

# DOT

# FTA

U.S. Department of Transportation

Federal Transit Administration

## Application

<b>Federal Award Identification Number (FAIN)</b>	KY-2021-011-00
<b>Temporary Application Number</b>	1003-2021-4
<b>Application Name</b>	CARES FFY20 Section 5311 Construction of add on Administrative Facility
<b>Application Status</b>	Final Concurrence / Reservation
<b>Application Budget Number</b>	0

<b>Period of Performance Start Date</b>	N/A
<b>Original Period of Performance End Date</b>	3/30/2028
<b>Current Period of Performance End Date</b>	N/A Revision #: N/A

### Part 1: Recipient Information

**Name: TRANSPORTATION CABINET, KENTUCKY**

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
1003	State Agency	KENTUCKY TRANSPORTATION CABINET	927328732

Location Type	Address	City	State	Zip
Headquarters	STATE CAPITOL-700 CAPITOL AVE	FRANKFORT	KY	406013410
Physical Address	200 MERO ST	FRANKFORT	KY	40601
Mailing Address	OFFICE TRANSPORTATION DELIVERY	FRANKFORT	KY	40601

### Union Information

<b>Union Name</b>	NONE No Union
Address 1	0000 No Union
Address 2	
City	No Union

State	KY
Zipcode	00000
Contact Name	NONE No Union NONE No Union
Telephone	(000) 000-0000
Fax	
E-mail	nounion@none.com
Website	

## Part 2: Application Information

### Title: CARES FFY20 Section 5311 Construction of add on Administrative Facility

FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
KY-2021-011-00	Final Concurrence / Reservation	Grant	3/2/2021	3/2/2021	No

#### Application Executive Summary

The Kentucky Transportation Cabinet (KYTC/OTD) is making application for FFY20 CARES Section 5311 Federal 100%

The Total FFY20 CARES Apportionment:

\$58,808,456 and \$1,764,000 total \$60,572,456

Under KY 2020-011 \$46,974,828 has been awarded.

The remaining balance of the FFY20 CARES is \$13,597,628

Pre-Award for expenses that occurred on or after January 20, 2020. Total Federal funds for this Application is \$1,500,000

The Balance of unobligated CARES funding after this GRANT is \$12,097,628

The GRANT is for FFY 2020 Section 5311 CARES Act funds in the amount of \$1,500,000 (100% Federal Share). This application utilizes CARES Act funding to help respond to and recover from the COVID-19 pandemic. Per the CARES Act, the grant requests 100% Federal Share.

The application scope of work includes:

\$1,500,000 in Capital Assistance,

ALI CODE Construction Administrative Facility

11.43.01

100% Federal

SEE Preliminary Floor Plan

The address is 222 Saint Elizabeth Street Owensboro, Kentucky.

Construct additional space attached to the current transit facility in Owensboro to include a training room, office space, restrooms, driver's work area, conference room, increased space for technology, larger office workroom, and a larger breakroom, larger spaces for COVID WORK distancing safety.

1) The project will be designed by a local Architectural firm that was selected from 3 firms via a Request for Qualifications process. The award was based on a scoring criteria, and the highest scoring firm was chosen. The Architectural firm will select Engineers for use on this project, the firm chosen has some Engineering disciplines on staff. The Architect will be responsible for complete construction drawings and specifications, as well as routine on-site inspections to insure compliance with plans and specifications. A

testing firm will be hired by Audubon Area Community Services (Owner) to insure all necessary testing will be performed by an independent firm, qualified to perform testing services. Once the Architect has completed construction documents that are reviewed and approved by the Owner, and the KYTC Office of Transportation Delivery, a bid date will be chosen and the project will be placed out for bid to General Contracting firms. The lowest and best bid will be selected from the responding General Contractors and a contract will be signed and construction will be underway.

2 ) The land that this addition will be constructed on is owned by Audubon Area Community Services, with a deed of restrictions placed on it by the KYTC/FTA. This property was kept vacant when the original Parking Garage was constructed with the intent of allowing for future expansion. See details in Extended budget and POP. Floor Plan also included as an attached document and in POP.

No federal funding was previously received for this project or obligated in another grant application.

The Recipient agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.

This project is listed in the current Statewide Transportation Improvement Program (STIP), approved by FTA/FHWA

KYTC will follow all 3rd party procurement policies as defined in C4220.1F (Third Party Contracting Guidance).

KYTC will ensure contractors procured will not be on the FTA Suspension and Debarment list.

The intercity bus requirement has been waived, but intercity bus activities are an eligible expense. There is not any additional RTAP funding.

The Recipient certifies that it, and its subrecipients and contractors that are providers of public transportation, have not furloughed any employees since March 27, 2020 (the enactment date of the CARES Act

**Frequency of Milestone Progress Reports (MPR)**

Quarterly

**Frequency of Federal Financial Reports (FFR)**

Quarterly

**Does this application include funds for research and/or development activities?**

This award does not include research and development activities.

**Pre-Award Authority**

This award is using Pre-Award Authority.

**Does this application include suballocation funds?**

Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

**Will this Grant be using Lapsing Funds?**

No, this Grant does not use Lapsing Funds.

**Will indirect costs be applied to this application?**

This award does not include an indirect cost rate.

*Indirect Rate Details: N/A*

**Requires E.O. 12372 Review**

No, this application does not require E.O. 12372 Review.

**Delinquent Federal Debt**

No, my organization does not have delinquent federal debt.

**Application Point of Contact Information**

First Name	Last Name	Title	E-mail Address	Phone
Michael	Sherman	Community Planner	michael.sherman@dot.gov	+1(404) 865-5616
Vickie	Bourne	Executive Director	vickie.bourne@ky.gov	5025647433
Roxanne	Ledesma	Transportation Program Specialist	roxanne.ledesma@dot.gov	404-865-5631

**Application Budget Control Totals**

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$1,500,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$1,500,000</b>

**Application Budget**

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
KY-2021-011-01-00	114-00 (114-A1) BUS: SUPPORT EQUIP AND FACILITIES	\$1,500,000.00	\$0.00	\$1,500,000.00	1

KY-2021-011-01-00	11.43.01	CONSTRUCT - ADMINISTRATIVE BUILDING	\$1,500,000.00	\$0.00	\$1,500,000.00	1
-------------------	----------	--	----------------	--------	----------------	---

## Discretionary Allocations

This application does not contain discretionary allocations.

## Part 3: Project Information

### Project Title: CARES FFY20 Section 5311 Construction of Facility AACS Owensboro

Project Number	Temporary Project Number	Date Created	Start Date	End Date
KY-2021-011-01-00	1003-2021-4-P1	3/2/2021	4/5/2021	3/28/2025

#### Project Description

The application scope of work includes:  
\$1,500,000 in Capital Assistance,  
ALI CODE Add on Administrative Facility  
11.43.01  
100% Federal

The address is 222 Saint Elizabeth Street Owensboro, Kentucky  
See Preliminary Floor Plan

KYTC is not funding Engineering/Design or construction Management, Nor is Engineering/Design or construction management coming out of this grant.

1) The project will be designed by a local Architectural firm that was selected from 3 firms via a Request for Qualifications process. The award was based on a scoring criteria, and the highest scoring firm was chosen. The Architectural firm will select Engineers for use on this project, the firm chosen has some Engineering disciplines on staff. The Architect will be responsible for complete construction drawings and specifications, as well as routine on-site inspections to insure compliance with plans and specifications. A testing firm will be hired by Audubon Area Community Services (Owner) to insure all necessary testing will be performed by an independent firm, qualified to perform testing services. Once the Architect has completed construction documents that are reviewed and approved by the Owner, and the KYTC Office of Transportation Delivery, a bid date will be chosen and the project will be placed out for bid to General Contracting firms. The lowest and best bid will be selected from the responding General Contractors and a contract will be signed and construction will be underway.

2) The land that this addition will be constructed on is owned by Audubon Area Community Services, with a Deed of restrictions placed on it by the KYTC/FTA. This property was kept vacant when the original Parking Garage was constructed with the intent of allowing for future expansion.

The addition will consist of a single story, and 4,790 square feet. It will consist of 9 offices in the addition, plus 2 restrooms, one conference room, and a training room to accommodate 78 persons. There are multiple modifications to the existing space to accommodate, a Driver's area, 2 more additional restrooms, a larger breakroom, a larger workroom and additional space for Reservations and Dispatching. The building is being expanded for multiple reasons. Reason number one is to allow for more space to increase and allow for social distancing. In the current building configuration all employees must utilize 1 restroom. With 20 office staff members and 80 additional Drivers coming and going this situation is neither functional, or sanitary, and very difficult to accommodate during the pandemic. In

addition our Breakroom is too small for this many employees. Our organization has grown dramatically from the time this building was constructed and our training room had to be converted into office space to accommodate the larger management staff.

Construct additional space attached to the current transit facility in Owensboro to include a training room, office space, restrooms, driver's work area, conference room, increased space for technology, larger office workroom, and a larger breakroom, larger spaces for COVID work distancing safety

#### Adding Administrative Facility

KYTC does not own the land but will place a deed of restrictions on the property/facility STATING USEFUL LIFE 40 YEARS AND FTA/KYTC MUST APPROVE IN DISPOSAL/USAGE OF THE PROPERTY

#### Project Benefits

Allow drivers, employees to have space to distance workstations, break rooms and maintain safe environment during COVID or any other pandemic

#### Additional Information

*None provided.*

#### Location Description

Congressional District 2  
Dan Lanham  
Director of Transportation  
AACS/GRITS Transportation  
222 Saint Elizabethtown Street  
Owensboro, KY 42301

### Project Location (Urbanized Areas)

UZA Code	Area Name
210000	Kentucky
212750	Owensboro, KY

### Congressional District Information

State	District	Representative
Kentucky	2	Brett Guthrie

### Program Plan Information

#### STIP/TIP

Date: N/A

Description: N/A

#### UPWP

Date: N/A

Description: N/A

**Long Range Plan**

Date: N/A

Description: N/A

**Project Control Totals**

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$1,500,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$1,500,000</b>

**Project Budget**

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
KY-2021-011-01-00	114-00 (114-A1) BUS: SUPPORT EQUIP AND FACILITIES	\$1,500,000.00	\$0.00	\$1,500,000.00	1
KY-2021-011-01-00	11.43.01 CONSTRUCT - ADMINISTRATIVE BUILDING	\$1,500,000.00	\$0.00	\$1,500,000.00	1

**Project Budget Activity Line Items**

**Budget Activity Line Item: 11.43.01 - CONSTRUCT - ADMINISTRATIVE BUILDING**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS: SUPPORT EQUIP AND FACILITIES (114-00)	11.43.01	CONSTRUCT - ADMINISTRATIVE BUILDING	CONSTRUCTION OF BUS SUPPORT EQUIP/FACIL	1

**Extended Budget Description**

Federal Share \$1,500,000

Local \$0

Total line item \$1,500,000

11.41.03 Owensboro AACs/GRITS

This Facility is Located 222 Saint Elizabeth Street Owensboro, Kentucky.

The addition will consist of a single story, and 4,790 square feet. It will consist of 9 offices in the addition, plus 2 restrooms, one conference room, and a training room to accommodate 78 persons. There are multiple modifications to the existing space to accommodate, a Driver's area, 2 more additional restrooms, a larger breakroom, a larger workroom and additional space for Reservations and Dispatching. The building is being expanded for multiple reasons. Reason number one is to allow for more space to increase and allow for social distancing. In the current building configuration all employees must utilize 1 restroom. With 20 office staff members and 80 additional Drivers coming and going this situation is neither functional, or sanitary, and very difficult to accommodate during the pandemic. In addition our Breakroom is too small for this many employees. Our organization has grown dramatically from the time this building was constructed and our training room had to be converted into office space to accommodate the larger management staff.

Construct additional space attached to the current transit facility in Owensboro to include a training room, office space, restrooms, driver's work area, conference room, increased space for technology, larger office workroom, and a larger breakroom.

The Recipient certifies that it, and its subrecipients and contractors that are providers of public transportation, have not furloughed any employees since March 27, 2020 (the enactment date of the CARES Act).

40 Years Useful Life

Additional information in attachments of grant

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$1,500,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$1,500,000</b>

Milestone Name	Est. Completion Date	Description
Start Date	4/5/2021	AACS/GRITS will submit design and procurement documents and send to State for Approval
State approval of design/procurement facility documents	6/15/2021	State review footprint/design documents Review for compliance State seeks to approve beginning of construction process
Approve bid process	8/1/2021	Approve bid process



AACS GRITS Progress Report to KYTC/OTD	11/1/2021	AACS GRITS will make a progress Report to the State
State Inspections/AACS GRITS Progress Reports	2/15/2022	State will continue on going inspections and AACS/GRITS will submit Progress Report
AACS GRITS Progress Report March 2023	3/15/2023	AACS GRITS will submit ongoing progress reports of the construction KYTC/OTD will monitor and discuss with the progress and reimburse payments of work
Final work construction performance	11/15/2024	final performance work construction on project
End Date	3/28/2025	Complete inspections, invoices and initiate closeout

## Project Environmental Findings

### Finding: Class II(d) - Categorical Exclusions (D-List)

#### Class Level Description

Class II(d) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(d) requires documentation.

#### Categorical Exclusion Description

Type 09: Other

Date	Description	Date
	Class IId CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
BUS: SUPPORT EQUIP AND FACILITIES (114-00)	11.43.01	CONSTRUCT - ADMINISTRATIVE BUILDING	1	\$1,500,000.00	\$1,500,000.00

## Part 4: Fleet Details

No fleet data exists for this application.

## Part 5: FTA Review Comments

### FTA Comments for DOL

Comment By Roxanne Ledesma

Comment Type DOL Review for Information

Date 3/15/2021

Comment      Application sent for information only.

## Application Review Comments

**Comment By**    **Doretha Foster**

---

Comment Type      Application Details

Date                      3/3/2021

### Civil Rights Comments:

Kentucky Transportation Cabinet must comply with all applicable Federal laws and regulations related to this project, including the ADA Standards for Transportation Facilities, based on the U.S. Access Board's ADA Accessibility Guidelines. Found here: <http://www.access-board.gov/guidelines-and-standards/transportation/facilities/ada-standards-for-transportation-facilities>

### Requirements to Remember for: New Construction of Facilities

- As required under DOT ADA Standard 206.4.1, Kentucky Transportation Cabinet must ensure that 60 percent of all public entrances to the facility will be accessible. If a station has only two entrances, then both must be accessible.
  - As required under DOT ADA Standard 810.10, for rail projects, Kentucky Transportation Cabinet must ensure that no flange way gap can be greater than 2.5" where passenger circulation paths cross tracks at grade (i.e. a street-level pedestrian crossing over streetcar tracks).
  - As required under DOT ADA Standard 206.3, Kentucky Transportation Cabinet must ensure that accessible routes coincide with or are located in the same area as general circulation paths, and elements such as ramps, elevators, and fare vending and collection areas are placed to minimize the distance that wheelchair users and other persons who cannot climb steps must travel in comparison to the general public.
- Comment
- As required under DOT ADA Standard 406.8, Kentucky Transportation Cabinet must ensure that curb ramps will have detectable warnings.
  - As required under DOT ADA Standard 810.2, Kentucky Transportation Cabinet must ensure that bus boarding and alighting areas are in compliance with the ADA-ABA Guidelines (Section 810.2), which address surfaces (sturdy); dimensions (96" long x 60" wide); connection to sidewalks, streets, and pedestrian paths; slope (not steeper than 1:48); signs; and public address systems.
  - As required by DOT ADA Standard 810.5.3, Kentucky Transportation Cabinet must ensure that station platforms must be coordinated with the vehicle floor height.

### Requirements to Remember for: Alterations or Additions to Facilities

- As required under DOT ADA Standard 201 and DOT ADA Regulation 49 C.F.R. 37.43(a)(1), Kentucky Transportation Cabinet must ensure that altered or added portions of the facility must be made accessible.
  - As required by DOT ADA Regulation 49 C.F.R. 37.43(a)(2) and DOT ADA Standard 202.3, Kentucky Transportation Cabinet must ensure that the path of travel to the altered or added portion of the facility must be made accessible, to the maximum extent feasible.
- 
- As required by DOT ADA Standard 202.4 and DOT ADA Regulation 49 C.F.R. 37.43(e)(1), if the path of travel cannot be made accessible, Kentucky Transportation Cabinet must submit to FTA an analysis demonstrating that the cost of making the path of travel accessible is disproportionate to (i.e., > 20%) the cost of the alterations or

additions to the primary function area.

• As required by DOT ADA Standard 202.4 and DOT ADA Regulation 49 C.F.R. 37.43(b), if the path of travel cannot be made accessible, Kentucky Transportation Cabinet must submit to FTA an analysis demonstrating that site-specific conditions prevent you from making the path of travel accessible. Include relevant diagrams and maps.

## Application Review Comments

**Comment By** Roxanne Ledesma

---

**Comment Type** Pre-Award Manager Returns Application

**Date** 3/8/2021

**Comment** How will engineering, design, and construction management be handled? Is that covered by this \$1.5M? If so, please separate into those specific ALLs.  
Who owns the land on which the facility is being constructed? Please add description in the Project description.  
Please add more specific narrative information from the attachments into the EBD such as: square footage of addition, # of offices/restrooms, # of stories, reason for expansion, etc.  
Does KYTC have a design that can also be attached and referenced?  
Is the address in Location Description the facility receiving this addition? The address is there, but its not clear that is the facility in question.  
Environmental finding needs to be updated to II(d) according to letter attached from Carrie.  
Right now the period of performance end date match the last milestone date. There should be a buffer between the last milestone and the period of performance end date (while keeping 3/30 as the period of performance end date). [ The last milestone should be an actual date, we only change the end date of the grant to the 3/30 requirement].

## Part 6: Agreement

**UNITED STATES OF AMERICA  
DEPARTMENT OF TRANSPORTATION  
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT  
(FTA G-28, February 9, 2021)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:  
(1) "Federal Transit Administration Master Agreement," FTA MA(28), February 9, 2021,  
<http://www.transit.dot.gov>,

- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and  
(3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

### **FTA AWARD**

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

#### **Recipient Information**

Recipient Name: TRANSPORTATION CABINET, KENTUCKY

Recipient ID: 1003

DUNS No: 927328732

#### **Application Information**

Federal Award Identification Number: KY-2021-011-00

Application Name: CARES FFY20 Section 5311 Construction of add on Administrative Facility

Application Start Date: N/A

Original Award End Date: 3/30/2028

Current Award End Date: N/A

Application Executive Summary: The Kentucky Transportation Cabinet (KYTC/OTD) is making application for FFY20 CARES Section 5311 Federal 100%  
The Total FFY20 CARES Apportionment:  
\$58,808,456 and \$1,764,000 total \$60,572,456  
Under KY 2020-011 \$46,974,828 has been awarded.  
The remaining balance of the FFY20 CARES is \$13,597,628

Pre-Award for expenses that occurred on or after January 20, 2020. Total Federal funds for this Application is \$1,500,000  
The Balance of unobligated CARES funding after this GRANT is \$12,097,628  
The GRANT is for FFY 2020 Section 5311 CARES Act funds in the amount of \$1,500,000 (100% Federal Share). This application utilizes CARES Act funding to help respond to and recover from the COVID-19 pandemic. Per the CARES Act, the grant requests 100% Federal Share.

The application scope of work includes:  
\$1,500,000 in Capital Assistance,

ALI CODE Construction Administrative Facility  
11.43.01  
100% Federal  
SEE Preliminary Floor Plan  
The address is 222 Saint Elizabeth Street Owensboro, Kentucky.

Construct additional space attached to the current transit facility in Owensboro to include a training room, office space, restrooms, driver's work area, conference room, increased space for technology, larger office workroom, and a larger breakroom, larger spaces for COVID WORK distancing safety.

1) The project will be designed by a local Architectural firm that was selected from 3 firms via a Request for Qualifications process. The award was based on a scoring criteria, and the highest scoring firm was chosen. The Architectural firm will select Engineers for use on this project, the firm chosen has some Engineering disciplines on staff. The Architect will be responsible for complete construction drawings and specifications, as well as routine on-site inspections to insure compliance with plans and specifications. A testing firm will be hired by Audubon Area Community Services (Owner) to insure all necessary testing will be performed by an independent firm, qualified to perform testing services. Once the Architect has completed construction documents that are reviewed and approved by the Owner, and the KYTC Office of Transportation Delivery, a bid date will be chosen and the project will be placed out for bid to General Contracting firms. The lowest and best bid will be selected from the responding General Contractors and a contract will be signed and construction will be underway.

2 ) The land that this addition will be constructed on is owned by Audubon Area Community Services, with a deed of restrictions placed on it by the KYTC/FTA. This property was kept vacant when the original Parking Garage was constructed with the intent of allowing for future expansion. See details in Extended budget and POP. Floor Plan also included as an attached document and in POP.

No federal funding was previously received for this project or obligated in another grant application.

The Recipient agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.

This project is listed in the current Statewide Transportation Improvement Program (STIP), approved by FTA/FHWA

KYTC will follow all 3rd party procurement policies as defined in C4220.1F (Third Party Contracting Guidance).

KYTC will ensure contractors procured will not be on the FTA Suspension and Debarment list.

The intercity bus requirement has been waived, but intercity bus activities are an eligible expense. There is not any additional RTAP funding.

The Recipient certifies that it, and its subrecipients and contractors that are providers of public transportation, have not furloughed any employees since March 27, 2020 (the enactment date of the CARES Act

Research and Development: This award does not include research and development activities.

Indirect Costs: This award does not include an indirect cost rate.

Suballocation Funds: Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Pre-Award Authority: This award is using Pre-Award Authority.

**Application Budget**

Total Application Budget: \$1,500,000.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$1,500,000.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$0.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$1,500,000.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$0.00

**Application Budget Control Totals**

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

<b>Funding Source</b>	<b>Section of Statute</b>	<b>CFDA Number</b>	<b>Amount</b>
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$1,500,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$1,500,000</b>

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

**U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:**

Original Certification Date:

**Special Conditions**

There are no special conditions.

**FINDINGS AND DETERMINATIONS**

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

## **FTA AWARD OF THE GRANT AGREEMENT**

Awarded By:

FEDERAL TRANSIT ADMINISTRATION  
U.S. DEPARTMENT OF TRANSPORTATION  
Contact Info:  
Award Date:

## **EXECUTION OF THE GRANT AGREEMENT**

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
  - (a) Statements,
  - (b) Representations,
  - (c) Warranties,
  - (d) Covenants, and
  - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

*TRANSPORTATION CABINET, KENTUCKY*