

Application

Federal Award Identification Number (FAIN)	AK-2020-048-01
Temporary Application Number	1725-2020-10
Application Name	FY 2018-2019 Sec 5311 Alaska Rural Formula Funding for Administration, Rolling Stock and Related Equipment, Operations and RTAP
Application Status	Final Concurrence / Reservation
Application Budget Number	0

Period of Performance Start Date	9/25/2020
Original Period of Performance End Date	9/30/2022
Current Period of Performance End Date	9/30/2022 Revision #: 0

Part 1: Recipient Information

Name: Transportation And Public Facilities, Alaska Department Of

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
1725	State Agency	ALASKA DEPARTMENT OF TRANSPORTATION AND PUBLIC FACILITIES	809387434

Location Type	Address	City	State	Zip
Headquarters	CAPITOL BLDG 3RD FL	JUNEAU	AK	998110000
Physical Address	3132 CHANNEL DR	JUNEAU	AK	99801
Mailing Address	PO BOX 112500	JUNEAU	AK	99801

Union Information

Union Name	AFSCME
Address 1	1625 L Street NW
Address 2	
City	Washington
State	District of Columbia
Zipcode	20036
Contact Name	Gerald McEntee
Telephone	2024291000
Fax	2024291293
E-mail	kkorpi@afscme.org
Website	www.afscme.org/

Union Name	Alaska Public Employees Association
Address 1	211 4th Street, Suite 306
Address 2	
City	Juneau
State	Alaska
Zipcode	99801
Contact Name	Bruce Ludwig
Telephone	9075862334
Fax	9074862334
E-mail	bludwig@apea-aft.org
Website	www.apea-aft.org/

Union Name	Confidential Employees Association
Address 1	211 4th Street
Address 2	
City	Juneau
State	Alaska
Zipcode	99801
Contact Name	Bruce Ludwig
Telephone	9075862334
Fax	9074862334
E-mail	cea@apea-aft.org
Website	www.apea-aft.org/

Union Name	InlandBoatmans Union National Office
Address 1	1711 West Nickerson ST Suite D
Address 2	
City	Seattle
State	Washington
Zipcode	98111

Contact Name	David Freiboth
Telephone	2062846001
Fax	2062845043
E-mail	david.ibu@mindspring.com
Website	www.ibu.org/

Union Name	International Org of Masters, Mates and Pilots International HQ
Address 1	700 Maritime BLVD
Address 2	
City	Linthicum Heights
State	Maryland
Zipcode	21090
Contact Name	Timothy Brown
Telephone	4108508700
Fax	4108500973
E-mail	president@bridgedeck.org
Website	www.bridgedeck.org/

Union Name	Laborers International Union of North America
Address 1	905 16th ST NW
Address 2	
City	Washington
State	District of Columbia
Zipcode	20006
Contact Name	Terence OSullivan
Telephone	2027378320
Fax	2027372754
E-mail	communications@liuna.org
Website	www.liuna.org/

Union Name	MARINE ENGINEERS BENEFICIAL ASSOCIATION
Address 1	444 North Capitol St., NW, Sui
Address 2	
City	Washington
State	District of Columbia
Zipcode	20001
Contact Name	Ron Davis
Telephone	2026385355
Fax	2026385369
E-mail	mehq@d1meba.org
Website	www.d1meba.org

Union Name	Public Employees Local 71 AFL-CIO Anchorage Office
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Address 1	2510 Arctic Boulevard
Address 2	
City	Anchorage
State	Alaska
Zipcode	99530
Contact Name	Jim Ashton
Telephone	9072767211
Fax	9072797171
E-mail	tracy@local71.com
Website	ak.aficio.org/anchorageclc
Union Name Public Employees Local 71 AFL-CIO Juneau Office	
Address 1	710 West 9th St
Address 2	
City	Juneau
State	Alaska
Zipcode	99801
Contact Name	Tom Brice
Telephone	9075866993
Fax	9075865757
E-mail	tom@local71.com
Website	www.ak.aficio.org/12

Part 2: Application Information

Title: FY 2018-2019 Sec 5311 Alaska Rural Formula Funding for Administration, Rolling Stock and Related Equipment, Operations and RTAP

FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
AK-2020-048-01	Final Concurrence / Reservation	Grant	11/12/2020	11/12/2020	No

Application Executive Summary

START AMENDMENT (01)

This amendment adds \$103,845 in FY2020 RTAP funds and \$10,384 in FY2020 formula funds. Formula funds will be used for direct and indirect state administration funding for AKDOT. In addition, RTAP funds will be used for rural training and technical assistance activities.

END AMENDMENT (01)

START INITIAL APPLICATION (00)

This grant application includes \$714,688 in FY 2018 and \$5,087,389 in FY 2019 Section 5311 formula funds, and \$102,794 in FY 2019 Section 5311 RTAP formula funds for

project administration, capital and operating assistance for rural subrecipients, as well as direct and indirect state administration funding for AKDOT. In addition, RTAP funds will be used for rural training and technical assistance activities.

END INITIAL APPLICATION (00)

Frequency of Milestone Progress Reports (MPR)

Monthly

Frequency of Federal Financial Reports (FFR)

Monthly

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Will this Grant be using Lapsing Funds?

Yes, this Grant will use Lapsing Funds.

Will indirect costs be applied to this application?

This award is applying an approved Indirect cost rate(s).

Indirect Rate Details: The Federal Highway Administration approved the Alaska Department of Transportation and Public Facilities (AKDOT&PF) FY2021 indirect cost rate of 2.77% on 7/7/2020 and a copy of the approved indirect cost rate letter is on file with FTA.

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Annette	McKelvey	Transportation Program Specialist	Annette.McKelvey@dot.gov	(206) 220-4461
Abhishek	Koirala	Program Management Specialist	abhishek.koirala@dot.gov	206-220-7951
meleta	Winn	Grant Administrator	meleta.winn@alaska.gov	(907) 465-2780

Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$6,019,100
Local			\$1,349,335
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$7,368,435

Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
AK-2020-048-01-01	111-00 (111-A6) BUS - ROLLING STOCK	\$172,843.00	\$17,157.00	\$190,000.00	1
AK-2020-048-01-01	11.12.04 BUY REPLACEMENT LESS THAN 30 FT BUS (19 5311 90.97:9.03)(00)	\$172,843.00	\$17,157.00	\$190,000.00	1
AK-2020-048-01-01	114-00 (114-A5) BUS: SUPPORT EQUIP AND FACILITIES	\$261,559.00	\$25,964.00	\$287,523.00	1
AK-2020-048-01-01	11.42.06 ACQUIRE - SHOP EQUIPMENT (19 5311 90.97:90.03)(00)	\$109,528.00	\$10,872.00	\$120,400.00	0
AK-2020-048-01-01	11.42.09 ACQUIRE - MOBILE SURV/SECURITY EQUIP (19 5311 90.97:9.03)(00)	\$99,612.00	\$9,888.00	\$109,500.00	0
AK-2020-048-01-01	11.42.11 ACQUIRE - SUPPORT VEHICLES (19 5311 90.97:9.03)(00)	\$48,887.00	\$4,853.00	\$53,740.00	1
AK-2020-048-01-01	11.42.20 ACQUIRE - MISC SUPPORT EQUIPMENT - Tablets (19 5311 90.97:9.03)(00)	\$3,532.00	\$351.00	\$3,883.00	0

AK-2020-048-01-01	116-00 (116-A7)	SIGNAL & COMM EQUIPMENT (BUS)	\$3,821.00	\$379.00	\$4,200.00	0
AK-2020-048-01-01		11.62.03 PURCHASE RADIOS (19 5311 90.97:9.03)(00)	\$3,821.00	\$379.00	\$4,200.00	0
AK-2020-048-01-01	300-00 (300-A3)	OPERATING ASSISTANCE	\$1,252,207.00	\$950,056.00	\$2,202,263.00	0
AK-2020-048-01-01		30.09.02 OPERATING ASSISTANCE (19 5311 56.86:43.14)(00)	\$1,252,207.00	\$950,056.00	\$2,202,263.00	0
AK-2020-048-01-01	620-00 (620-A2)	PROJECT ADMINISTRATION	\$3,584,186.00	\$355,779.00	\$3,939,965.00	0
AK-2020-048-01-01		11.79.00 PROJECT ADMINISTRATION (18-19 5311 90.97:9.03)(00)	\$3,584,186.00	\$355,779.00	\$3,939,965.00	0
AK-2020-048-02-01	435-00 (435-A1)	RURAL TRANSIT ASST PROGRAM	\$102,794.00	\$0.00	\$102,794.00	3
AK-2020-048-02-01		43.50.01 TRAINING (19 5311 RTAP 100:0)(00)	\$84,038.00	\$0.00	\$84,038.00	1
AK-2020-048-02-01		43.50.02 TECHNICAL ASSISTANCE (19 5311 RTAP 100:0)(00)	\$17,756.00	\$0.00	\$17,756.00	1
AK-2020-048-02-01		43.50.04 RELATED SUPPORT SERVICES (19 5311 RTAP 100:0)(00)	\$1,000.00	\$0.00	\$1,000.00	1
AK-2020-048-02-01	635-00 (635-A8)	RURAL TRANSIT ASSISTANCE PROGRAM	\$103,845.00	\$0.00	\$103,845.00	3
AK-2020-048-02-01		43.50.01 TRAINING (20 5311 RTAP 100:00)(01)	\$85,089.00	\$0.00	\$85,089.00	1
AK-2020-048-02-01		43.50.02 TECHNICAL ASSISTANCE (20 5311 RTAP 100:00)(01)	\$17,756.00	\$0.00	\$17,756.00	1

AK-2020-048-02-01	43.50.04	RELATED SUPPORT SERVICES (20 5311 RTAP 100:00)(01)	\$1,000.00	\$0.00	\$1,000.00	1
AK-2020-048-03-01	610-00 (610-A4)	STATE / PROGRAMS ADMINISTRATION	\$537,845.00	\$0.00	\$537,845.00	0
AK-2020-048-03-01	11.80.00	STATE OR PROGRAM ADMINISTRATION - Direct (18-20 5311 100:0)(00/01)	\$388,861.00	\$0.00	\$388,861.00	0
AK-2020-048-03-01	11.80.00	STATE OR PROGRAM ADMINISTRATION - Indirect (18-20 5311 100:0)(00/01)	\$148,984.00	\$0.00	\$148,984.00	0

Discretionary Allocations

This application does not contain discretionary allocations.

Part 3: Project Information

Project Title: FY 2018-2019 Sec 5311 Rural Formula Funding for Project Administration, Rolling Stock and Related Equipment, and Operating Assistance

Project Number	Temporary Project Number	Date Created	Start Date	End Date
AK-2020-048-01-01	1725-2020-10-P1	11/12/2020	6/30/2020	10/1/2022

Project Description

START AMENDMENT (01)
No Changes to this project.
END AMENDMENT (01)

INITIAL APPLICATION (00)

This project includes \$643,219 in FY2018 and \$4,631,397 in FY2019 Section 5311 formula funding for project administration, rolling stock and related equipment, and operating assistance for rural public transportation systems across the state of Alaska.
END INITIAL APPLICATION (00)

Project Benefits

The benefits of this project will be the continued operation of public transportation systems throughout the State of Alaska.

Additional Information

None provided.

Location Description

Locations for subrecipient projects will take place in various rural State of Alaska locations, as provided in the attached Program of Projects

Project Location (Urbanized Areas)

UZA Code	Area Name
020000	Alaska

Congressional District Information

State	District	Representative
Alaska	1	Don Young

Program Plan Information**STIP/TIP**

Date: 8/19/2020

Description: 2020 - 2023 Alaska State Transportation Improvement Program Federally approved on April 28, 2020 Need ID 19120

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$5,274,616
Local			\$1,349,335
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$6,623,951

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
AK-2020-048-01-01	111-00 (111-A6) BUS - ROLLING STOCK	\$172,843.00	\$17,157.00	\$190,000.00	1
AK-2020-048-01-01	11.12.04 BUY REPLACEMENT LESS THAN 30 FT BUS (19 5311 90.97:9.03)(00)	\$172,843.00	\$17,157.00	\$190,000.00	1
AK-2020-048-01-01	114-00 (114-A5) BUS: SUPPORT EQUIP AND FACILITIES	\$261,559.00	\$25,964.00	\$287,523.00	1
AK-2020-048-01-01	11.42.06 ACQUIRE - SHOP EQUIPMENT (19 5311 90.97:90.03)(00)	\$109,528.00	\$10,872.00	\$120,400.00	0
AK-2020-048-01-01	11.42.09 ACQUIRE - MOBILE SURV/SECURITY EQUIP (19 5311 90.97:9.03)(00)	\$99,612.00	\$9,888.00	\$109,500.00	0
AK-2020-048-01-01	11.42.11 ACQUIRE - SUPPORT VEHICLES (19 5311 90.97:9.03)(00)	\$48,887.00	\$4,853.00	\$53,740.00	1
AK-2020-048-01-01	11.42.20 ACQUIRE - MISC SUPPORT EQUIPMENT - Tablets (19 5311 90.97:9.03)(00)	\$3,532.00	\$351.00	\$3,883.00	0
AK-2020-048-01-01	116-00 (116-A7) SIGNAL & COMM EQUIPMENT (BUS)	\$3,821.00	\$379.00	\$4,200.00	0
AK-2020-048-01-01	11.62.03 PURCHASE RADIOS (19 5311 90.97:9.03)(00)	\$3,821.00	\$379.00	\$4,200.00	0
AK-2020-048-01-01	300-00 (300-A3) OPERATING ASSISTANCE	\$1,252,207.00	\$950,056.00	\$2,202,263.00	0
AK-2020-048-01-01	30.09.02 OPERATING ASSISTANCE (19 5311)	\$1,252,207.00	\$950,056.00	\$2,202,263.00	0

56.86:43.14)(00)						
AK-2020-048-01-01	620-00 (620-A2)	PROJECT ADMINISTRATION	\$3,584,186.00	\$355,779.00	\$3,939,965.00	0
AK-2020-048-01-01	11.79.00	PROJECT ADMINISTRATION (18-19 5311 90.97:9.03)(00)	\$3,584,186.00	\$355,779.00	\$3,939,965.00	0

Project Budget Activity Line Items

Budget Activity Line Item: 11.79.00 - PROJECT ADMINISTRATION (18-19 5311 90.97:9.03)(00)

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
PROJECT ADMINISTRATION (620-00)	11.79.00	PROJECT ADMINISTRATION	PROJECT ADMINISTRATION	0

Extended Budget Description

AMENDMENT (01)
No changes to this ALI

INITIAL APPLICATION (00)

This activity line item makes use of FY 2018 Section 5311 formula funds in the amount \$643,219 and FY 2019 Section 5311 funds in the amount of \$2,940,967 for rural subrecipient public transit system project administration expenses as referenced in the attached Program of Projects for the time period of 9/28/2020 to 8/31/2021. The federal-to-local match ratio is 90.97%/9.03%. The local match derives from a combination of State general funds and local funds.

2020 - 2023 Alaska State Transportation Improvement Program
Federally approved on April 28, 2020 Need ID 19120

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$3,584,186
Local			\$355,779
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0

Total Eligible Cost	\$3,939,965
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Milestone Name	Est. Completion Date	Description
Start Project Administration	9/28/2020	
End Project Administration	8/31/2021	

Budget Activity Line Item: 30.09.02 - OPERATING ASSISTANCE (19 5311 56.86:43.14)(00)

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OPERATING ASSISTANCE (300-00)	30.09.02	SLIDING SCALE (5311 OR 5310 PILOT ONLY)	OPERATING ASSISTANCE	0

Extended Budget Description

AMENDMENT (01)
No changes to this ALI

INITIAL APPLICATION (00)

This activity line item makes use of the FY2019 5311 funds in the amount of \$1,252,207 for subrecipient operating assistance for rural public transit as referenced in the attached Program of Projects for the time period of 9/28/2020 to 8/31/2021. The federal-to-local match ratio is 56.86:43.14. The local match derives from a combination of State general funds and local funds.

2020 - 2023 Alaska State Transportation Improvement Program
Federally approved on April 28, 2020 Need ID 19120

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$1,252,207
Local			\$950,056
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$2,202,263

Milestone Name	Est. Completion Date	Description
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Start Operations	9/28/2020
End Operations	8/31/2021

Budget Activity Line Item: 11.42.11 - ACQUIRE - SUPPORT VEHICLES (19 5311 90.97:9.03)(00)

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS: SUPPORT EQUIP AND FACILITIES (114-00)	11.42.11	ACQUIRE - SUPPORT VEHICLES	ACQUISITION OF BUS SUPPORT EQUIP/FACILITIES	1

Extended Budget Description

AMENDMENT (01)
No changes to this ALI

INITIAL APPLICATION (00)

This activity line item uses Section 5311 FY2019 funds for approximately one (1) plow truck for Sunshine Transit. The federal-to-local match ratio is 90.97:9.03 and local match will be provided by Matanuska-Susitna Borough. The expected useful life of this vehicle is four (4) years or 100,00 miles.

2020 - 2023 Alaska State Transportation Improvement Program
Federally approved on April 28, 2020 Need ID 19120

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$48,887
Local			\$4,853
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$53,740

Milestone Name	Est. Completion Date	Description
Start Date	10/5/2020	
RFP	10/9/2020	
Contract Award Date	11/9/2020	
Delivery Date	8/30/2021	
End Date	9/1/2021	

Budget Activity Line Item: 11.12.04 - BUY REPLACEMENT LESS THAN 30 FT BUS (19 5311 90.97:9.03)(00)

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS - ROLLING STOCK (111-00)	11.12.04	BUY REPLACEMENT	BUY REPLACEMENTS - CAPITAL BUS	1

Extended Budget Description

AMENDMENT (01)

No changes to this ALI

INITIAL APPLICATION (00)

This activity line item uses FY2019 Section 5311 funds for approximately one (1) small heavy duty bus for Ketchikan Gateway Borough. The federal-to-local match ratio is 90.97:9.03 and local match will be provided by Ketchikan Gateway Borough's Transit operating budget. The expected useful life of this vehicle is 10 Years or 350,000 miles.

2020 - 2023 Alaska State Transportation Improvement Program

Federally approved on April 28, 2020 Need ID 19120

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Propulsion	Fuel Type	Vehicle Condition	Vehicle Size (ft.)
N/A	N/A	New	<30'

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$172,843
Local			\$17,157
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$190,000

Milestone Name	Est. Completion Date	Description
RFP/IFB Issue Date	10/5/2020	
Contract Award Date	11/2/2020	
Initial Delivery Date	8/1/2022	
Final Delivery Date	9/1/2022	
Contract Completion Date	10/1/2022	

Budget Activity Line Item: 11.42.09 - ACQUIRE - MOBILE SURV/SECURITY EQUIP (19 5311 90.97:9.03)(00)

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS: SUPPORT EQUIP AND FACILITIES (114-00)	11.42.09	ACQUIRE - MOBILE SURV/SECURITY EQUIP	ACQUISITION OF BUS SUPPORT EQUIP/FACILITIES	0

Extended Budget Description

AMENDMENT (01)
No changes to this ALI

INITIAL APPLICATION (00)

This activity line item uses FY2019 Section 5311 funds for security equipment for the City and Borough of Juneau Capital Transit. The federal-to-local match ratio is 90.97:9.03 and local match will be provided through general governmental taxes including property and sales tax revenues that are allocated to Capital Transit annually. The expected useful life of the security equipment is 10 years.

2020 - 2023 Alaska State Transportation Improvement Program
Federally approved on April 28, 2020 Need ID 19120

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$99,612
Local			\$9,888
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$109,500

Milestone Name	Est. Completion Date	Description
End Date	6/30/2020	
Start Date	10/5/2020	
RFP	10/9/2020	
Equipment Ordered	11/9/2020	
Equipment Delivered	5/31/2021	

Budget Activity Line Item: 11.42.06 - ACQUIRE - SHOP EQUIPMENT (19 5311 90.97:90.03) (00)

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS: SUPPORT EQUIP AND FACILITIES (114-00)	11.42.06	ACQUIRE - SHOP EQUIPMENT	ACQUISITION OF BUS SUPPORT EQUIP/FACILITIES	0

Extended Budget Description

AMENDMENT (01)
No changes to this ALI

INITIAL APPLICATION (00)

This activity line item uses Section 5311 FY2019 funds for shop equipment for two subrecipients listed below. The federal-to-local match ratio is 90.97:9.03 and local match will be provided through state funds, local taxes, private donations, cash reserves, contract revenues, and/or in-kind contributions. The expected useful life of the bus lift for Valley Transit is 20 years. The tire rack for Glacier Valley Transit is 10 years.

Valley Transit Bus lift - \$109,164
Glacier Valley Transit tire rack - \$364

2020 - 2023 Alaska State Transportation Improvement Program
Federally approved on April 28, 2020 Need ID 19120

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$109,528
Local			\$10,872
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$120,400

Milestone Name	Est. Completion Date	Description
Start Date	10/5/2020	
RFP	10/9/2020	
Equipment Ordered	11/9/2020	
Equipment Delivered	5/31/2021	
End Date	6/30/2021	

Budget Activity Line Item: 11.42.20 - ACQUIRE - MISC SUPPORT EQUIPMENT - Tablets (19 5311

90.97:9.03)(00)

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS: SUPPORT EQUIP AND FACILITIES (114-00)	11.42.20	ACQUIRE - MISC SUPPORT EQUIPMENT	ACQUISITION OF BUS SUPPORT EQUIP/FACILITIES	0

Extended Budget Description

AMENDMENT (01)

No changes to this ALI

INITIAL APPLICATION (00)

This activity line item uses Section 5311 FY2019 funds for replacement tablets for two subrecipients listed below. The federal-to-local match ratio is 90.97:9.03 and local match will be provided through state funds, local taxes, private donations, cash reserves, contract revenues, and/or in-kind contributions. The expected useful life is 7 years.

CARTS - \$2,759

Glacier Valley Transit - \$773

2020 - 2023 Alaska State Transportation Improvement Program

Federally approved on April 28, 2020 Need ID 1912

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$3,532
Local			\$351
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$3,883

Milestone Name	Est. Completion Date	Description
Start Date	10/5/2020	
RFP	10/9/2020	
Ordered	11/6/2020	
Delivered	5/31/2021	
End Date	6/30/2021	

Budget Activity Line Item: 11.62.03 - PURCHASE RADIOS (19 5311 90.97:9.03)(00)

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
SIGNAL & COMM EQUIPMENT (BUS) (116-00)	11.62.03	PURCHASE RADIOS	AQUISITION	0

Extended Budget Description

AMENDMENT (01)
No changes to this ALI

INITIAL APPLICATION (00)

This activity line item uses Section 5311 FY2019 funds for radios for Valley Transit. The federal-to-local match ratio is 90.97:9.03 and local match will be provided through state funds, local taxes, private donations, cash reserves, contract revenues, and/or in-kind contributions. The expected useful life is 10 years

2020 - 2023 Alaska State Transportation Improvement Program
Federally approved on April 28, 2020 Need ID 1912

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$3,821
Local			\$379
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$4,200

Milestone Name	Est. Completion Date	Description
Start Date	10/5/2020	
RFP	10/9/2020	
Ordered	11/6/2020	
Delivered	5/31/2021	
End Date	6/30/2021	

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description
	Class IIc CE Approved

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
PROJECT ADMINISTRATION (620-00)	11.79.00	PROJECT ADMINISTRATION (18-19 5311 90.97:9.03)(00)	0	\$3,584,186.00	\$3,939,965.00

Finding: Class II(c) - Categorical Exclusions (C-List)**Class Level Description**

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description
	Class IIc CE Approved

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OPERATING ASSISTANCE (300-00)	30.09.02	OPERATING ASSISTANCE (19 5311 56.86:43.14)(00)	0	\$1,252,207.00	\$2,202,263.00

Finding: Class II(c) - Categorical Exclusions (C-List)**Class Level Description**

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
BUS: SUPPORT EQUIP AND FACILITIES (114-00)	11.42.11	ACQUIRE - SUPPORT VEHICLES (19 5311 90.97:9.03)(00)	1	\$48,887.00	\$53,740.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
BUS - ROLLING STOCK (111-00)	11.12.04	BUY REPLACEMENT LESS THAN 30 FT BUS (19 5311 90.97:9.03)(00)	1	\$172,843.00	\$190,000.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
BUS: SUPPORT EQUIP AND FACILITIES (114-00)	11.42.09	ACQUIRE - MOBILE SURV/SECURITY EQUIP (19 0 5311 90.97:9.03)(00)		\$99,612.00	\$109,500.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
BUS: SUPPORT EQUIP AND FACILITIES (114-00)	11.42.06	ACQUIRE - SHOP EQUIPMENT (19 5311 0 90.97:90.03) (00)		\$109,528.00	\$120,400.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
BUS: SUPPORT EQUIP AND FACILITIES (114-00)	11.42.20	ACQUIRE - MISC SUPPORT EQUIPMENT - Tablets (19 5311 90.97:9.03)(00)	0	\$3,532.00	\$3,883.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
SIGNAL & COMM EQUIPMENT (BUS) (116-00)	11.62.03	PURCHASE RADIOS (19 5311 90.97:9.03)(00)	0	\$3,821.00	\$4,200.00

Project Title: FY 2019 - 2020 Sec 5311 Alaska Formula Funding for Rural Transit Assistance Program (RTAP)

Project Number	Temporary Project Number	Date Created	Start Date	End Date
AK-2020-048-02-01	1725-2020-10-P2	11/12/2020	9/28/2020	1/31/2022

Project Description

START AMENDMENT (01)

This amendment adds \$103,845 in FY2020 RTAP funds. RTAP funds will be used for rural training and technical assistance activities.

END AMENDMENT (01)

INITIAL APPLICATION (00)

This project includes \$102,794 in FY2019 Section 5311 Rural Transit Assistance Program (RTAP) funding for technical assistance and training to current subrecipients.

END INITIAL APPLICATION (00)

Project Benefits

The benefits of this project are that subrecipients will receive the training and technical assistance they need in a timely manner and will be able to more effectively manage the federal funds they receive to operate public transit.

Additional Information

None provided.

Location Description

RTAP projects will take place in locations throughout the State of Alaska, as provided in the attached Program of Projects.

Project Location (Urbanized Areas)

UZA Code	Area Name
020000	Alaska

Congressional District Information

State	District	Representative
Alaska	1	Don Young

Program Plan Information

STIP/TIP

Date: 4/28/2020

Description: 2020 - 2023 Alaska State Transportation Improvement Program Federally approved on April 28, 2020 Need ID 19120

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$206,639
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$206,639

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
AK-2020-048-02-01	435-00 (435-A1) RURAL TRANSIT ASST PROGRAM	\$102,794.00	\$0.00	\$102,794.00	3
AK-2020-048-02-01	43.50.01 TRAINING (19 5311 RTAP 100:0)(00)	\$84,038.00	\$0.00	\$84,038.00	1
AK-2020-048-02-01	43.50.02 TECHNICAL ASSISTANCE (19 5311 RTAP 100:0)(00)	\$17,756.00	\$0.00	\$17,756.00	1
AK-2020-048-02-01	43.50.04 RELATED SUPPORT SERVICES (19 5311 RTAP 100:0)(00)	\$1,000.00	\$0.00	\$1,000.00	1
AK-2020-048-02-01	635-00 (635-A8) RURAL TRANSIT ASSISTANCE PROGRAM	\$103,845.00	\$0.00	\$103,845.00	3
AK-2020-048-02-01	43.50.01 TRAINING (20 5311 RTAP 100:00)(01)	\$85,089.00	\$0.00	\$85,089.00	1
AK-2020-048-02-01	43.50.02 TECHNICAL ASSISTANCE	\$17,756.00	\$0.00	\$17,756.00	1

AK-2020-048-02-01	43.50.04	(20 5311 RTAP 100:00)(01) RELATED SUPPORT SERVICES (20 5311 RTAP 100:00)(01)	\$1,000.00	\$0.00	\$1,000.00	1
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Project Budget Activity Line Items

Budget Activity Line Item: 43.50.01 - TRAINING (20 5311 RTAP 100:00)(01)

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
RURAL TRANSIT ASSISTANCE PROGRAM (635-00)	43.50.01	TRAINING	RURAL TRANSIT ASST PROGRAM	1

Extended Budget Description

AMENDMENT (01)

This activity line item makes use of \$85,089 in FY 2020 5311 RTAP funds for training for 5311 rural public transit for the time period of 9/28/2020 to 1/31/2022. The federal-to-local match ratio is 100:0.

Additional RTAP funding requested due to the anticipation of training opportunities becoming available on-line.

2020 - 2023 Alaska State Transportation Improvement Program, federally approved on April 28, 2020. Need ID 19120

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$85,089
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$85,089

Milestone Name	Est. Completion Date	Description
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Start Date	9/28/2020
End Date	1/31/2022

Budget Activity Line Item: 43.50.02 - TECHNICAL ASSISTANCE (20 5311 RTAP 100:00)(01)

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
RURAL TRANSIT ASSISTANCE PROGRAM (635-00)	43.50.02	TECHNICAL ASSISTANCE	RURAL TRANSIT ASST PROGRAM	1

Extended Budget Description

AMENDMENT (01)

This activity line item makes use of \$17,756 in FY 2020 5311 RTAP funds for training for 5311 rural public transit for the time period of 9/28/2020 to 1/31/2022. The federal-to-local match ratio is 100:0.

Additional RTAP funding requested due to the anticipation of training opportunities becoming available on-line.

2020 - 2023 Alaska State Transportation Improvement Program, federally approved on April 28, 2020. Need ID 19120

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$17,756
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$17,756

Milestone Name	Est. Completion Date	Description
Start Date	9/28/2020	
End Date	1/31/2022	

Budget Activity Line Item: 43.50.04 - RELATED SUPPORT SERVICES (20 5311 RTAP 100:00)(01)

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
RURAL TRANSIT ASSISTANCE PROGRAM (635-00)	43.50.04	RELATED SUPPORT SERVICES	RURAL TRANSIT ASST PROGRAM	1

Extended Budget Description

AMENDMENT (01)

This activity line item makes use of \$1,000 in FY 2020 5311 RTAP funds for training for 5311 rural public transit for the time period of 9/28/2020 to 1/31/2022. The federal-to-local match ratio is 100:0. Additional RTAP funding requested due to the anticipation of training opportunities becoming available on-line.

2020 - 2023 Alaska State Transportation Improvement Program, federally approved on April 28, 2020. Need ID 19120

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$1,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$1,000

Milestone Name	Est. Completion Date	Description
Start Date	9/28/2020	
End Date	1/31/2022	

Budget Activity Line Item: 43.50.01 - TRAINING (19 5311 RTAP 100:0)(00)

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
RURAL TRANSIT ASST PROGRAM (435-00)	43.50.01	TRAINING	RURAL TRANSIT ASST PROGRAM	1

Extended Budget Description

AMENDMENT (01)

No changes to this ALI

INITIAL APPLICATION (00)

This activity line item makes use of FY 2019 5311 RTAP funds for training for 5311 rural public transit for the time period of 9/28/2020 to 8/31/2021. The federal-to-local match ratio is 100:0.

2020 - 2023 Alaska State Transportation Improvement Program, federally approved on April 28, 2020. Need ID 19120

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$84,038
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$84,038

Milestone Name	Est. Completion Date	Description
Start RTAP Training	9/28/2020	
End RTAP Training	8/31/2021	

Budget Activity Line Item: 43.50.02 - TECHNICAL ASSISTANCE (19 5311 RTAP 100:0)(00)

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
RURAL TRANSIT ASST PROGRAM (435-00)	43.50.02	TECHNICAL ASSISTANCE	RURAL TRANSIT ASST PROGRAM	1

Extended Budget Description

AMENDMENT (01)

No changes to this ALI

INITIAL APPLICATION (00)

This activity line item makes use of FY 2019 Sec 5311 RTAP funds for technical assistance for rural public transit for the time period of 9/28/2020 to 8/31/2021. The federal-to-local match ratio is 100:0.

2020 - 2023 Alaska State Transportation Improvement Program

Federally approved on April 28, 2020 Need ID 19120

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$17,756
Local			\$0
Local/In-Kind			\$0

State		\$0
State/In-Kind		\$0
Other Federal		\$0
Transportation Development Credit		\$0
Adjustment		\$0
Total Eligible Cost		\$17,756

Milestone Name	Est. Completion Date	Description
Start RTAP Technical Assistance	9/28/2020	
End RTAP Technical Assistance	8/31/2021	

Budget Activity Line Item: 43.50.04 - RELATED SUPPORT SERVICES (19 5311 RTAP 100:0)(00)

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
RURAL TRANSIT ASST PROGRAM (435-00)	43.50.04	RELATED SUPPORT SERVICES	RURAL TRANSIT ASST PROGRAM	1

Extended Budget Description

AMENDMENT (01)

No changes to this ALI

INITIAL APPLICATION (00)

This activity line item makes use of FY 2019 5311 RTAP funds in the amount of \$1,000 for related support services for rural public transit for the time period of 9/28/2020 to 8/31/2021. The federal-to-local match ratio is 100:0.

2020 - 2023 Alaska State Transportation Improvement Program

Federally approved on April 28, 2020 Need ID 19120

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$1,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0

Total Eligible Cost	\$1,000
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Milestone Name	Est. Completion Date	Description
Start RTAP Related Support Services	9/28/2020	
End RTAP Related Support Services	8/31/2021	

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Project Title: FY2018-2020 Sec 5311 Alaska Rural Formula Funding for State Administration

Project Number	Temporary Project Number	Date Created	Start Date	End Date
AK-2020-048-03-01	1725-2020-10-P3	11/12/2020	9/28/2020	9/30/2022

Project Description

START AMENDMENT (01)

This amendment adds \$10,384 in FY2020 formula funds. Formula funds will be used for direct and indirect state administration funding.

END AMENDMENT (01)

INITIAL APPLICAION (00)

This project applies for \$71,469 in FY 2018 Section 5311 funds, \$412,170 in FY 2019 Section 5311 funds for direct and indirect State Administration expenses.

END INITIAL APPLICATION (00)

Project Benefits

As a result of this project, the 5311 funds will be managed in an efficient and effective manner, allowing for subrecipients to utilize the opportunities provided for by the grant funds.

Additional Information

None provided.

Location Description

Locations for subrecipient projects will take place in various rural Alaska locations as referenced in the attached Program of Projects

Project Location (Urbanized Areas)

UZA Code	Area Name
020000	Alaska

Congressional District Information

State	District	Representative
Alaska	1	Don Young

Program Plan Information**STIP/TIP**

Date: 8/21/2020

Description: 2020 - 2023 Alaska State Transportation Improvement Program Federally approved on April 28, 2020 Need ID 19120

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$537,845
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0

Transportation Development Credit	\$0
Adjustment	\$0
Total Eligible Cost	\$537,845

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
AK-2020-048-03-01	610-00 (610-A4) STATE / PROGRAMS ADMINISTRATION	\$537,845.00	\$0.00	\$537,845.00	0
AK-2020-048-03-01	11.80.00 STATE OR PROGRAM ADMINISTRATION - Direct (18-20 5311 100:0)(00/01)	\$388,861.00	\$0.00	\$388,861.00	0
AK-2020-048-03-01	11.80.00 STATE OR PROGRAM ADMINISTRATION - Indirect (18-20 5311 100:0)(00/01)	\$148,984.00	\$0.00	\$148,984.00	0

Project Budget Activity Line Items

Budget Activity Line Item: 11.80.00 - STATE OR PROGRAM ADMINISTRATION - Direct (18-20 5311 100:0)(00/01)

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
STATE / PROGRAMS ADMINISTRATION (610-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	STATE / PROGRAM ADMINISTRATION	0

Extended Budget Description

AMENDMENT (01)

This amendment adds \$7,507 in FY2020 Section 5311 program funds for direct state administration for the 5311 rural public transit program for the period of 9/28/2020 to 9/30/2022. The federal-to-local match ratio is 100:0.

2020 - 2023 Alaska State Transportation Improvement Program
 Federally approved on April 28, 2020 Need ID 19120

INITIAL APPLICATION (00)

This activity line item makes use of FY2018 Section 5311 program funds in the amount of \$16,797 and FY2019 Section 5311 program funds in the amount of \$364,557 for direct state administration for the 5311 rural public transit program for the time period of 9/28/2020 to 8/31/2021. The federal-to-local match ratio is 100:0.

2020 - 2023 Alaska State Transportation Improvement Program
 Federally approved on April 28, 2020 Need ID 19120

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$388,861
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$388,861

Milestone Name	Est. Completion Date	Description
Start State Administration - Direct	9/28/2020	
End State Administration - Direct	9/30/2022	

Budget Activity Line Item: 11.80.00 - STATE OR PROGRAM ADMINISTRATION - Indirect (18-20 5311 100:0)(00/01)

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
STATE / PROGRAMS ADMINISTRATION (610-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	STATE / PROGRAM ADMINISTRATION	0

Extended Budget Description
 AMENDMENT (01)

This amendment adds \$2,877 in FY2020 Section 5311 program funds for indirect state administration for the 5311 rural public transit program for the period of 9/28/2020 to 9/30/2022. The federal-to-local match ratio is 100:0.

2020 - 2023 Alaska State Transportation Improvement Program
 Federally approved on April 28, 2020 Need ID 19120

INITIAL APPLICATION (00)

This activity line item makes use of FY2018 Section 5311 program funds in the amount of \$54,672, FY2019 Section 5311 program funds in the amount of \$91,435 for Indirect State Administration for the 5311 rural public transit program for the time period of 9/28/2020 to 8/31/2021. The federal-to-local match ratio is 100:0.

2020 - 2023 Alaska State Transportation Improvement Program
 Federally approved on April 28, 2020 Need ID 19120

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$148,984
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$148,984

Milestone Name	Est. Completion Date	Description
Start State Administration - Indirect	9/28/2020	
End State Administration - Indirect	9/30/2022	

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Part 4: Fleet Details

No fleet data exists for this application.

Part 5: FTA Review Comments

FTA Comments for DOL

Comment By Annette McKelvey

Comment Type DOL Review for Information

Date 3/4/2021

Comment INFO ONLY DOL CERTIFICATION
The Federal Transit Administration (FTA) requests that the U.S. Department of Labor undertake all of the steps necessary to perform its certification for this grant application. FTA requests that DOL complete its referral and review within 30 days. Please advise us of any 40 U.S.C. Section 5333(b) terms and conditions to be included in the grant.

Application Review Comments

Comment By Annette McKelvey

Comment Type Pre-Award Manager Returns Application

Date 11/23/2020

Comment Return to recipient in order to change post award manager

Comment By Annette McKelvey

Comment Type Pre-Award Manager Returns Application

Date 12/23/2020

Comment Please see preliminary review comments sent via email. Thank you.

Comment By Annette McKelvey

Comment Type Pre-Award Manager Returns Application

Date 1/20/2021

Comment Please address comments provided previously under separate cover. Thank you.

Comment By Annette McKelvey

Comment Type Pre-Award Manager Returns Application

Date 1/28/2021

Comment return for minor budget edits

Part 6: Agreement

**UNITED STATES OF AMERICA
DEPARTMENT OF TRANSPORTATION
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT
(FTA G-28, February 9, 2021)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(28), February 9, 2021, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

FTA AWARD

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

Recipient Information

Recipient Name: Transportation And Public Facilities, Alaska Department Of

Recipient ID: 1725

DUNS No: 809387434

Application Information

Federal Award Identification Number: AK-2020-048-01

Application Name: FY 2018-2019 Sec 5311 Alaska Rural Formula Funding for Administration, Rolling Stock and Related Equipment, Operations and RTAP

Application Start Date: 9/25/2020

Original Award End Date: 9/30/2022

Current Award End Date: 9/30/2022

Application Executive Summary: START AMENDMENT (01)

This amendment adds \$103,845 in FY2020 RTAP funds and \$10,384 in FY2020 formula funds. Formula funds will be used for direct and indirect state administration funding for AKDOT. In addition, RTAP funds will be used for rural training and technical assistance activities.
END AMENDMENT (01)

START INITIAL APPLICATION (00)

This grant application includes \$714,688 in FY 2018 and \$5,087,389 in FY 2019 Section 5311 formula funds, and \$102,794 in FY 2019 Section 5311 RTAP formula funds for project administration, capital and operating assistance for rural subrecipients, as well as direct and indirect state administration funding for AKDOT. In addition, RTAP funds will be used for rural training and technical assistance activities.

END INITIAL APPLICATION (00)

Research and Development: This award does not include research and development activities.

Indirect Costs: This award is applying an approved Indirect cost rate(s).

Suballocation Funds: Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Pre-Award Authority: This award is using Pre-Award Authority.

Application Budget

Total Application Budget: \$7,368,435.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$114,229.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$0.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$6,019,100.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$1,349,335.00

Application Budget Control Totals

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$6,019,100
Local			\$1,349,335

Local/In-Kind		\$0
State		\$0
State/In-Kind		\$0
Other Federal		\$0
Transportation Development Credit		\$0
Adjustment		\$0
Total Eligible Cost		\$7,368,435

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:

Review Decision: Special Warranty
Original Certification Date: 9/24/2020

Special Conditions

There are no special conditions.

FINDINGS AND DETERMINATIONS

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

FTA AWARD OF THE GRANT AGREEMENT

Awarded By:

FEDERAL TRANSIT ADMINISTRATION
U.S. DEPARTMENT OF TRANSPORTATION
Contact Info:
Award Date:

EXECUTION OF THE GRANT AGREEMENT

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
 - (a) Statements,
 - (b) Representations,
 - (c) Warranties,
 - (d) Covenants, and

- (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

Transportation And Public Facilities, Alaska Department Of