

## Application

<b>Federal Award Identification Number (FAIN)</b>	NM-2020-018-00
<b>Temporary Application Number</b>	6710-2020-1
<b>Application Name</b>	Pueblo of Santa Ana Transit Section 5311 FY20 CARES Act Operating
<b>Application Status</b>	Final Concurrence / Reservation
<b>Application Budget Number</b>	0

<b>Period of Performance Start Date</b>	N/A
<b>Original Period of Performance End Date</b>	3/31/2021
<b>Current Period of Performance End Date</b>	N/A Revision #: N/A

### Part 1: Recipient Information

**Name: Santa Ana, Pueblo Of**

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
6710	Indian Tribe	SANTA ANA PUEBLO	119699650

Location Type	Address	City	State	Zip
Headquarters	2 DOVE RD	BERNALILLO	NM	870045906
Physical Address	2 DOVE RD	BERNALILLO	NM	87004
Mailing Address	02 DOVE RD.	SANTA ANA PUEBLO	NM	87004

### Union Information

There are no union contacts for this application

### Part 2: Application Information

<p><b>Title: Pueblo of Santa Ana Transit Section 5311 FY20 CARES Act Operating</b></p>
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<b>FAIN</b>	<b>Application Status</b>	<b>Application Type</b>	<b>Date Created</b>	<b>Last Updated Date</b>	<b>From TEAM?</b>
NM-2020-018-00	Final Concurrence / Reservation	Grant	6/19/2020	6/19/2020	No

**Application Executive Summary**

This is an FFY 2020 Section 5311 CARES Act application in the amount of \$52,676. Per the CARES Act, the grant requests 100% federal share. This application utilizes CARES Act funding to prevent, prepare for, and respond to coronavirus. The application scope of work includes operating costs (salaries and fuel) for essential services that are being provided to the community during the COVID-19 pandemic, administrative leave costs for employees not required to work in order to prevent the spread of COVID-19, and maintenance costs associated with keeping transit vehicles in safe operating condition including mechanical repair and maintenance and thorough cleaning and proper sterilization of vehicles.

The Pueblo of Santa Ana agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.

Per the CARES Act, the project(s) in this application is not required to be programmed in the Long-Range Transportation Plan or Statewide Transportation Improvement Program.

**Frequency of Milestone Progress Reports (MPR)**

Annual

**Frequency of Federal Financial Reports (FFR)**

Annual

**Does this application include funds for research and/or development activities?**

This award does not include research and development activities.

**Pre-Award Authority**

This award is using Pre-Award Authority.

**Does this application include suballocation funds?**

Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

**Will this Grant be using Lapsing Funds?**

No, this Grant does not use Lapsing Funds.

**Will indirect costs be applied to this application?**

This award is applying an approved Indirect cost rate(s).

*Indirect Rate Details:* Indirect Costs will be applied at a rate of 22.99% as approved in the Indirect Cost Negotiation Agreement dated April 23, 2020.

**Requires E.O. 12372 Review**

No, this application does not require E.O. 12372 Review.

**Delinquent Federal Debt**

No, my organization does not have delinquent federal debt.

**Application Point of Contact Information**

First Name	Last Name	Title	E-mail Address	Phone
Abel	Ayala	Program Management Specialist	Abel.Ayala@dot.gov	(817) 978-0560
Alan	Hatch	Director of Natural Resources	alan.hatch@santaana-nrn.gov	505-771-6748

**Application Budget Control Totals**

Funding Source	Section of Statute	CFDA Number	Amount
5311 Tribal formula (CARES Act)	5311-7	20509	\$52,676
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$52,676</b>

**Application Budget**

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
NM-2020-018-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$52,676.00	\$0.00	\$52,676.00	0
NM-2020-018-01-00	30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$52,676.00	\$0.00	\$52,676.00	0

## Discretionary Allocations

This application does not contain discretionary allocations.

## Part 3: Project Information

<b>Project Title: Transit System Operation</b>
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Project Number	Temporary Project Number	Date Created	Start Date	End Date
NM-2020-018-01-00	6710-2020-1-P1	6/24/2020	3/16/2020	12/31/2020

### Project Description

Operation of Santa Ana Transit System during COVID-19 pandemic including driver salary and fringe, fuel, and vehicle repair and maintenance.

### Project Benefits

Provides essential transit service to the community of Santa Ana Pueblo during COVID-19 pandemic providing a link between the community and the New Mexico Rail Runner Express and service between the villages of Rebahene, Ranchitos, and Chicale with stops at government services such as the Tamaya Wellness Center, Indian Health Services clinic, Santa Ana Senior Center, and Santa Ana government and administrative offices. Many riders of the system have no other means of transportation and depend on this service for access to healthcare, wellness, work, education, and social services programs.

### Additional Information

*None provided.*

### Location Description

The Pueblo of Santa Ana is located within Sandoval County New Mexico just north of the town of Bernalillo. Neighbored by Bernalillo, Rio Rancho, Zia Pueblo, San Felipe Pueblo, and Santo Domingo Pueblo, Santa Ana sits along the Rio Grande with its communities located on the east side of the river and businesses located on the west side. Being located on the fringe of the Albuquerque metropolitan area, the Santa Ana Transit System provides for access to larger transit systems.

## Project Location (Urbanized Areas)

UZA Code	Area Name
350000	New Mexico

## Congressional District Information

State	District	Representative
New Mexico	3	Ben R Lujan

## Program Plan Information

**STIP/TIP**

Date: 11/20/2017

Description: TTIP 2018 to 2022. Transit service located on page 12 of 12

**UPWP**

Date: N/A

Description: N/A

**Long Range Plan**

Date: 3/30/2012

Description: Santa Ana is currently working with a contractor to update the LRTP.

**Project Control Totals**

Funding Source	Section of Statute	CFDA Number	Amount
5311 Tribal formula (CARES Act)	5311-7	20509	\$52,676
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$52,676</b>

**Project Budget**

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
NM-2020-018-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$52,676.00	\$0.00	\$52,676.00	0
NM-2020-018-01-00	30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$52,676.00	\$0.00	\$52,676.00	0

**Project Budget Activity Line Items**

<b>Budget Activity Line Item: 30.09.08 - EMER RELIEF - OPERATING ASSIST - 100% Fed Share</b>
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Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	OPERATING ASSISTANCE	0

#### Extended Budget Description

Includes operating costs (salaries and fuel) for essential services that are being provided to the community during the COVID-19 pandemic, administrative leave costs for employees not required to work in order to prevent the spread of COVID-19, and maintenance costs associated with keeping transit vehicles in safe operating condition including mechanical repair and maintenance and thorough cleaning and proper sterilization of vehicles.

#### Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 Tribal formula (CARES Act)	5311-7	20509	\$52,676
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$52,676</b>

Milestone Name	Est. Completion Date	Description
Start Date	3/16/2020	Pueblo declaration of emergency on 3/16/2020. Essential service and transit operation costs after this date are directly related to COVID-19.
End Date	12/31/2020	End of COVID-19 related transit service under this project.

## Project Environmental Findings

### Finding: Class II(c) - Categorical Exclusions (C-List)

#### Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

#### Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	3/15/2019

## Part 4: Fleet Details

**Fleet Type:** Fixed Route

### Fleet Comments

Fleet consists of 3 van based shuttle busses. One additional vehicle for administration of transit program, occasional passenger use and back up.

		Current Value
I.	<b>Active Fleet</b>	
	A. Peak Requirement	2
	B. Spares	1
	C. Total (A+B)	3
	D. Spare Ratio (B/A)	50%
II.	<b>Inactive Fleet</b>	
	A. Other	0
	B. Pending Disposal	0
	C. Total (A+B)	0
III.	<b>Total (I.C and II.C)</b>	3

## Part 5: FTA Review Comments

### FTA Comments for DOL

**Comment By** Abel Ayala

Comment Type DOL Review for Information

Date 7/1/2020

Comment FY20 5311 CARES Act Tribal Funding for info only.

### Application Review Comments

**Comment By Abel Ayala**

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Comment Type FTA Post Review Comments for Grantee

Date 6/25/2020

Comment Please change Funding Source - Section of Statute to 5311-7 5311 Tribal formula (CARES Act).

**Comment By Abel Ayala**

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Comment Type FTA Post Review Comments for Grantee

Date 6/26/2020

Comment Edit Milestone dates. Edit for Budget Description.

## Part 6: Agreement

**UNITED STATES OF AMERICA  
DEPARTMENT OF TRANSPORTATION  
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT  
(FTA G-26, October 1, 2019)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(26), October 1, 2019, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

**FTA AWARD**

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

**Recipient Information**



Recipient Name: Santa Ana, Pueblo Of

Recipient ID: 6710

DUNS No: 119699650

## **Application Information**

Federal Award Identification Number: NM-2020-018-00

Application Name: Pueblo of Santa Ana Transit Section 5311 FY20 CARES Act Operating

Application Start Date: N/A

Original Award End Date: 3/31/2021

Current Award End Date: N/A

Application Executive Summary: This is an FFY 2020 Section 5311 CARES Act application in the amount of \$52,676. Per the CARES Act, the grant requests 100% federal share. This application utilizes CARES Act funding to prevent, prepare for, and respond to coronavirus. The application scope of work includes operating costs (salaries and fuel) for essential services that are being provided to the community during the COVID-19 pandemic, administrative leave costs for employees not required to work in order to prevent the spread of COVID-19, and maintenance costs associated with keeping transit vehicles in safe operating condition including mechanical repair and maintenance and thorough cleaning and proper sterilization of vehicles.

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Per the CARES Act, the project(s) in this application is not required to be programmed in the Long-Range Transportation Plan or Statewide Transportation Improvement Program.

Research and Development: This award does not include research and development activities.

Indirect Costs: This award is applying an approved Indirect cost rate(s).

Suballocation Funds: Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Pre-Award Authority: This award is using Pre-Award Authority.

## **Application Budget**

Total Application Budget: \$52,676.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$52,676.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$0.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$52,676.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$0.00

### **Application Budget Control Totals**

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

<b>Funding Source</b>	<b>Section of Statute</b>	<b>CFDA Number</b>	<b>Amount</b>
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Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$52,676</b>

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

### **U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:**

Original Certification Date:

### **Special Conditions**

There are no special conditions.

### **FINDINGS AND DETERMINATIONS**

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

### **FTA AWARD OF THE GRANT AGREEMENT**

Awarded By:

FEDERAL TRANSIT ADMINISTRATION  
U.S. DEPARTMENT OF TRANSPORTATION  
Contact Info:  
Award Date:

## EXECUTION OF THE GRANT AGREEMENT

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
  - (a) Statements,
  - (b) Representations,
  - (c) Warranties,
  - (d) Covenants, and
  - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

*Santa Ana, Pueblo Of*