

DOT

U.S. Department of Transportation

FTA

Federal Transit Administration

Application

| | |
|---|---|
| Federal Award Identification Number (FAIN) | KY-2021-011-01 |
| Temporary Application Number | 1003-2021-4 |
| Application Name | CARES FFY20 Section 5311 Construction of add on Administrative Facility |
| Application Status | Final Concurrence / Reservation |
| Application Budget Number | 0 |

| | |
|--|-------------------------|
| Period of Performance Start Date | 3/18/2021 |
| Original Period of Performance End Date | 3/30/2028 |
| Current Period of Performance End Date | 3/30/2028 Revision #: 0 |

Part 1: Recipient Information

Name: TRANSPORTATION CABINET, KENTUCKY

| Recipient ID | Recipient OST Type | Recipient Alias | Recipient DUNS |
|--------------|--------------------|---------------------------------|----------------|
| 1003 | State Agency | KENTUCKY TRANSPORTATION CABINET | 927328732 |

| Location Type | Address | City | State | Zip |
|------------------|--------------------------------|-----------|-------|-----------|
| Headquarters | STATE CAPITOL-700 CAPITOL AVE | FRANKFORT | KY | 406013410 |
| Physical Address | 200 MERO ST | FRANKFORT | KY | 40601 |
| Mailing Address | OFFICE TRANSPORTATION DELIVERY | FRANKFORT | KY | 40601 |

Union Information

| | |
|-------------------|----------------------|
| Union Name | NONE No Union |
| Address 1 | 0000 No Union |
| Address 2 | |
| City | No Union |
| State | KY |
| Zipcode | 00000 |

| | |
|--------------|-----------------------------|
| Contact Name | NONE No Union NONE No Union |
| Telephone | (000) 000-0000 |
| Fax | |
| E-mail | nounion@none.com |
| Website | |

Part 2: Application Information

Title: CARES FFY20 Section 5311 Construction of add on Administrative Facility

| FAIN | Application Status | Application Type | Date Created | Last Updated Date | From TEAM? |
|----------------|---------------------------------|------------------|--------------|-------------------|------------|
| KY-2021-011-01 | Final Concurrence / Reservation | Grant | 3/19/2021 | 3/19/2021 | No |

Application Executive Summary

Amendment #1- adds Project KY-2021-011-01-P2.

The Kentucky Transportation Cabinet (KYTC/OTD) is making an AMENDMENT to the Application KY 2021-011-00 for FFY20 CARES Section 5311 Federal 100%

The Total FFY20 CARES Apportionment:
\$58,808,456 and \$1,764,000 total \$60,572,456,

Under KY 2021-011-00 \$1,500,000 was awarded 3/18//2021.

This pending grant is for \$6,597,628 Federal. Amendment#1

The remaining balance of the FFY20 CARES is \$5,500,000.

This Grant Applications includes \$3,393,750 for construction and A & E for ONE Facility in Richmond, Ky (USEFUL LIFE 40 YEARS)

LOCATED: 6021-6025 Atwood Dr, Richmond, KY 40475

Referred to as Madison County Transit Hub \$3,393,750 FEDERAL SHARE 100%

and for Operating total Federal: \$3,203,878.

(SCOPE(S) 300 & 114)

SEE POP

SEE NEPA Approvals

The proposed project will have three buildings: main facility; bus maintenance garage; and storage building. In addition to conference and training space, it will have 12 offices plus the dispatch center. The facility will comprise 12,000 square footage. The space will be primarily used by Foothills Express transportation staff including support services such as fiscal, IT, HR, and man.

SEE Project Site.

The Recipient agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.

This project is listed in the current Statewide Transportation Improvement Program (STIP), approved by

FTA/FHWA

KYTC will follow all 3rd party procurement policies as defined in C4220.1F (Third Party Contracting Guidance).

KYTC will ensure contractors procured will not be on the FTA Suspension and Debarment list.

The intercity bus requirement has been waived, but intercity bus activities are an eligible expense. There is not any additional RTAP funding.

The Recipient certifies that it, and its subrecipients and contractors that are providers of public transportation, have not furloughed any employees since March 27, 2020 (the enactment date of the CARES Act

The Kentucky Transportation Cabinet (KYTC/OTD) is making application for FFY20 CARES Section 5311 Federal 100%

The Total FFY20 CARES Apportionment:

\$58,808,456 and \$1,764,000 total \$60,572,456

Under KY 2020-011 \$46,974,828 has been awarded.

The remaining balance of the FFY20 CARES is \$13,597,628

Pre-Award for expenses that occurred on or after January 20, 2020. Total Federal funds for this Application is \$1,500,000

The Balance of unobligated CARES funding after this GRANT is \$12,097,628

The GRANT is for FFY 2020 Section 5311 CARES Act funds in the amount of \$1,500,000 (100% Federal Share). This application utilizes CARES Act funding to help respond to and recover from the COVID-19 pandemic. Per the CARES Act, the grant requests 100% Federal Share.

The application scope of work includes:

\$1,500,000 in Capital Assistance,

ALI CODE Construction Administrative Facility

11.43.01

100% Federal

SEE Preliminary Floor Plan

The address is 222 Saint Elizabeth Street Owensboro, Kentucky.

Construct additional space attached to the current transit facility in Owensboro to include a training room, office space, restrooms, driver's work area, conference room, increased space for technology, larger office workroom, and a larger breakroom, larger spaces for COVID WORK distancing safety.

1) The project will be designed by a local Architectural firm that was selected from 3 firms via a Request for Qualifications process. The award was based on a scoring criteria, and the highest scoring firm was chosen. The Architectural firm will select Engineers for use on this project, the firm chosen has some Engineering disciplines on staff. The Architect will be responsible for complete construction drawings and specifications, as well as routine on-site inspections to insure compliance with plans and specifications. A testing firm will be hired by Audubon Area Community Services (Owner) to insure all necessary testing will be performed by an independent firm, qualified to perform testing services. Once the Architect has completed construction documents that are reviewed and approved by the Owner, and the KYTC Office of Transportation Delivery, a bid date will be chosen and the project will be placed out for bid to General Contracting firms. The lowest and best bid will be selected from the responding General Contractors and a contract will be signed and construction will be underway.

2) The land that this addition will be constructed on is owned by Audubon Area Community Services, with a deed of restrictions placed on it by the KYTC/FTA. This property was kept vacant when the original Parking Garage was constructed with the intent of allowing for future expansion. See details in Extended budget and POP.

Floor Plan also included as an attached document and in POP.

No federal funding was previously received for this project or obligated in another grant application.

The Recipient agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.

This project is listed in the current Statewide Transportation Improvement Program (STIP), approved by FTA/FHWA

KYTC will follow all 3rd party procurement policies as defined in C4220.1F (Third Party Contracting Guidance).

KYTC will ensure contractors procured will not be on the FTA Suspension and Debarment list.

The intercity bus requirement has been waived, but intercity bus activities are an eligible expense. There is not any additional RTAP funding.

The Recipient certifies that it, and its subrecipients and contractors that are providers of public transportation, have not furloughed any employees since March 27, 2020 (the enactment date of the CARES Act

Frequency of Milestone Progress Reports (MPR)

Quarterly

Frequency of Federal Financial Reports (FFR)

Quarterly

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Will this Grant be using Lapsing Funds?

No, this Grant does not use Lapsing Funds.

Will indirect costs be applied to this application?

This award does not include an indirect cost rate.

Indirect Rate Details: N/A

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Application Point of Contact Information

| First Name | Last Name | Title | E-mail Address | Phone |
|------------|-----------|-----------------------------------|-------------------------|------------------|
| Michael | Sherman | Community Planner | michael.sherman@dot.gov | +1(404) 865-5616 |
| Vickie | Bourne | Executive Director | vickie.bourne@ky.gov | 5025647433 |
| Roxanne | Ledesma | Transportation Program Specialist | roxanne.ledesma@dot.gov | 404-865-5631 |

Application Budget Control Totals

| Funding Source | Section of Statute | CFDA Number | Amount |
|---------------------------------------|--------------------|-------------|--------------------|
| 5311 - Rural Area Formula (CARES Act) | 5311-5 | 20509 | \$8,097,628 |
| Local | | | \$0 |
| Local/In-Kind | | | \$0 |
| State | | | \$0 |
| State/In-Kind | | | \$0 |
| Other Federal | | | \$0 |
| Transportation Development Credit | | | \$0 |
| Adjustment | | | \$0 |
| Total Eligible Cost | | | \$8,097,628 |

Application Budget

| Project Number | Budget Item | FTA Amount | Non-FTA Amount | Total Eligible Amount | Quantity |
|-------------------|---|----------------|----------------|-----------------------|----------|
| KY-2021-011-01-01 | 114-00 (114-A1) BUS: SUPPORT EQUIP AND FACILITIES | \$1,500,000.00 | \$0.00 | \$1,500,000.00 | 1 |
| KY-2021-011-01-01 | 11.43.01 CONSTRUCT - ADMINISTRATIVE BUILDING | \$1,500,000.00 | \$0.00 | \$1,500,000.00 | 1 |
| KY-2021-011-02-01 | 114-00 (114-A2) BUS: SUPPORT EQUIP AND FACILITIES | \$3,393,750.00 | \$0.00 | \$3,393,750.00 | 1 |
| KY-2021-011-02-01 | 11.41.03 ENG/DESIGN - ADMIN/MAINTENANCE FACILITY | \$262,500.00 | \$0.00 | \$262,500.00 | 0 |

| | | | | | | |
|-------------------|--------------------|---|----------------|--------|----------------|---|
| KY-2021-011-02-01 | 11.43.03 | CONSTRUCT - ADMIN/MAINT FACILITY | \$3,131,250.00 | \$0.00 | \$3,131,250.00 | 1 |
| KY-2021-011-02-01 | 300-00 (300-A3) | OPERATING ASSISTANCE | \$3,203,878.00 | \$0.00 | \$3,203,878.00 | 0 |
| KY-2021-011-02-01 | 30.09.08 | EMER RELIEF - OPERATING ASSIST - 100% Fed Share | \$3,203,878.00 | \$0.00 | \$3,203,878.00 | 0 |

Discretionary Allocations

This application does not contain discretionary allocations.

Part 3: Project Information

**Project Title: CARES FFY20 Section 5311 Construction of Facility
AACS Owensboro**

| Project Number | Temporary Project Number | Date Created | Start Date | End Date |
|-------------------|--------------------------|--------------|------------|------------|
| KY-2021-011-01-01 | 1003-2021-4-P1 | 3/19/2021 | 4/5/2021 | 11/15/2024 |

Project Description

The application scope of work includes:

\$1,500,000 in Capital Assistance,
ALI CODE Add on Administrative Facility
11.43.01

100% Federal

The address is 222 Saint Elizabeth Street Owensboro, Kentucky

See Preliminary Floor Plan

KYTC is not funding Engineering/Design or construction Management, Nor is Engineering/Design or construction management coming out of this grant.

1) The project will be designed by a local Architectural firm that was selected from 3 firms via a Request for Qualifications process. The award was based on a scoring criteria, and the highest scoring firm was chosen. The Architectural firm will select Engineers for use on this project, the firm chosen has some Engineering disciplines on staff. The Architect will be responsible for complete construction drawings and specifications, as well as routine on-site inspections to insure compliance with plans and specifications. A testing firm will be hired by Audubon Area Community Services (Owner) to insure all necessary testing will be performed by an independent firm, qualified to perform testing services. Once the Architect has completed construction documents that are reviewed and approved by the Owner, and the KYTC Office of Transportation Delivery, a bid date will be chosen and the project will be placed out for bid to General Contracting firms. The lowest and best bid will be selected from the responding General Contractors and a contract will be signed and construction will be underway.

2) The land that this addition will be constructed on is owned by Audubon Area Community Services, with a Deed of restrictions placed on it by the KYTC/FTA. This property was kept vacant when the original Parking Garage was constructed with the intent of allowing for future expansion.

The addition will consist of a single story, and 4,790 square feet. It will consist of 9 offices in the addition, plus 2 restrooms, one conference room, and a training room to accommodate 78 persons. There are

multiple modifications to the existing space to accommodate, a Driver's area, 2 more additional restrooms, a larger breakroom, a larger workroom and additional space for Reservations and Dispatching. The building is being expanded for multiple reasons. Reason number one is to allow for more space to increase and allow for social distancing. In the current building configuration all employees must utilize 1 restroom. With 20 office staff members and 80 additional Drivers coming and going this situation is neither functional, or sanitary, and very difficult to accommodate during the pandemic. In addition our Breakroom is too small for this many employees. Our organization has grown dramatically from the time this building was constructed and our training room had to be converted into office space to accommodate the larger management staff.

Construct additional space attached to the current transit facility in Owensboro to include a training room, office space, restrooms, driver's work area, conference room, increased space for technology, larger office workroom, and a larger breakroom, larger spaces for COVID work distancing safety

Adding Administrative Facility

KYTC does not own the land but will place a deed of restrictions on the property/facility STATING USEFUL LIFE 40 YEARS AND FTA/KYTC MUST APPROVE IN DISPOSAL/USAGE OF THE PROPERTY

Project Benefits

Allow drivers, employees to have space to distance workstations, break rooms and maintain safe environment during COVID or any other pandemic

Additional Information

None provided.

Location Description

Congressional District 2
 Dan Lanham
 Director of Transportation
 AACS/GRITS Transportation
 222 Saint Elizabethtown Street
 Owensboro, KY 42301

Project Location (Urbanized Areas)

| UZA Code | Area Name |
|----------|---------------|
| 210000 | Kentucky |
| 212750 | Owensboro, KY |

Congressional District Information

| State | District | Representative |
|----------|----------|----------------|
| Kentucky | 2 | Brett Guthrie |

Program Plan Information

STIP/TIP

Date: N/A

Description: N/A

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

| Funding Source | Section of Statute | CFDA Number | Amount |
|---------------------------------------|--------------------|-------------|--------------------|
| 5311 - Rural Area Formula (CARES Act) | 5311-5 | 20509 | \$1,500,000 |
| Local | | | \$0 |
| Local/In-Kind | | | \$0 |
| State | | | \$0 |
| State/In-Kind | | | \$0 |
| Other Federal | | | \$0 |
| Transportation Development Credit | | | \$0 |
| Adjustment | | | \$0 |
| Total Eligible Cost | | | \$1,500,000 |

Project Budget

| Project Number | Budget Item | FTA Amount | Non-FTA Amount | Total Eligible Amount | Quantity |
|-------------------|---|----------------|----------------|-----------------------|----------|
| KY-2021-011-01-01 | 114-00 (114-A1) BUS: SUPPORT EQUIP AND FACILITIES | \$1,500,000.00 | \$0.00 | \$1,500,000.00 | 1 |
| KY-2021-011-01-01 | 11.43.01 CONSTRUCT - ADMINISTRATIVE BUILDING | \$1,500,000.00 | \$0.00 | \$1,500,000.00 | 1 |

Project Budget Activity Line Items

Budget Activity Line Item: 11.43.01 - CONSTRUCT - ADMINISTRATIVE BUILDING

| Scope Name / Code | Line Item # | Line Item Name | Activity | Quantity |
|--|-------------|-------------------------------------|---|----------|
| BUS: SUPPORT EQUIP AND FACILITIES (114-00) | 11.43.01 | CONSTRUCT - ADMINISTRATIVE BUILDING | CONSTRUCTION OF BUS SUPPORT EQUIP/FACIL | 1 |

Extended Budget Description

Federal Share \$1,500,000

Local \$0

Total line item \$1,500,000

11.41.03 Owensboro AACs/GRITS

This Facility is Located 222 Saint Elizabeth Street Owensboro, Kentucky.

The addition will consist of a single story, and 4,790 square feet. It will consist of 9 offices in the addition, plus 2 restrooms, one conference room, and a training room to accommodate 78 persons. There are multiple modifications to the existing space to accommodate, a Driver's area, 2 more additional restrooms, a larger breakroom, a larger workroom and additional space for Reservations and Dispatching. The building is being expanded for multiple reasons. Reason number one is to allow for more space to increase and allow for social distancing. In the current building configuration all employees must utilize 1 restroom. With 20 office staff members and 80 additional Drivers coming and going this situation is neither functional, or sanitary, and very difficult to accommodate during the pandemic. In addition our Breakroom is too small for this many employees. Our organization has grown dramatically from the time this building was constructed and our training room had to be converted into office space to accommodate the larger management staff.

Construct additional space attached to the current transit facility in Owensboro to include a training room, office space, restrooms, driver's work area, conference room, increased space for technology, larger office workroom, and a larger breakroom.

The Recipient certifies that it, and its subrecipients and contractors that are providers of public transportation, have not furloughed any employees since March 27, 2020 (the enactment date of the CARES Act).

40 Years Useful Life

Additional information in attachments of grant

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

| Funding Source | Section of Statute | CFDA Number | Amount |
|---------------------------------------|--------------------|-------------|--------------------|
| 5311 - Rural Area Formula (CARES Act) | 5311-5 | 20509 | \$1,500,000 |
| Local | | | \$0 |
| Local/In-Kind | | | \$0 |
| State | | | \$0 |
| State/In-Kind | | | \$0 |
| Other Federal | | | \$0 |
| Transportation Development Credit | | | \$0 |
| Adjustment | | | \$0 |
| Total Eligible Cost | | | \$1,500,000 |

| Milestone Name | Est. Completion Date | Description |
|---|----------------------|--|
| Start Date | 4/5/2021 | AACS/GRITS will submit design and procurement documents and send to State for Approval |
| State approval of design/procurement facility documents | 6/15/2021 | State review footprint/design documents Review for compliance State seeks to approve beginning of construction process |

| | | |
|---|------------|--|
| Approve bid process | 8/1/2021 | Approve bid process |
| AACS GRITS Progress Report to KYTC/OTD | 11/1/2021 | AACS GRITS will make a progress Report to the State |
| State Inspections/AACS GRITS Progress Reports | 2/15/2022 | State will continue on going inspections and AACS/GRITS will submit Progress Report |
| AACS GRITS Progress Report March 2023 | 3/15/2023 | AACS GRITS will submit ongoing progress reports of the construction KYTC/OTD will monitor and discuss with the progress and reimburse payments of work |
| Final work construction performance | 11/15/2024 | final performance work construction on project |
| End Date | 3/28/2025 | Complete inspections, invoices and initiate closeout |

Project Environmental Findings

Finding: Class II(d) - Categorical Exclusions (D-List)

Class Level Description

Class II(d) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(d) requires documentation.

Categorical Exclusion Description

Type 09: Other

| Date | Description |
|------|-----------------------|
| | Class IId CE Approved |

| Scope Name / Code | Line Item Number | Line Item Name | Quantity | FTA Amount | Total Eligible Cost |
|--|------------------|-------------------------------------|----------|----------------|---------------------|
| BUS: SUPPORT EQUIP AND FACILITIES (114-00) | 11.43.01 | CONSTRUCT - ADMINISTRATIVE BUILDING | 1 | \$1,500,000.00 | \$1,500,000.00 |

;

Project Title: KY River Foothills Add Construction of Adm/Maintenance Facility

| Project Number | Temporary Project Number | Date Created | Start Date | End Date |
|-------------------|--------------------------|--------------|------------|-----------|
| KY-2021-011-02-01 | KY-2021-011-01-P2 | 3/19/2021 | 5/17/2021 | 6/30/2022 |

Project Description

The Kentucky Transportation Cabinet (KYTC/OTD) is making an AMENDMENT to the Application KY 2021-011-00 for FFY20 CARES Section 5311 Federal 100% Amendment #1 adds the below project KY-2021-011-01-P2

The Total FFY20 CARES Apportionment: \$58,808,456 and \$1,764,000 total \$60,572,456,

Under KY 2020-011-00/02/03 \$46,974,828 has been awarded.
Under KY 2021-011-00 \$1,500,000 was awarded 3/18//2021.
This pending grant is for \$6,597,628 Federal. Amendment#1
The remaining balance of the FFY20 CARES is \$5,500,000.
This Grant Applications includes \$3,393,750 for construction and A & E for ONE Facility in Richmond, Ky
LOCATED: 6021-6025 Atwood Dr, Richmond, KY 40475
Referred to as Madison County Transit Hub (USEFUL LIFE 40 YEARS)
and for Operating total Federal: \$3,203,878.
(SCOPE(S) 300 & 114)

SEE POP

SEE NEPA Approvals

The Recipient certifies that it, and its subrecipients and contractors that are providers of public transportation, have not furloughed any employees since March 27, 2020 (the enactment date of the CARES Act).

This grant amendment is for \$6,597,628 KY-2021-011-01

30.09.08 Operating \$3,203,878

11.41.03 A & E \$262,500

11.43.03 Paving \$56,250 (5 years useful life)

11.43.03 Construction of Adm/Maintenance Facility \$3,075,000 (40 yr useful life)

TO DATE the Total Federal Share AWARDED: \$48,474,828 There is a total intercity of 7.33% of the 5311 CARES Act grant programmed for Intercity from CARES FFY20 apportionment.. Although 15% (\$8,821,268) of the Apportionment has not yet been fully designated for Intercity, the remaining apportionment includes an intercity construction (\$5.5), which will surpass the 15% Intercity and will meet the requirement of 15% of the total 5311 CARES Act Apportionment to be spent on Intercity.

The Balance of unobligated CARES funding is \$5,500,000

See Attached POP and STIP. See attached POP for Subrecipient Names, Amounts by ALI Code and Scope, and useful life information.

KYTC will not use indirect funds.

This is not a Research and Development Grant.

The Office of Transportation Delivery (OTD) has neither applied for nor received funding from FEMA or any other Federal Agency.

The Recipient agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.

No projects in this application lead to a functional, location, or capacity change.

Per the CARES Act, the project(s) in this application is not required to be programmed in the Long-Range Transportation Plan or Statewide Transportation Improvement Program. KYTC/OTD is a designated recipient of the Section 5311 funds. The KYTC/OTD understands that the expenses requested in this application are subject to review as part of the agency's next State Management Review.

No insurance claims have been filed, and no insurance proceeds have been received. KYTC/OTD will follow all 3rd party procurement policies as defined in C4220.1F (Third Party Contracting Guidance).

KYTC/OTD will require subrecipients to have Independent Cost Estimates and a Price/Cost Analysis on file for these projects, to ensure contractors procured will not be on the FTA Debarment and Suspension List, & to follow all 3rd party procurement policies as defined in C 4220.1F. KYTC/OTD will ensure contractors procured will not be on the FTA Suspension and Debarment list.

Project Benefits

PROJECT DESCRIPTION- USEFUL LIFE 40 YEARS

Foothills Express has outgrown its current facility (309 Spangler Drive, Richmond) and to accommodate COVID-19 recommendations has adjusted transit work schedules where staff alternate working from the office. This has kept staff members from being crowded into small offices (for example, three dispatchers commonly shared one office prior to COVID-19 precautions). The new facility will allow staff to be distanced enough to work in the office together which will increase efficiency and camaraderie among team members.

The proposed project will have three buildings: main facility; bus maintenance garage; and storage building. In addition to conference and training space, it will have 12 offices plus the dispatch center. The facility will comprise 12,000 square footage. The space will be primarily used by Foothills Express transportation staff including support services such as fiscal, IT, HR, and management. Occasionally the conference and training rooms may be used for short-term meetings/events by other groups including Foothills' programs, partner organizations

Additional Information

Specifically, the design of the proposed facility will promote social distancing by separating dispatch staff with cubicle style desks; having separate training and conference areas (rather than a shared space as we currently have); having a large waiting room in the front of the building; having separate office suites for transit staff and support staff including fiscal, HR, IT, and management. Covered patios on each side of the building will allow for staff to enjoy fresh air during breaks; and additional parking for visitors will isolate employee and bus parking.

Location Description

This Grant Applications includes \$3,393,750 for construction and A & E for ONE Facility in Richmond, Ky Located: 6021-6025 Atwood Dr, Richmond, KY 40475. Congressional District #6

Project Location (Urbanized Areas)

| UZA Code | Area Name |
|----------|-----------------------|
| 210000 | Kentucky |
| 211250 | Lexington-Fayette, KY |

Congressional District Information

| State | District | Representative |
|----------|----------|----------------|
| Kentucky | 6 | Andy Barr |

Program Plan Information

STIP/TIP

Date: N/A
Description: N/A

UPWP

Date: N/A
Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

| Funding Source | Section of Statute | CFDA Number | Amount |
|---------------------------------------|--------------------|-------------|--------------------|
| 5311 - Rural Area Formula (CARES Act) | 5311-5 | 20509 | \$6,597,628 |
| Local | | | \$0 |
| Local/In-Kind | | | \$0 |
| State | | | \$0 |
| State/In-Kind | | | \$0 |
| Other Federal | | | \$0 |
| Transportation Development Credit | | | \$0 |
| Adjustment | | | \$0 |
| Total Eligible Cost | | | \$6,597,628 |

Project Budget

| Project Number | Budget Item | FTA Amount | Non-FTA Amount | Total Eligible Amount | Quantity |
|-------------------|--|----------------|----------------|-----------------------|----------|
| KY-2021-011-02-01 | 114-00 (114-A2) BUS: SUPPORT EQUIP AND FACILITIES | \$3,393,750.00 | \$0.00 | \$3,393,750.00 | 1 |
| KY-2021-011-02-01 | 11.41.03 ENG/DESIGN - ADMIN/MAINTENANCE FACILITY | \$262,500.00 | \$0.00 | \$262,500.00 | 0 |
| KY-2021-011-02-01 | 11.43.03 CONSTRUCT - ADMIN/MAINT FACILITY | \$3,131,250.00 | \$0.00 | \$3,131,250.00 | 1 |
| KY-2021-011-02-01 | 300-00 (300-A3) OPERATING ASSISTANCE | \$3,203,878.00 | \$0.00 | \$3,203,878.00 | 0 |
| KY-2021-011-02-01 | 30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share | \$3,203,878.00 | \$0.00 | \$3,203,878.00 | 0 |

Project Budget Activity Line Items

Budget Activity Line Item: 30.09.08 - EMER RELIEF - OPERATING ASSIST - 100% Fed Share

| Scope Name / Code | Line Item # | Line Item Name | Activity | Quantity |
|-------------------|-------------|----------------|----------|----------|
|-------------------|-------------|----------------|----------|----------|

OPERATING ASSISTANCE (300-00) 30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share OPERATING ASSISTANCE 0

Extended Budget Description

30.09.08
 Operating Federal Share \$3,203,878.00
 Total Eligible \$3,203,878
 See POP detail transit agencies

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

| Funding Source | Section of Statute | CFDA Number | Amount |
|---------------------------------------|--------------------|-------------|--------------------|
| 5311 - Rural Area Formula (CARES Act) | 5311-5 | 20509 | \$3,203,878 |
| Local | | | \$0 |
| Local/In-Kind | | | \$0 |
| State | | | \$0 |
| State/In-Kind | | | \$0 |
| Other Federal | | | \$0 |
| Transportation Development Credit | | | \$0 |
| Adjustment | | | \$0 |
| Total Eligible Cost | | | \$3,203,878 |

| Milestone Name | Est. Completion Date | Description |
|------------------------------------|----------------------|---|
| Start Date to begin by July 1 2021 | 7/1/2021 | State to reimburse operating expenses by July 1, 2021 |
| End Date | 6/30/2022 | to complete disbursements by June 30, 2022 |

Budget Activity Line Item: 11.41.03 - ENG/DESIGN - ADMIN/MAINTENANCE FACILITY

| Scope Name / Code | Line Item # | Line Item Name | Activity | Quantity |
|--|-------------|---|--|----------|
| BUS: SUPPORT EQUIP AND FACILITIES (114-00) | 11.41.03 | ENG/DESIGN - ADMIN/MAINTENANCE FACILITY | ENGINEERING & DESIGN - BUS SUPPORT EQUIP/FACIL | 0 |

Extended Budget Description

11.41.03 Federal Share \$262,500 (100% CARES)
 Subgrantee Kentucky River Foothills Development.
 Eng/Design of Madison Transit Hub located at located: 6021-6025 Atwood Dr, Richmond, KY 40475.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

| Funding Source | Section of Statute | CFDA Number | Amount |
|---------------------------------------|--------------------|-------------|------------------|
| 5311 - Rural Area Formula (CARES Act) | 5311-5 | 20509 | \$262,500 |
| Local | | | \$0 |
| Local/In-Kind | | | \$0 |
| State | | | \$0 |
| State/In-Kind | | | \$0 |
| Other Federal | | | \$0 |
| Transportation Development Credit | | | \$0 |
| Adjustment | | | \$0 |
| Total Eligible Cost | | | \$262,500 |

| Milestone Name | Est. Completion Date | Description |
|---------------------------|----------------------|--|
| Start Date | 4/15/2021 | Engineer/Design of Facility Approval Start Date |
| Ongoing Progress of A & E | 12/15/2021 | Ongoing Progress of A & E |
| End Date | 1/5/2023 | End Date of Eng/Design of Kentucky River Foothills Facility Located located: 6021-6025 Atwood Dr, Richmond, KY 40475 |

Budget Activity Line Item: 11.43.03 - CONSTRUCT - ADMIN/MAINT FACILITY

| Scope Name / Code | Line Item # | Line Item Name | Activity | Quantity |
|--|-------------|----------------------------------|---|----------|
| BUS: SUPPORT EQUIP AND FACILITIES (114-00) | 11.43.03 | CONSTRUCT - ADMIN/MAINT FACILITY | CONSTRUCTION OF BUS SUPPORT EQUIP/FACIL | 1 |

Extended Budget Description

11.43.03

\$3,131,250 Federal Share (100% CARES)

PROJECT DESCRIPTION- USEFUL LIFE 40 YEARS for Facility

Paving around Facility will have a Useful Life of 5 years.

Foothills Express has outgrown its current facility (309 Spangler Drive, Richmond) and to accommodate COVID-19 recommendations has adjusted transit work schedules where staff alternate working from the office. This has kept staff members from being crowded into small offices (for example, three dispatchers commonly shared one office prior to COVID-19 precautions). The new facility will allow staff to be distanced enough to work in the office together which will increase efficiency and camaraderie among team members.

Specifically, the design of the proposed facility will promote social distancing by separating dispatch staff with cubicle style desks; having separate training and conference areas (rather than a shared space as we currently have); having a large waiting room in the front of the building; having separate office suites for transit staff and support staff including fiscal, HR, IT, and management. Covered patios on each side of the building will allow for staff to enjoy fresh air during breaks; and additional parking for visitors will isolate employee and bus parking.

The proposed project will have three buildings

Main facility; Bus maintenance garage; and Storage building. In addition to conference and training space, it will have 12 offices plus the dispatch center. The facility will comprise 12,000 square footage. The space will be primarily used by Foothills Express transportation staff including support services such as fiscal, IT, HR, and management. Occasionally the conference and training rooms may be used for short-term meetings/events by other groups including Foothills' programs, partner organizations

Within the operational facility, a large training room will enable Foothills Express to conduct staff training at a central location. This space will also allow KRFDC to host community events, such as public hearings, board of directors meetings, and other extended learning including CPR, First-Aid, Bloodborne Pathogen, Defensive Driving, Quarterly Meetings, etc. Due to extremely limited meeting and parking space, the agency is not able to host these types of meetings and trainings at its current location. Thus, Foothills Express staff often utilizes a complimentary space on the campus of Eastern Kentucky University.

Justification for New Facility: Currently, the Foothills Express operational staff is currently positioned in a facility not suitable for use as a true transit hub due to its location and space limitations. Specifically, it is located in a business district that is almost 100% developed, leaving little opportunity for expansion, flexibility, or transparency for its many patrons.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

| Funding Source | Section of Statute | CFDA Number | Amount |
|---------------------------------------|--------------------|-------------|--------------------|
| 5311 - Rural Area Formula (CARES Act) | 5311-5 | 20509 | \$3,131,250 |
| Local | | | \$0 |
| Local/In-Kind | | | \$0 |
| State | | | \$0 |
| State/In-Kind | | | \$0 |
| Other Federal | | | \$0 |
| Transportation Development Credit | | | \$0 |
| Adjustment | | | \$0 |
| Total Eligible Cost | | | \$3,131,250 |

| Milestone Name | Est. Completion Date | Description |
|---|----------------------|---|
| Start Date | 5/17/2021 | KRF will submit to the State for approval Procurement Documents and Design Plans for construction. |
| Approval to go out to bid | 6/1/2021 | Approval to go out for construction |
| Approval of award on bid | 7/1/2021 | State approval of award |
| Periodic Inspections and review of progress reports | 8/2/2021 | State review of progress/inspections |
| State Review of Progress Reports | 12/1/2021 | State Review of Construction Progress |
| State Final Approval/Inspections/Invoicing | 8/25/2024 | State will make final inspections/review of construction project. finalize invoicing and reports by |
| End Date of Construction | 10/15/2024 | Finals End of Project |

Project Environmental Findings

Finding: Class II(d) - Categorical Exclusions (D-List)

Class Level Description

Class II(d) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(d) requires documentation.

Categorical Exclusion Description

Type 09: Other

| Date Description | Date |
|-----------------------|------|
| Class IId CE Approved | |

| Scope Name / Code | Line Item Number | Line Item Name | Quantity | FTA Amount | Total Eligible Cost |
|--|------------------|----------------------------------|----------|----------------|---------------------|
| BUS: SUPPORT EQUIP AND FACILITIES (114-00) | 11.43.03 | CONSTRUCT - ADMIN/MAINT FACILITY | 1 | \$3,131,250.00 | \$3,131,250.00 |

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

| Date Description | Date |
|-----------------------|------|
| Class IIc CE Approved | |

| Scope Name / Code | Line Item Number | Line Item Name | Quantity | FTA Amount | Total Eligible Cost |
|--|------------------|---|----------|--------------|---------------------|
| BUS: SUPPORT EQUIP AND FACILITIES (114-00) | 11.41.03 | ENG/DESIGN - ADMIN/MAINTENANCE FACILITY | 0 | \$262,500.00 | \$262,500.00 |

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an

environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

| Date | Description | Date |
|------|-----------------------|------|
| | Class IIc CE Approved | |

| Scope Name / Code | Line Item Number | Line Item Name | Quantity | FTA Amount | Total Eligible Cost |
|-------------------------------|------------------|---|----------|----------------|---------------------|
| OPERATING ASSISTANCE (300-00) | 30.09.08 | EMER RELIEF - OPERATING ASSIST - 100% Fed Share | 0 | \$3,203,878.00 | \$3,203,878.00 |

Part 4: Fleet Details

No fleet data exists for this application.

Part 5: FTA Review Comments

FTA Comments for DOL

Comment By Roxanne Ledesma

| | |
|--------------|----------------------------|
| Comment Type | DOL Review for Information |
| Date | 3/29/2021 |
| Comment | DOL for Information |

Application Review Comments

Comment By Doretha Foster

| | |
|--------------|---------------------|
| Comment Type | Application Details |
| Date | 3/23/2021 |

Civil Rights Concurrence with Comments:

KENTUCKY TRANSPORTATION CABINET must comply with all applicable Federal laws and regulations related to this project, including the ADA Standards for Transportation Facilities, based on the U.S. Access Board’s ADA Accessibility Guidelines. Found here: <http://www.access-board.gov/guidelines-and-standards/transportation/facilities/ada-standards-for-transportation-facilities>

Additionally, recipient must comply with Title IV requirements as outlined in 49 CFR Section 21.9(b)(3).

Requirements to Remember for: Construction of New Facilities

- As required under DOT ADA Standard 201 and DOT ADA Regulation 49 C.F.R. 37.43(a)(1), KENTUCKY TRANSPORTATION CABINET must ensure that altered or added portions of the facility must be made accessible.
- As required by DOT ADA Regulation 49 C.F.R. 37.43(a)(2) and DOT ADA Standard 202.3, KENTUCKY TRANSPORTATION CABINET must ensure that the path of travel to the altered or added portion of the facility must be made accessible, to the maximum extent feasible.
- As required by DOT ADA Standard 202.4 and DOT ADA Regulation 49 C.F.R. 37.43(e)(1), if the path of travel cannot be made accessible, KENTUCKY TRANSPORTATION CABINET must submit to FTA an analysis demonstrating that the cost of making the path of travel accessible is disproportionate to (i.e., > 20%) the cost of the alterations or additions to the primary function area.
- As required by DOT ADA Standard 202.4 and DOT ADA Regulation 49 C.F.R. 37.43(b), if the path of travel cannot be made accessible, KENTUCKY TRANSPORTATION CABINET must submit to FTA an analysis demonstrating that site-specific conditions prevent you from making the path of travel accessible. Include relevant diagrams and maps.

Title VI Requirements for Siting of New Facilities:

To comply with Title VI requirements as outlined in 49 CFR Section 21.9(b)(3) and as described in FTA Circular 4702.1B, KENTUCKY TRANSPORTATION CABINET must ensure that a Title VI equity analysis (often called a site equity analysis) is completed during the planning stage to determine whether the site or location of a facility will result in discrimination on the grounds of race, color or national origin.

The site equity analysis must compare the equity impacts of various siting alternatives, and the analysis must occur before the selection of the preferred site. If the recipient determines that the location of the project will result in a disparate impact, the recipient may only locate the project in that location if there is a substantial legitimate justification for locating the project there, and where there are no alternative locations that would have a less disparate impact. The recipient must show how both tests are met; it is important to understand that in order to make this showing, the recipient must consider and analyze alternatives to determine whether those alternatives would have less of a disparate impact on the basis of race, color, or national origin, and then implement the least discriminatory alternative.

The information provided above is intended as technical assistance and applies only to the project as described. Should the project scope change or new information on the project be provided, FTA may require a re-evaluation of the project information as it relates to ADA and require additional information. This confirmation is not an express or implied promise of project compliance with Title VI or the ADA.

Part 6: Agreement

**UNITED STATES OF AMERICA
DEPARTMENT OF TRANSPORTATION
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT
(FTA G-28, February 9, 2021)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(28), February 9, 2021, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

FTA AWARD

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

Recipient Information

Recipient Name: TRANSPORTATION CABINET, KENTUCKY

Recipient ID: 1003

DUNS No: 927328732

Application Information

Federal Award Identification Number: KY-2021-011-01

Application Name: CARES FFY20 Section 5311 Construction of add on Administrative Facility

Application Start Date: 3/18/2021

Original Award End Date: 3/30/2028

Current Award End Date: 3/30/2028

Application Executive Summary: Amendment #1- adds Project KY-2021-011-01-P2. The Kentucky Transportation Cabinet (KYTC/OTD) is making an AMENDMENT to the Application KY 2021-011-00 for FFY20 CARES Section 5311 Federal 100%

The Total FFY20 CARES Apportionment:
\$58,808,456 and \$1,764,000 total \$60,572,456,

Under KY 2021-011-00 \$1,500,000 was awarded 3/18//2021.
This pending grant is for \$6,597,628 Federal. Amendment#1
The remaining balance of the FFY20 CARES is \$5,500,000.
This Grant Applications includes \$3,393,750 for construction and A & E for ONE Facility in Richmond, Ky
(USEFUL LIFE 40 YEARS)
LOCATED: 6021-6025 Atwood Dr, Richmond, KY 40475
Referred to as Madison County Transit Hub \$3,393,750 FEDERAL SHARE 100%
and for Operating total Federal: \$3,203,878.
(SCOPE(S) 300 & 114)

SEE POP
SEE NEPA Approvals

The proposed project will have three buildings: main facility; bus maintenance garage; and storage building. In addition to conference and training space, it will have 12 offices plus the dispatch center. The facility will comprise 12,000 square footage. The space will be primarily used by Foothills Express transportation staff including support services such as fiscal, IT, HR, and man.
SEE Project Site.

The Recipient agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.

This project is listed in the current Statewide Transportation Improvement Program (STIP), approved by FTA/FHWA
KYTC will follow all 3rd party procurement policies as defined in C4220.1F (Third Party Contracting Guidance).
KYTC will ensure contractors procured will not be on the FTA Suspension and Debarment list.
The intercity bus requirement has been waived, but intercity bus activities are an eligible expense. There is not any additional RTAP funding.

The Recipient certifies that it, and its subrecipients and contractors that are providers of public transportation, have not furloughed any employees since March 27, 2020 (the enactment date of the CARES Act

The Kentucky Transportation Cabinet (KYTC/OTD) is making application for FFY20 CARES Section 5311 Federal 100%
The Total FFY20 CARES Apportionment:
\$58,808,456 and \$1,764,000 total \$60,572,456
Under KY 2020-011 \$46,974,828 has been awarded.
The remaining balance of the FFY20 CARES is \$13,597,628

Pre-Award for expenses that occurred on or after January 20, 2020.Total Federal funds for this Application is \$1,500,000
The Balance of unobligated CARES funding after this GRANT is \$12,097,628
The GRANT is for FFY 2020 Section 5311 CARES Act funds in the amount of \$1,500,000 (100% Federal Share). This application utilizes CARES Act funding to help respond to and recover from the COVID-19 pandemic. Per the CARES Act, the grant requests 100% Federal Share.

The application scope of work includes:
\$1,500,000 in Capital Assistance,

ALI CODE Construction Administrative Facility
11.43.01
100% Federal
SEE Preliminary Floor Plan
The address is 222 Saint Elizabeth Street Owensboro, Kentucky.

Construct additional space attached to the current transit facility in Owensboro to include a training room, office space, restrooms, driver's work area, conference room, increased space for technology, larger office workroom, and a larger breakroom, larger spaces for COVID WORK distancing safety.

1) The project will be designed by a local Architectural firm that was selected from 3 firms via a Request for Qualifications process. The award was based on a scoring criteria, and the highest scoring firm was chosen. The Architectural firm will select Engineers for use on this project, the firm chosen has some Engineering disciplines on staff. The Architect will be responsible for complete construction drawings and specifications, as well as routine on-site inspections to insure compliance with plans and specifications. A testing firm will be hired by Audubon Area Community Services (Owner) to insure all necessary testing will be performed by an independent firm, qualified to perform testing services. Once the Architect has completed construction documents that are reviewed and approved by the Owner, and the KYTC Office of Transportation Delivery, a bid date will be chosen and the project will be placed out for bid to General Contracting firms. The lowest and best bid will be selected from the responding General Contractors and a contract will be signed and construction will be underway.

2) The land that this addition will be constructed on is owned by Audubon Area Community Services, with a deed of restrictions placed on it by the KYTC/FTA. This property was kept vacant when the original Parking Garage was constructed with the intent of allowing for future expansion. See details in Extended budget and POP. Floor Plan also included as an attached document and in POP.

No federal funding was previously received for this project or obligated in another grant application.

The Recipient agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.

This project is listed in the current Statewide Transportation Improvement Program (STIP), approved by FTA/FHWA

KYTC will follow all 3rd party procurement policies as defined in C4220.1F (Third Party Contracting Guidance).

KYTC will ensure contractors procured will not be on the FTA Suspension and Debarment list.

The intercity bus requirement has been waived, but intercity bus activities are an eligible expense. There is not any additional RTAP funding.

The Recipient certifies that it, and its subrecipients and contractors that are providers of public transportation, have not furloughed any employees since March 27, 2020 (the enactment date of the CARES Act

Research and Development: This award does not include research and development activities.

Indirect Costs: This award does not include an indirect cost rate.

Suballocation Funds: Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Pre-Award Authority: This award is using Pre-Award Authority.

Application Budget

Total Application Budget: \$8,097,628.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$6,597,628.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$0.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$8,097,628.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$0.00

Application Budget Control Totals

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

| Funding Source | Section of Statute | CFDA Number | Amount |
|---------------------------------------|---------------------------|--------------------|--------------------|
| 5311 - Rural Area Formula (CARES Act) | 5311-5 | 20509 | \$8,097,628 |
| Local | | | \$0 |
| Local/In-Kind | | | \$0 |
| State | | | \$0 |
| State/In-Kind | | | \$0 |
| Other Federal | | | \$0 |
| Transportation Development Credit | | | \$0 |
| Adjustment | | | \$0 |
| Total Eligible Cost | | | \$8,097,628 |

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:

Review Decision: Special Warranty
Original Certification Date: 3/15/2021

Special Conditions

There are no special conditions.

FINDINGS AND DETERMINATIONS

By signing this Award on behalf of FTA, I am making all the determinations and findings required by

federal law and regulations before this Award may be made.

FTA AWARD OF THE GRANT AGREEMENT

Awarded By:

FEDERAL TRANSIT ADMINISTRATION
U.S. DEPARTMENT OF TRANSPORTATION
Contact Info:
Award Date:

EXECUTION OF THE GRANT AGREEMENT

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
 - (a) Statements,
 - (b) Representations,
 - (c) Warranties,
 - (d) Covenants, and
 - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

TRANSPORTATION CABINET, KENTUCKY