

Application

Federal Award Identification Number (FAIN)	CA-2021-030-00
Temporary Application Number	1622-2021-1
Application Name	5311(b) FFY 2020 Op, Cap, Admin, RTAP (Calif ID 0020000131)
Application Status	Final Concurrence / Reservation
Application Budget Number	0

Period of Performance Start Date	N/A
Original Period of Performance End Date	9/30/2026
Current Period of Performance End Date	N/A Revision #: N/A

Part 1: Recipient Information

Name: Transportation, California Department Of

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
1622	State Agency	California DOT (Caltrans) Division of Rail and Mass Transportation (DRMT)	135253776

Location Type	Address	City	State	Zip
Headquarters	1120 N ST	SACRAMENTO	CA	958145680
Physical Address	1120 N STREET RM 3300	SACRAMENTO	CA	95814
Mailing Address	1120 N STREET, ROOM 3300 - MS39	SACRAMENTO	CA	94274

Union Information

There are no union contacts for this application

Part 2: Application Information

Title: 5311(b) FFY 2020 Op, Cap, Admin, RTAP (Calif ID 0020000131)

FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
CA-2021-030-00	Final Concurrence / Reservation	Grant	11/30/2020	11/30/2020	No

Application Executive Summary

The California Department of Transportation is submitting a grant application to fund:
8 Replacement Vehicles for 3 agencies,
3 Preventive Maintenance projects for 3 agencies,
74 Operating Assistance projects (5311) for 73 agencies,
28 Operating Assistance projects (5311(f)) for 20 agencies,
Rural Transportation Assistance Program (RTAP) activities, and
State Administrative activities.

Projects to be implemented under this grant would be funded from the 5311 Rural and Intercity Bus Formula program using \$2,375,908 in FFY 2019 funds that have not yet been obligated and \$28,853,996 in FFY 2020 federally-apportioned funds. The total amount of \$32,502,603 to be obligated includes \$2,282,576 in FFY 2020 funds for State administrative purposes. There will be \$2,866,951 in FFY 2020 apportionment remaining for future obligation. RTAP funds totaling \$1,272,699 from FFY 2019, FFY 2020 and FFY 2021 are to be obligated also.

Due to the use of Transportation Development (Toll) Credits in the amount of \$415,408, the effective Federal Share for projects to be funded by this award will differ from the usual rates.

California is eligible for increased Federal Share rates of 88.53% (capital) and 55.33% (operating), depending on type of project, pursuant to Section 120(b)(2) of Title 23 USC. The required signed agreement between the Department and FHWA is saved in the Recipient Documents.

Frequency of Milestone Progress Reports (MPR)

Annual

Frequency of Federal Financial Reports (FFR)

Annual

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Will this Grant be using Lapsing Funds?

No, this Grant does not use Lapsing Funds.

Will indirect costs be applied to this application?

This award does not include an indirect cost rate.

Indirect Rate Details: N/A

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Jean	Mazur	Transportation Program Specialist	Jean.Mazur@dot.gov	415-734-9456
Tracy	Harrison	Chief, Grants Management Branch	tracy_harrison@dot.ca.gov	916-654-5289

Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$32,502,603
Local			\$97,849,994
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$415,408
Adjustment			\$0
Total Eligible Cost			\$130,352,597

Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
CA-2021-030-01-00	111-00 (111-A1) BUS - ROLLING STOCK	\$842,817.00	\$482,836.00	\$1,325,653.00	8
CA-2021-030-01-00	11.12.02 35 - 11.12.02 (CNG) Replace Bus	\$104,694.00	\$380,099.00	\$484,793.00	1
CA-2021-030-01-00	11.12.04 <30 - 11.12.04 (CNG) Replace Bus	\$202,146.00	\$33,296.00	\$235,442.00	2
CA-2021-030-01-00	11.12.04 <30 - 11.12.04 (Gas) Replace Bus	\$382,938.00	\$49,614.00	\$432,552.00	2

CA-2021-030-01-00		11.12.15	Replace Van - 11.12.15 (Gas)	\$153,039.00	\$19,827.00	\$172,866.00	3
CA-2021-030-01-00	117-00 (117-A2)		OTHER CAPITAL ITEMS (BUS)	\$297,711.00	\$224,024.00	\$521,735.00	3
CA-2021-030-01-00		11.7A.00	Preventive Maintenance - 11.7A.00	\$297,711.00	\$224,024.00	\$521,735.00	3
CA-2021-030-02-00	634-00 (634-A3)		INTERCITY BUS TRANSPORTATION	\$6,241,638.00	\$9,908,268.00	\$16,149,906.00	28
CA-2021-030-02-00		30.09.02	Operating Assistance (5311(f))	\$6,241,638.00	\$9,908,268.00	\$16,149,906.00	28
CA-2021-030-03-00	610-00 (610-A4)		STATE / PROGRAMS ADMINISTRATION	\$2,282,576.00	\$0.00	\$2,282,576.00	1
CA-2021-030-03-00		11.80.00	State Administration	\$2,282,576.00	\$0.00	\$2,282,576.00	1
CA-2021-030-04-00	600-00 (600-A5)		OTHER PROGRAM COSTS	\$21,565,162.00	\$87,234,866.00	\$108,800,028.00	74
CA-2021-030-04-00		30.09.02	5311 Operating Assist 30.09.02	\$21,565,162.00	\$87,234,866.00	\$108,800,028.00	74
CA-2021-030-05-00	635-00 (635-A6)		RURAL TRANSIT ASSISTANCE PROGRAM	\$1,272,699.00	\$0.00	\$1,272,699.00	1
CA-2021-030-05-00		43.50.02	Technical Assist (RTAP)	\$1,272,699.00	\$0.00	\$1,272,699.00	1

Discretionary Allocations

This application does not contain discretionary allocations.

Part 3: Project Information

Project Title: 5311 Capital Uses

Project Number	Temporary Project Number	Date Created	Start Date	End Date
CA-2021-030-01-00	1622-2021-1-P1	1/22/2021	10/1/2020	6/30/2025

Project Description

Purchase replacement vehicles; perform preventive maintenance

Project Benefits

Support rural transit across California

Additional Information

Project will benefit transit users in rural areas across California

Location Description

Rural transit service areas within Kings County, Riverside County, Solano County, Ventura County, Madera County, and Sonoma and Marin Counties.

Project Location (Urbanized Areas)

UZA Code	Area Name
060000	California

Congressional District Information

State	District	Representative
California	3	John Garamendi
California	4	Tom McClintock
California	16	Jim Costa
California	26	Julia Brownley
California	36	Raul Ruiz
California	21	David G. Valdao

Program Plan Information**STIP/TIP**

Date: 10/8/2020

Description: Various dates and actions as shown in attachment

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
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5311 - Rural Area Formula	5311-1A	20509	\$1,140,528
Local			\$706,860
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$1,847,388

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
CA-2021-030-01-00	111-00 (111-A1) BUS - ROLLING STOCK	\$842,817.00	\$482,836.00	\$1,325,653.00	8
CA-2021-030-01-00	11.12.02 Replace Bus 35 - 11.12.02 (CNG)	\$104,694.00	\$380,099.00	\$484,793.00	1
CA-2021-030-01-00	11.12.04 Replace Bus <30 - 11.12.04 (CNG)	\$202,146.00	\$33,296.00	\$235,442.00	2
CA-2021-030-01-00	11.12.04 Replace Bus <30 - 11.12.04 (Gas)	\$382,938.00	\$49,614.00	\$432,552.00	2
CA-2021-030-01-00	11.12.15 Replace Van - 11.12.15 (Gas)	\$153,039.00	\$19,827.00	\$172,866.00	3
CA-2021-030-01-00	117-00 (117-A2) OTHER CAPITAL ITEMS (BUS)	\$297,711.00	\$224,024.00	\$521,735.00	3
CA-2021-030-01-00	11.7A.00 Preventive Maintenance - 11.7A.00	\$297,711.00	\$224,024.00	\$521,735.00	3

Project Budget Activity Line Items

Budget Activity Line Item: 11.7A.00 - Preventive Maintenance - 11.7A.00

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER CAPITAL ITEMS (BUS) (117-00)	11.7A.00	PREVENTIVE MAINTENANCE	OTHER CAPITAL ITEMS (BUS)	3

Extended Budget Description

3 preventive maintenance projects to be implemented by the following agencies:
 City of Dixon
 City of Ojai
 Madera County

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$297,711
Local			\$224,024
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$521,735

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2020	Performance Period begins
End Date	6/3/2022	Performance Period ends

Budget Activity Line Item: 11.12.04 - Replace Bus <30 - 11.12.04 (Gas)

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS - ROLLING STOCK (111-00)	11.12.04	BUY REPLACEMENT	BUY REPLACEMENTS - CAPITAL BUS	2

Extended Budget Description

Sonoma County Transit is purchasing 2 buses to replaced the following vehicles:
 VIN: 1GB6G5BG9B1150753; Mileage: 205,355; Age in years: 9
 VIN: 1GB6G5BG1B1151530; Mileage: 202,935; Age in years: 9
 Estimated Useful Life of new vehicles is 7 years

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Propulsion	Fuel Type	Vehicle Condition	Vehicle Size (ft.)
N/A	Gasoline	New	<30

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$382,938
Local			\$49,614
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$432,552

Milestone Name	Est. Completion Date	Description
RFP/IFB Issue Date	10/1/2021	RFP/IFB Issue Date
Contract Award Date	10/1/2022	Contract Award Date
Initial Delivery Date	1/1/2023	Initial Delivery Date
Final Delivery Date	9/30/2024	Final Delivery Date
Contract Completion Date	6/30/2025	Contract Completion Date

Budget Activity Line Item: 11.12.02 - Replace Bus 35 - 11.12.02 (CNG)

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS - ROLLING STOCK (111-00)	11.12.02	BUY REPLACEMENT 35- FT BUS	BUY REPLACEMENTS - CAPITAL BUS	1

Extended Budget Description

Purchase 1 replacement vehicle for Kings County Area Public Transit Agency

Vehicle to be replaced:

VIN: 5FYC5KB10AC038246; Mileage: 453,351; Age in years: 10

Estimated Useful Life of new vehicle is 12 years

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Propulsion	Fuel Type	Vehicle Condition	Vehicle Size (ft.)
N/A	N/A	N/A	35 ft

Funding Source	Section of Statute	CFDA Number	Amount
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5311 - Rural Area Formula	5311-1A	20509	\$104,694
Local			\$380,099
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$484,793

Milestone Name	Est. Completion Date	Description
RFP/IFB Issue Date	10/1/2021	RFP/IFB Issue Date
Contract Award Date	10/1/2022	Contract Award Date
Initial Delivery Date	1/1/2023	Initial Delivery Date
Final Delivery Date	9/30/2024	Final Delivery Date
Contract Completion Date	6/30/2025	Contract Completion Date

Budget Activity Line Item: 11.12.04 - Replace Bus <30 - 11.12.04 (CNG)

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS - ROLLING STOCK (111-00)	11.12.04	BUY REPLACEMENT	BUY REPLACEMENTS - CAPITAL BUS	2

Extended Budget Description

Palo Verde Valley Transit Agency is purchasing two new CNG buses to replace:
VIN 1FDGF5GY5DEA06063; Mileage 154,725; Age 7 years
VIN 1FDGF5GY7DEA06064; Mileage 126,048; Age 7 years
Estimated Useful Life of new vehicles is 10 years

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Propulsion	Fuel Type	Vehicle Condition	Vehicle Size (ft.)
N/A	Compressed Natural Gas	New	<30

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$202,146
Local			\$33,296
Local/In-Kind			\$0
State			\$0

State/In-Kind	\$0
Other Federal	\$0
Transportation Development Credit	\$0
Adjustment	\$0
Total Eligible Cost	\$235,442

Milestone Name	Est. Completion Date	Description
RFP/IFB Issue Date	10/1/2021	RFP/IFB Issue Date
Contract Award Date	10/1/2022	Contract Award Date
Initial Delivery Date	1/1/2023	Initial Delivery Date
Final Delivery Date	9/30/2024	Final Delivery Date
Contract Completion Date	6/30/2025	Contract Completion Date

Budget Activity Line Item: 11.12.15 - Replace Van - 11.12.15 (Gas)

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS - ROLLING STOCK (111-00)	11.12.15	BUY REPLACEMENT VAN	BUY REPLACEMENTS - CAPITAL BUS	3

Extended Budget Description

3 new vans for Sonoma County Transit to replace the following vehicles:
 VIN: 1FMZK1CMXGKB29184; Mileage: 103,243; Age in years: 4
 VIN: 1FMZK1CM1GKB42549; Mileage: 79,860; Age in years: 4
 VIN: 1FAFP53225A118784; Mileage: 159,156; Age in years: 15
 Estimated Useful Life of new vehicles is 4 years

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Propulsion	Fuel Type	Vehicle Condition	Vehicle Size (ft.)
N/A	Gasoline	New	Van

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$153,039
Local			\$19,827
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0

Total Eligible Cost	\$172,866
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Milestone Name	Est. Completion Date	Description
RFP/IFB Issue Date	10/1/2021	RFP/IFB Issue Date
Contract Award Date	11/1/2021	Contract Award Date
Initial Delivery Date	12/31/2021	Initial Delivery Date
Final Delivery Date	9/30/2024	Final Delivery Date
Contract Completion Date	6/30/2025	Contract Completion Date

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date	Description	Date
	Class IIc CE Approved	

Project Title: Operating Assistance (5311(f))
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Project Number	Temporary Project Number	Date Created	Start Date	End Date
CA-2021-030-02-00	1622-2021-1-P3	1/28/2021	7/1/2020	6/30/2022

Project Description

Operating assistance for intercity bus service providers throughout California

Project Benefits

Support of intercity bus service across California

Additional Information

Rural portions of the following California counties:

Location Description

Rural portions of the following California counties:

Butte, Del Norte, Fresno, Humboldt, Inyo, Lake, Lassen, Mendocino, Merced, Mono, Modoc, Monterey, Mariposa, Napa, Plumas, Riverside, Santa Barbara, San Bernardino, San Diego, Shasta, Sonoma, Trinity, Tulare, and Tuolumne.

Project Location (Urbanized Areas)

UZA Code	Area Name
060000	California

Congressional District Information

State	District	Representative
California	1	Doug LaMalfa
California	2	Jared Huffman
California	3	John Garamendi
California	4	Tom McClintock
California	5	Mike Thompson
California	8	Jay Obernolte
California	11	Mark DeSaulnier
California	12	Nancy Pelosi
California	13	Barbara Lee
California	16	Jim Costa
California	22	Devin Nunes
California	36	Raul Ruiz
California	50	Darell Issa
California	51	Juan Vargas
California	52	Scott H Peters
California	53	Sara Jacobs
California	20	Jimmy Panetta
California	21	David G. Valdao
California	49	Mike Levin

Program Plan Information

STIP/TIP

Date: N/A

Description: N/A

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$6,241,638
Local			\$9,908,268
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$134,010
Adjustment			\$0
Total Eligible Cost			\$16,149,906

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
CA-2021-030-02-00	634-00 (634-A3) INTERCITY BUS TRANSPORTATION	\$6,241,638.00	\$9,908,268.00	\$16,149,906.00	28
CA-2021-030-02-00	30.09.02 Operating Assistance (5311(f))	\$6,241,638.00	\$9,908,268.00	\$16,149,906.00	28

Project Budget Activity Line Items**Budget Activity Line Item: 30.09.02 - Operating Assistance (5311(f))**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
INTERCITY BUS TRANSPORTATION (634-00)	30.09.02	SLIDING SCALE (5311 OR 5310 PILOT ONLY)	OPERATING ASSISTANCE	28

Extended Budget Description

28 operating assistance projects for 20 intercity bus service providers including:
Butte County Assn of Govt.s/ Butte Regional Transit

City of Santa Maria
 City of Visalia
 Eastern Sierra Transit Authority (2 routes)
 Fresno Council of Governments
 Greyhound Lines, Inc.
 Humboldt Transit Authority
 Klamath Trinity Non-Emergency Transportation?
 Lake Transit Authority (2 routes)
 Mendocino Transit Authority
 Modoc Transportation Agency (3 routes)
 Monterey-Salinas Transit (4 routes)
 Mountain Area Regional Transit Authority
 Plumas County Transportation Commission
 Redwood Coast Transit Authority
 San Diego Metropolitan Transit System
 Shasta Regional Transportation Agency
 Sunline Transit Agency
 Trinity County Department of Transportation (2 routes)
 Yosemite Area Regional Transportation System

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$6,241,638
Local			\$9,908,268
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$134,010
Adjustment			\$0
Total Eligible Cost			\$16,149,906

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2020	Performance Period begins
End Date	6/30/2022	Performance Period ends

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply

with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description	Date
	Class IIc CE Approved	

Project Title: State Administration

Project Number	Temporary Project Number	Date Created	Start Date	End Date
CA-2021-030-03-00	1622-2021-1-P5	1/28/2021	4/1/2021	6/30/2025

Project Description

State administrative activities associated with this grant

Project Benefits

Support rural transit and intercity bus services across California

Additional Information

None provided.

Location Description

Technical assistance to rural transit and intercity bus services operated in the following California counties: Alpine, Amador, Butte, Calaveras, Colusa, Del Norte, El Dorado, Fresno, Glenn, Humboldt, Imperial, Inyo, Kern, Kings, Los Angeles, Lake, Lassen, , Madera, Mendocino, Merced, Mono, Modoc, Monterey, Mariposa, Marin, Napa, Nevada, Orange, Placer, Plumas, Riverside, Sacramento, Santa Barbara, San Bernardino, San Benito, Santa Clara, Santa Cruz, San Diego, Shasta, Sierra, Siskiyou, San Jose, San Luis Obispo, San Mateo, Solano, Sonoma, Stanislaus, Sutter, Tehama, Trinity, Tulare, Tuolumne, Ventura, Yolo, and Yuba Counties.

Project Location (Urbanized Areas)

UZA Code	Area Name
060000	California

Congressional District Information

State	District	Representative
California	1	Doug LaMalfa

California	2	Jared Huffman
California	3	John Garamendi
California	4	Tom McClintock
California	5	Mike Thompson
California	6	Doris O Matsui
California	7	Ami Bera
California	8	Jay Obernolte
California	11	Mark DeSaulnier
California	12	Nancy Pelosi
California	13	Barbara Lee
California	14	Jackie Speier
California	15	Eric Swalwell
California	16	Jim Costa
California	18	Anna G Eshoo
California	19	Zoe Lofgren
California	22	Devin Nunes
California	23	Kevin McCarthy
California	26	Julia Brownley
California	27	Judy Chu
California	28	Adam B Schiff
California	29	Tony Cardenas
California	30	Brad Sherman
California	31	Pete Aguilar
California	32	Grace F Napolitano

Program Plan Information

STIP/TIP

Date: N/A

Description: N/A

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$2,282,576

Local		\$0
Local/In-Kind		\$0
State		\$0
State/In-Kind		\$0
Other Federal		\$0
Transportation Development Credit		\$0
Adjustment		\$0
Total Eligible Cost		\$2,282,576

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
CA-2021-030-03-00	610-00 (610-A4) STATE / PROGRAMS ADMINISTRATION	\$2,282,576.00	\$0.00	\$2,282,576.00	1
CA-2021-030-03-00	11.80.00 State Administration	\$2,282,576.00	\$0.00	\$2,282,576.00	1

Project Budget Activity Line Items

Budget Activity Line Item: 11.80.00 - State Administration

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
STATE / PROGRAMS ADMINISTRATION (610-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	STATE / PROGRAM ADMINISTRATION	1

Extended Budget Description

State administrative activities

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$2,282,576
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0

Other Federal		\$0
Transportation Development Credit		\$0
Adjustment		\$0
Total Eligible Cost		\$2,282,576

Milestone Name	Est. Completion Date	Description
Start Date	4/1/2021	
End Date	6/30/2025	

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description	Date
	Class IIc CE Approved	

Project Title: Operating Assistance (5311)

Project Number	Temporary Project Number	Date Created	Start Date	End Date
CA-2021-030-04-00	1622-2021-1-P6	3/18/2021	7/1/2020	6/30/2022

Project Description

Operating assistance for rural transit agencies throughout California

Project Benefits

Support of rural transit service across California

Additional Information

None provided.

Location Description

Rural portions of the following California counties:

Alpine, Amador, Butte, Calaveras, Colusa, Del Norte, El Dorado, Fresno, Glenn, Humboldt, Imperial, Inyo, Kern, Kings, Los Angeles, Lake, Lassen, , Madera, Mendocino, Merced, Mono, Modoc, Monterey, Mariposa, Marin, Napa, Nevada, Orange, Placer, Plumas, Riverside, Sacramento, Santa Barbara, San Bernardino, San Benito, Santa Clara, Santa Cruz, San Diego, Shasta, Sierra, Siskiyou, San Jose, San Luis Obispo, San Mateo, Solano, Sonoma, Stanislaus, Sutter, Tehama, Trinity, Tulare, Tuolumne, Ventura, Yolo, and Yuba.

Project Location (Urbanized Areas)

UZA Code	Area Name
060000	California

Congressional District Information

State	District	Representative
California	1	Doug LaMalfa
California	2	Jared Huffman
California	3	John Garamendi
California	4	Tom McClintock
California	5	Mike Thompson
California	6	Doris O Matsui
California	7	Ami Bera
California	8	Jay Obernolte
California	12	Nancy Pelosi
California	14	Jackie Speier
California	16	Jim Costa
California	18	Anna G Eshoo
California	19	Zoe Lofgren
California	22	Devin Nunes
California	23	Kevin McCarthy
California	26	Julia Brownley
California	27	Judy Chu
California	31	Pete Aguilar
California	35	Norma J Torres
California	36	Raul Ruiz
California	41	Mark Takano
California	42	Ken Calvert
California	50	Darell Issa
California	51	Juan Vargas
California	52	Scott H Peters

California	53	Sara Jacobs
California	20	Jimmy Panetta
California	21	David G. Valdao
California	24	Salud Carbajal
California	46	Lou Correa
California	49	Mike Levin
California	10	Josh Harder
California	9	Jerry McNerney

Program Plan Information

STIP/TIP

Date: N/A

Description: N/A

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$21,565,162
Local			\$87,234,866
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$281,398
Adjustment			\$0
Total Eligible Cost			\$108,800,028

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
CA-2021-030-04-00	600- OTHER PROGRAM (600- COSTS	\$21,565,162.00	\$87,234,866.00	\$108,800,028.00	74

	A5)					
CA-2021-030-04-00	30.09.02	5311 Operating Assist 30.09.02	\$21,565,162.00	\$87,234,866.00	\$108,800,028.00	74

Project Budget Activity Line Items

Budget Activity Line Item: 30.09.02 - 5311 Operating Assist 30.09.02

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	30.09.02	SLIDING SCALE (5311 OR 5310 PILOT ONLY)	OPERATING ASSISTANCE	74

Extended Budget Description

74 operating assistance projects for the following 73 rural transit agencies:

Alpine County Community Development
Amador Transit
Butte County Assn of Govt.s/ Butte Regional Transit
Calaveras Transit Agency
City of Arvin
City of Auburn
City of California City
City of Chowchilla
City of Corcoran - Corcoran Area Transit
City of Dinuba
City of Dixon
City of Escalon
City of Guadalupe
City of McFarland
City of Needles
City of Ojai
City of Ridgecrest
City of Rio Vista
City of Shafter
City of Solvang
City of Taft
City of Tehachapi
City of Wasco
City of Woodlake
Colusa County Transit Agency
County of Los Angeles - Department of Public Works
County of Mariposa
County of Nevada Public Works, Transit Services Divn
County of Sacramento Department of Transportation
County of Shasta Department of Public Works
County of Siskiyou
County of Tulare
Eastern Sierra Transit Authority (2) (Inyo County, Mono County)
El Dorado County Transit Authority
Fresno County Rural Transit Agency

Glenn County Transportation Commission
 Humboldt Transit Authority
 Imperial County Transportation Commission
 Kern Regional Transit
 Kings County Area Public Transit Agency
 Lake Transit Authority
 Lassen Transit Service Agency
 Madera County
 Marin County Transit District
 Mendocino Transit Authority
 Modoc Transportation Agency
 Monterey-Salinas Transit
 Morongo Basin Transit Authority
 Mountain Area Regional Transit Authority
 Napa Valley Transportation Authority
 North County Transit District
 Placer County Public Works (TART & PCT)
 Plumas County Transportation Commission
 Redwood Coast Transit Authority
 Riverside Transit Agency
 San Benito County Local Transportation Authority
 San Diego Metropolitan Transit System
 San Joaquin Regional Transit District
 San Luis Obispo Regional Transit Authority
 San Mateo County Transit District
 Santa Clara Valley Transportation Authority
 Santa Cruz Metropolitan Transit District
 Sierra County Transportation Commission
 Stanislaus County Public Works - Transit Division
 Sunline Transit Agency
 Tehama County Transit Agency
 Town of Truckee
 Transit Joint Powers Authority for Merced County
 Trinity County Department of Transportation
 Tuolumne County Transit Agency (TCTA)
 Victor Valley Transit Authority
 Yolo County Transportation District
 Yuba-Sutter Transit Authority

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$21,565,162
Local			\$87,234,866
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$281,398

Adjustment		\$0
Total Eligible Cost		\$108,800,028

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2020	Performance Period Begins
End Date	6/30/2022	Performance Period Ends

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Project Title: RTAP (FFY19, FFY20, FFY 21)

Project Number	Temporary Project Number	Date Created	Start Date	End Date
CA-2021-030-05-00	1622-2021-1-P7	3/18/2021	4/1/2022	6/30/2025

Project Description

Technical assistance using funds apportioned for RTAP

Project Benefits

Technical assistance to California 5311 subrecipients

Additional Information

None provided.

Location Description

Technical assistance to transit agencies serving the following counties in California:

Alpine, Amador, Butte, Calaveras, Colusa, Del Norte, El Dorado, Fresno, Glenn, Humboldt, Imperial, Inyo,

Kern, Kings, Los Angeles, Lake, Lassen, , Madera, Mendocino, Merced, Mono, Modoc, Monterey, Mariposa, Marin, Napa, Nevada, Orange, Placer, Plumas, Riverside, Sacramento, Santa Barbara, San Bernardino, San Benito, Santa Clara, Santa Cruz, San Diego, Shasta, Sierra, Siskiyou, San Jose, San Luis Obispo, San Mateo, Solano, Sonoma, Stanislaus, Sutter, Tehama, Trinity, Tulare, Tuolumne, Ventura, Yolo, and Yuba Counties.

Project Location (Urbanized Areas)

UZA Code	Area Name
060000	California

Congressional District Information

State	District	Representative
California	1	Doug LaMalfa
California	2	Jared Huffman
California	3	John Garamendi
California	4	Tom McClintock
California	5	Mike Thompson
California	6	Doris O Matsui
California	7	Ami Bera
California	8	Jay Obernolte
California	11	Mark DeSaulnier
California	12	Nancy Pelosi
California	13	Barbara Lee
California	14	Jackie Speier
California	15	Eric Swalwell
California	16	Jim Costa
California	18	Anna G Eshoo
California	19	Zoe Lofgren
California	22	Devin Nunes
California	23	Kevin McCarthy
California	26	Julia Brownley
California	27	Judy Chu
California	28	Adam B Schiff
California	29	Tony Cardenas
California	30	Brad Sherman
California	31	Pete Aguilar
California	32	Grace F Napolitano
California	33	Ted Lieu
California	35	Norma J Torres

California	36	Raul Ruiz
California	37	Karen Bass
California	38	Linda T Sanchez
California	40	Lucille Roybal-Allard
California	41	Mark Takano
California	42	Ken Calvert
California	43	Maxine Waters
California	47	Alan S Lowenthal
California	50	Darell Issa
California	51	Juan Vargas
California	52	Scott H Peters
California	53	Sara Jacobs
California	17	Ro Khanna
California	20	Jimmy Panetta
California	21	David G. Valdao
California	24	Salud Carbajal
California	25	Mike Garcia
California	34	Jimmy Gomez
California	39	Young Kim
California	44	Nanette Barragán
California	45	Katie Porter
California	46	Lou Correa
California	48	Michelle Steel
California	49	Mike Levin
California	10	Josh Harder
California	9	Jerry McNerney

Program Plan Information

STIP/TIP

Date: N/A

Description: N/A

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$1,272,699
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$1,272,699

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
CA-2021-030-05-00	635-00 (635-A6) RURAL TRANSIT ASSISTANCE PROGRAM	\$1,272,699.00	\$0.00	\$1,272,699.00	1
CA-2021-030-05-00	43.50.02 Technical Assist (RTAP)	\$1,272,699.00	\$0.00	\$1,272,699.00	1

Project Budget Activity Line Items

Budget Activity Line Item: 43.50.02 - Technical Assist (RTAP)

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
RURAL TRANSIT ASSISTANCE PROGRAM (635-00)	43.50.02	TECHNICAL ASSISTANCE	RURAL TRANSIT ASST PROGRAM	1

Extended Budget Description

Technical assistance (RTAP) to subrecipients

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$1,272,699
Local			\$0
Local/In-Kind			\$0

State		\$0
State/In-Kind		\$0
Other Federal		\$0
Transportation Development Credit		\$0
Adjustment		\$0
Total Eligible Cost		\$1,272,699

Milestone Name	Est. Completion Date	Description
Start Date	4/1/2022	Performance Period Begins
End Date	6/30/2025	Performance Period Ends

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description	Date
	Class IIc CE Approved	

Part 4: Fleet Details

Fleet Type: Fixed Route

Fleet Comments

Mix of small cutaways and transit vans for rural service on tight mountain roads

		Current Value
I.	Active Fleet	
	A. Peak Requirement	17
	B. Spares	2

	C. Total (A+B)	19
	D. Spare Ratio (B/A)	11.76%
II.	Inactive Fleet	
	A. Other	0
	B. Pending Disposal	3
	C. Total (A+B)	3
III.	Total (I.C and II.C)	22

Part 5: FTA Review Comments

FTA Comments for DOL

Comment By Jean Mazur

Comment Type	DOL Review for Information
Date	3/19/2021
Comment	5311 grant provided for your information. Thank You.

Application Review Comments

Comment By Jean Mazur

Comment Type	Pre-Award Manager Returns Application
Date	2/8/2021
Comment	

Comment By Jean Mazur

Comment Type	Pre-Award Manager Returns Application
Date	2/24/2021
Comment	FTA comments will be sent via email. The most significant comment is that we need the years for which PM will be reimbursed.

Comment By Jean Mazur

Comment Type	Pre-Award Manager Returns Application
Date	3/18/2021
Comment	Please change the 5311 Operating Assistance and RTAP to the 600 Series ALIs. Thank You.

Part 6: Agreement

**UNITED STATES OF AMERICA
DEPARTMENT OF TRANSPORTATION
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT
(FTA G-28, February 9, 2021)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(28), February 9, 2021, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

FTA AWARD

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

Recipient Information

Recipient Name: Transportation, California Department Of

Recipient ID: 1622

DUNS No: 135253776

Application Information

Federal Award Identification Number: CA-2021-030-00

Application Name: 5311(b) FFY 2020 Op, Cap, Admin, RTAP (Calif ID 0020000131)

Application Start Date: N/A

Original Award End Date: 9/30/2026

Current Award End Date: N/A

Application Executive Summary: The California Department of Transportation is submitting a grant application to fund:

8 Replacement Vehicles for 3 agencies,
3 Preventive Maintenance projects for 3 agencies,
74 Operating Assistance projects (5311) for 73 agencies,
28 Operating Assistance projects (5311(f)) for 20 agencies,
Rural Transportation Assistance Program (RTAP) activities, and
State Administrative activities.

Projects to be implemented under this grant would be funded from the 5311 Rural and Intercity Bus Formula program using \$2,375,908 in FFY 2019 funds that have not yet been obligated and \$28,853,996 in FFY 2020 federally-apportioned funds. The total amount of \$32,502,603 to be obligated includes \$2,282,576 in FFY 2020 funds for State administrative purposes. There will be \$2,866,951 in FFY 2020 apportionment remaining for future obligation. RTAP funds totaling \$1,272,699 from FFY 2019, FFY 2020 and FFY 2021 are to be obligated also.

Due to the use of Transportation Development (Toll) Credits in the amount of \$415,408, the effective Federal Share for projects to be funded by this award will differ from the usual rates.

California is eligible for increased Federal Share rates of 88.53% (capital) and 55.33% (operating), depending on type of project, pursuant to Section 120(b)(2) of Title 23 USC. The required signed agreement between the Department and FHWA is saved in the Recipient Documents.

Research and Development: This award does not include research and development activities.

Indirect Costs: This award does not include an indirect cost rate.

Suballocation Funds: Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Pre-Award Authority: This award is using Pre-Award Authority.

Application Budget

Total Application Budget: \$130,352,597.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$32,502,603.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$97,849,994.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$32,502,603.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$97,849,994.00

Application Budget Control Totals

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$32,502,603
Local			\$97,849,994
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$415,408
Adjustment			\$0
Total Eligible Cost			\$130,352,597

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:

Original Certification Date:

Special Conditions

There are no special conditions.

FINDINGS AND DETERMINATIONS

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

FTA AWARD OF THE GRANT AGREEMENT

Awarded By:

FEDERAL TRANSIT ADMINISTRATION
U.S. DEPARTMENT OF TRANSPORTATION
Contact Info:
Award Date:

EXECUTION OF THE GRANT AGREEMENT

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
 - (a) Statements,

- (b) Representations,
 - (c) Warranties,
 - (d) Covenants, and
 - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

Transportation, California Department Of