

Application

Federal Award Identification Number (FAIN)	NE-2020-016-00
Temporary Application Number	6750-2020-2
Application Name	Ponca Tribe Sec. 5311 Tribal Formula (CARES Act) for Operating Assistance and Vans
Application Status	Final Concurrence / Reservation
Application Budget Number	0

Period of Performance Start Date	N/A
Original Period of Performance End Date	6/10/2023
Current Period of Performance End Date	N/A Revision #: N/A

Part 1: Recipient Information

Name: Ponca Tribe Of Nebraska

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
6750	Indian Tribe	PONCA TRIBE OF NEBRASKA	957113418

Location Type	Address	City	State	Zip
Headquarters	2521 SPRUCE AVE	NIOBRARA	NE	687606103
Physical Address	2521 SPRUCE AVE	NIOBRARA	NE	68760
Mailing Address	P.O. BOX 288	NIOBRARA	NE	68760

Union Information

There are no union contacts for this application

Part 2: Application Information

<p>Title: Ponca Tribe Sec. 5311 Tribal Formula (CARES Act) for Operating Assistance and Vans</p>

FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
NE-2020-016-00	Final Concurrence / Reservation	Grant	6/10/2020	6/10/2020	No

Application Executive Summary

This application utilizes CARES ACT funding to help with operating costs and to purchase 7 new vehicles to respond and recover from the COVID-19 Pandemic Emergency. This project includes \$376,516 of federal funding (up to 100% share) for operations assistance and van purchases incurred beginning 1/20/2020.

2020 CARES ACT funding is \$376,516.
There is no local match required.

The recipient agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T Stafford Disaster Relief and Emergency Assistance Act, a different Federal Agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any federal share that duplicates funding provide by FEMA, another Federal agency, or an insurance company.

Frequency of Milestone Progress Reports (MPR)

Annual

Frequency of Federal Financial Reports (FFR)

Annual

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Will this Grant be using Lapsing Funds?

No, this Grant does not use Lapsing Funds.

Will indirect costs be applied to this application?

This award is applying an approved Indirect cost rate(s).

Indirect Rate Details: 30.85%

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Logan	Daniels	Transportation Program Specialist	Logan.Daniels@dot.gov	(816) 329-3933
Stephanie	Slobotski	Transportation Planner	sslobotski@poncatribene.org	(402) 438-9222 2016

Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 Tribal formula (CARES Act)	5311-7	20509	\$376,516
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$376,516

Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
NE-2020-016-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$376,516.00	\$0.00	\$376,516.00	7
NE-2020-016-01-00	11.12.15 BUY REPLACEMENT VAN	\$250,243.00	\$0.00	\$250,243.00	7
NE-2020-016-01-00	30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$126,273.00	\$0.00	\$126,273.00	0

Discretionary Allocations

This application does not contain discretionary allocations.

Part 3: Project Information

Project Title: Ponca Tribe Sec. 5311 FY 2020 CARES ACT Funding for Operating Assistance and Vans

Project Number	Temporary Project Number	Date Created	Start Date	End Date
NE-2020-016-01-00	6750-2020-2-P1	6/10/2020	1/20/2020	1/20/2022

Project Description

This project is to cover operating expenses for 2 months for 4 staff, to purchase 7 vehicles and to have them wrapped.

Project Benefits

The 2 months of operating assistance will help us support staff who have been deemed high risk and unable to work due to COVID-19. In addition, we are replacing 7 vehicles during this time as our ridership is down due to COVID and purchasing new vehicles will be less disruptive because of the decreased ridership.

Additional Information

This program will benefit the ridership of the Norfolk, NE, area for in-town transportation needs. The Ponca Tribe of Nebraska provides services in counties via routes through Cedar, Dixon, Dakota, Stanton, Wayne Cuming, and Platte Counties in Nebraska; Charles Mix County in South Dakota; and Woodbury County in Iowa. The areas that we serve are rural by definition and both public and private transportation within our service area limited. Our fixed deviated routes begin and end in Madison County and Knox County, Nebraska. We coordinate routes with the Santee Tribe to begin in Knox County. Our areas served are continuously growing to support outside agencies interested in agreements for direct service or overflow of daily transits.

Location Description

This project is to support Norfolk and Niobara Nebraska.

Project Location (Urbanized Areas)

UZA Code	Area Name
310000	Nebraska

Congressional District Information

State	District	Representative
Nebraska	1	Jeff Fortenberry
Nebraska	3	Adrian Smith

Program Plan Information

STIP/TIP

Date: N/A

Description: N/A

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: Not Provided

Description: Per the CARES Act, the project in this application is not required to be programmed in the Long Range Transportation Plan or Statewide Transportation Improvement Program.

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 Tribal formula (CARES Act)	5311-7	20509	\$376,516
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$376,516

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
NE-2020-016-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$376,516.00	\$0.00	\$376,516.00	7
NE-2020-016-01-00	11.12.15 BUY REPLACEMENT VAN	\$250,243.00	\$0.00	\$250,243.00	7
NE-2020-016-01-00	30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$126,273.00	\$0.00	\$126,273.00	0

Project Budget Activity Line Items

Budget Activity Line Item: 11.12.15 - BUY REPLACEMENT VAN

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	11.12.15	BUY REPLACEMENT VAN	BUY REPLACEMENTS - CAPITAL BUS	7

Extended Budget Description

The new passenger vans will be a 2020 or current production year one ton 12 passenger van E15 compliant. Ford Transit F150 XL, 3.5L V6, engine console cover, power electric seats, right curbside sliding door, full length carpet, AWD, reverse sensing system, protective vinyl body molding, CD Rom equipment and service manual.

The anticipated useful life of the 12 passenger vehicle is estimated to serve 5 years or 100,000 miles.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 Tribal formula (CARES Act)	5311-7	20509	\$250,243
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$250,243

Milestone Name	Est. Completion Date	Description
RFP Date	8/15/2020	
Contract Award Date	8/15/2020	RFP and contract award date are the same as we will be purchasing through the State of Nebraska's procurement system.
First Vehicle Delivery Date	11/15/2020	First vehicle will be delivered within 90 days of order.
Final Vehicle Delivery Date	8/15/2021	All vehicle will be delivered within 12 months of initial order.
Contract Completion Date	8/15/2021	Contract will be completed upon delivery of final vehicle,

Budget Activity Line Item: 30.09.08 - EMER RELIEF - OPERATING ASSIST - 100% Fed Share

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	OPERATING ASSISTANCE	0

Extended Budget Description

This application utilizes CARES ACT funding to help with the operating costs to respond to and recover from the COVID-19 Pandemic.

2020 CARES Act funding \$126,273.

There is no local match required.

Provision of transit service, including but not limited to:

Driver's salaries

Fuel

Supplies (including personal protective equipment and cleaning supplies)

Administrative leave for operations employees

Maintenance

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 Tribal formula (CARES Act)	5311-7	20509	\$126,273
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$126,273

Milestone Name	Est. Completion Date	Description
Emergency Start Date	1/20/2020	CARES Act funding is eligible for drawdown beginning 1/20/20.
Final Funds Drawn	1/20/2022	This is just an estimate for when the funds will be completely drawn, it depends on all COVID activity.

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural

environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	7/7/2020

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	0	\$126,273.00	\$126,273.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	7/7/2020

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	11.12.15	BUY REPLACEMENT VAN	7	\$250,243.00	\$250,243.00

Part 4: Fleet Details

No fleet data exists for this application.

Part 5: FTA Review Comments

FTA Comments for DOL

Comment By Logan Daniels

Comment Type DOL Review for Information

Date 7/8/2020

Comment The Ponca Tribe's Sec. 5311 FY 2020 CARES ACT Funding \$376,516 is for Operating Assistance and Vans. The 2 months of Operating Assistance will help support staff who have been deemed high risk and unable to work due to COVID-19. In addition, they are replacing 7 vehicles.

Application Review Comments

Comment By Logan Daniels

Comment Type FTA Post Review Comments for Grantee

Date 6/30/2020

Comment - You selected the wrong funding source, please use this funding source: "5311 Tribal formula (CARES Act) 5311-7"
- Please attach a vehicle disposition inventory; I will e-mail an Excel template for you to fill out and attach.
- Please attach your Indirect Cost form
Grant looks good.

Comment By Logan Daniels

Comment Type FTA Post Review Comments for Grantee

Date 7/1/2020

Comment You selected the wrong funding source, please use this funding source: "5311 Tribal formula (CARES Act) 5311-7"

Application Review Comments

Comment By Beth Held

Comment Type Environmental Findings

Date 7/7/2020

Project Title Ponca Tribe Sec. 5311 FY 2020 CARES ACT Funding for Operating Assistance and Vans

Project Number NE-2020-016-01-00

Comment This application utilizes CARES Act funding to assist and reimburse the operating costs associated with the response and recovery from the COVID-19 pandemic, and includes but not limited to, operating costs and purchase of 7 new vehicles for \$376,516 of federal funding, is found compliant as a Categorical Exclusion under 23 CFR Part 771.118 (c)(4).

Comment By Beth Held

Comment Type Environmental Findings

Date 7/7/2020

Project Title Ponca Tribe Sec. 5311 FY 2020 CARES ACT Funding for Operating Assistance and Vans

Project Number NE-2020-016-01-00

Comment This application utilizes CARES Act funding to assist and reimburse the operating costs associated with the response and recovery from the COVID-19 pandemic, and includes but not limited to, operating costs and purchase of 7 new vehicles for \$376,516 of federal funding, is found compliant as a Categorical Exclusion under 23 CFR Part 771.118 (c)(7).

Part 6: Agreement

**UNITED STATES OF AMERICA
DEPARTMENT OF TRANSPORTATION
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT
(FTA G-26, October 1, 2019)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(26), October 1, 2019, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

FTA AWARD

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

Recipient Information

Recipient Name: Ponca Tribe Of Nebraska

Recipient ID: 6750

DUNS No: 957113418

Application Information

Federal Award Identification Number: NE-2020-016-00

Application Name: Ponca Tribe Sec. 5311 Tribal Formula (CARES Act) for Operating Assistance and Vans

Application Start Date: N/A

Original Award End Date: 6/10/2023

Current Award End Date: N/A

Application Executive Summary: This application utilizes CARES ACT funding to help with operating costs and to purchase 7 new vehicles to respond and recover from the COVID-19 Pandemic Emergency. This project includes \$376,516 of federal funding (up to 100% share) for operations assistance and van purchases incurred beginning 1/20/2020.

2020 CARES ACT funding is \$376,516.
There is no local match required.

The recipient agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T Stafford Disaster Relief and Emergency Assistance Act, a different Federal Agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any federal share that duplicates funding provide by FEMA, another Federal agency, or an insurance company.

Research and Development: This award does not include research and development activities.

Indirect Costs: This award is applying an approved Indirect cost rate(s).

Suballocation Funds: Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Pre-Award Authority: This award is using Pre-Award Authority.

Application Budget

Total Application Budget: \$376,516.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$376,516.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$0.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$376,516.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$0.00

Application Budget Control Totals

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

Funding Source	Section of Statute	CFDA Number	Amount
5311 Tribal formula (CARES Act)	5311-7	20509	\$376,516
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$376,516

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:

Original Certification Date:

Special Conditions

There are no special conditions.

FINDINGS AND DETERMINATIONS

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

FTA AWARD OF THE GRANT AGREEMENT

Awarded By:

FEDERAL TRANSIT ADMINISTRATION
U.S. DEPARTMENT OF TRANSPORTATION
Contact Info:
Award Date:

EXECUTION OF THE GRANT AGREEMENT

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
 - (a) Statements,
 - (b) Representations,
 - (c) Warranties,
 - (d) Covenants, and
 - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

Ponca Tribe Of Nebraska