

Application

Federal Award Identification Number (FAIN)	HI-2021-003-00
Temporary Application Number	1623-2021-1
Application Name	5311 Formula Grants for Rural Areas FFYs 2019 & 2020 (Partial)
Application Status	Final Concurrence / Reservation
Application Budget Number	0

Period of Performance Start Date	N/A
Original Period of Performance End Date	9/30/2025
Current Period of Performance End Date	N/A Revision #: N/A

Part 1: Recipient Information

Name: Transportation, Hawaii Department Of

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
1623	State Agency	HDOT Statewide Transportation Planning Office	824671465

Location Type	Address	City	State	Zip
Headquarters	415 S BERETANIA ST 5TH FL	HONOLULU	HI	968132407
Physical Address	869 PUNCHBOWL ST RM 509	HONOLULU	HI	96813
Mailing Address	869 PUNCHBOWLSTREET	HONOLULU	HI	96813

Union Information

Union Name	AMERICAN FEDERATION OF STATE, COUNTY & MUNICIPAL EMPLOYEES
Address 1	c/o William Wilkinson
Address 2	1625 L. Street, NW

City	Washington
State	District of Columbia
Zipcode	20036
Contact Name	Lee Saunders
Telephone	2024291000
Fax	2024291293
E-mail	wwilkinson@afscme.org
Website	webmaster@afscme.org

Union Name	HAWAII GOVERNMENT EMPLOYEES ASSOCIATION
Address 1	888 Mililani Street, Suite 601
Address 2	
City	Honolulu
State	Hawaii
Zipcode	96813
Contact Name	Randy Perreira
Telephone	8085430011
Fax	8085284059
E-mail	rperreir@hgea.org
Website	www.hgea.org

Union Name	UPW LOCAL 646
Address 1	1426 North School Street
Address 2	
City	Honolulu
State	Hawaii
Zipcode	96817
Contact Name	Dayton Nakanelua
Telephone	8088472631
Fax	8088481987
E-mail	martti@upwhawaii.org
Website	www.upwhawaii.org

Part 2: Application Information

Title: 5311 | Formula Grants for Rural Areas | FFYs 2019 & 2020 (Partial)

FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
HI-2021-003-00	Final Concurrence / Reservation	Grant	11/12/2020	11/12/2020	No

Application Executive Summary

This application uses the following apportionments:

FFY 2019

Hawaii County - \$0

Kauai County - \$1,089,829

Maui County - \$544,915

HDOT State Admin - \$ 215,103

RTAP - \$102,195

Total for FFY'19 - \$1,952,042

FFY 2020

Hawaii County - \$1,071,873

Kauai County - \$0

Maui County - \$130,780

HDOT State Admin - \$ 73,721

RTAP - \$27,305

Total for FFY'20 - \$1,303,679

Detailed Project Description:

County of Kauai

30.09.01 Operating Assistance

Federal \$1,089,829/Local \$1,089,829/Total \$2,179,658

Source of local funds: County Budget

County of Hawaii

30.09.01 Operating Assistance

Federal \$1,071,873/Local \$1,071,873/Total \$2,143,746

Source of local funds: County Budget

County of Maui

30.09.01 Operating Assistance

Federal \$675,695/Local \$8,075,812/Total \$8,751,508

Source of local funds: County Highway funds

State of Hawaii, HDOT

43.50.01 Training (RTAP)

Federal \$129,500/Local \$0/Total \$129,500

11.80.00 State Administration

Federal \$288,824/Local \$0/Total \$288,824

Notes:

-See attached Program of Projects for further information.

-Inter-city bus funding is exempt. Please see attached exemption letter.

Frequency of Milestone Progress Reports (MPR)

Quarterly

Frequency of Federal Financial Reports (FFR)

Quarterly

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is not using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Will this Grant be using Lapsing Funds?

No, this Grant does not use Lapsing Funds.

Will indirect costs be applied to this application?

This award does not include an indirect cost rate.

Indirect Rate Details: N/A

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Tad	Nakayama	Programming Section Manager	tad.t.nakayama@hawaii.gov	808-831-7987
Ryan	Fujii	General Engineer	ryan.fujii@dot.gov	202-748-0677

Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$3,255,721
Local			\$10,237,515
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$13,493,236

Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
HI-2021-	600- OTHER PROGRAM COSTS	\$1,071,873.00	\$1,071,873.00	\$2,143,746.00	1

003-01-00	00 (600-A3)						
HI-2021-003-01-00		30.09.01	UP TO 50% FEDERAL SHARE	\$1,071,873.00	\$1,071,873.00	\$2,143,746.00	1
HI-2021-003-02-00	600-00 (600-A4)		OTHER PROGRAM COSTS	\$1,089,829.00	\$1,089,829.00	\$2,179,658.00	1
HI-2021-003-02-00		30.09.01	UP TO 50% FEDERAL SHARE	\$1,089,829.00	\$1,089,829.00	\$2,179,658.00	1
HI-2021-003-03-00	600-00 (600-A2)		OTHER PROGRAM COSTS	\$675,695.00	\$8,075,813.00	\$8,751,508.00	2
HI-2021-003-03-00		11.71.12	CAPITAL COST OF 3RD PARTY CONTRACTING	\$348,746.00	\$4,684,193.00	\$5,032,939.00	1
HI-2021-003-03-00		30.09.01	UP TO 50% FEDERAL SHARE	\$326,949.00	\$3,391,620.00	\$3,718,569.00	1
HI-2021-003-04-00	610-00 (610-A1)		STATE / PROGRAMS ADMINISTRATION	\$288,824.00	\$0.00	\$288,824.00	1
HI-2021-003-04-00		11.80.00	STATE OR PROGRAM ADMINISTRATION	\$288,824.00	\$0.00	\$288,824.00	1
HI-2021-003-04-00	635-00 (635-A5)		RURAL TRANSIT ASSISTANCE PROGRAM	\$129,500.00	\$0.00	\$129,500.00	1
HI-2021-003-04-00		43.50.01	TRAINING	\$129,500.00	\$0.00	\$129,500.00	1

Discretionary Allocations

This application does not contain discretionary allocations.

Part 3: Project Information

Project Title: Hawaii County Mass Transit Administration

Project Number	Temporary Project Number	Date Created	Start Date	End Date
HI-2021-003-01-00	1623-2021-1-P1	11/13/2020	7/1/2021	9/30/2022

Project Description

To fund operating costs.

Project Benefits

Provides transportation options to the community while helping to reduce carbon footprint and traffic. Ridership includes seniors, disabled, students and workers who require access to health care, shopping, education, employment, recreation, etc.

Additional Information

None provided.

Location Description

These routes provide service in and between various rural and urban communities of Hawaii Island.

Project Location (Urbanized Areas)

UZA Code	Area Name
150000	Hawaii

Congressional District Information

State	District	Representative
Hawaii	2	Tulsi Gabbard

Program Plan Information**STIP/TIP**

Date: 8/31/2020

Description: Page 21, Item No. HC5

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$1,071,873
Local			\$1,071,873
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0

Transportation Development Credit	\$0
Adjustment	\$0
Total Eligible Cost	\$2,143,746

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
HI-2021-003-01-00	600-00 (600-A3) OTHER PROGRAM COSTS	\$1,071,873.00	\$1,071,873.00	\$2,143,746.00	1
HI-2021-003-01-00	30.09.01 UP TO 50% FEDERAL SHARE	\$1,071,873.00	\$1,071,873.00	\$2,143,746.00	1

Project Budget Activity Line Items

Budget Activity Line Item: 30.09.01 - UP TO 50% FEDERAL SHARE

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE	1

Extended Budget Description

This contract supports the operations of Hawaii county and is deemed to be eligible under operational assistance. Under the capital cost of contracting of FTA C 9040.1G (Appendix F), portions of this contract would be eligible. However, with multiple types of contract eligibilities, FTA funding limitations, and subsequent tracking issues, this use will be deferred and reconsidered with the next regular apportionment grant application. This grant will be amended should additional year options be exercised. Source of local funds: County of Hawaii Budget - GET funds.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$1,071,873
Local			\$1,071,873
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0

Other Federal		\$0
Transportation Development Credit		\$0
Adjustment		\$0
Total Eligible Cost		\$2,143,746

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2021	
End Date	9/30/2022	

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description	Date
	Class IIc CE Approved	

Project Title: Kauai County Transportation Agency

Project Number	Temporary Project Number	Date Created	Start Date	End Date
HI-2021-003-02-00	1623-2021-1-P2	11/13/2020	7/1/2021	12/31/2022

Project Description

To fund operating costs of the Kauai Bus system. Estimated number of trips 780,000.

Project Benefits

The Kauai Bus supports community transportation needs that do not have other transportation options (youth, elderly, low socio-economic range, etc.) It alleviates traffic and reduces the carbon footprint. All routes enhance the community access to healthcare, employment, education, shopping, recreation, etc.

Additional Information

None provided.

Location Description

These routes provide service in and between various central, north, south, east and west communities of the island of Kauai.

Project Location (Urbanized Areas)

UZA Code	Area Name
150000	Hawaii

Congressional District Information

State	District	Representative
Hawaii	2	Tulsi Gabbard

Program Plan Information**STIP/TIP**

Date: 8/31/2020

Description: Page 34, Item No. KC18.

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$1,089,829
Local			\$1,089,829
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$2,179,658

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
HI-2021-003-02-00	600-00 (600-A4) OTHER PROGRAM COSTS	\$1,089,829.00	\$1,089,829.00	\$2,179,658.00	1
HI-2021-003-02-00	30.09.01 UP TO 50% FEDERAL SHARE	\$1,089,829.00	\$1,089,829.00	\$2,179,658.00	1

Project Budget Activity Line Items

Budget Activity Line Item: 30.09.01 - UP TO 50% FEDERAL SHARE

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE	1

Extended Budget Description

Operating expenses to include cost of expanding bus and facility cleaning and disinfecting activities, and collective bargaining agreements. Source of local share, county of Kauai budget.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$1,089,829
Local			\$1,089,829
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$2,179,658

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2021	
End Date	12/31/2022	

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)**Class Level Description**

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Project Title: Maui Department of Transportation

Project Number	Temporary Project Number	Date Created	Start Date	End Date
HI-2021-003-03-00	1623-2021-1-P3	11/14/2020	7/1/2021	6/30/2025

Project Description

Funds to be used to operate the County of Maui Bus fixed route system. Estimated number of trips is 1,500,000.

The Maui bus system consists of 14 routes, in and between various Central, South, West, Haiku, Kula, Upcountry, and Waihee communities. All routes are operated seven days a week.

Project Benefits

This project support the community by providing transportation options while helping to alleviate traffic by giving alternatives to additional vehicles on the road. All routes enhances the communities access to health care, employment, education, shopping, recreation, etc.

Additional Information

None provided.

Location Description

The routes provide service in and between various central, south, west, Haiku, Kula, Upcountry and Waihee communities on the island of Maui.

Project Location (Urbanized Areas)

UZA Code	Area Name
150000	Hawaii

Congressional District Information

State	District	Representative
Hawaii	2	Tulsi Gabbard

Program Plan Information

STIP/TIP

Date: 8/31/2020

Description: Page 28, Item MC24.

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$675,695
Local			\$8,075,813
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$8,751,508

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
HI-2021-003-03-00	600-00 OTHER PROGRAM COSTS (600-A2)	\$675,695.00	\$8,075,813.00	\$8,751,508.00	2
HI-2021-003-03-00	11.71.12 CAPITAL COST OF 3RD PARTY CONTRACTING	\$348,746.00	\$4,684,193.00	\$5,032,939.00	1
HI-2021-003-	30.09.01 UP TO 50%	\$326,949.00	\$3,391,620.00	\$3,718,569.00	1

03-00	FEDERAL SHARE
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Project Budget Activity Line Items

Budget Activity Line Item: 30.09.01 - UP TO 50% FEDERAL SHARE
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Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE	1

Extended Budget Description

This portion of the operational support contract is determined to be eligible for operational assistance. This is 60% of the contract. See ALI 11.71.12 for further information. This contract includes base + options. Source of Local funds County Highway funds.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$326,949
Local			\$3,391,620
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$3,718,569

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2021	
End Date	6/30/2025	

Budget Activity Line Item: 11.71.12 - CAPITAL COST OF 3RD PARTY CONTRACTING
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Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	11.71.12	CAPITAL COST OF 3RD PARTY CONTRACTING	3RD PARTY CONTRACTS	1

Extended Budget Description

Based on FTA C 9040.1G, Appendix F, it has been determined said contract is eligible for Type #1, therefore, 40% of it is eligible for 80%. Source of Local funds County Highway funds.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$348,746
Local			\$4,684,193
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$5,032,939

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2021	
End Date	6/30/2025	

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Project Title: State of Hawaii, HDOT

Project Number	Temporary Project Number	Date Created	Start Date	End Date
HI-2021-003-04-00	1623-2021-1-P4	11/14/2020	1/1/2021	9/25/2025

Project Description

Funds to be used for State Administration and training (RTAP).

Project Benefits

Provides some compensation to the State of Hawaii to perform grant management duties and program oversight which are required. RTAP funding provides needed continual training for subrecipients and State program managers to effectively perform their duties.

Additional Information

None provided.

Location Description

Administration and oversight for rural neighbor island transit agencies.

Project Location (Urbanized Areas)

UZA Code	Area Name
150000	Hawaii

Congressional District Information

State	District	Representative
Hawaii	2	Tulsi Gabbard

Program Plan Information

STIP/TIP

Date: 8/31/2020

Description: Page 3, Item No. S19 and S20.

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$418,324
Local			\$0

Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$418,324

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
HI-2021-003-04-00	610-00 (610-A1) STATE / PROGRAMS ADMINISTRATION	\$288,824.00	\$0.00	\$288,824.00	1
HI-2021-003-04-00	11.80.00 STATE OR PROGRAM ADMINISTRATION	\$288,824.00	\$0.00	\$288,824.00	1
HI-2021-003-04-00	635-00 (635-A5) RURAL TRANSIT ASSISTANCE PROGRAM	\$129,500.00	\$0.00	\$129,500.00	1
HI-2021-003-04-00	43.50.01 TRAINING	\$129,500.00	\$0.00	\$129,500.00	1

Project Budget Activity Line Items

Budget Activity Line Item: 43.50.01 - TRAINING

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
RURAL TRANSIT ASSISTANCE PROGRAM (635-00)	43.50.01	TRAINING	RURAL TRANSIT ASST PROGRAM	1

Extended Budget Description

Training for the State and subrecipients.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
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5311 - Rural Area Formula	5311-1A	20509	\$129,500
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$129,500

Milestone Name	Est. Completion Date	Description
Start Date	1/1/2021	
End Date	9/25/2025	

Budget Activity Line Item: 11.80.00 - STATE OR PROGRAM ADMINISTRATION

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
STATE / PROGRAMS ADMINISTRATION (610-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	STATE / PROGRAM ADMINISTRATION	1

Extended Budget Description

Funds for staff, office costs, and third party contractors to provide grant management and program oversight support to the subrecipients.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$288,824
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$288,824

Milestone Name	Est. Completion Date	Description
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Start Date	1/1/2021
End Date	9/25/2025

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description	Date
	Class IIc CE Approved	

Part 4: Fleet Details

No fleet data exists for this application.

Part 5: FTA Review Comments

FTA Comments for DOL

Comment By Ryan Fujii

Comment Type DOL Review for Information

Date 3/12/2021

Comment submitting for DOL information. this grant is for operating, RTAP and State Admin

Application Review Comments

Comment By Ryan Fujii

Comment Type Pre-Award Manager Returns Application

Date 12/9/2020

Comment verify third party contracting will not be needed for Kauai cleaning. Adjust State admin to match STIP. Follow up on bus rental contract

Comment By Ryan Fujii

Comment Type Pre-Award Manager Returns Application

Date 2/18/2021

Comment

Comment By Ryan Fujii

Comment Type Pre-Award Manager Returns Application

Date 3/5/2021

See comments below for corrections needed. Note that items 4 & 5 are recommendations for maximization and not required.

1. Scope codes for operating should be 600-00
2. Scope codes for RTAP should be 635-00
3. In HI-2019-2-012 funds from FY2019 were used for state Admin Executive summary statement needs to be corrected.
4. FY 2019 available for State Admin \$ 297,296
Used in HI-2019-012 for State Admin \$(-) 82,193
Used in 1623-2021-1 for State Admin \$(-) 181,637
Remaining balance available (lapse 10/21) \$ 33,466
5. These funds should be used before using FY20
6. If the maintenance item for Kauai is not operations please also correct this ALI.

Comment

Application Review Comments

Comment By Tad Nakayama

Comment Type Application Details

Date 1/20/2021

Comment Comments described in TrAMS and email from FTA dated 1/14/21. State Admin is within STIP tolerance. RTAP reduced to be within STIP tolerance.

Comment By Tad Nakayama

Comment Type Application Details

Date 2/19/2021

Comment Resubmittal based on Feb 11th meeting with R. Fujii. Only concern brought up was to clarify maintenance mentioned as part of the operations for Kauai county. Clarified with

subrecipient and revised.

Part 6: Agreement

**UNITED STATES OF AMERICA
DEPARTMENT OF TRANSPORTATION
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT
(FTA G-28, February 9, 2021)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(28), February 9, 2021, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

FTA AWARD

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

Recipient Information

Recipient Name: Transportation, Hawaii Department Of

Recipient ID: 1623

DUNS No: 824671465

Application Information

Federal Award Identification Number: HI-2021-003-00

Application Name: 5311 | Formula Grants for Rural Areas | FFYs 2019 & 2020 (Partial)

Application Start Date: N/A

Original Award End Date: 9/30/2025

Current Award End Date: N/A

Application Executive Summary: This application uses the following apportionments:

FFY 2019

Hawaii County - \$0

Kauai County - \$1,089,829

Maui County - \$544,915

HDOT State Admin - \$ 215,103

RTAP - \$102,195

Total for FFY'19 - \$1,952,042

FFY 2020

Hawaii County - \$1,071,873

Kauai County - \$0

Maui County - \$130,780

HDOT State Admin - \$ 73,721

RTAP - \$27,305

Total for FFY'20 - \$1,303,679

Detailed Project Description:

County of Kauai

30.09.01 Operating Assistance

Federal \$1,089,829/Local \$1,089,829/Total \$2,179,658

Source of local funds: County Budget

County of Hawaii

30.09.01 Operating Assistance

Federal \$1,071,873/Local \$1,071,873/Total \$2,143,746

Source of local funds: County Budget

County of Maui

30.09.01 Operating Assistance

Federal \$675,695/Local \$8,075,812/Total \$8,751,508

Source of local funds: County Highway funds

State of Hawaii, HDOT

43.50.01 Training (RTAP)

Federal \$129,500/Local \$0/Total \$129,500

11.80.00 State Administration

Federal \$288,824/Local \$0/Total \$288,824

Notes:

-See attached Program of Projects for further information.

-Inter-city bus funding is exempt. Please see attached exemption letter.

Research and Development: This award does not include research and development activities.

Indirect Costs: This award does not include an indirect cost rate.

Suballocation Funds: Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Pre-Award Authority: This award is not using Pre-Award Authority.

Application Budget

Total Application Budget: \$13,493,236.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$3,255,721.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$10,237,515.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$3,255,721.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$10,237,515.00

Application Budget Control Totals

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$3,255,721
Local			\$10,237,515
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$13,493,236

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:

Original Certification Date:

Special Conditions

There are no special conditions.

FINDINGS AND DETERMINATIONS

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

FTA AWARD OF THE GRANT AGREEMENT

Awarded By:

FEDERAL TRANSIT ADMINISTRATION
U.S. DEPARTMENT OF TRANSPORTATION
Contact Info:
Award Date:

EXECUTION OF THE GRANT AGREEMENT

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
 - (a) Statements,
 - (b) Representations,
 - (c) Warranties,
 - (d) Covenants, and
 - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

Transportation, Hawaii Department Of