

DOT

FTA

U.S. Department of Transportation

Federal Transit Administration

Application

Federal Award Identification Number (FAIN)	ME-2021-005-00
Temporary Application Number	1346-2021-3
Application Name	CRRSAA Funds for Maine Rural Transit Operations
Application Status	Final Concurrence / Reservation
Application Budget Number	0

Period of Performance Start Date	N/A
Original Period of Performance End Date	12/31/2023
Current Period of Performance End Date	N/A Revision #: N/A

Part 1: Recipient Information

Name: Transportation, Maine Department Of

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
1346	State Agency	MAINE DEPARTMENT OF TRANSPORTATION	809045966

Location Type	Address	City	State	Zip
Headquarters	1 STATEHOUSE STN 236	AUGUSTA	ME	043330001
Physical Address	24 CHILD ST	AUGUSTA	ME	04330
Mailing Address	16 STATE HOUSE STATION	AUGUSTA	ME	04333

Union Information

Union Name	AMALGAMATED TRANSIT UNION
Address 1	5025 Wisconsin Avenue, N.W.
Address 2	
City	Washington
State	District of Columbia

Zipcode	20016
Contact Name	Jim La Sala
Telephone	2022447824
Fax	
E-mail	
Website	
<hr/>	
Union Name	LOCAL 481, COUNCIL 93, AMERICAN FEDERATION OF STATE, COUNTY AND MUNICI
Address 1	20 Winter Street
Address 2	
City	Augusta
State	ME
Zipcode	04330
Contact Name	James Mackie
Telephone	(207) 622-6191
Fax	(207) 622-6550
E-mail	jmackey@afscmecouncil93.org
Website	afscmecouncil93.org/maine
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Union Name	SEIU LOCAL 1989
Address 1	65 State Street
Address 2	
City	Augusta
State	Maine
Zipcode	04330
Contact Name	Tim Belcher
Telephone	207-622-31
Fax	
E-mail	tim.belcher@mseaseiu.org
Website	http://www.mseaseiu.org/

Part 2: Application Information

Title: CRRSAA Funds for Maine Rural Transit Operations

FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
ME-2021-005-00	Final Concurrence / Reservation	Grant	2/5/2021	2/5/2021	No

Application Executive Summary

This is a Maine Department of Transportation (MaineDOT) grant application for CRRSAA funding. On

December 27, 2020, President Trump signed the Coronavirus Response and Relief Supplemental Appropriations Act of 2021 (CRRSAA) into law. CRRSAA funding is provided to support public transportation systems during the Coronavirus Disease 2019 (COVID-19) public health emergency. MaineDOT will utilize the funds to provide payroll and operational relief as directed by CRRSAA. Under CRRSAA, MaineDOT was awarded \$22,904,653 for Section 5311 activities.

This grant application is for the entire appropriation of Section 5311 CRRSAA funds awarded to Maine in the amount of \$22,904,653 and will be used for operating assistance for MaineDOT's network of rural transit operators and intercity bus operators. Currently, MEDOT is working with the subrecipients and intercity contractors to identify operating needs through the remaining of calendar year (CY) 2021, and CY2022. The goal is to support all agencies/contractors operating needs and maintain service for an equitable timeframe with all the rural service providers. Data will be collected to identify the needs for these agencies and MEDOT will suballocate any unliquidated CARES balances and CRRSAA funding in six month increments until fully expended.

MaineDOT is charged with managing all modes of transportation within the State of Maine.

Source of Local Match – FTA CRRSAA funding is provided at 100% and requires no match.

Attached - Program of Projects

STIP – not required for operating projects. No capital projects requiring STIP requested in this application.

Ridership - Attached is a description of each Provider. Ridership since the start of the Coronavirus pandemic has declined rapidly. Transit systems are striving to meet essential needs and keep riders safe while cutting back on service and maintaining a safe working environment for transit employees.

MaineDOT has a Statewide ITS Architecture as defined in the FTA National ITS Architecture Policy issued on April 8, 2001. It was approved on July 12, 2005 by the FHWA and was updated January 2011.

MaineDOT is current with Civil Rights Requirements, Milestone Progress Reports and Financial Status Reports. Annual Certifications and Assurances were executed January 25, 2021. All administrative and statutory requirements have been met.

MaineDOT agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.

Frequency of Milestone Progress Reports (MPR)

Annual

Frequency of Federal Financial Reports (FFR)

Annual

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Will this Grant be using Lapsing Funds?

No, this Grant does not use Lapsing Funds.

Will indirect costs be applied to this application?

This award does not include an indirect cost rate.

Indirect Rate Details: N/A

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Michael	Burns	Transportation Program Specialist	michael.burns1@dot.gov	617-494-2484
Barbie-Jo	Lord	Transportation Planning Analyst	barbie-jo.lord@maine.gov	2076243026

Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CRRSAA)	5311-9A	20509	\$22,904,653
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$22,904,653

Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
ME-2021-005-01-00	300-00 (300-A1) OPERATING ASSISTANCE	\$22,904,653.00	\$0.00	\$22,904,653.00	0

ME-2021-005-01-00	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$22,904,653.00	\$0.00	\$22,904,653.00	0
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Discretionary Allocations

This application does not contain discretionary allocations.

Part 3: Project Information

Project Title: CRRSAA funding for Rural Transit Operations

Project Number	Temporary Project Number	Date Created	Start Date	End Date
ME-2021-005-01-00	1346-2021-3-P1	2/5/2021	7/1/2021	12/31/2023

Project Description

Project Description

This is a Maine Department of Transportation (MaineDOT) grant application for \$22,904,653 in FTA CRRSAA funds for operating assistance to sustain the rural system of transit operations.

These funds will be used for operating assistance for a system of rural transit operators in Maine, consisting of eighteen different agencies across Maine, providing demand-response, flex route, commuter service, intercity bus, and rural ferry service.

MaineDOT is charged with managing all modes of transportation within the State of Maine.

Source of Local Match - The federal share of the CRRSAA funds is 100%. MaineDOT will not be collecting local share for these projects.

Designated Subrecipients:

Aroostook Regional Transportation System, Inc. (ARTS) – Region 1
 Bath CityBus/City of Bath – Region 5
 Biddeford-Saco-OOB Transit Committee (BSOOB) – Region 8
 Casco Bay Island Transit District (CBITD) – Region 6
 Downeast Community Partners (DCP) (formerly WHCA) – Region 2
 Downeast Transportation, Inc. (DTI) – Region 2
 Isle Au Haut Boat Service – Region 2
 Kennebec Valley Community Action Program (KVCAP) – Region 4
 Penquis - The LYNX – Region 3
 Regional Transportation Program (RTP) – Region 6
 Town of Cranberry Isles – Region 2
 Waldo Community Action Partners (WCAP) (DBA Mid-Coast Public Transportation) – Region 5
 West's Transportation, Inc. (West) – Region 2
 Western Maine Transportation Services, Inc. (WMTS) – Region 7
 York County Community Action Corporation (YCCAC) – Region 8
 Maine State Ferry Service, Region 5
 Greyhound Bus, Statewide

Concord Coach Lines, Statewide

Project Benefits

Benefits include: assisting rural transit system operators so they can continue to operate during the COVID-19 pandemic, providing valuable assistance at 100% federal share, ensuring that the transit and ferry systems won't suffer catastrophic losses of revenue resulting in business failure or bankruptcy.

Additional Information

100% of the funds in this application will be used for operations of transit service.

Location Description

Maine rural programs statewide.

Project Location (Urbanized Areas)

UZA Code	Area Name
230000	Maine

Congressional District Information

State	District	Representative
Maine	1	Chellie Pingree
Maine	2	Jared Golden

Program Plan Information

STIP/TIP

Date: Not Provided

Description: NA

UPWP

Date: Not Provided

Description: NA

Long Range Plan

Date: Not Provided

Description: NA

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CRRSAA)	5311-9A	20509	\$22,904,653
Local			\$0
Local/In-Kind			\$0

State		\$0
State/In-Kind		\$0
Other Federal		\$0
Transportation Development Credit		\$0
Adjustment		\$0
Total Eligible Cost		\$22,904,653

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
ME-2021-005-01-00	300-00 (300-A1) OPERATING ASSISTANCE	\$22,904,653.00	\$0.00	\$22,904,653.00	0
ME-2021-005-01-00	30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$22,904,653.00	\$0.00	\$22,904,653.00	0

Project Budget Activity Line Items

Budget Activity Line Item: 30.09.08 - EMER RELIEF - OPERATING ASSIST - 100% Fed Share

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OPERATING ASSISTANCE (300-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	OPERATING ASSISTANCE	0

Extended Budget Description

Funds will be used for all operating activities (net fare revenues) incurred for rural transit providers to support demand response, ADA Paratransit, Fixed Route and Shuttle Services. Operating expenses include costs necessary to operate, maintain, and manage a public transportation system including driver salaries, fuel, personal protective equipment, cleaning supplies and administrative leave for employees put on leave due to reductions in service or leave required for a quarantined worker.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CRRSAA)	5311-9A	20509	\$22,904,653

Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$22,904,653

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2021	
End Date	12/31/2023	

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	2/5/2021

Part 4: Fleet Details

No fleet data exists for this application.

Part 5: FTA Review Comments

FTA Comments for DOL

Comment By **Michael Burns**

Comment DOL Review for Information

Type

Date	3/1/2021
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Comment Per DOL's guidelines FTA is transmitting this grant application for informational purposes through TrAMS to the Department so the Department can notify the labor organizations representing potentially affected employees of the grants approval and their rights under the Special Warranty Arrangement.

Part 6: Agreement

**UNITED STATES OF AMERICA
DEPARTMENT OF TRANSPORTATION
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT
(FTA G-28, February 9, 2021)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(28), February 9, 2021, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

FTA AWARD

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

Recipient Information

Recipient Name: Transportation, Maine Department Of

Recipient ID: 1346

DUNS No: 809045966

Application Information

Federal Award Identification Number: ME-2021-005-00

Application Name: CRRSAA Funds for Maine Rural Transit Operations

Application Start Date: N/A

Original Award End Date: 12/31/2023

Current Award End Date: N/A

Application Executive Summary: This is a Maine Department of Transportation (MaineDOT) grant application for CRRSAA funding. On December 27, 2020, President Trump signed the Coronavirus Response and Relief Supplemental Appropriations Act of 2021 (CRRSAA) into law. CRRSAA funding is provided to support public transportation systems during the Coronavirus Disease 2019 (COVID-19) public health emergency. MaineDOT will utilize the funds to provide payroll and operational relief as directed by CRRSAA. Under CRRSAA, MaineDOT was awarded \$22,904,653 for Section 5311 activities.

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Research and Development: This award does not include research and development activities.

Indirect Costs: This award does not include an indirect cost rate.

Suballocation Funds: Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Pre-Award Authority: This award is using Pre-Award Authority.

Application Budget

Total Application Budget: \$22,904,653.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$22,904,653.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$0.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$22,904,653.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$0.00

Application Budget Control Totals

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CRRSAA)	5311-9A	20509	\$22,904,653
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$22,904,653

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:

Original Certification Date:

Special Conditions

There are no special conditions.

FINDINGS AND DETERMINATIONS

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

FTA AWARD OF THE GRANT AGREEMENT

Awarded By:

FEDERAL TRANSIT ADMINISTRATION
U.S. DEPARTMENT OF TRANSPORTATION
Contact Info:
Award Date:

EXECUTION OF THE GRANT AGREEMENT

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
 - (a) Statements,
 - (b) Representations,
 - (c) Warranties,
 - (d) Covenants, and
 - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

Transportation, Maine Department Of