

## Application

<b>Federal Award Identification Number (FAIN)</b>	CO-2021-012-00
<b>Temporary Application Number</b>	6965-2020-1
<b>Application Name</b>	Southern Ute Indian Tribe 5311 Tribal CARES Act - Operations, Preventive Maintenance and Project Administration
<b>Application Status</b>	Final Concurrence / Reservation
<b>Application Budget Number</b>	0

<b>Period of Performance Start Date</b>	N/A
<b>Original Period of Performance End Date</b>	9/30/2021
<b>Current Period of Performance End Date</b>	N/A Revision #: N/A

### Part 1: Recipient Information

**Name: Southern Ute Indian Tribe**

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
6965	Indian Tribe	SOUTHERN UTE INDIAN TRIBE	078353919

Location Type	Address	City	State	Zip
Headquarters	356 OURAY DR	IGNACIO	CO	811375097
Physical Address	356 OURAY DR	IGNACIO	CO	81137
Mailing Address	P.O. BOX 737	IGNACIO	CO	81137

### Union Information

There are no union contacts for this application

### Part 2: Application Information

<p><b>Title: Southern Ute Indian Tribe 5311 Tribal CARES Act - Operations, Preventive Maintenance and Project Administration</b></p>
--

<b>FAIN</b>	<b>Application Status</b>	<b>Application Type</b>	<b>Date Created</b>	<b>Last Updated Date</b>	<b>From TEAM?</b>
CO-2021-012-00	Final Concurrence / Reservation	Grant	4/15/2020	4/15/2020	No

**Application Executive Summary**

This is a FFY2020 Section 5311 Tribal CARES Act grant in the amount of \$96,694 (full apportionment). Per the CARES Act, the grant requests 100% federal share. This grant utilizes CARES Act funding to prevent, prepare for, and respond to COVID-19. This grant will be used to fund Tribal Transit Program services for the first part of calendar year 2021. The second part of calendar year 2021, will be funded by the tribe's full apportionment of FY 20 5311 TTP formula funds.

The grant scope of work includes the following activities in support of the Tribal Transit Program: 1. Preventive Maintenance; 2. Project Administration; and 3. Operations.

The Recipient agrees that if it receives federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any federal share that duplicates funding provided by FEMA, another federal agency, or an insurance company.

**Frequency of Milestone Progress Reports (MPR)**

Annual

**Frequency of Federal Financial Reports (FFR)**

Annual

**Does this application include funds for research and/or development activities?**

This award does not include research and development activities.

**Pre-Award Authority**

This award is using Pre-Award Authority.

**Does this application include suballocation funds?**

Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

**Will this Grant be using Lapsing Funds?**

No, this Grant does not use Lapsing Funds.

**Will indirect costs be applied to this application?**

This award is applying an approved Indirect cost rate(s).

*Indirect Rate Details:* Indirect costs will be applied at the rate approved for use by its sub-contractor, Southern Colorado Community Action Agency, the operator of the service. The federally-negotiated rate is 10.9%. Paid on expenses invoiced, off the top of each project.

**Requires E.O. 12372 Review**

No, this application does not require E.O. 12372 Review.

**Delinquent Federal Debt**

No, my organization does not have delinquent federal debt.

**Application Point of Contact Information**

First Name	Last Name	Title	E-mail Address	Phone
Mikki	Naranjo	Contracts & Grants Manager	minaranjo@southernuten-sn.gov	(970) 563-2441 2409
Eboni	Younger-Riehl	Transportation Program Specialist	eboni.younger-riehl@dot.gov	(303) 362-2394

**Application Budget Control Totals**

Funding Source	Section of Statute	CFDA Number	Amount
5311 Tribal formula (CARES Act)	5311-7	20509	\$96,694
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$96,694</b>

**Application Budget**

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
CO-2021-012-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$96,694.00	\$0.00	\$96,694.00	3
CO-2021-012-01-00	11.79.00 PROJECT ADMINISTRATION	\$8,725.00	\$0.00	\$8,725.00	1
CO-2021-012-01-00	11.7A.00 PREVENTIVE MAINTENANCE	\$4,500.00	\$0.00	\$4,500.00	1
CO-2021-012-01-00	30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$83,469.00	\$0.00	\$83,469.00	1

## Discretionary Allocations

This application does not contain discretionary allocations.

## Part 3: Project Information

<b>Project Title: Ignacio Area Dial-a-Ride-Operations, Project Admin &amp; PM</b>
---

Project Number	Temporary Project Number	Date Created	Start Date	End Date
CO-2021-012-01-00	6965-2020-1-P1	8/3/2020	1/1/2021	6/30/2021

### Project Description

This project (known locally as "DAR") provides 73 hours per week of demand response transit service within an area encompassing the Southern Ute Tribal Campus, tribal enterprise locations, tribal neighborhoods, senior housing, tribal services including medical, education and recreation, plus shopping, social and family connections. This project continues the expansion of the service area to include CR 521 north of Ignacio to Bayfield, where 2-year college and vocational classes are held.

The following activities are included in this project:

- Operations
- Project Admin
- Preventive Maintenance

### Project Benefits

DAR is easy to use. Because it's a small community, it offers the benefits of fixed route frequency with more flexibility for riders within the area. For persons unable to drive, DAR provides full access to all community services and destinations within the hours of operation. It is well known by residents and is also used to visit friends and family.

### Additional Information

Ignacio Area DAR also links up with Road Runner's fixed route service to Durango, 24 miles away.

### Location Description

This project serves the Southern Ute Indian Tribal community and other residents living near and around tribal headquarters and the Town of Ignacio, CO.

## Project Location (Urbanized Areas)

UZA Code	Area Name
080000	Colorado

## Congressional District Information

State	District	Representative
Colorado	3	Scott R Tipton

## Program Plan Information

### STIP/TIP

Date: 2/1/2015

Description: Rural regional plan, part of the STIP. on pages 5, 42, 46

### UPWP

Date: N/A

Description: N/A

### Long Range Plan

Date: N/A

Description: N/A

## Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 Tribal formula (CARES Act)	5311-7	20509	\$96,694
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$96,694</b>

## Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
CO-2021-012-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$96,694.00	\$0.00	\$96,694.00	3
CO-2021-012-01-00	11.79.00 PROJECT ADMINISTRATION	\$8,725.00	\$0.00	\$8,725.00	1
CO-2021-012-01-00	11.7A.00 PREVENTIVE MAINTENANCE	\$4,500.00	\$0.00	\$4,500.00	1
CO-2021-012-01-00	30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$83,469.00	\$0.00	\$83,469.00	1

## Project Budget Activity Line Items

### Budget Activity Line Item: 11.7A.00 - PREVENTIVE MAINTENANCE

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	11.7A.00	PREVENTIVE MAINTENANCE	OTHER CAPITAL ITEMS (BUS)	1

#### Extended Budget Description

FFY20 CARES Act funds will be used for preventive maintenance expenses for the Tribal Transit Program, including small capital purchases for preventive maintenance and repairs to vehicles, equipment and facilities. This also includes the purchase of personal protective equipment and other supplies to modify and clean vehicles, equipment and facilities expressly due to the COVID-19 pandemic. Timeframe for PM expenses is from January 1, 2021 to June 30, 2021.

Any equipment exceeding \$5000 per unit and useful life greater than 1 year, and any supplies with an aggregate value greater than \$5000, will be reported as project property at closeout of this award. Federal share is 100%.

#### Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 Tribal formula (CARES Act)	5311-7	20509	\$4,500
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$4,500</b>

Milestone Name	Est. Completion Date	Description
Start Date	1/1/2021	Start of PM
End Date	6/30/2021	End of PM

### Budget Activity Line Item: 11.79.00 - PROJECT ADMINISTRATION

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	11.79.00	PROJECT ADMINISTRATION	OTHER CAPITAL ITEMS (BUS)	1

**Extended Budget Description**

FFY20 CARES Act funds will be used for Project Administration for the Tribal Transit Program to provide transportation services throughout the DAR service area. Federal share is 100%.

Project Admin expenses are from January 1, 2021 to June 30, 2021 and include general admin expenses (salaries of project director, admin assistant, accounting/finance staff), marketing expenses, insurance premiums, office supplies, facilities and equipment rental, standard overhead rates, and costs of administering drug and alcohol testing. This also includes paying administrative leave for general administrative personnel due to reductions/suspending of service.

Any equipment exceeding \$5000 per unit and useful life greater than 1 year, and any supplies with an aggregate value greater than \$5000, will be reported as project property at closeout of this award.

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 Tribal formula (CARES Act)	5311-7	20509	\$8,725
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$8,725</b>

Milestone Name	Est. Completion Date	Description
Start Date	1/1/2021	Start of Project Admin expenses
End Date	6/30/2021	End of Project Admin expenses

**Budget Activity Line Item: 30.09.08 - EMER RELIEF - OPERATING ASSIST - 100% Fed Share**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	OPERATING ASSISTANCE	1

**Extended Budget Description**

This activity will fund operating assistance from Southern Colorado Community Action Agency (SoCoCAA) to operate transit services as a subrecipient to Southern Ute Tribe.

Operating funds will cover expenses from January 1, 2021 to June 30, 2021 including drivers' salaries and fringe benefits, fuel, oil, dispatcher salaries and fringe benefits, training, indirect costs, and licenses. This also includes paying administrative leave for operations and maintenance personnel due to reductions/suspending of service. Operating expenses are based on past operating expenses.

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 Tribal formula (CARES Act)	5311-7	20509	\$83,469
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$83,469</b>

Milestone Name	Est. Completion Date	Description
Start Date	1/1/2021	Start date of operating assistance for Ignacio Area Dial-a-Ride (demand response) services
End Date	6/30/2021	End of operating assistance in support of Ignacio area Dial A Ride (to be continued with support of FY 2021 formula allocation)

## Project Environmental Findings

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

**Categorical Exclusion Description**

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description
	Class IIc CE Approved

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
-------------------	------------------	----------------	----------	------------	---------------------

OTHER PROGRAM COSTS (600-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	1	\$83,469.00	\$83,469.00
------------------------------	----------	---	---	-------------	-------------

<b>Finding: Class II(c) - Categorical Exclusions (C-List)</b>
---

**Class Level Description**

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

**Categorical Exclusion Description**

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	11.79.00	PROJECT ADMINISTRATION	1	\$8,725.00	\$8,725.00

<b>Finding: Class II(c) - Categorical Exclusions (C-List)</b>
---

**Class Level Description**

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

**Categorical Exclusion Description**

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	11.7A.00	PREVENTIVE MAINTENANCE	1	\$4,500.00	\$4,500.00

## Part 4: Fleet Details

No fleet data exists for this application.

## Part 5: FTA Review Comments

### FTA Comments for DOL

**Comment By** Eboni Younger-Riehl

---

Comment Type	DOL Review for Information
Date	3/3/2021
Comment	Mikki Naranjo Southern Ute Indian Tribe Contracts & Grants Manager 970-563-2441 Minaranjo@southernute-nsn.gov

### Application Review Comments

**Comment By** Eboni Younger-Riehl

---

Comment Type	Pre-Award Manager Returns Application
Date	2/16/2021
Comment	Returning to recipient to make necessary edits including choosing the correct scope code and clarifying selected project.

## Part 6: Agreement

**UNITED STATES OF AMERICA  
DEPARTMENT OF TRANSPORTATION  
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT  
(FTA G-26, October 1, 2019)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(26), October 1, 2019, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and

(3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

### **FTA AWARD**

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

#### **Recipient Information**

Recipient Name: Southern Ute Indian Tribe

Recipient ID: 6965

DUNS No: 078353919

#### **Application Information**

Federal Award Identification Number: CO-2021-012-00

Application Name: Southern Ute Indian Tribe 5311 Tribal CARES Act - Operations, Preventive Maintenance and Project Administration

Application Start Date: N/A

Original Award End Date: 9/30/2021

Current Award End Date: N/A

Application Executive Summary: This is a FFY2020 Section 5311 Tribal CARES Act grant in the amount of \$96,694 (full apportionment). Per the CARES Act, the grant requests 100% federal share. This grant utilizes CARES Act funding to prevent, prepare for, and respond to COVID-19. This grant will be used to fund Tribal Transit Program services for the first part of calendar year 2021. The second part of calendar year 2021, will be funded by the tribe's full apportionment of FY 20 5311 TTP formula funds.

The grant scope of work includes the following activities in support of the Tribal Transit Program: 1. Preventive Maintenance; 2. Project Administration; and 3. Operations.

The Recipient agrees that if it receives federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any federal share that duplicates funding provided by FEMA, another federal agency, or an insurance company.

Research and Development: This award does not include research and development activities.

Indirect Costs: This award is applying an approved Indirect cost rate(s).

Suballocation Funds: Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Pre-Award Authority: This award is using Pre-Award Authority.

### **Application Budget**

Total Application Budget: \$96,694.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$96,694.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$0.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$96,694.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$0.00

### **Application Budget Control Totals**

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

<b>Funding Source</b>	<b>Section of Statute</b>	<b>CFDA Number</b>	<b>Amount</b>
5311 Tribal formula (CARES Act)	5311-7	20509	\$96,694
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$96,694</b>

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

### **U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:**

Original Certification Date:

### **Special Conditions**

There are no special conditions.

### **FINDINGS AND DETERMINATIONS**

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

### **FTA AWARD OF THE GRANT AGREEMENT**

Awarded By:

FEDERAL TRANSIT ADMINISTRATION  
U.S. DEPARTMENT OF TRANSPORTATION  
Contact Info:  
Award Date:

### **EXECUTION OF THE GRANT AGREEMENT**

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
  - (a) Statements,
  - (b) Representations,
  - (c) Warranties,
  - (d) Covenants, and
  - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

*Southern Ute Indian Tribe*