

## Application

<b>Federal Award Identification Number (FAIN)</b>	OR-2020-042-00
<b>Temporary Application Number</b>	1723-2020-2
<b>Application Name</b>	FY 2020 5311 Operating, Preventive Maintenance, Project Admin, Replacement Vehicles, Intercity Operations, RTAP, State Admin
<b>Application Status</b>	Final Concurrence / Reservation
<b>Application Budget Number</b>	0

<b>Period of Performance Start Date</b>	N/A
<b>Original Period of Performance End Date</b>	6/30/2022
<b>Current Period of Performance End Date</b>	N/A Revision #: N/A

### Part 1: Recipient Information

**Name: Transportation, Oregon Department Of**

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
1723	State Agency	OREGON DEPARTMENT OF TRANSPORTATION	195234364

Location Type	Address	City	State	Zip
Headquarters	355 CAPITOL ST NE MS21	SALEM	OR	973013871
Physical Address	555 13TH ST NE STE 3	SALEM	OR	97301
Mailing Address	555 13TH ST NE STE 3	SALEM	OR	97301

### Union Information

<b>Union Name</b>	NONE
<b>Address 1</b>	

Address 2	
City	
State	
Zipcode	00000
Contact Name	
Telephone	
Fax	00000
E-mail	
Website	

## Part 2: Application Information

**Title: FY 2020 5311 Operating, Preventive Maintenance, Project Admin, Replacement Vehicles, Intercity Operations, RTAP, State Admin**

FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
OR-2020-042-00	Final Concurrence / Reservation	Grant	2/6/2020	2/6/2020	No

### Application Executive Summary

This application includes \$14,442,393 in FY 2020 Section 5311 funding (\$14,235,821 in 2020 5311, \$206,572 in 2020 53111 RTAP) for rural operations, preventive maintenance, project administration, replacement vehicles, intercity operations, rural training assistance, and direct and indirect state administration costs.

### Frequency of Milestone Progress Reports (MPR)

Annual

### Frequency of Federal Financial Reports (FFR)

Annual

### Does this application include funds for research and/or development activities?

This award includes research and development activities.

### Pre-Award Authority

This award is using Pre-Award Authority.

### Does this application include suballocation funds?

Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

### Will this Grant be using Lapsing Funds?

No, this Grant does not use Lapsing Funds.

### Will indirect costs be applied to this application?

This award is applying an approved Indirect cost rate(s).

*Indirect Rate Details:* The cognizant agency, the Federal Highway Administration, approved ODOT's indirect cost rate on June 26, 2019 and a copy of the approved rate is on file with FTA (attached to TrAMS Recipient Profile). The approved rate of 10.34% will be applied to applicable activity line item descriptions.

**Requires E.O. 12372 Review**

No, this application does not require E.O. 12372 Review.

**Delinquent Federal Debt**

No, my organization does not have delinquent federal debt.

**Application Point of Contact Information**

First Name	Last Name	Title	E-mail Address	Phone
Maile	Boals	Analyst	maile.boals@odot.state.or.us	503-986-3372
Scot	Rastelli	Transportation Program Specialist	Scot.Rastelli@dot.gov	206-220-7965
Kristine	Mcguill	Transportation Program Specialist	kristine.mcguill@dot.gov	206-220-7963

**Application Budget Control Totals**

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$14,442,393
Local			\$8,425,477
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$22,867,870</b>

**Application Budget**

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
OR-2020-042-01-00	111-00 (111-A6) BUS - ROLLING STOCK	\$58,324.00	\$6,676.00	\$65,000.00	1
OR-2020-042-01-00	11.12.15 BUY APPROX ONE REPLACEMENT LESS THAN 20 FT VAN (2020 5311 89.73:10.27)(00)	\$58,324.00	\$6,676.00	\$65,000.00	1

OR-2020-042-01-00	117-00 (117-A5)	OTHER CAPITAL ITEMS (BUS)	\$1,583,754.00	\$181,268.00	\$1,765,022.00	0
OR-2020-042-01-00	11.7A.00	PREVENTIVE MAINTENANCE (2020 5311 89.73:10.27)(00)	\$1,583,754.00	\$181,268.00	\$1,765,022.00	0
OR-2020-042-01-00	300-00 (300-A3)	OPERATING ASSISTANCE	\$7,578,875.00	\$5,935,526.00	\$13,514,401.00	0
OR-2020-042-01-00	30.09.02	OPERATING (2020 5311 56.08:43.92)(00)	\$7,578,875.00	\$5,935,526.00	\$13,514,401.00	0
OR-2020-042-01-00	435-00 (435-A4)	RURAL TRANSIT ASST PROGRAM	\$206,572.00	\$0.00	\$206,572.00	0
OR-2020-042-01-00	43.50.01	TRAINING (2020 5311[b][3] RTAP 100:00)(00)	\$206,572.00	\$0.00	\$206,572.00	0
OR-2020-042-01-00	442-00 (442-A7)	METROPOLITAN PLANNING	\$2,664.00	\$305.00	\$2,969.00	0
OR-2020-042-01-00	44.26.14	PLANNING FOR TRANSIT SYS MANAGEMENT / OPERATIONS TO INCREASE RIDERSHIP (2020 5311 89.73:10.27)(00)	\$2,664.00	\$305.00	\$2,969.00	0
OR-2020-042-01-00	610-00 (610-A1)	STATE / PROGRAMS ADMINISTRATION	\$1,423,582.00	\$0.00	\$1,423,582.00	0
OR-2020-042-01-00	11.80.00	DIRECT STATE ADMINISTRATION (2020 5311 100:00)(00)	\$711,791.00	\$0.00	\$711,791.00	0
OR-2020-042-01-00	11.80.00	INDIRECT STATE ADMINISTRATION (2020 5311 100:00)(00)	\$711,791.00	\$0.00	\$711,791.00	0
OR-2020-042-01-00	620-00 (620-A8)	PROJECT ADMINISTRATION	\$1,453,249.00	\$166,329.00	\$1,619,578.00	0

OR-2020-042-01-00	11.79.00	PROJECT ADMINISTRATION (2020 5311 89.73:10.27)(00)	\$1,453,249.00	\$166,329.00	\$1,619,578.00	0
OR-2020-042-01-00	634-00 (634-A2)	INTERCITY BUS TRANSPORTATION	\$2,135,373.00	\$2,135,373.00	\$4,270,746.00	0
OR-2020-042-01-00	30.09.01	UP TO 50% FEDERAL SHARE (2020 5311[f] 50:50)(00)	\$2,135,373.00	\$2,135,373.00	\$4,270,746.00	0

## Discretionary Allocations

This application does not contain discretionary allocations.

## Part 3: Project Information

**Project Title: FY 2020 5311 Operating, Preventive Maintenance, Project Admin, Replacement Vehicles, Intercity Operations, RTAP, State Admin**

Project Number	Temporary Project Number	Date Created	Start Date	End Date
OR-2020-042-01-00	1723-2020-2-P1	2/6/2020	9/30/2019	6/29/2022

### Project Description

This application includes \$14,442,393 in FY 2020 Section 5311 funding (\$14,235,821 in 2020 5311, \$206,572 in 2020 53111 RTAP) for rural operations, preventive maintenance, project administration, replacement vehicles, intercity operations, rural training assistance, and direct and indirect state administration costs.

### Project Benefits

This project enables rural transit providers to continue operating established transit routes. This includes trips to work, leisure activities, school, medical appointments, and social events. It also provides for maintenance of transit buses, local agency administration, connections for travelers between rural areas, learning opportunities (drug and alcohol program training, conferences, etc.) and training assistance to transit providers.

### Additional Information

*None provided.*

### Location Description

Project activities will take place across the state of Oregon in rural areas. Training activities are held mostly in Salem, Oregon but often extend to all areas of the state.

## Project Location (Urbanized Areas)

UZA Code	Area Name
410000	Oregon
410280	Portland, OR-WA
411440	Eugene, OR
411940	Salem, OR
413540	Longview, WA--OR
413560	Medford, OR
413930	Corvallis, OR
414010	Bend, OR
415160	Grants Pass, OR
419550	Albany, OR

## Congressional District Information

State	District	Representative
Oregon	1	Suzanne Bonamici
Oregon	2	Greg Walden
Oregon	3	Earl Blumenauer
Oregon	4	Peter A DeFazio
Oregon	5	Kurt Schrader

## Program Plan Information

### STIP/TIP

Date: Not Provided

Description: The STIP keys for this project are: Key #20557 approved in the current 18-21 STIP; Amendment #18-21-3705 on 3/12/2020 ; federal approval date 10/1/2017 Key #20560 approved in the current 18-21 STIP; Amendment #18-21-3706 on 3/12/2020; federal approval date 10/1/2017 Key #20563 approved in the current 18-21 STIP; Amendment #18-21-3707 on 3/12/2020; federal approval date 10/1/2017 Key #20566 approved in the current 18-21 STIP; Amendment #18-21-3708 on 3/12/2020; federal approval date 10/1/2017. STIP documentation attached

### UPWP

Date: N/A

Description: N/A

### Long Range Plan

Date: N/A

Description: N/A

## Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$14,442,393

Local			\$8,425,477
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$22,867,870</b>

## Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
OR-2020-042-01-00	111-00 (111-A6) BUS - ROLLING STOCK	\$58,324.00	\$6,676.00	\$65,000.00	1
OR-2020-042-01-00	11.12.15 BUY APPROX ONE REPLACEMENT LESS THAN 20 FT VAN (2020 5311 89.73:10.27)(00)	\$58,324.00	\$6,676.00	\$65,000.00	1
OR-2020-042-01-00	117-00 (117-A5) OTHER CAPITAL ITEMS (BUS)	\$1,583,754.00	\$181,268.00	\$1,765,022.00	0
OR-2020-042-01-00	11.7A.00 PREVENTIVE MAINTENANCE (2020 5311 89.73:10.27)(00)	\$1,583,754.00	\$181,268.00	\$1,765,022.00	0
OR-2020-042-01-00	300-00 (300-A3) OPERATING ASSISTANCE	\$7,578,875.00	\$5,935,526.00	\$13,514,401.00	0
OR-2020-042-01-00	30.09.02 OPERATING (2020 5311 56.08:43.92)(00)	\$7,578,875.00	\$5,935,526.00	\$13,514,401.00	0
OR-2020-042-01-00	435-00 (435-A4) RURAL TRANSIT ASST PROGRAM	\$206,572.00	\$0.00	\$206,572.00	0
OR-2020-	43.50.01 TRAINING (2020 5311[b][3] RTAP 100:00)(00)	\$206,572.00	\$0.00	\$206,572.00	0

042-01-00							
OR-2020-042-01-00	442-00 (442-A7)	METROPOLITAN PLANNING	\$2,664.00	\$305.00	\$2,969.00	0	
OR-2020-042-01-00	44.26.14	PLANNING FOR TRANSIT SYS MANAGEMENT / OPERATIONS TO INCREASE RIDERSHIP (2020 5311 89.73:10.27)(00)	\$2,664.00	\$305.00	\$2,969.00	0	
OR-2020-042-01-00	610-00 (610-A1)	STATE / PROGRAMS ADMINISTRATION	\$1,423,582.00	\$0.00	\$1,423,582.00	0	
OR-2020-042-01-00	11.80.00	DIRECT STATE ADMINISTRATION (2020 5311 100:00)(00)	\$711,791.00	\$0.00	\$711,791.00	0	
OR-2020-042-01-00	11.80.00	INDIRECT STATE ADMINISTRATION (2020 5311 100:00)(00)	\$711,791.00	\$0.00	\$711,791.00	0	
OR-2020-042-01-00	620-00 (620-A8)	PROJECT ADMINISTRATION	\$1,453,249.00	\$166,329.00	\$1,619,578.00	0	
OR-2020-042-01-00	11.79.00	PROJECT ADMINISTRATION (2020 5311 89.73:10.27)(00)	\$1,453,249.00	\$166,329.00	\$1,619,578.00	0	
OR-2020-042-01-00	634-00 (634-A2)	INTERCITY BUS TRANSPORTATION	\$2,135,373.00	\$2,135,373.00	\$4,270,746.00	0	
OR-2020-042-01-00	30.09.01	UP TO 50% FEDERAL SHARE (2020 5311[f] 50:50)(00)	\$2,135,373.00	\$2,135,373.00	\$4,270,746.00	0	

## Project Budget Activity Line Items

**Budget Activity Line Item: 11.79.00 - PROJECT ADMINISTRATION (2020 5311 89.73:10.27)(00)**



Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
PROJECT ADMINISTRATION (620-00)	11.79.00	PROJECT ADMINISTRATION	PROJECT ADMINISTRATION	0

**Extended Budget Description**

This activity includes \$1,453,249 in FY 2020 Section 5311 funding at the 89.73:10.27 match ratio for multiple subrecipients' project administration costs for the time period of 9/30/2019 to 6/29/2022. See attached POP for details.

This activity was approved in the current 2018-2021 STIP; Identification #20563; federal approval date October 1, 2017 amended on 3/12/2020 Amendment #18-21-3707.

**Will 3rd Party contractors be used to fulfill this activity line item?**

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$1,453,249
Local			\$166,329
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$1,619,578</b>

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2019	Subrecipient agreements executed.
Projects 50% Complete	10/30/2020	Projects 50% complete.
End Date	6/29/2022	Projects complete and subrecipient agreements closed.

**Budget Activity Line Item: 11.7A.00 - PREVENTIVE MAINTENANCE (2020 5311 89.73:10.27)(00)**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER CAPITAL ITEMS (BUS) (117-00)	11.7A.00	PREVENTIVE MAINTENANCE	OTHER CAPITAL ITEMS (BUS)	0

**Extended Budget Description**

This activity includes \$1,583,754 in FY 2020 Section 5311 funding at the 89.73:10.27 match ratio for multiple subrecipients' preventive maintenance costs for the time period of 9/30/2019 to 6/29/2022. See attached POP for details.

This activity was approved in the current 2018-2021 STIP; Identification #20563; federal approval date October 1, 2017 amended on 3/12/2020 Amendment #18-21-3707.

**Will 3rd Party contractors be used to fulfill this activity line item?**

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$1,583,754
Local			\$181,268
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$1,765,022</b>

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2019	Subrecipient agreements executed.
Projects 50% Complete	12/30/2020	Projects 50% completed.
End Date	6/29/2022	Projects complete and agreements closed.

**Budget Activity Line Item: 11.12.15 - BUY APPROX ONE REPLACEMENT LESS THAN 20 FT VAN (2020 5311 89.73:10.27)(00)**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS - ROLLING STOCK (111-00)	11.12.15	BUY REPLACEMENT VAN	BUY REPLACEMENTS - CAPITAL BUS	1

**Extended Budget Description**

This activity includes \$58,324 in FY 2020 5311 funds for the purchase of approximately 1 less-than-20 foot transit van for the City of Pendleton (gasoline powered) at the 89.73:10.27 match ratio.

This activity was approved in the current 2018-2021 STIP; Identification #20563; federal approval date October 1, 2017 amended on 3/12/2020 Amendment #18-21-3707.

The expected useful life of a less than 20 foot van is 4 years or 100,000 miles.

**Will 3rd Party contractors be used to fulfill this activity line item?**

Yes, 3rd Party Contractors will be used for this line item.

Propulsion	Fuel Type	Vehicle Condition	Vehicle Size (ft.)
N/A	Gasoline	New	Less than twenty feet

Funding Source	Section of Statute	CFDA Number	Amount
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5311 - Rural Area Formula	5311-1A	20509	\$58,324
Local			\$6,676
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$65,000</b>

Milestone Name	Est. Completion Date	Description
RFP/IFB Issue Date	10/1/2019	No RFP. Vehicle purchased off State's price agreement contract.
Contract Award Date	10/1/2019	Subrecipient agreement executed.
Order Date	10/1/2019	Vehicle ordered.
Final Delivery Date	6/30/2020	Vehicle delivered.
Contract Completion Date	12/31/2020	Vehicle put into active transit service and project complete.

**Budget Activity Line Item: 44.26.14 - PLANNING FOR TRANSIT SYS MANAGEMENT / OPERATIONS TO INCREASE RIDERSHIP (2020 5311 89.73:10.27)(00)**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
METROPOLITAN PLANNING (442-00)	44.26.14	PLANNING FOR TRANSIT SYS MANAGEMENT / OPERATIONS TO INCREASE RIDERSHIP	METROPOLITAN PLANNING	0

**Extended Budget Description**

This activity includes \$2,664 in FY 2020 Section 5311 funding at the 89.73:10.27 match ratio for the Klamath Tribes' feasibility study costs for the time period of 9/30/2019 to 6/29/2022.

This activity was approved in the current 2018-2021 STIP; Identification #20563; federal approval date October 1, 2017 amended on 3/12/2020 Amendment #18-21-3707.

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$2,664
Local			\$305
Local/In-Kind			\$0
State			\$0

State/In-Kind		\$0
Other Federal		\$0
Transportation Development Credit		\$0
Adjustment		\$0
<b>Total Eligible Cost</b>		<b>\$2,969</b>

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2019	Project for Klamath Tribes (Agreement #33434) begins.
End Date	6/30/2021	Feasibility study complete and subrecipient agreement closed.

**Budget Activity Line Item: 11.80.00 - INDIRECT STATE ADMINISTRATION (2020 5311 100:00)(00)**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
STATE / PROGRAMS ADMINISTRATION (610-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	STATE / PROGRAM ADMINISTRATION	0

**Extended Budget Description**

This activity includes \$711,791 in FY 2020 Section 5311 funding at the 100:00 match ratio for state's indirect administrative costs using a Federally approved indirect cost rate for the time period of 9/30/2019 to 6/29/2022.

This activity was approved in the current 2018-2021 STIP; Identification #20557; federal approval date October 1, 2017 amended on 3/12/2020 Amendment #18-21-3705.

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$711,791
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$711,791</b>

Milestone Name	Est. Completion Date	Description
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Indirect Administration Activities Begin	9/30/2019		
Indirect Administration Activities Complete	6/29/2022		

**Budget Activity Line Item: 11.80.00 - DIRECT STATE ADMINISTRATION (2020 5311 100:00)(00)**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
STATE / PROGRAMS ADMINISTRATION (610-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	STATE / PROGRAM ADMINISTRATION	0

**Extended Budget Description**

This activity includes \$711,791 in FY 2020 Section 5311 funding at the 100:00 match ratio for state's direct administrative costs for the time period of 9/30/2019 to 6/29/2022.

This activity was approved in the current 2018-2021 STIP; Identification #20557; federal approval date October 1, 2017 amended on 3/12/2020 Amendment #18-21-3705.

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$711,791
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$711,791</b>

Milestone Name	Est. Completion Date	Description
Direct Administration Activities Begin	9/30/2019	
Direct Administration Activities Complete	6/29/2022	

**Budget Activity Line Item: 30.09.01 - UP TO 50% FEDERAL SHARE (2020 5311[f] 50:50)(00)**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
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INTERCITY BUS  
TRANSPORTATION (634-00)      30.09.01      UP TO 50%  
FEDERAL SHARE      OPERATING  
ASSISTANCE      0

**Extended Budget Description**

This activity includes \$2,135,373 in FY 2020 Section 5311(f) funding at the 50:50 match ratio for intercity operating costs for the time period of 9/30/2019 to 6/29/2022. See attached POP for details.

This activity was approved in the current 2018-2021 STIP; Identification #20560; federal approval date October 1, 2017 amended on 3/12/2020 Amendment #18-21-3706.

**Will 3rd Party contractors be used to fulfill this activity line item?**

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$2,135,373
Local			\$2,135,373
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$4,270,746</b>

Milestone Name	Est. Completion Date	Description
Intercity Operations Begins	9/30/2019	
Intercity Operations Projects Complete	6/29/2022	

**Budget Activity Line Item: 30.09.02 - OPERATING (2020 5311 56.08:43.92)(00)**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OPERATING ASSISTANCE (300-00)	30.09.02	SLIDING SCALE (5311 OR 5310 PILOT ONLY)	OPERATING ASSISTANCE	0

**Extended Budget Description**

This activity includes \$7,578,875 in FY 2020 Section 5311 funding at the 56.08:43.92 match ratio for rural operations costs for the time period of 9/30/2019 to 6/29/2022. See attached POP for details.

This activity was approved in the current 2018-2021 STIP; Identification #20563; federal approval date October 1, 2017 amended on 3/12/2020 Amendment #18-21-3707.

**Will 3rd Party contractors be used to fulfill this activity line item?**

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$7,578,875
Local			\$5,935,526
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$13,514,401</b>

Milestone Name	Est. Completion Date	Description
Rural Operations Projects Begin	9/30/2019	
Rural Operations Projects Complete	6/29/2022	

**Budget Activity Line Item: 43.50.01 - TRAINING (2020 5311[b][3] RTAP 100:00)(00)**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
RURAL TRANSIT ASST PROGRAM (435-00)	43.50.01	TRAINING	RURAL TRANSIT ASST PROGRAM	0

**Extended Budget Description**

This activity includes \$206,572 in FY 2020 Section 5311[b][5] RTAP funding at the 100:00 match ratio for training costs for the time period of 9/30/2019 to 6/29/2022.

This activity was approved in the current 2018-2021 STIP; Identification #20566; federal approval date October 1, 2017 amended on 3/12/2020 Amendment #18-21-3708.

**Will 3rd Party contractors be used to fulfill this activity line item?**

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$206,572
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0

Transportation Development Credit	\$0
Adjustment	\$0
<b>Total Eligible Cost</b>	<b>\$206,572</b>

Milestone Name	Est. Completion Date	Description
Training Activities Begin	9/30/2019	
Training Activities Complete	6/29/2022	

## Project Environmental Findings

### Finding: Class II(c) - Categorical Exclusions (C-List)

#### Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

#### Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
STATE / PROGRAMS ADMINISTRATION (610-00)	11.80.00	DIRECT STATE ADMINISTRATION (2020 5311 100:00)(00)	0	\$711,791.00	\$711,791.00

### Finding: Class II(c) - Categorical Exclusions (C-List)

#### Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

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Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
INTERCITY BUS TRANSPORTATION (634-00)	30.09.01	UP TO 50% FEDERAL SHARE (2020 5311[f] 50:50)(00)	0	\$2,135,373.00	\$4,270,746.00

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

**Categorical Exclusion Description**

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OPERATING ASSISTANCE (300-00)	30.09.02	OPERATING (2020 5311 56.08:43.92)(00)	0	\$7,578,875.00	\$13,514,401.00

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

**Categorical Exclusion Description**

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
RURAL TRANSIT ASST PROGRAM (435-00)	43.50.01	TRAINING (2020 5311[b][3] RTAP 100:00)(00)	0	\$206,572.00	\$206,572.00

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

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**Categorical Exclusion Description**

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Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
STATE / PROGRAMS ADMINISTRATION (610-00)	11.80.00	INDIRECT STATE ADMINISTRATION (2020 5311 100:00)(00)	0	\$711,791.00	\$711,791.00

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

**Categorical Exclusion Description**

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
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OTHER CAPITAL ITEMS (BUS) (117-00)	11.7A.00	PREVENTIVE MAINTENANCE (2020 5311 89.73:10.27)(00)	0	\$1,583,754.00	\$1,765,022.00
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**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

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**Categorical Exclusion Description**

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date	Description
	Class IIc CE Approved

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
BUS - ROLLING STOCK (111-00)	11.12.15	BUY APPROX ONE REPLACEMENT LESS THAN 20 FT VAN (2020 5311 89.73:10.27)(00)	1	\$58,324.00	\$65,000.00

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

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**Categorical Exclusion Description**

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description
	Class IIc CE Approved

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
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METROPOLITAN PLANNING (442-00)	44.26.14	PLANNING FOR TRANSIT SYS MANAGEMENT / OPERATIONS TO INCREASE RIDERSHIP (2020 5311 89.73:10.27)(00)	0	\$2,664.00	\$2,969.00
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<b>Finding: Class II(c) - Categorical Exclusions (C-List)</b>
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**Class Level Description**

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

**Categorical Exclusion Description**

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description	Date
	Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
PROJECT ADMINISTRATION (620-00)	11.79.00	PROJECT ADMINISTRATION (2020 5311 89.73:10.27)(00)	0	\$1,453,249.00	\$1,619,578.00

## Part 4: Fleet Details

No fleet data exists for this application.

## Part 5: FTA Review Comments

### FTA Comments for DOL

**Comment By** Kristine Mcguill

Comment Type	DOL Review for Information
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Date	7/29/2020
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Comment	The Federal Transit Administration (FTA) requests that the U.S. Department of Labor (DOL) undertake all of the steps necessary to perform its certification for this grant application. FTA requests that DOL complete its referral and review within 30 days. Please advise us of any 40 U.S.C. Section 5333(b) terms and conditions to be included in the grant.
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## Part 6: Agreement

**UNITED STATES OF AMERICA  
DEPARTMENT OF TRANSPORTATION  
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT  
(FTA G-26, October 1, 2019)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(26), October 1, 2019, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

**FTA AWARD**

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

**Recipient Information**

Recipient Name: Transportation, Oregon Department Of

Recipient ID: 1723

DUNS No: 195234364

**Application Information**

Federal Award Identification Number: OR-2020-042-00

Application Name: FY 2020 5311 Operating, Preventive Maintenance, Project Admin, Replacement Vehicles, Intercity Operations, RTAP, State Admin

Application Start Date: N/A

Original Award End Date: 6/30/2022

Current Award End Date: N/A

Application Executive Summary: This application includes \$14,442,393 in FY 2020 Section 5311 funding (\$14,235,821 in 2020 5311, \$206,572 in 2020 53111 RTAP) for rural operations, preventive maintenance, project administration, replacement vehicles, intercity operations, rural training assistance, and direct and indirect state administration costs.

Research and Development: This award includes research and development activities.

Indirect Costs: This award is applying an approved Indirect cost rate(s).

Suballocation Funds: Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Pre-Award Authority: This award is using Pre-Award Authority.

### **Application Budget**

Total Application Budget: \$22,867,870.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$14,442,393.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$8,425,477.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$14,442,393.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$8,425,477.00

### **Application Budget Control Totals**

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

<b>Funding Source</b>	<b>Section of Statute</b>	<b>CFDA Number</b>	<b>Amount</b>
5311 - Rural Area Formula	5311-1A	20509	\$14,442,393
Local			\$8,425,477
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$22,867,870</b>

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

**U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:**

Original Certification Date:

### **Special Conditions**

There are no special conditions.

### **FINDINGS AND DETERMINATIONS**

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

### **FTA AWARD OF THE GRANT AGREEMENT**

Awarded By:

FEDERAL TRANSIT ADMINISTRATION  
U.S. DEPARTMENT OF TRANSPORTATION  
Contact Info:  
Award Date:

### **EXECUTION OF THE GRANT AGREEMENT**

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
  - (a) Statements,
  - (b) Representations,
  - (c) Warranties,
  - (d) Covenants, and
  - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

*Transportation, Oregon Department Of*