

## Application

<b>Federal Award Identification Number (FAIN)</b>	CO-2021-011-00
<b>Temporary Application Number</b>	6965-2020-2
<b>Application Name</b>	FY 2020 5311 Tribal Formula - Operating, Project Admin, and PM
<b>Application Status</b>	Final Concurrence / Reservation
<b>Application Budget Number</b>	0

<b>Period of Performance Start Date</b>	N/A
<b>Original Period of Performance End Date</b>	3/31/2022
<b>Current Period of Performance End Date</b>	N/A Revision #: N/A

### Part 1: Recipient Information

**Name: Southern Ute Indian Tribe**

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
6965	Indian Tribe	SOUTHERN UTE INDIAN TRIBE	078353919

Location Type	Address	City	State	Zip
Headquarters	356 OURAY DR	IGNACIO	CO	811375097
Physical Address	356 OURAY DR	IGNACIO	CO	81137
Mailing Address	P.O. BOX 737	IGNACIO	CO	81137

### Union Information

There are no union contacts for this application

### Part 2: Application Information

**Title: FY 2020 5311 Tribal Formula - Operating, Project Admin, and PM**

<b>FAIN</b>	<b>Application Status</b>	<b>Application Type</b>	<b>Date Created</b>	<b>Last Updated Date</b>	<b>From TEAM?</b>
CO-2021-011-00	Final Concurrence / Reservation	Grant	9/2/2020	9/2/2020	No

**Application Executive Summary**

The Southern Ute Tribe uses FY20 5311 Tribal Formula funds in the amount of \$106,625 (full apportionment) to cover expenses for the contracted Tribal Transit services expended in the second part of calendar year 2021. Federal share is 100%

The following activities are included in this application:

1. Operations;
2. Project Admin; and
3. Preventive Maintenance

The budget for each line item includes indirect costs. FY 21 IDC letter is attached under Recipient Documents.

**Frequency of Milestone Progress Reports (MPR)**

Annual

**Frequency of Federal Financial Reports (FFR)**

Annual

**Does this application include funds for research and/or development activities?**

This award does not include research and development activities.

**Pre-Award Authority**

This award is not using Pre-Award Authority.

**Does this application include suballocation funds?**

Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

**Will this Grant be using Lapsing Funds?**

No, this Grant does not use Lapsing Funds.

**Will indirect costs be applied to this application?**

This award is applying an approved Indirect cost rate(s).

*Indirect Rate Details:* The Southern Ute Indian Tribe subcontracts transit operations to Southern Colorado Community Action Agency dba Road Runner Transit which operates using their federally negotiated and approved indirect cost rate of 10.9%.

**Requires E.O. 12372 Review**

No, this application does not require E.O. 12372 Review.

**Delinquent Federal Debt**

No, my organization does not have delinquent federal debt.

## Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Lori	Niewold	Executive Director	lniewold@sococaa.org	(970) 563-4517 1002
Eboni	Younger-Riehl	Transportation Program Specialist	eboni.younger-riehl@dot.gov	(303) 362-2394

## Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$106,625
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$106,625</b>

## Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
CO-2021-011-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$106,625.00	\$0.00	\$106,625.00	3
CO-2021-011-01-00	11.79.00 PROJECT ADMINISTRATION	\$4,500.00	\$0.00	\$4,500.00	1
CO-2021-011-01-00	11.7A.00 PREVENTIVE MAINTENANCE	\$8,725.00	\$0.00	\$8,725.00	1
CO-2021-011-01-00	30.09.01 UP TO 100% FEDERAL SHARE	\$93,400.00	\$0.00	\$93,400.00	1

## Discretionary Allocations

This application does not contain discretionary allocations.

## Part 3: Project Information

## Project Title: Ignacio Area Dial-a-Ride (DAR) - Operations, Project Admin & PM

Project Number	Temporary Project Number	Date Created	Start Date	End Date
CO-2021-011-01-00	6965-2020-2-P1	9/2/2020	7/1/2021	12/31/2021

### Project Description

FFY2020 5311 Tribal formula funds in the amount of \$106,625 will be used for continued operations, project administration and preventive maintenance for the Ignacio Area DAR transit service.

This project will include the following activities:

- Operations
- Project Admin
- Preventive Maintenance

Activities are covered by separate CE C-List Types.

### Project Benefits

The DAR service continues essential tribal transportation for calendar year 2021. DAR is a demand-response service with a fixed route frequency that provides full access to all community services and destinations within the hours of operation.

### Additional Information

Ignacio Area DAR also links up with Road Runner's fixed route service to Durango, 24 miles away,

### Location Description

The service area is in southeastern La Plata County, Colorado.

## Project Location (Urbanized Areas)

UZA Code	Area Name
080000	Colorado

## Congressional District Information

State	District	Representative
Colorado	3	Scott R Tipton

## Program Plan Information

### STIP/TIP

Date: 5/1/2020

Description: Southwest [Colorado] Regional Human Services Transportation Plan, approved by the Southwest Colorado Regional Transportation Planning Commission and incorporated into the Colorado STIP.

### UPWP

Date: N/A  
 Description: N/A

**Long Range Plan**

Date: N/A  
 Description: N/A

**Project Control Totals**

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$106,625
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$106,625</b>

**Project Budget**

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
CO-2021-011-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$106,625.00	\$0.00	\$106,625.00	3
CO-2021-011-01-00	11.79.00 PROJECT ADMINISTRATION	\$4,500.00	\$0.00	\$4,500.00	1
CO-2021-011-01-00	11.7A.00 PREVENTIVE MAINTENANCE	\$8,725.00	\$0.00	\$8,725.00	1
CO-2021-011-01-00	30.09.01 UP TO 100% FEDERAL SHARE	\$93,400.00	\$0.00	\$93,400.00	1

**Project Budget Activity Line Items**

Budget Activity Line Item: 11.7A.00 - PREVENTIVE MAINTENANCE				
Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS	11.7A.00	PREVENTIVE	OTHER CAPITAL	1

(600-00)

MAINTENANCE

ITEMS (BUS)

**Extended Budget Description**

F20 5311 TTP Formula funds will be used for preventive maintenance expenses for the Tribal Transit Program, including small capital purchases and supplies for preventive maintenance and repairs to vehicles, equipment and facilities. Timeframe for expenses is from July 1, 2021 to December 31, 2021.

Any equipment exceeding \$5000 per unit and useful life greater than 1 year, and any supplies with an aggregate value greater than \$5000, will be reported as project property at closeout of this award.

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$8,725
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$8,725</b>

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2021	Start of PM.
End Date	12/31/2021	End of PM.

**Budget Activity Line Item: 11.79.00 - PROJECT ADMINISTRATION**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	11.79.00	PROJECT ADMINISTRATION	OTHER CAPITAL ITEMS (BUS)	1

**Extended Budget Description**

FFY20 5311 TTP Formula funds will be used for Project Administration for the Tribal Transit Program to provide transportation services throughout the Reservation.

Project Admin expenses are from July 1, 2021 to December 31, 2021 and include general admin expenses (salaries of project director, admin assistant, accounting/finance staff), marketing expenses, insurance premiums, office supplies, facilities and equipment rental, standard overhead rates, and costs of administering drug and alcohol testing.

No supplies will be purchased costing more than \$5,000 per unit and useful life greater than 1 year, and any supplies with an aggregate value greater than \$5000, will be reported as project property.

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$4,500
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$4,500</b>

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2021	Start of project Admin.
End Date	12/31/2021	End of Admin project.

**Budget Activity Line Item: 30.09.01 - UP TO 100% FEDERAL SHARE**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE	1

**Extended Budget Description**

This activity will fund operating assistance for the timeframe of 7/1/2021 through 12/31/2021. Southern Ute Tribe passes through funding to Southern Colorado Community Action Agency (SoCoCAA) to operate transit services. Operating expenses include driver and dispatch salaries and fringe benefits, fuel, oil and other direct operating costs.

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$93,400
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0

Adjustment		\$0
<b>Total Eligible Cost</b>		<b>\$93,400</b>

Milestone Name	Est. Completion Date	Description
Service continues with start of PY 2020 funding	7/1/2021	This is an ongoing demand-response service. This milestone is when previous allocations have been spent out, and the spending of the new allocation starts.
Extend service area boundary north along CR 521	7/1/2021	Currently, the Ignacio Area Dial-a-Ride service picks up and drops off riders within a 3-mile radius of the Town of Ignacio. This takes in the Southern Ute Tribal Campus and Tribal neighborhoods to the West and South of the Tribal Campus, as well as the Town itself. The Southern Ute Education Department has requested that transit services be put on the road which would allow Southern Ute members and the general public access to educational opportunities in Bayfield (11 miles from Ignacio) including two-year college and vocational training. This would also provide access to tribal members attending in high school in Bayfield.
End Date	12/31/2021	End of period where transit services are supported with FY 2020 allocation.

## Project Environmental Findings

<b>Finding: Class II(c) - Categorical Exclusions (C-List)</b>
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### Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

### Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description	Date
	Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	30.09.01	UP TO 100% FEDERAL SHARE	1	\$93,400.00	\$93,400.00



**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

**Categorical Exclusion Description**

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	11.79.00	PROJECT ADMINISTRATION	1	\$4,500.00	\$4,500.00

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

**Categorical Exclusion Description**

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	11.7A.00	PREVENTIVE MAINTENANCE	1	\$8,725.00	\$8,725.00

**Part 4: Fleet Details**

No fleet data exists for this application.

## Part 5: FTA Review Comments

### FTA Comments for DOL

**Comment By** Eboni Younger-Riehl

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Comment Type	DOL Review for Information
Date	3/3/2021
Comment	Mikki Naranjo Southern Ute Indian Tribe Contracts & Grants Manager 970-563-2441 Minaranjo@southernute-nsn.gov

### Application Review Comments

**Comment By** Eboni Younger-Riehl

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Comment Type	Pre-Award Manager Returns Application
Date	2/19/2021
Comment	

## Part 6: Agreement

**UNITED STATES OF AMERICA  
DEPARTMENT OF TRANSPORTATION  
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT  
(FTA G-26, October 1, 2019)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(26), October 1, 2019, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND

CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

### **FTA AWARD**

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

#### **Recipient Information**

Recipient Name: Southern Ute Indian Tribe

Recipient ID: 6965

DUNS No: 078353919

#### **Application Information**

Federal Award Identification Number: CO-2021-011-00

Application Name: FY 2020 5311 Tribal Formula - Operating, Project Admin, and PM

Application Start Date: N/A

Original Award End Date: 3/31/2022

Current Award End Date: N/A

Application Executive Summary: The Southern Ute Tribe uses FY20 5311 Tribal Formula funds in the amount of \$106,625 (full apportionment) to cover expenses for the contracted Tribal Transit services expended in the second part of calendar year 2021. Federal share is 100%

The following activities are included in this application:

1. Operations;
2. Project Admin; and
3. Preventive Maintenance

The budget for each line item includes indirect costs. FY 21 IDC letter is attached under Recipient Documents.

Research and Development: This award does not include research and development activities.

Indirect Costs: This award is applying an approved Indirect cost rate(s).

Suballocation Funds: Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Pre-Award Authority: This award is not using Pre-Award Authority.

**Application Budget**

Total Application Budget: \$106,625.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$106,625.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$0.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$106,625.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$0.00

**Application Budget Control Totals**

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

<b>Funding Source</b>	<b>Section of Statute</b>	<b>CFDA Number</b>	<b>Amount</b>
5311 - Tribal Transit Program Formula	5311-1B	20509	\$106,625
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$106,625</b>

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

**U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:**

Original Certification Date:

**Special Conditions**

There are no special conditions.

**FINDINGS AND DETERMINATIONS**

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

**FTA AWARD OF THE GRANT AGREEMENT**

Awarded By:

FEDERAL TRANSIT ADMINISTRATION  
U.S. DEPARTMENT OF TRANSPORTATION  
Contact Info:  
Award Date:

### **EXECUTION OF THE GRANT AGREEMENT**

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
  - (a) Statements,
  - (b) Representations,
  - (c) Warranties,
  - (d) Covenants, and
  - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

*Southern Ute Indian Tribe*