

## Application

<b>Federal Award Identification Number (FAIN)</b>	AK-2021-004-00
<b>Temporary Application Number</b>	6803-2021-1
<b>Application Name</b>	FY 2018 Section 5311 TTP Discretionary Funding - Operating Assistance and Indirect Expenses
<b>Application Status</b>	Final Concurrence / Reservation
<b>Application Budget Number</b>	0

<b>Period of Performance Start Date</b>	N/A
<b>Original Period of Performance End Date</b>	12/31/2022
<b>Current Period of Performance End Date</b>	N/A Revision #: N/A

### Part 1: Recipient Information

**Name: Kenaitze Indian Tribe**

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
6803	Indian Tribe	KENAITZE INDIAN TRIBE	149211364

Location Type	Address	City	State	Zip
Headquarters	150 N WILLOW ST STE 33	KENAI	AK	996119112
Physical Address	150 N WILLOW ST STE 33	KENAI	AK	99611
Mailing Address	PO BOX 988	KENAI	AK	99611

### Union Information

There are no union contacts for this application

### Part 2: Application Information

**Title: FY 2018 Section 5311 TTP Discretionary Funding - Operating Assistance and Indirect Expenses**

<b>FAIN</b>	<b>Application Status</b>	<b>Application Type</b>	<b>Date Created</b>	<b>Last Updated Date</b>	<b>From TEAM?</b>
AK-2021-004-00	Final Concurrence / Reservation	Grant	2/2/2021	2/2/2021	No

**Application Executive Summary**

This application uses FY2018 Section 5311 TTP discretionary funding in the amount of \$238,054 under Discretionary ID No. D2018-TRTR-006 for indirect administration expenses and operating assistance to hire transit staff and expand public transportation services throughout the Kenaitze Indian Tribe service area.

**Frequency of Milestone Progress Reports (MPR)**

Annual

**Frequency of Federal Financial Reports (FFR)**

Annual

**Does this application include funds for research and/or development activities?**

This award does not include research and development activities.

**Pre-Award Authority**

This award is using Pre-Award Authority.

**Does this application include suballocation funds?**

Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

**Will this Grant be using Lapsing Funds?**

Yes, this Grant will use Lapsing Funds.

**Will indirect costs be applied to this application?**

This award is applying an approved Indirect cost rate(s).

*Indirect Rate Details:* The DOI approved the Kenaitze Indian Tribe indirect cost rate on 11/12/2020 and a copy of the approved indirect cost rate is on file with FTA in TrAMS. The approved rate of 25.39% or the most currently approved annual rate will be applied to the applicable activity line descriptions.

**Requires E.O. 12372 Review**

No, this application does not require E.O. 12372 Review.

**Delinquent Federal Debt**

No, my organization does not have delinquent federal debt.

**Application Point of Contact Information**

<b>First Name</b>	<b>Last Name</b>	<b>Title</b>	<b>E-mail Address</b>	<b>Phone</b>
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Aurora	Rogers	Grants Assistant	ahawkins@kenaitze.org	907-335-7207
Annette	McKelvey	Transportation Program Specialist	Annette.McKelvey@dot.gov	(206) 220-4461
Scot	Rastelli	Transportation Program Specialist	Scot.Rastelli@dot.gov	206-220-7965

### Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Competitive	5311-1C	20509	\$238,054
Local			\$23,806
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$261,860</b>

### Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
AK-2021-004-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$238,054.00	\$23,806.00	\$261,860.00	0
AK-2021-004-01-00	11.79.00 PROJECT ADMINISTRATION - Indirect (18 5311 TTP Disc 90:10)(00)	\$23,805.00	\$2,381.00	\$26,186.00	0
AK-2021-004-01-00	30.09.01 OPERATING ASSISTANCE (18 5311 TTP Disc 90:10)(00)	\$214,249.00	\$21,425.00	\$235,674.00	0

### Discretionary Allocations

Discretionary ID	Project Title	Amount Applied	FAIN	Congressional Release Date
ID2018-TRTR-	The Kenaitze Indian Tribe will receive	\$238,054	AK-	

006	funding to hire transit staff to expand its public transit program throughout the central Kenai Peninsula.	2021-004-00
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## Part 3: Project Information

### Project Title: FY 2018 Section 5311 TTP Discretionary Funding - Operating Assistance and Indirect Expenses

Project Number	Temporary Project Number	Date Created	Start Date	End Date
AK-2021-004-01-00	6803-2021-1-P1	2/2/2021	10/1/2020	9/30/2022

#### Project Description

This project uses FY2018 Section 5311 TTP discretionary funding in the amount of \$238,054 under Discretionary ID No. D2018-TRTR-006 for indirect administration expenses and operating assistance to hire transit staff and expand public transportation services throughout the Kenaitze Indian Tribe service area.

#### Project Benefits

Expanded public transportation services in and around our service area.

#### Additional Information

*None provided.*

#### Location Description

Kenaitze Indian Tribe AKNVSA. This covers the areas of Cooper Landing to the North Side of the Kasilof River.

## Project Location (Urbanized Areas)

UZA Code	Area Name
020000	Alaska

## Congressional District Information

State	District	Representative
Alaska	1	Don Young

## Program Plan Information

#### STIP/TIP

Date: Not Provided

Description: There is no Statewide Transportation Improvement Program (STIP) or Transportation Improvement Program (TIP) requirement under the 5311 TTP Formula or Discretionary Program, per

9040.1G Chapter X, page X-3, paragraph 10.

**UPWP**

Date: N/A

Description: N/A

**Long Range Plan**

Date: N/A

Description: N/A

**Project Control Totals**

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Competitive	5311-1C	20509	\$238,054
Local			\$23,806
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$261,860</b>

**Project Budget**

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
AK-2021-004-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$238,054.00	\$23,806.00	\$261,860.00	0
AK-2021-004-01-00	11.79.00 PROJECT ADMINISTRATION - Indirect (18 5311 TTP Disc 90:10)(00)	\$23,805.00	\$2,381.00	\$26,186.00	0
AK-2021-004-01-00	30.09.01 OPERATING ASSISTANCE (18 5311 TTP Disc 90:10)(00)	\$214,249.00	\$21,425.00	\$235,674.00	0

**Project Budget Activity Line Items**

**Budget Activity Line Item: 11.79.00 - PROJECT ADMINISTRATION - Indirect (18 5311 TTP Disc 90:10)(00)**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	11.79.00	PROJECT ADMINISTRATION	OTHER CAPITAL ITEMS (BUS)	0

**Extended Budget Description**

This activity line item applies \$23,805 in FY2018 Section 5311 TTP discretionary funds (Discretionary ID No. D2018-TRTR-006) for Kenaitze Indian Tribe public transportation services administration expenses through the use of an approved indirect cost rate (attached) for the period of 10/1/2020 to 9/30/2022. The federal-to-local match ratio is 90:10 and the local match will derive from third-party Tribal funds as approved by the Tribal Council. There is no Statewide Transportation Improvement Program (STIP) or Transportation Improvement Program (TIP) requirement under the 5311 TTP Formula or Discretionary Program, per 9040.1G Chapter X, page X-3, paragraph 10.

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Competitive	5311-1C	20509	\$23,805
Local			\$2,381
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$26,186</b>

Milestone Name	Est. Completion Date	Description
Start Indirect Expenses	10/1/2020	
End Indirect Expenses	9/30/2022	

**Budget Activity Line Item: 30.09.01 - OPERATING ASSISTANCE (18 5311 TTP Disc 90:10)(00)**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE	0

**Extended Budget Description**

This activity line item applies \$214,249 in FY2018 Section 5311 TTP discretionary funds (Discretionary ID No. D2018-TRTR-006) for Kenaitze Indian Tribe public transportation services operating assistance to pay staff salaries and benefits for the period of 10/1/2020 to 9/30/2022. The federal-to-local match ratio is 90:10 and the local match will derive from third-party Tribal funds as approved by the Tribal Council.

There is no Statewide Transportation Improvement Program (STIP) or Transportation Improvement Program (TIP) requirement under the 5311 TTP Formula or Discretionary Program, per 9040.1G Chapter X, page X-3, paragraph 10.

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Competitive	5311-1C	20509	\$214,249
Local			\$21,425
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$235,674</b>

Milestone Name	Est. Completion Date	Description
Start Operating Assistance	10/1/2020	
End Operating Assistance	9/30/2022	

## Project Environmental Findings

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

**Categorical Exclusion Description**

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

## Part 4: Fleet Details

No fleet data exists for this application.

## Part 5: FTA Review Comments

### FTA Comments for DOL

**Comment By** Annette McKelvey

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**Comment Type** DOL Review for Information

**Date** 2/25/2021

**Comment** INFO ONLY DOL CERTIFICATION  
The Federal Transit Administration (FTA) requests that the U.S. Department of Labor undertake all of the steps necessary to perform its certification for this grant application. FTA requests that DOL complete its referral and review within 30 days. Please advise us of any 40 U.S.C. Section 5333(b) terms and conditions to be included in the grant.

## Part 6: Agreement

**UNITED STATES OF AMERICA  
DEPARTMENT OF TRANSPORTATION  
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT  
(FTA G-28, February 9, 2021)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(28), February 9, 2021, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

**FTA AWARD**



Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

### **Recipient Information**

Recipient Name: Kenaitze Indian Tribe

Recipient ID: 6803

DUNS No: 149211364

### **Application Information**

Federal Award Identification Number: AK-2021-004-00

Application Name: FY 2018 Section 5311 TTP Discretionary Funding - Operating Assistance and Indirect Expenses

Application Start Date: N/A

Original Award End Date: 12/31/2022

Current Award End Date: N/A

Application Executive Summary: This application uses FY2018 Section 5311 TTP discretionary funding in the amount of \$238,054 under Discretionary ID No. D2018-TRTR-006 for indirect administration expenses and operating assistance to hire transit staff and expand public transportation services throughout the Kenaitze Indian Tribe service area.

Research and Development: This award does not include research and development activities.

Indirect Costs: This award is applying an approved Indirect cost rate(s).

Suballocation Funds: Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Pre-Award Authority: This award is using Pre-Award Authority.

### **Application Budget**

Total Application Budget: \$261,860.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$238,054.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$23,806.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$238,054.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$23,806.00

### Application Budget Control Totals

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Competitive	5311-1C	20509	\$238,054
Local			\$23,806
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$261,860</b>

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

### U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:

Original Certification Date:

### Special Conditions

There are no special conditions.

### FINDINGS AND DETERMINATIONS

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

### FTA AWARD OF THE GRANT AGREEMENT

Awarded By:

FEDERAL TRANSIT ADMINISTRATION  
U.S. DEPARTMENT OF TRANSPORTATION  
Contact Info:  
Award Date:

### EXECUTION OF THE GRANT AGREEMENT

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in

which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
  - (a) Statements,
  - (b) Representations,
  - (c) Warranties,
  - (d) Covenants, and
  - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

*Kenaitze Indian Tribe*