

Application

Federal Award Identification Number (FAIN)	MN-2019-018-01
Temporary Application Number	2039-2019-1
Application Name	5311 Operating, Intercity Bus, Program Administration
Application Status	Final Concurrence / Reservation
Application Budget Number	0

Period of Performance Start Date	7/5/2019
Original Period of Performance End Date	3/30/2025
Current Period of Performance End Date	3/30/2025
	Revision #: 0

Part 1: Recipient Information

Name: Transportation, Minnesota Department Of

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
2039	State Agency	MINNESOTA DOT OFFICE OF TRANSIT	957271604

Location Type	Address	City	State	Zip
Headquarters	395 JOHN IRELAND BLVD	SAINT PAUL	MN	55155
Physical Address	395 JOHN IRELAND BLVD	SAINT PAUL	MN	55155
Mailing Address	395 JOHN IRELAND BLVD.	SAINT PAUL	MN	55155

Union Information

There are no union contacts for this application

Part 2: Application Information

Title: 5311 Operating, Intercity Bus, Program Administration

FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
MN-2019-018-01	Final Concurrence / Reservation	Grant	7/10/2020	7/10/2020	No

Application Executive Summary

Amendment #1: This grant amendment for the Minnesota Department of Transportation (MnDOT) is to utilize \$2,209,413 of Section 5311 funds (\$1,211,020 of FY 2018 funds;\$998,393 FY 2019 funds) for Rural Transit Operating Assistance, Intercity Bus Operating Assistance, and State Program Administration.

This amendment will also add \$465,680 of RTAP funds (\$187,231 FY 2018; \$278,449 FY 2019) for Projects in Calendar Years 2021 and 2022 (January 1, 2020 - December 31, 2022).

The total amount of this application is \$2,675,093.

\$1,233,121 of Local Operating funds (cash match) will be generated by the designated City, County, or Joint Powers, Community Action Program (CAP) agency, or Private For-Profit Company of each agency or company that receives federal funds via a sub-recipient agreement, and \$1,613,316 of the State of Minnesota General Fund and Greater Minnesota Transit Assistance funds will be provided for matching funds.

A Complete Program of Projects has been added to the Application Documents. An Intercity Bus Certification Letter for FFY 2018 funds in the amount of \$350,466 is also included in Application Documents

Original Application: This grant to the Minnesota Department of Transportation (MnDOT) is to utilize \$20,594,789 of Section 5311 funds (\$827,098 FFY 2017 funds; \$6,766,519 of FY 2018 funds, and \$13,001,172 FY 2019 funds) for Rural Transit Operating Assistance, Intercity Bus Operating Assistance, and State Program Administration.

\$11,417,702 of Local Operating funds (cash match) will be generated by the designated City, County, or Joint Powers, Community Action Program (CAP) agency, or Private For-Profit Company of each agency or company that receives federal funds via a sub-recipient agreement, and \$7,113,408 of the State of Minnesota General Fund and Greater Minnesota Transit Assistance funds.

A Complete Program of Projects has been added to the Application Documents

Frequency of Milestone Progress Reports (MPR)

Annual

Frequency of Federal Financial Reports (FFR)

Annual

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Will this Grant be using Lapsing Funds?

Yes, this Grant will use Lapsing Funds.

Will indirect costs be applied to this application?

This award is applying an approved Indirect cost rate(s).

Indirect Rate Details: The cognizant agency Federal Highway Administration approved MnDOT's indirect cost rate on 8/21/18 and a copy of the organization's approved rate is attached to in Application Documents. The approved rate(s) of 17.17% will be applied to State Program Administration Activity Line Items.

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
William	Wheeler	Transportation Program Specialist	William.Wheeler@dot.gov	(312) 353-2639
Hassan	Dastgir	General Engineer	Hassan.Dastgir@dot.gov	312-705-1280
Deborah	Ellis	Federal Program Manager	deborah.ellis@state.mn.us	651-366-4185

Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$23,269,882
Local			\$12,650,823
Local/In-Kind			\$0
State			\$8,726,724
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$44,647,429

Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
MN-2019-018-01-01	300-00 (300-A1) OPERATING ASSISTANCE	\$16,406,757.00	\$16,406,757.00	\$32,813,514.00	0

MN-2019-018-01-01		30.09.01	UP TO 50% FEDERAL SHARE	\$16,406,757.00	\$16,406,757.00	\$32,813,514.00	0
MN-2019-018-01-01	600-00 (600-A4)		OTHER PROGRAM COSTS	\$1,128,614.00	\$1,128,614.00	\$2,257,228.00	0
MN-2019-018-01-01		30.09.01	UP TO 50% FEDERAL SHARE	\$1,128,614.00	\$1,128,614.00	\$2,257,228.00	0
MN-2019-018-01-01	610-00 (610-A2)		STATE / PROGRAMS ADMINISTRATION	\$2,368,843.00	\$0.00	\$2,368,843.00	0
MN-2019-018-01-01		11.80.00	STATE OR PROGRAM ADMINISTRATION	\$2,063,679.00	\$0.00	\$2,063,679.00	0
MN-2019-018-01-01		11.80.00	STATE OR PROGRAM ADMINISTRATION	\$305,164.00	\$0.00	\$305,164.00	0
MN-2019-018-01-01	634-00 (634-A3)		INTERCITY BUS TRANSPORTATION	\$2,899,988.00	\$3,842,176.00	\$6,742,164.00	0
MN-2019-018-01-01		30.09.01	UP TO 50% FEDERAL SHARE	\$2,124,353.00	\$2,124,353.00	\$4,248,706.00	0
MN-2019-018-01-01		30.09.01	Intercity Bus Operating - Cash Match	\$775,635.00	\$1,717,823.00	\$2,493,458.00	0
MN-2019-018-01-01	635-00 (635-A5)		RURAL TRANSIT ASSISTANCE PROGRAM	\$465,680.00	\$0.00	\$465,680.00	0
MN-2019-018-01-01		43.50.01	RTAP Training and Technical Support	\$465,680.00	\$0.00	\$465,680.00	0

Discretionary Allocations

This application does not contain discretionary allocations.

Part 3: Project Information

Project Title: FFY 2017, 2018, and 2019 5311 Appropriations (CY 2020-2021 5311 Operating, Intercity Bus, and State Program Administration)

Project Number	Temporary Project Number	Date Created	Start Date	End Date
----------------	--------------------------	--------------	------------	----------

Project Description

Amendment #1: The Amendment will provide a total of \$2,209,413 for additional Transit Assistance and State Public Administration as follows: \$1,128,614 of Section 5311 Transit Operating Assistance to rural transit providers for Calendar Year 2021 (January 1 - December 31) expenses [\$350,466 FY 2018 funds; \$778,148 FY 2019 funds]; \$775,635 of Section 5311 Operating funds (\$739,452 FY 2018 funds; \$36,183 FY 2019 funds) to Intercity Bus providers for supplemental Intercity Bus Projects (July 1, 2020 - February 28, 2021); and \$305,164 (\$121,102 FY 2018 funds; \$184,062 FY 2019 funds) for Associated State Program Administration.

Required matching funds will be provided with Local operating funds (\$1,233,121 cash match) to be generated by the designated City, County, Joint Powers, Community Action Program (CAP) agency, or Private For-Profit company of each agency or company that receives federal funds via a Subrecipient agreement, and by the State of Minnesota (\$1,613,316) State General Fund and Greater Minnesota Transit Assistance funds).

The project also includes \$465,680 (\$187,231 FY 2018 and \$278,449 FY 2019) for Training and Technical Support for rural public transit providers and Section 5310 providers, including the continued development and maintenance of MnDOT's RTAP Website and continued support for the MnDOT RTAP Advisory Committee.

The State Program Administrative Costs represents the 10% of State Program funds available (based upon the amount of project funds programmed in this application plus \$108,072 of FY 2019 Section 5311 funds Tribes for CY 2020 direct distribution by the FTA. The amounts obligated in this application represent the remaining 1.44% of total FFY 2018 Appropriations; and 1.03% of total 2019 Appropriations.

Remaining FFY 2019 balances of \$2,651,579 for Intercity Bus projects and \$294,620 of State Program Administration Funds continue to be unobligated. MnDOT intends to obligate the remaining total of \$2,946,199 before the funds lapse in CY 2021. The projected use of the entire FFY 2020 Rural appropriations and \$284,386 of FFY 2020 RTAP Appropriations will be evaluated after the grant for CARES act funds is fully depleted.

A Detailed Program of Projects has been added to the Project Plan documents to provide specific amounts that will be provided to each local transit provider.

Original Application: The grant will provide a total of \$20,594,789 for projected 2020 (January 1 - December 31) Transit Assistance and State Public Administration as follows: \$16,406,757 of Section 5311 Transit Operating Assistance [\$4,718,744 FY 2018 funds and \$11,688,013 FY 2019 funds] to rural transit providers; \$2,124,353 of Section 5311 Operating funds (\$744,388 FY 2017 funds and 1,379,965 FY 2018 funds) to Intercity Bus providers; and \$2,063,679 (\$82,710 FY 2017 funds; \$667,810 FY 2018 funds; and \$1,313,159 FY 2019 funds) for Associated State Program Administration. Local operating funds (\$11,417,702 cash match) will be generated by the designated City, County, Joint Powers, Community Action Program (CAP) agency, or Private For-Profit company of each agency or company that receives federal funds via a Subrecipient agreement, and by the State of Minnesota (\$7,113,408 State General Fund and Greater Minnesota Transit Assistance funds).

The State Program Administrative Costs represents the 10% of State Program funds available (based upon the amount of project funds programmed in this application plus \$42,000 of FY 2018 Section 5311 funds Tribes for direct distribution by the FTA for 2019. The amounts obligated in this application represent the remaining .52% FFY 2017 appropriations; 4.06% of total FFY 2018 Appropriations; and 7.33% of total 2019 Appropriations.

A balance of \$ 3,777,680 for Intercity Bus projects (\$1,089,918 FY 2018 funds and \$2,687,762 FY 2019 funds) and \$599,785 (\$121,102 FY 2018 funds; \$478,682 FY 2019 funds) of available State Program

Administration Funds remain unobligated. MnDOT intends to obligate the remaining FFY 2018 balances before they lapse in CY 2020.

A Detailed Program of Projects has been added to the Project Plan documents to provide specific amounts that will be provided to each local transit provider.

Project Benefits

This project will maintain the ability of local transit providers to provide on-going, safe, and reliable, public transportation in the rural communities in Minnesota. It will also allow the Minnesota Department of Transportation the ability to provide required technical assistance and oversight to the local transit providers, as well as provide training and technical assistance to the public transit workforce in rural Minnesota.

Additional Information

None provided.

Location Description

Statewide

Project Location (Urbanized Areas)

UZA Code	Area Name
270000	Minnesota

Congressional District Information

State	District	Representative
Minnesota	1	Jim Hagedorn
Minnesota	2	Angie Craig
Minnesota	3	Dean Phillips
Minnesota	4	Betty McCollum
Minnesota	5	Ilhan Omar
Minnesota	6	Tom Emmer
Minnesota	7	Collin Peterson
Minnesota	8	Pete Stauber

Program Plan Information

STIP/TIP

Date: Not Provided

Description: 2020 STIP Approval Letter and Project Details

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$23,269,882
Local			\$12,650,823
Local/In-Kind			\$0
State			\$8,726,724
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$44,647,429

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
MN-2019-018-01-01	300-00 (300-A1) OPERATING ASSISTANCE	\$16,406,757.00	\$16,406,757.00	\$32,813,514.00	0
MN-2019-018-01-01	30.09.01 UP TO 50% FEDERAL SHARE	\$16,406,757.00	\$16,406,757.00	\$32,813,514.00	0
MN-2019-018-01-01	600-00 (600-A4) OTHER PROGRAM COSTS	\$1,128,614.00	\$1,128,614.00	\$2,257,228.00	0
MN-2019-018-01-01	30.09.01 UP TO 50% FEDERAL SHARE	\$1,128,614.00	\$1,128,614.00	\$2,257,228.00	0
MN-2019-018-01-01	610-00 (610-A2) STATE / PROGRAMS ADMINISTRATION	\$2,368,843.00	\$0.00	\$2,368,843.00	0
MN-2019-018-01-01	11.80.00 STATE OR PROGRAM ADMINISTRATION	\$2,063,679.00	\$0.00	\$2,063,679.00	0

MN-2019-018-01-01		11.80.00	STATE OR PROGRAM ADMINISTRATION	\$305,164.00	\$0.00	\$305,164.00	0
MN-2019-018-01-01	634-00 (634-A3)		INTERCITY BUS TRANSPORTATION	\$2,899,988.00	\$3,842,176.00	\$6,742,164.00	0
MN-2019-018-01-01		30.09.01	UP TO 50% FEDERAL SHARE	\$2,124,353.00	\$2,124,353.00	\$4,248,706.00	0
MN-2019-018-01-01		30.09.01	Intercity Bus Operating - Cash Match	\$775,635.00	\$1,717,823.00	\$2,493,458.00	0
MN-2019-018-01-01	635-00 (635-A5)		RURAL TRANSIT ASSISTANCE PROGRAM	\$465,680.00	\$0.00	\$465,680.00	0
MN-2019-018-01-01		43.50.01	RTAP Training and Technical Support	\$465,680.00	\$0.00	\$465,680.00	0

Project Budget Activity Line Items

Budget Activity Line Item: 30.09.01 - Intercity Bus Operating - Cash Match

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
INTERCITY BUS TRANSPORTATION (634-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE	0

Extended Budget Description

Amendment #1: Provide an additional \$775,635 (\$739,452 FY 2018; \$36,183 FY 2019) for additional Intercity Bus Service Operating Projects awarded to Jefferson Lines and Greyhound Lines, Inc. [TRF-9081-20,20-00; Approved 11/27/19] provided during from July 1, 2020 through February 28, 2021. Matching funds (50% of Operating Deficit) of \$775,635 will be provided by the State of Minnesota's the Greater Minnesota Transit Assistance (GMTA) Funds. Additional Matching funds (operating revenue) will be provided by Jefferson Lines and Greyhound Lines.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$775,635

Local		\$942,188
Local/In-Kind		\$0
State		\$775,635
State/In-Kind		\$0
Other Federal		\$0
Transportation Development Credit		\$0
Adjustment		\$0
Total Eligible Cost		\$2,493,458

Milestone Name	Est. Completion Date	Description
Projects Start	7/1/2020	2020-2021 Supplemental Intercity Bus Activities Begin for Jefferson Lines.
Contracts Executed	9/30/2020	Final 2020-2021 Supplemental Intercity Bus Contracts Executed by MnDOT
Projects Completed	2/28/2021	2020-2021 Supplemental Intercity Bus Activities End
Contracts Complete	12/31/2023	Final Expenditures for 2020-2021 Supplemental Intercity Bus Operating Projects, including final audits of all 2020 and 2021 Intercity Bus Operating contracts.

Budget Activity Line Item: 11.80.00 - STATE OR PROGRAM ADMINISTRATION

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
STATE / PROGRAMS ADMINISTRATION (610-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	STATE / PROGRAM ADMINISTRATION	0

Extended Budget Description

Amendment #1: Provide \$305,164 (\$121,102 FFY 2018; \$184,062 FFY 2019;) for administrative expenses associated with monitoring, developing and administering the oversight of the Section 5311 program during Calendar Year 2021 (January 1 - December 31).

MnDOT intends to draw indirect costs of 17.17% of salaries charged to this grant.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$305,164
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0

Transportation Development Credit	\$0
Adjustment	\$0
Total Eligible Cost	\$305,164

Milestone Name	Est. Completion Date	Description
Program Administration Begins	1/21/2021	Begin utilizing obligated funds for on-going State Program Administration.
Program Administration is Complete	12/31/2022	Final obligated funds expended for on-going State Program Administration. MnDOT intends to draw indirect costs of 17.17% of salaries charged to this grant.
Grant Closed	12/31/2023	Final state program activities completed prior to closing grant.

Budget Activity Line Item: 30.09.01 - UP TO 50% FEDERAL SHARE

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE	0

Extended Budget Description

Amendment #1:

Provide an additional \$1,128,614 (\$350,466 FY 2018; \$778,148 FY 2019) for CY 2021 Operating Assistance (January 1 - December 31) to operate, maintain, and manage public transit systems that provide services in rural areas:

Arrowhead Economic Opportunity Agency, Inc.; Becker County Transit; Brown County Family Services; Cedar Valley Services, Inc.; Central Community Transit; City of Brainerd; City of Fosston; City of Hibbing; City of Morris; MN River Valley Transit JPA; City of Winona; Faribault-Martin County Transit Board; Hubbard County; Isanti County; Kanabec County; Metropolitan Council; Paul Bunyan Transit; Prairie Five CAC, Inc.; Productive Alternatives, Inc. DBA Transit Alternatives; Rainbow Rider Transit Board; SEMCAC; Southwestern Minnesota Opportunity Council, Inc.; Three Rivers Community Action, Inc.; Trailblazer Joint Powers Board; Tri-County Action Program, Inc.; Tri-Valley Opportunity Council; Wadena County Highway Department; Watonwan County; United Community Action Partnership, Inc. [STIP Project ID# TRF-9101-21,20.00; Approved 11/27/19]:

Project funding includes an additional \$290,933 Local Operating funds and revenue (cash match) will be generated by the designated City, County, or Joint Powers, Community Action Program (CAP) that receives federal funds via a subrecipient agreement, and an additional \$837,681 from the State of Minnesota General Fund and Greater Minnesota Transit Assistance Fund.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$1,128,614
Local			\$290,933

Local/In-Kind			\$0
State			\$837,681
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$2,257,228

Milestone Name	Est. Completion Date	Description
Sub-recipient Agreements Executed	9/30/2020	All CY2020-2021 Operating Subrecipient Agreements Executed by MnDOT
Projects Start	1/1/2021	CY 2021 Operating Activities Begin
Projects Completed	12/31/2021	CY 2021 Operating Activities End
Subrecipient Agreements Complete	12/31/2023	Final audit of all 2021 sub-recipient agreements to provide operating assistance to rural transit systems that are funded in this grant.

Budget Activity Line Item: 43.50.01 - RTAP Training and Technical Support

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
RURAL TRANSIT ASSISTANCE PROGRAM (635-00)	43.50.01	TRAINING	RURAL TRANSIT ASST PROGRAM	0

Extended Budget Description

Amendment #1 :Provide \$465,680 of RTAP funds (\$187,231 FY 2018; \$278,449 FY 2019 for Training and Technical support with the following objectives:

1. Provide training services for Greater Minnesota Transit Agency staff and MnDOT RTAP Trainers, utilizing a variety of training methods including, but not limited to multi-day workshops, specialized trainings by MnDOT RTAP trainers, national training offered by FTA, on-line training, and peer-to-peer transit agency workshops. Topics will include drivers' education and awareness, Maltreatment and PASS, management/leadership, marketing, compliance and reporting training, customer service, dispatching, drug and alcohol compliance training, FTA grant technical support training, procurement/purchasing training, and other topics as requested by the Transit Agencies.
2. Providing scholarships to 5310 and tribal transit providers to attend trainings, and the evaluation and implementation of a scholarship program for eligible small urban and rural public transit providers.
3. Continue to support and advance the MnDOT RTAP advisory committee via monthly meetings, development of a strategic plan for RTAP, evaluation of training needs, and reviewing training reporting requirements.
4. Work with the RTAP consultant to continue the support of RTAP day-to-day activities, including but not limited to scheduling trainings, procuring and monitoring training, facilitation of RTAP advisory committee, identifying agency training needs, maintaining MDOT RTAP website, and providing newsletters and other periodic communications, as requested.

5. Continue to provide Leadership training program in calendar year 2022 (January 1 - December 31)."

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$465,680
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$465,680

Milestone Name	Est. Completion Date	Description
Start Date	1/1/2021	MnDOT starts drawing on-going RTAP training funds from this grant.
End Date	12/31/2022	Utilize all of the RTAP funds in this grant on on-going training programs

Budget Activity Line Item: 30.09.01 - UP TO 50% FEDERAL SHARE

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OPERATING ASSISTANCE (300-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE	0

Extended Budget Description

Original Application:

Provide \$16,406,757 (\$4,718,744 FY2018; \$11,688,013 FY2019) CY 2020 (January 1 - December 31)

Operating Assistance to operate, maintain, and manage public transit systems that provide services in rural areas:

Arrowhead Economic Opportunity Agency, Inc.; Becker County Transit; Brown County Family Services; Cedar Valley Services, Inc.; Central Community Transit; City of Brainerd; City of Fosston; City of Granite Falls; City of Hibbing; City of Morris; MN River Valley Transit JPA; City of Winona; Faribault-Martin County Transit Board; Hubbard County; Isanti County; Kanabec County; Metropolitan Council; Paul Bunyan Transit; Prairie Five CAC, Inc.; Productive Alternatives, Inc. DBA Transit Alternatives; Rainbow Rider Transit Board; SEMCAC; Southwestern Minnesota Opportunity Council, Inc.; Three Rivers Community Action, Inc.; Trailblazer Joint Powers Board; Tri-County Action Program, Inc.; Tri-Valley Opportunity Council; Wadena County Highway Department; Watonwan County; United Community Action Partnership, Inc. [STIP Project ID#TRF-9101-19,19-00.39; Approved 06/19/19].

Project funding includes \$9,293,349 Local Operating funds and revenue (cash match) will be generated by the designated City, County, or Joint Powers, Community Action Program (CAP) that receives federal funds via a sub-recipient agreement, and \$7,113,408 from the State of Minnesota General Fund and Greater Minnesota Transit Assistance Fund.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$16,406,757
Local			\$9,293,349
Local/In-Kind			\$0
State			\$7,113,408
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$32,813,514

Milestone Name	Est. Completion Date	Description
Sub-recipient Agreements Executed	12/31/2019	All 2020 Operating Subrecipient Agreements Executed by MnDOT
Projects Start	1/1/2020	2020 Operating Activities Begin
Projects Completed	12/31/2020	2020 Operating Activities End
Subrecipient Agreements Complete	12/31/2022	Final audit of all 2020 sub-recipient agreements to provide operating assistance to rural transit systems that are funded in this grant.

Budget Activity Line Item: 11.80.00 - STATE OR PROGRAM ADMINISTRATION

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
STATE / PROGRAMS ADMINISTRATION (610-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	STATE / PROGRAM ADMINISTRATION	0

Extended Budget Description

Original Application:

\$2,063,679 (\$82,710 FY 2017; \$667,810 FY 2018; \$1,313,159 FY 2019) for CY 2020 (January 1-December 31) Administrative expenses associated with monitoring, developing and administering the oversight of the Section 5311 program. MnDOT intends to draw indirect costs of 17.17% of salaries charged to this grant.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$2,063,679
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$2,063,679

Milestone Name	Est. Completion Date	Description
Start Date	1/1/2020	Begin utilizing obligated funds for on-going State Program Administration.
End Date	12/31/2020	Final obligated funds expended for on-going State Program Administration. MnDOT intends to draw indirect costs of 17.17% of salaries charged to this grant.
Grant Closed	12/31/2022	Final state program activities completed prior to closing grant.

Budget Activity Line Item: 30.09.01 - UP TO 50% FEDERAL SHARE

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
INTERCITY BUS TRANSPORTATION (634-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE	0

Extended Budget Description

Original Application:
 \$2,124,353 (\$744,388 FY 2017; \$1,379,965 FY2018) for CY 2020 (January 1-December 31) Intercity Bus Service Operating Projects awarded to Jefferson Lines and Land to Air Express [TRF-9081-19,19-00, Approved 10/30/18]. Matching funds, including operating revenue, will be provided by Jefferson Lines and Land to Air Express.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$2,124,353
Local			\$2,124,353
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0

Other Federal		\$0
Transportation Development Credit		\$0
Adjustment		\$0
Total Eligible Cost		\$4,248,706

Milestone Name	Est. Completion Date	Description
Contracts Executed	12/31/2019	All 2020 Operating Contracts Executed by MnDOT
Projects Start	1/1/2020	2020 Intercity Bus Activities Begin
Projects Completed	12/31/2020	2020 Intercity Bus Activities End
Contracts Complete	12/31/2022	Final Expenditures for 2020 Intercity Bus Operating Projects, including final audits of all 2020 Intercity Bus Operating contracts.

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Part 4: Fleet Details

No fleet data exists for this application.

Part 5: FTA Review Comments

FTA Comments for DOL

Comment By **William Wheeler**

Comment Type DOL Review for Information

Date	7/16/2020
Comment	Sending the Minnesota DOT grant for information purposes.

Part 6: Agreement

**UNITED STATES OF AMERICA
DEPARTMENT OF TRANSPORTATION
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT
(FTA G-26, October 1, 2019)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(26), October 1, 2019, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

FTA AWARD

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

Recipient Information

Recipient Name: Transportation, Minnesota Department Of

Recipient ID: 2039

DUNS No: 957271604

Application Information

Federal Award Identification Number: MN-2019-018-01

Application Name: 5311 Operating, Intercity Bus, Program Administration

Application Start Date: 7/5/2019

Original Award End Date: 3/30/2025

Current Award End Date: 3/30/2025

Application Executive Summary: Amendment #1: This grant amendment for the Minnesota Department of Transportation (MnDOT) is to utilize \$2,209,413 of Section 5311 funds (\$1,211,020 of FY 2018 funds; \$998,393 FY 2019 funds) for Rural Transit Operating Assistance, Intercity Bus Operating Assistance, and State Program Administration.

This amendment will also add \$465,680 of RTAP funds (\$187,231 FY 2018; \$278,449 FY 2019) for Projects in Calendar Years 2021 and 2022 (January 1, 2020 - December 31, 2022).

The total amount of this application is \$2,675,093.

\$1,233,121 of Local Operating funds (cash match) will be generated by the designated City, County, or Joint Powers, Community Action Program (CAP) agency, or Private For-Profit Company of each agency or company that receives federal funds via a sub-recipient agreement, and \$1,613,316 of the State of Minnesota General Fund and Greater Minnesota Transit Assistance funds will be provided for matching funds.

A Complete Program of Projects has been added to the Application Documents. An Intercity Bus Certification Letter for FFY 2018 funds in the amount of \$350,466 is also included in Application Documents

Original Application: This grant to the Minnesota Department of Transportation (MnDOT) is to utilize \$20,594,789 of Section 5311 funds (\$827,098 FFY 2017 funds; \$6,766,519 of FY 2018 funds, and \$13,001,172 FY 2019 funds) for Rural Transit Operating Assistance, Intercity Bus Operating Assistance, and State Program Administration.

\$11,417,702 of Local Operating funds (cash match) will be generated by the designated City, County, or Joint Powers, Community Action Program (CAP) agency, or Private For-Profit Company of each agency or company that receives federal funds via a sub-recipient agreement, and \$7,113,408 of the State of Minnesota General Fund and Greater Minnesota Transit Assistance funds.

A Complete Program of Projects has been added to the Application Documents

Research and Development: This award does not include research and development activities.

Indirect Costs: This award is applying an approved Indirect cost rate(s).

Suballocation Funds: Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Pre-Award Authority: This award is using Pre-Award Authority.

Application Budget

Total Application Budget: \$44,647,429.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$2,675,093.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$2,846,437.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$23,269,882.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$21,377,547.00

Application Budget Control Totals

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$23,269,882
Local			\$12,650,823
Local/In-Kind			\$0
State			\$8,726,724
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$44,647,429

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:

Original Certification Date:

Special Conditions

There are no special conditions.

FINDINGS AND DETERMINATIONS

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

FTA AWARD OF THE GRANT AGREEMENT

Awarded By:

FEDERAL TRANSIT ADMINISTRATION
U.S. DEPARTMENT OF TRANSPORTATION
Contact Info:
Award Date:

EXECUTION OF THE GRANT AGREEMENT

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
 - (a) Statements,
 - (b) Representations,
 - (c) Warranties,
 - (d) Covenants, and
 - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

Transportation, Minnesota Department Of