Lake Traverse Reservation is an impoverished rural area, with 21.3 percent of all individuals living below poverty level, so access to reliable, safe transportation is critical to individuals and families living in the area. According to 2012-2016 American Community Survey 5-Year Estimates, the unemployment rate of the Reservation was 8 percent, double that of the United States.

Project Location (Urbanized Areas)

UZA Code	Area Name
460000	South Dakota

Congressional District Information

State	District	Representative
South Dakota	1	Kristi L. Noem

Program Plan Information

STIP/TIP

Date: N/A

Description: N/A

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Competitive	5311-1C	20509	\$328,761
Local			\$36,529
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$365,290

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
SD-2021-006-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$328,761.00	\$36,529.00	\$365,290.00	2
SD-2021-006-01-00	11.79.00 PROJECT ADMINISTRATION	\$113,400.00	\$12,600.00	\$126,000.00	1
SD-2021-006-01-00	30.09.01 90% FEDERAL SHARE - OPERATING	\$215,361.00	\$23,929.00	\$239,290.00	1

Project Budget Activity Line Items

Budget Activity Line Item: 11.79.00 - PROJECT ADMINISTRATION

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	11.79.00	PROJECT ADMINISTRATION	OTHER CAPITAL ITEMS (BUS)	1

Extended Budget Description

This Project Administration line item includes: general administrative expenses (e.g., salaries of the project director, and two office staff); insurance premiums; office supplies; facilities and equipment rental; standard overhead rates; and the costs of administering drug and alcohol testing; plus a new employee as a Tribal Transit Coordinator. This new position would coordinate tribal routes and drivers, take calls for service, and dispatch drivers for on-call service. The tribe is in the process of hiring and hope to have someone onboard in April/2021 timeframe.

This will fund the timeframe of 1/1/2021 through 12/31/2021. With the hiring of the new employee not happening for a few months, these funds may extend beyond the initial end date of 12/31/2021. The grant will be updated as needed to extend the milestones.

Per, discretionary grant, the local matching portion is 10% and will be provided through Tribal Transportation Program funds. Federal share is 90%.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Competitive	5311-1C	20509	\$113,400
Local			\$12,600
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$126,000

Milestone Name	Est. Completion Date	Description
Begin incurring expenses	1/1/2021	At existing service levels
Hire Tribal Transit Coordinator	4/12/2021	New position starts
End incurring expenses	12/31/2021	End timeframe for grant

Budget Activity Line Item: 30.09.01 - 90% FEDERAL SHARE - OPERATING

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
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OTHER PROGRAM COSTS (600-00) 30.09.01 UP TO 50% FEDERAL SHARE OPERATING ASSISTANCE 1

Extended Budget Description

This line item includes normal operating expenses for the first few months, then once expansion vehicles are delivered, expanded service levels will begin. This is expected by approximately June 2021, depending on final delivery of the 2 expansion vehicles, also included in this grant.

Operating expenses will initiate on 1/1/2021 through approximately 12/31/2021, or when funds are fully expended.

Per discretionary grant, the local matching portion is 10% and will be provided through Tribal Transportation Program funds. Federal share is 90%.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Competitive	5311-1C	20509	\$215,361
Local			\$23,929
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$239,290

Milestone Name	Est. Completion Date	Description
Start Date	1/1/2021	Initiate operating
Initiate expanded service levels	7/1/2021	Begin expanded services once the final vehicle delivery is made
End Date	12/31/2021	End operating timeframe

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	1/19/2021

Project Title: Capital

Project Number	Temporary Project Number	Date Created	Start Date	End Date
SD-2021-006-02-00	7331-2020-1-P2	1/5/2021	2/17/2021	7/8/2021

Project Description

The Sisseton-Wahpeton Oyate Tribe will purchase two transit vehicles to expand transit services across the region.

Project Benefits

The Lake Traverse Reservation covers a vast rural area. The Lake Traverse Reservation is an impoverished rural area, with 21.3 percent of all individuals living below poverty level, so access to reliable, safe transportation is critical to individuals and families living in the area. According to 2012-2016 American Community Survey 5-Year Estimates, the unemployment rate of the Reservation was 8 percent, double that of the United States. Due to these high levels of poverty and unemployment, very few individuals have private cars, limiting their access to essential services and goods. The transit system is utilized frequently and by a vast number of reservation residents, increasing transportation efficiency and safety and improving mobility.

The addition of two additional buses will maintain mobility for a number of residents and fill an enormous gap in services, as it is currently the sole source of public transportation on the reservation. The purchase of two buses will allow the Tribe to maintain access to destinations and services, including maintenance of all current transit service contracts with human services, employment services, educational systems, and government entities. It ensures residents have critical access to healthcare, employment, educational and training opportunities that expand ladders of opportunity. Additionally, as seen by the ridership numbers provided, the transit program is utilized by a significant number of elderly and disabled adults as well as children, ensuring not just access to services and goods, but also increased safety for vulnerable populations.

Additional Information

None provided.

Location Description

The Lake Traverse Reservation is in the northeastern corner of South Dakota, stretching into North Dakota and Minnesota. The Lake Traverse Reservation is an impoverished rural area, with 21.3 percent of all individuals living below poverty level, so access to reliable, safe transportation is critical to individuals and families living in the area. According to 2012-2016 American Community Survey 5-Year Estimates, the unemployment rate of the Reservation was 8 percent, double that of the United States.

Project Location (Urbanized Areas)

UZA Code	Area Name
460000	South Dakota

Congressional District Information

State	District	Representative
South Dakota	1	Kristi L. Noem

Program Plan Information

STIP/TIP

Date: N/A

Description: N/A

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Competitive	5311-1C	20509	\$153,000
Local			\$17,000
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$170,000

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
SD-2021-006-	600-00 OTHER PROGRAM	\$153,000.00	\$17,000.00	\$170,000.00	2

02-00	(600-A2)	COSTS					
SD-2021-006-02-00		11.13.15	BUY VAN FOR SVC EXPANSION	\$153,000.00	\$17,000.00	\$170,000.00	2

Project Budget Activity Line Items

Budget Activity Line Item: 11.13.15 - BUY VAN FOR SVC EXPANSION

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	11.13.15	BUY VAN FOR SVC EXPANSION	BUY EXPANSION - CAPITAL BUS	2

Extended Budget Description

This includes the purchase of 2 ADA light-duty vans to expand service levels in the region. The vans will have a useful life of 4 years/100,000 miles.

Per Tribal Transit Discretionary Program guidelines, the vehicles will be 90/10 Federal/local share and will be purchased according to tribal procurement standards.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Competitive	5311-1C	20509	\$153,000
Local			\$17,000
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$170,000

Milestone Name	Est. Completion Date	Description
RFP	2/17/2021	RFP published
Contract	3/31/2021	Contract with vendor
Vehicle delivery	6/1/2021	Vehicle delivery and testing
Vehicle Completion	6/30/2021	Finalized any equipment additions to vehicle and initiate operation of vehicle

Close Contract	7/8/2021	Close contract
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Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	1/19/2021

Part 4: Fleet Details

No fleet data exists for this application.

Part 5: FTA Review Comments

FTA Comments for DOL

Comment By Emma Belmont

Comment Type DOL Review for Information

Date 3/8/2021

Comment Tribal Contact:
Cliff Eberhardt
Director SWO DOT
Office Phone 605-698-8355
Cell Phone 605-268-1776
CliffordE@swo-nsn.gov

Part 6: Agreement

UNITED STATES OF AMERICA

**DEPARTMENT OF TRANSPORTATION
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT
(FTA G-26, October 1, 2019)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(26), October 1, 2019, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

FTA AWARD

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

Recipient Information

Recipient Name: Sisseton-wahpeton Oyate

Recipient ID: 7331

DUNS No: 050750470

Application Information

Federal Award Identification Number: SD-2021-006-00

Application Name: FY19 Tribal Discretionary 5311 - Operating, Project Admin and Capital

Application Start Date: N/A

Original Award End Date: 3/31/2022

Current Award End Date: N/A

Application Executive Summary: The Sisseton-Wahpeton Oyate Tribe will use the full apportionment of FY2019 Tribal Discretionary 5311 award of \$481,761 to fund operating, project admin and capital purchases. The Discretionary ID for the award is D2020-TRTR-022. Local matching dollars will come from the Tribal Transportation Program.

Supporting Documents attached in Application Documents include:
 - Discretionary Application

Research and Development: This award does not include research and development activities.

Indirect Costs: This award does not include an indirect cost rate.

Suballocation Funds: Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Pre-Award Authority: This award is using Pre-Award Authority.

Application Budget

Total Application Budget: \$535,290.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$481,761.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$53,529.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$481,761.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$53,529.00

Application Budget Control Totals

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Competitive	5311-1C	20509	\$481,761
Local			\$53,529
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$535,290

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

U.S. Department of Labor Certification of Public Transportation Employee Protective

Arrangements:

Original Certification Date:

Special Conditions

There are no special conditions.

FINDINGS AND DETERMINATIONS

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

FTA AWARD OF THE GRANT AGREEMENT

Awarded By:

FEDERAL TRANSIT ADMINISTRATION
U.S. DEPARTMENT OF TRANSPORTATION
Contact Info:
Award Date:

EXECUTION OF THE GRANT AGREEMENT

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
 - (a) Statements,
 - (b) Representations,
 - (c) Warranties,
 - (d) Covenants, and
 - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

Sisseton-wahpeton Oyate