

DOT

U.S. Department of Transportation

FTA

Federal Transit Administration

Application

Federal Award Identification Number (FAIN)	KY-2020-011-01
Temporary Application Number	1003-2020-1
Application Name	KYTC/OTD 5311 CARES ACT Operating; Rural Kentucky Statewide
Application Status	Final Concurrence / Reservation
Application Budget Number	0

Period of Performance Start Date	5/4/2020
Original Period of Performance End Date	3/30/2023
Current Period of Performance End Date	3/30/2023 Revision #: 0

Part 1: Recipient Information

Name: Transportation Cabinet, Kentucky

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
1003	State Agency	KENTUCKY TRANSPORTATION CABINET	927328732

Location Type	Address	City	State	Zip
Headquarters	700 CAPITAL AVE	FRANKFORT	KY	40601
Physical Address	200 MERO ST	FRANKFORT	KY	40601
Mailing Address	OFFICE TRANSPORTATION DELIVERY	FRANKFORT	KY	40601

Union Information

Union Name	NONE No Union
Address 1	0000 No Union
Address 2	
City	No Union
State	KY
Zipcode	00000

Contact Name	NONE No Union NONE No Union
Telephone	(000) 000-0000
Fax	
E-mail	nounion@none.com
Website	

Part 2: Application Information

Title: KYTC/OTD 5311 CARES ACT Operating; Rural Kentucky Statewide

FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
KY-2020-011-01	Final Concurrence / Reservation	Grant	7/10/2020	7/10/2020	No

Application Executive Summary

Amendment #1 – July 17, 2020

This is an Amendment for FFY 2020 Section 5311 CARES Act funds in the amount of \$6,413,177 (100% Federal Share). This application utilizes CARES Act funding to help respond to and recover from the COVID-19 pandemic. Per the CARES Act, the grant requests 100% Federal Share. The application scope of work includes \$469,650 in Operating Assistance, \$354,418 in Intercity Operating Assistance, \$4,695,625 in Capital Assistance, and \$893,484 in Intercity Capital Assistance for rural transit Agencies statewide in Kentucky.

The total 5311 and 5340 apportionment is \$58,808,456, and there is a current balance of \$37,678,355. This Amendment decreases the total balance to \$31,265,178.

Total Revised Federal Share: \$29,307,278.

Currently, only \$2,797,418 (10.16%) of the 5311 CARES Act grant has been programmed for Intercity. Although 15% (\$8,821,268) of the total Apportionment has not yet been fully designated for Intercity, when the remaining apportionment balance is applied for, it will include at least another \$6,023,850 for Intercity. This will meet the requirement of 15% of the total 5311 CARES Act Apportionment to be spent on Intercity.

See Attached POP and STIP.

See attached POP for Subrecipient Names, Amounts by ALI Code and Scope, and replacement vehicle information.

KYTC will not use indirect funds. Some subrecipients will claim indirect costs. This is not a Research and Development Grant.

The Office of Transportation Delivery (OTD) has neither applied for nor received funding from FEMA or any other Federal Agency. The Recipient agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.

No projects in this application lead to a functional, location, or capacity change. Per the CARES Act, the project(s) in this application is not required to be programmed in the Long-Range Transportation Plan or Statewide Transportation Improvement Program.

KYTC/OTD is a designated recipient of the Section 5311 funds.

The Operational Expenses requested in this application were determined by subrecipients' requests that were based on current & past expenditures and projected revenues & expenses due to COVID-19. The KYTC/OTD understands that the expenses requested in this application are subject to review as part of the agency's next State Management Review.

No insurance claims have been filed, and no insurance proceeds have been received.

KYTC/OTD will follow all 3rd party procurement policies as defined in C4220.1F (Third Party Contracting Guidance).

KYTC/OTD will require subrecipients to have Independent Cost Estimates and a Price/Cost Analysis on file for these projects, to ensure contractors procured will not be on the FTA Debarment and Suspension List, & to follow all 3rd party procurement policies as defined in C 4220.1F.

KYTC/OTD will ensure contractors procured will not be on the FTA Suspension and Debarment list.

KYTC/OTD will hold liens on the vehicles. Subrecipients are required to have insurance, oversee maintenance, and generate reports on the vehicles. All subrecipients will follow useful life standards as defined in the Kentucky State Management Plan.

KYTC/OTD will ensure that all vehicles are purchased from FTA approved TVM and reported to FTA within 30 days after contract is signed.

KYTC/OTD will check the current listing of Transit Vehicle Manufacturers (TVM) (<https://www.transit.dot.gov/regulations-and-guidance/civil-rights-ada/eligible-tvms-list>) to ensure each is listed as a certified TVM in compliance with FTA regulations.

Start date is Date of Award. End date is 03/30/2024.

Original Application

This is an FFY 2020 Section 5311 CARES Act application in the amount of \$22,894,101 (100% Federal Share), this application utilizes CARES Act funding to help respond to and recover from the COVID-19 pandemic. Per the CARES Act, the grant requests 100% Federal Share. The application scope of work includes \$19,580,585 in Operating Assistance, \$1,549,516 in Intercity Operating Assistance, and \$1,764,000 in Appalachian Operating Assistance for rural transit Agencies statewide in Kentucky. Please see attached POP for details.

The total 5311 and 5340 apportionment is \$58,808,456, so this application leaves a balance of \$37,678,355 which will be requested in future grants or amendments. The Appalachian apportionment is \$1,764,000, so this application leaves a balance of \$0.

Currently only \$1,549,516 (6.77%) of the 5311 CARES Act grant has been programmed for Intercity. Although 15% (\$8,821,268) of the total Apportionment has not yet been fully designated for Intercity, when the remaining apportionment balance is applied for, it will include at least another \$7,271,752 for Intercity. This will meet the requirement of 15% of the total 5311 CARES Act Apportionment to be spent on Intercity.

The Office of Transportation Delivery (OTD) has neither applied for nor received funding from FEMA or any other Federal Agency. The Recipient agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.

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KYTC/OTD is a designated recipient of the Section 5311 funds.

The Operational Expenses requested in this application were determined by subrecipients' requests that were based on current & past expenditures and projected revenues & expenses due to COVID-19. The KYTC/OTD understands that the expenses requested in this application are subject to review as part of the agency's next State Management Review.

No insurance claims have been filed, and no insurance proceeds have been received.

KYTC/OTD will follow all 3rd party procurement policies as defined in C4220.1F (Third Party Contracting Guidance).

KYTC/OTD will ensure contractors procured will not be on the FTA Suspension and Debarment list.

Frequency of Milestone Progress Reports (MPR)

Annual

Frequency of Federal Financial Reports (FFR)

Annual

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Will this Grant be using Lapsing Funds?

No, this Grant does not use Lapsing Funds.

Will indirect costs be applied to this application?

This award does not include an indirect cost rate.

Indirect Rate Details: N/A

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Holly	Liles	Community Planner	holly.liles@dot.gov	404-865-5637
Eric	Perez	Acting Executive Director	eric.perez@ky.gov	502-564-7433

Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$27,543,278
5311 Appalachian Dev Formula (CARES Act)	5311-6	20509	\$1,764,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$29,307,278

Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
KY-2020-011-01-01	111-00 (111-A4) BUS - ROLLING STOCK	\$3,030,763.00	\$0.00	\$3,030,763.00	68
KY-2020-011-01-01	11.12.02 BUY REPLACEMENT 35-FT BUS	\$332,912.00	\$0.00	\$332,912.00	4
KY-2020-011-01-01	11.12.15 BUY REPLACEMENT VAN	\$1,786,110.00	\$0.00	\$1,786,110.00	41
KY-2020-011-01-01	11.13.15 BUY VAN FOR SVC EXPANSION	\$911,741.00	\$0.00	\$911,741.00	23
KY-2020-011-01-01	114-00 (114-A5) BUS: SUPPORT EQUIP AND FACILITIES	\$1,459,862.00	\$0.00	\$1,459,862.00	1178

KY-2020-011-01-01		11.42.06	ACQUIRE - SHOP EQUIPMENT	\$84,350.00	\$0.00	\$84,350.00	47
KY-2020-011-01-01		11.42.07	ACQUIRE - ADP HARDWARE	\$257,401.00	\$0.00	\$257,401.00	412
KY-2020-011-01-01		11.42.08	ACQUIRE - ADP SOFTWARE	\$350,000.00	\$0.00	\$350,000.00	2
KY-2020-011-01-01		11.42.09	ACQUIRE - MOBILE SURV/SECURITY EQUIP	\$486,240.00	\$0.00	\$486,240.00	119
KY-2020-011-01-01		11.42.20	ACQUIRE - MISC SUPPORT EQUIPMENT	\$245,871.00	\$0.00	\$245,871.00	597
KY-2020-011-01-01		11.44.01	REHAB/RENOVATE - ADMINISTRATIVE FACILITY	\$36,000.00	\$0.00	\$36,000.00	1
KY-2020-011-01-01	116-00 (116-A6)		SIGNAL & COMM EQUIPMENT (BUS)	\$205,000.00	\$0.00	\$205,000.00	2
KY-2020-011-01-01		11.62.20	PURCHASE MISC COMMUNICATIONS EQUIP	\$205,000.00	\$0.00	\$205,000.00	2
KY-2020-011-01-01	300-00 (300-A3)		OPERATING ASSISTANCE	\$20,050,235.00	\$0.00	\$20,050,235.00	0
KY-2020-011-01-01		30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$20,050,235.00	\$0.00	\$20,050,235.00	0
KY-2020-011-01-01	634-00 (634-A1)		INTERCITY BUS TRANSPORTATION	\$2,797,418.00	\$0.00	\$2,797,418.00	17
KY-2020-011-01-01		11.12.15	BUY REPLACEMENT VAN	\$39,374.00	\$0.00	\$39,374.00	1
KY-2020-011-01-01		11.13.15	BUY VAN FOR SVC EXPANSION	\$834,910.00	\$0.00	\$834,910.00	10
KY-2020-011-01-01		11.42.09	ACQUIRE - MOBILE SURV/SECURITY EQUIP	\$19,200.00	\$0.00	\$19,200.00	6
KY-2020-011-01-01		30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share (CARE Act)	\$1,903,934.00	\$0.00	\$1,903,934.00	0
KY-2020-011-01-01	648-00 (648-		APPALACHIAN DEV PUB TRANSIT ASSIST	\$1,764,000.00	\$0.00	\$1,764,000.00	0

	A2)					
KY-2020-011-01-01	30.09.01	Appalachian Operating (CARES Act) 100% Federal Share	\$1,764,000.00	\$0.00	\$1,764,000.00	0

Discretionary Allocations

This application does not contain discretionary allocations.

Part 3: Project Information

Project Title: 5311 CARES Act Operating, Intercity Operating, & Appalachian Operating

Project Number	Temporary Project Number	Date Created	Start Date	End Date
KY-2020-011-01-01	1003-2020-1-P1	7/10/2020	3/5/2019	10/31/2021

Project Description

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See Attached POP and STIP.

See attached POP for Subrecipient Names, Amounts by ALI Code and Scope, and replacement vehicle information.

KYTC/OTD is a designated recipient of the Section 5311 funds.

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KYTC/OTD is a designated recipient of the Section 5311 funds.
Please see attached POP for Subrecipient Names and Amounts by ALI Code and Scope.

The Operational Expenses requested in this application were determined by subrecipients' requests that were based on current & past expenditures and projected revenues & expenses due to COVID-19. The KYTC/OTD understands that the expenses requested in this application are subject to review as part of the agency's next State Management Review.

Project Benefits

These rural transit agencies will provide transportation services statewide to the general public in the rural areas of Kentucky, including Appalachian Counties. Services will include transportation to jobs, medical/mental health appointments (including cancer treatment and dialysis), Veterans, shopping, etc. Intercity Bus services support the connection between non-urbanized areas and the larger regional/national system of intercity bus services.

Per the US Census, the poverty rate in Kentucky is 16.9% which means that approximately 1 out of every 6 residents live in poverty. Higher than the national poverty rate of 11.8%, Kentucky ranks 47th in the nation. Due to COVID-19, many residents of Kentucky are now unemployed and need access to reliable transportation for medical care, groceries, prescriptions, and other necessities.

Transit Agencies, in Kentucky, serve a large portion of the Appalachian Region. 54 of Kentucky's 120 Counties are in the Appalachian Region. According to the last Poverty Report, published by the Appalachian Regional Commission (ARC), the poverty rate across the combined Appalachian Region (Alabama, Kentucky, Tennessee, Virginia, and West Virginia) was found to be 19.7%. However, this Report found that the state with the worst poverty rate in the Appalachian Region is Kentucky with a 25.4% rate in the Appalachian portion versus the rest of the state. The county in Kentucky with the highest poverty rate is Owsley County, an Appalachian County, with a poverty rate of 39.2%. Poverty is not based on unemployment numbers alone. One of the main factors found, in this Report, in regards to poverty in the Appalachian Region was that the employed population make significantly lower amounts of money than the rest of the United States. The people in this Region need adequate, affordable public transportation to survive.

Additional Information

None provided.

Location Description

The Kentucky Transportation Cabinet/Office of Transportation Delivery (KYTC/OTD), the Designated

Recipient, is applying for the FFY 2020 Section 5311 CARES Act funds on behalf of Kentucky Rural Areas Statewide. This includes the following congressional districts: KY-001, KY-002, KY-004, KY-005, and KY-006. All congressional districts are represented, with the exception of KY-003, as it encompasses an urbanized area only.

Project Location (Urbanized Areas)

UZA Code	Area Name
210000	Kentucky

Congressional District Information

State	District	Representative
Kentucky	1	Ed Whitfield
Kentucky	2	Brett Guthrie
Kentucky	4	Thomas Massie
Kentucky	5	Harold Rogers
Kentucky	6	Andy Barr

Program Plan Information

STIP/TIP

Date: 9/26/2016

Description: STIP Federally Approved on 9/26/2016

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$27,543,278
5311 Appalachian Dev Formula (CARES Act)	5311-6	20509	\$1,764,000
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Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0

Total Eligible Cost**\$29,307,278****Project Budget**

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
KY-2020-011-01-01	111-00 (111-A4) BUS - ROLLING STOCK	\$3,030,763.00	\$0.00	\$3,030,763.00	68
KY-2020-011-01-01	11.12.02 BUY REPLACEMENT 35-FT BUS	\$332,912.00	\$0.00	\$332,912.00	4
KY-2020-011-01-01	11.12.15 BUY REPLACEMENT VAN	\$1,786,110.00	\$0.00	\$1,786,110.00	41
KY-2020-011-01-01	11.13.15 BUY VAN FOR SVC EXPANSION	\$911,741.00	\$0.00	\$911,741.00	23
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KY-2020-011-01-01	11.42.06 ACQUIRE - SHOP EQUIPMENT	\$84,350.00	\$0.00	\$84,350.00	47
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KY-2020-011-01-01	11.42.08 ACQUIRE - ADP SOFTWARE	\$350,000.00	\$0.00	\$350,000.00	2
KY-2020-011-01-01	11.42.09 ACQUIRE - MOBILE SURV/SECURITY EQUIP	\$486,240.00	\$0.00	\$486,240.00	119
KY-2020-011-01-01	11.42.20 ACQUIRE - MISC SUPPORT EQUIPMENT	\$245,871.00	\$0.00	\$245,871.00	597
KY-2020-011-01-01	11.44.01 REHAB/RENOVATE - ADMINISTRATIVE FACILITY	\$36,000.00	\$0.00	\$36,000.00	1
KY-2020-011-01-01	116-00 (116-A6) SIGNAL & COMM EQUIPMENT (BUS)	\$205,000.00	\$0.00	\$205,000.00	2
KY-2020-011-01-01	11.62.20 PURCHASE MISC COMMUNICATIONS EQUIP	\$205,000.00	\$0.00	\$205,000.00	2
KY-2020-011-01-01	300-00 OPERATING ASSISTANCE	\$20,050,235.00	\$0.00	\$20,050,235.00	0

	(300-A3)						
KY-2020-011-01-01		30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$20,050,235.00	\$0.00	\$20,050,235.00	0
KY-2020-011-01-01	634-00 (634-A1)		INTERCITY BUS TRANSPORTATION	\$2,797,418.00	\$0.00	\$2,797,418.00	17
KY-2020-011-01-01		11.12.15	BUY REPLACEMENT VAN	\$39,374.00	\$0.00	\$39,374.00	1
KY-2020-011-01-01		11.13.15	BUY VAN FOR SVC EXPANSION	\$834,910.00	\$0.00	\$834,910.00	10
KY-2020-011-01-01		11.42.09	ACQUIRE - MOBILE SURV/SECURITY EQUIP	\$19,200.00	\$0.00	\$19,200.00	6
KY-2020-011-01-01		30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share (CARE Act)	\$1,903,934.00	\$0.00	\$1,903,934.00	0
KY-2020-011-01-01	648-00 (648-A2)		APPALACHIAN DEV PUB TRANSIT ASSIST	\$1,764,000.00	\$0.00	\$1,764,000.00	0
KY-2020-011-01-01		30.09.01	Appalachian Operating (CARES Act) 100% Federal Share	\$1,764,000.00	\$0.00	\$1,764,000.00	0

Project Budget Activity Line Items

Budget Activity Line Item: 11.42.20 - ACQUIRE - MISC SUPPORT EQUIPMENT

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS: SUPPORT EQUIP AND FACILITIES (114-00)	11.42.20	ACQUIRE - MISC SUPPORT EQUIPMENT	ACQUISITION OF BUS SUPPORT EQUIP/FACILITIES	597

Extended Budget Description

Amendment #1 – July 10, 2020

Add this ALI Code with a \$245,871 Federal Share. Added quantity of 597. See attached POP for Subrecipient Names and Amounts by ALI Code & Scope.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$245,871
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$245,871

Milestone Name	Est. Completion Date	Description
RFP/IFB Issue Date	10/1/2020	Estimated RFP/IFB Issue Date
Contract Award Date	4/30/2021	Estimated Contract Award Date
Contract Completion Date	10/31/2021	Estimated Contract Completion Date

Budget Activity Line Item: 11.62.20 - PURCHASE MISC COMMUNICATIONS EQUIP

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
SIGNAL & COMM EQUIPMENT (BUS) (116-00)	11.62.20	PURCHASE MISC COMMUNICATIONS EQUIP	AQUISITION 2	

Extended Budget Description

Amendment #1 – July 10, 2020

Add this ALI Code with a \$205,000 Federal Share. Added quantity of 2. See attached POP for Subrecipient Names and Amounts by ALI Code & Scope.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$205,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0

Total Eligible Cost	\$205,000
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Milestone Name	Est. Completion Date	Description
RFP/IFB Issue Date	10/1/2020	Estimated RFP/IFB Issue Date
Contract Award Date	4/30/2021	Estimated Contract Award Date
Contract Completion Date	10/31/2021	Estimated Contract Completion Date

Budget Activity Line Item: 11.12.15 - BUY REPLACEMENT VAN

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
INTERCITY BUS TRANSPORTATION (634-00)	11.12.15	BUY REPLACEMENT VAN	BUY REPLACEMENTS - CAPITAL BUS	1

Extended Budget Description

Amendment #1 – July 10, 2020

Add this ALI Code with a \$39,374 Federal Share. Added quantity of 1. See attached POP for Subrecipient Names and Amounts by ALI Code & Scope.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$39,374
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$39,374

Milestone Name	Est. Completion Date	Description
RFP/IFB Issue Date	3/5/2019	Joint Bid conducted through KPTA (Bid #8). IFB issued/advertised on 3/5/2019.
Contract Award Date	5/14/2019	Contract awarded under joint bid via KPTA Bid 8 on May 14, 2019.
Initial Delivery Date	12/31/2020	Estimated Initial Delivery Date
Final Delivery Date	6/30/2021	Estimated Final Delivery Date

Contract Completion Date	9/30/2021	Estimated Contract Completion
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Budget Activity Line Item: 11.13.15 - BUY VAN FOR SVC EXPANSION

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
INTERCITY BUS TRANSPORTATION (634-00)	11.13.15	BUY VAN FOR SVC EXPANSION	BUY EXPANSION - CAPITAL BUS	10

Extended Budget Description

Amendment #1 – July 10, 2020
 Add this ALI Code with a \$834,910 Federal Share. Added quantity of 10. See attached POP for Subrecipient Names and Amounts by ALI Code & Scope.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$834,910
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$834,910

Milestone Name	Est. Completion Date	Description
RFP/IFB Issue Date	3/5/2019	Joint Bid conducted through KPTA (Bid #8). IFB issued/advertised on 3/5/2019.
Contract Award Date	5/14/2019	Contract awarded under joint bid via KPTA Bid 8 on May 14, 2019.
Initial Delivery Date	12/31/2020	Estimated Initial Delivery Date
Final Delivery Date	6/30/2021	Estimated Final Delivery Date
Contract Completion Date	9/30/2021	Estimated Contract Completion Date

Budget Activity Line Item: 11.42.09 - ACQUIRE - MOBILE SURV/SECURITY EQUIP

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
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INTERCITY BUS TRANSPORTATION (634- 00)	11.42.09	ACQUIRE - MOBILE SURV/SECURITY EQUIP	ACQUISITION OF BUS SUPPORT EQUIP/FACILITIES	6
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Extended Budget Description

Amendment #1 – July 10, 2020

Add this ALI Code with a \$19,200 Federal Share. Added quantity of 6. See attached POP for Subrecipient Names and Amounts by ALI Code & Scope.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$19,200
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$19,200

Milestone Name	Est. Completion Date	Description
RFP/IFB Issue Date	10/1/2020	Estimated RFP/IFB Issue Date
Contract Award Date	4/30/2021	Estimated Contract Award Date
Contract Completion Date	10/31/2021	Estimated Contract Completion Date

Budget Activity Line Item: 11.42.08 - ACQUIRE - ADP SOFTWARE

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS: SUPPORT EQUIP AND FACILITIES (114-00)	11.42.08	ACQUIRE - ADP SOFTWARE	ACQUISITION OF BUS SUPPORT EQUIP/FACILITIES	2

Extended Budget Description

Amendment #1 – July 10, 2020

Add this ALI Code with a \$350,000 Federal Share. Added quantity of 2. See attached POP for Subrecipient Names and Amounts by ALI Code & Scope.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$350,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$350,000

Milestone Name	Est. Completion Date	Description
RFP/IFB Issue Date	10/1/2020	Estimated RFP/IFB Issue Date
Estimated Contract Completion Date	10/31/2020	Estimated Contract Completion Date
Contract Award Date	4/30/2021	Estimated Award Date

Budget Activity Line Item: 11.42.09 - ACQUIRE - MOBILE SURV/SECURITY EQUIP

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS: SUPPORT EQUIP AND FACILITIES (114-00)	11.42.09	ACQUIRE - MOBILE SURV/SECURITY EQUIP	ACQUISITION OF BUS SUPPORT EQUIP/FACILITIES	119

Extended Budget Description

Amendment #1 – July 10, 2020

Add this ALI Code with a \$486,240 Federal Share. Added quantity of 119. See attached POP for Subrecipient Names and Amounts by ALI Code & Scope.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$486,240
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0

Total Eligible Cost	\$486,240
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Milestone Name	Est. Completion Date	Description
RFP/IFB Issue Date	10/1/2020	Estimated RFP/IFB Issue Date
Contract Award Date	4/30/2021	Estimated Contract Award Date
Contract Completion Date	10/31/2021	Estimated Contract Completion Date

Budget Activity Line Item: 11.44.01 - REHAB/RENOVATE - ADMINISTRATIVE FACILITY

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS: SUPPORT EQUIP AND FACILITIES (114-00)	11.44.01	REHAB/RENOVATE - ADMINISTRATIVE FACILITY	REHAB/RENOVATE BUS SUPPORT FACIL/EQUIP	1

Extended Budget Description

Amendment #1 – July 10, 2020

Add this ALI Code with a \$36,000 Federal Share. Added quantity of 1. See attached POP for Subrecipient Names and Amounts by ALI Code & Scope.

302 Eastwood Drive Fulton, KY and owned by Fulton County Transit Authority (was, previously, constructed with FTA funds). The current facility is 12 years old. Renovation will include: replace carpet, throughout administrative building, with tile flooring.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$36,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$36,000

Milestone Name	Est. Completion Date	Description
RFP/IFB Issue Date	10/1/2020	Estimated RFP/IFB Issue Date
Contract Award Date	4/30/2021	Estimated Contract Award Date

Contract Completion Date	10/31/2021	Estimated Contract Completion Date
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Budget Activity Line Item: 11.12.02 - BUY REPLACEMENT 35-FT BUS

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS - ROLLING STOCK (111-00)	11.12.02	BUY REPLACEMENT 35-FT BUS	BUY REPLACEMENTS - CAPITAL BUS	4

Extended Budget Description

Amendment #1 – July 10, 2020
 Add this ALI Code with a \$332,912 Federal Share. Added quantity of 4. See attached POP for Subrecipient Names and Amounts by ALI Code & Scope.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Propulsion	Fuel Type	Vehicle Condition	Vehicle Size (ft.)
N/A	Gasoline	New	35 Ft Bus

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$332,912
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$332,912

Milestone Name	Est. Completion Date	Description
RFP/IFB Issue Date	3/5/2019	Joint Bid conducted through KPTA (Bid #8). IFB issued/advertised on 3/5/2019.
Contract Award Date	5/13/2019	Contract awarded under joint bid via KPTA Bid 8 on May 13, 2019.
Initial Delivery Date	3/31/2021	Estimated Initial Delivery Date
Final Delivery Date	6/30/2021	Estimated Final Delivery Date
Contract Completion Date	9/30/2021	Estimated Contract Completion

Budget Activity Line Item: 11.12.15 - BUY REPLACEMENT VAN

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS - ROLLING STOCK (111-00)	11.12.15	BUY REPLACEMENT VAN	BUY REPLACEMENTS - CAPITAL BUS	41

Extended Budget Description

Amendment #1 – July 10, 2020

Add this ALI Code with a \$1,786,110 Federal Share. Added quantity of 41. See attached POP for Subrecipient Names and Amounts by ALI Code & Scope.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Propulsion	Fuel Type	Vehicle Condition	Vehicle Size (ft.)
N/A	Gasoline	New	Van

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$1,786,110
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$1,786,110

Milestone Name	Est. Completion Date	Description
RFP/IFB Issue Date	3/5/2019	Joint Bid conducted through KPTA (Bid #8). IFB issued/advertised on 3/5/2019.
Contract Award Date	5/14/2019	Contract awarded under joint bid via KPTA Bid 8 on May 14, 2019.
Initial Delivery Date	12/31/2020	Estimated Initial Delivery Date
Final Delivery Date	6/30/2021	Estimated Final Delivery Date
Contract Completion Date	9/30/2021	Estimated Contract Completion

Budget Activity Line Item: 11.13.15 - BUY VAN FOR SVC EXPANSION

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS - ROLLING STOCK	11.13.15	BUY VAN FOR SVC	BUY EXPANSION -	23

(111-00)

EXPANSION

CAPITAL BUS

Extended Budget Description

Amendment #1 – July 10, 2020

Add this ALI Code with a \$911,741 Federal Share. Added quantity of 23. See attached POP for Subrecipient Names and Amounts by ALI Code & Scope.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Propulsion	Fuel Type	Vehicle Condition	Vehicle Size (ft.)
N/A	Gasoline	New	Van

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$911,741
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$911,741

Milestone Name	Est. Completion Date	Description
RFP/IFB Issue Date	3/5/2019	Joint Bid conducted through KPTA (Bid #8). IFB issued/advertised on 3/5/2019.
Contract Award Date	5/14/2019	Contract awarded under joint bid via KPTA Bid 8 on May 14, 2019.
Initial Delivery Date	12/31/2020	Estimated Initial Delivery Date
Final Delivery Date	6/30/2021	Estimated Final Delivery Date
Contract Completion Date	9/30/2021	Estimated Contract Completion Date

Budget Activity Line Item: 11.42.06 - ACQUIRE - SHOP EQUIPMENT

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS: SUPPORT EQUIP AND FACILITIES (114-00)	11.42.06	ACQUIRE - SHOP EQUIPMENT	ACQUISITION OF BUS SUPPORT EQUIP/FACILITIES	47

Extended Budget Description

Amendment #1 – July 10, 2020

Add this ALI Code with an \$84,350 Federal Share. Added quantity of 47. See attached POP for

Subrecipient Names and Amounts by ALI Code & Scope.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$84,350
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$84,350

Milestone Name	Est. Completion Date	Description
RFP/IFB Issue Date	10/1/2020	Estimated RFP/IFB Issue Date
Contract Award Date	6/30/2021	Estimated Contract Award Date
Contract Completion Date	10/31/2021	Estimated Contract Completion Date

Budget Activity Line Item: 11.42.07 - ACQUIRE - ADP HARDWARE

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS: SUPPORT EQUIP AND FACILITIES (114-00)	11.42.07	ACQUIRE - ADP HARDWARE	ACQUISITION OF BUS SUPPORT EQUIP/FACILITIES	412

Extended Budget Description

Amendment #1 – July 10, 2020

Add this ALI Code with a \$257,401 Federal Share. Added quantity of 412. See attached POP for Subrecipient Names and Amounts by ALI Code & Scope.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$257,401
Local			\$0
Local/In-Kind			\$0
State			\$0

State/In-Kind		\$0
Other Federal		\$0
Transportation Development Credit		\$0
Adjustment		\$0
Total Eligible Cost		\$257,401

Milestone Name	Est. Completion Date	Description
RFP/IFB Issue Date	10/1/2020	Estimated RFP/IFB Issue Date
Contract Award Date	4/30/2021	Estimated Contract Award Date
Contract Completion Date	10/31/2021	Estimated Contract Completion Date

Budget Activity Line Item: 30.09.08 - EMER RELIEF - OPERATING ASSIST - 100% Fed Share (CARE Act)

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
INTERCITY BUS TRANSPORTATION (634-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	OPERATING ASSISTANCE	0

Extended Budget Description

Amendment #1 – July 10, 2020

Add \$354,418 Federal Share for a Revised Federal Share Total of \$1,903,934. See attached POP for Subrecipient Names and Amounts by ALI Code & Scope.

Currently, only \$2,797,418 (9.64%) of the 5311 CARES Act grant has been programmed for Intercity. Although 15% (\$8,821,268) of the total Apportionment has not yet been fully designated for Intercity, when the remaining apportionment balance is applied for, it will include at least another \$6,023,850 for Intercity. This will meet the requirement of 15% of the total 5311 CARES Act Apportionment to be spent on Intercity.

Original Application

Intercity Operating funds will cover expenses for existing service incurred beginning 2/1/2020-6/30/2021, including but not limited to: salaries, fuel, office supplies, cleaning supplies, personal protective equipment, insurance, vehicle and/or facility maintenance, advertising, legal fees, etc. See attached POP for Subrecipient Names and Amounts by ALI Code & Scope,

Currently only \$1,549,516 (6.82%) of the 5311 CARES Act grant has been programmed for Intercity. Although 15% (\$8,821,268) of the total Apportionment has not yet been fully designated for Intercity, when the remaining apportionment balance is applied for, it will include at least another \$7,271,752 for Intercity. This will meet the requirement of 15% of the total 5311 CARES Act Apportionment to be spent on Intercity.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$1,903,934
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$1,903,934

Milestone Name	Est. Completion Date	Description
Start Date	2/1/2020	
End Date	6/30/2021	

Budget Activity Line Item: 30.09.01 - Appalachian Operating (CARES Act) 100% Federal Share

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
APPALACHIAN DEV PUB TRANSIT ASSIST (648-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE - APPALACHIAN	0

Extended Budget Description

Appalachian Operating funds will cover expenses for existing service incurred beginning 2/1/2020-6/30/2021, including but not limited to: salaries, fuel, office supplies, cleaning supplies, personal protective equipment, insurance, vehicle and/or facility maintenance, advertising, legal fees, etc. See attached POP for Subrecipient Names and Amounts by ALI Code & Scope,

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 Appalachian Dev Formula (CARES Act)	5311-6	20509	\$1,764,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$1,764,000

Milestone Name	Est. Completion Date	Description
Start Date	2/1/2020	
End Date	6/30/2021	

Budget Activity Line Item: 30.09.08 - EMER RELIEF - OPERATING ASSIST - 100% Fed Share

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OPERATING ASSISTANCE (300-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	OPERATING ASSISTANCE	0

Extended Budget Description

Amendment #1 – July 10, 2020

Add \$469,650 Federal Share for a Revised Federal Share Total of \$20,050,235. See attached POP for Subrecipient Names and Amounts by ALI Code & Scope.

Original Application

Operating funds will cover expenses for existing service incurred beginning 2/1/2020-6/30/2021, including but not limited to: salaries, fuel, office supplies, cleaning supplies, personal protective equipment, insurance, vehicle and/or facility maintenance, advertising, legal fees, etc. See attached POP for Subrecipient Names and Amounts by ALI Code & Scope.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$20,050,235
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$20,050,235

Milestone Name	Est. Completion Date	Description
Start Date	2/1/2020	
End Date	6/30/2021	

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
INTERCITY BUS TRANSPORTATION (634-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share (CARE Act)	0	\$1,903,934.00	\$1,903,934.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
APPALACHIAN DEV PUB TRANSIT ASSIST (648-00)	30.09.01	Appalachian Operating (CARES Act) 100% Federal Share	0	\$1,764,000.00	\$1,764,000.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OPERATING ASSISTANCE (300-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	0	\$20,050,235.00	\$20,050,235.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
BUS - ROLLING STOCK (111-00)	11.12.02	BUY REPLACEMENT 35-FT BUS	4	\$332,912.00	\$332,912.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
BUS - ROLLING STOCK (111-00)	11.12.15	BUY REPLACEMENT VAN	41	\$1,786,110.00	\$1,786,110.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
BUS - ROLLING STOCK (111-00)	11.13.15	BUY VAN FOR SVC EXPANSION	23	\$911,741.00	\$911,741.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or

equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date	Description
	Class IIc CE Approved

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
BUS: SUPPORT EQUIP AND FACILITIES (114-00)	11.42.06	ACQUIRE - SHOP EQUIPMENT	47	\$84,350.00	\$84,350.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date	Description
	Class IIc CE Approved

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
BUS: SUPPORT EQUIP AND FACILITIES (114-00)	11.42.07	ACQUIRE - ADP HARDWARE	412	\$257,401.00	\$257,401.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
BUS: SUPPORT EQUIP AND FACILITIES (114-00)	11.42.08	ACQUIRE - ADP SOFTWARE	2	\$350,000.00	\$350,000.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
BUS: SUPPORT EQUIP AND FACILITIES (114-00)	11.42.09	ACQUIRE - MOBILE SURV/SECURITY EQUIP	119	\$486,240.00	\$486,240.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 08: Maintenance, rehabilitation, and reconstruction of facilities that occupy substantially the same geographic footprint and do not result in a change in functional use, such as: improvements to bridges, tunnels, storage yards, buildings, stations, and terminals; construction of platform extensions, passing track, and retaining walls; and improvements to tracks and railbeds.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
BUS: SUPPORT EQUIP AND FACILITIES (114-00)	11.44.01	REHAB/RENOVATE - ADMINISTRATIVE FACILITY	1	\$36,000.00	\$36,000.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date	Description	Date
	Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
SIGNAL & COMM EQUIPMENT (BUS) (116-00)	11.62.20	PURCHASE MISC COMMUNICATIONS EQUIP	2	\$205,000.00	\$205,000.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date	Description	Date
	Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
INTERCITY BUS TRANSPORTATION (634- 00)	11.12.15	BUY REPLACEMENT VAN	1	\$39,374.00	\$39,374.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
INTERCITY BUS TRANSPORTATION (634- 00)	11.13.15	BUY VAN FOR SVC EXPANSION	10	\$834,910.00	\$834,910.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible
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				Cost	
INTERCITY BUS TRANSPORTATION (634-00)	11.42.09	ACQUIRE - MOBILE SURV/SECURITY EQUIP	6	\$19,200.00	\$19,200.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
BUS: SUPPORT EQUIP AND FACILITIES (114- 00)	11.42.20	ACQUIRE - MISC SUPPORT EQUIPMENT	597	\$245,871.00	\$245,871.00

Part 4: Fleet Details

No fleet data exists for this application.

Part 5: FTA Review Comments

FTA Comments for DOL

Comment By Holly Liles

Comment Type	DOL Review for Information
Date	7/27/2020
Comment	This is CARES act application for 5311 funding. 5311 goes to DOL for information only.

Application Review Comments

Comment By Holly Liles

Comment Type	Application Details
Date	7/14/2020

For all ALI's with a contract, there should be at minimum 3 ALI's; I only see two throughout the application (exception is vehicles which have five milestones and seem accurate).

Comment

For ALI 11.44.30, was NEPA completed? If so, please attach the approval. If not, please fill out the checklist on our website and send it to FTA for review.

Application Review Comments

Comment By Holly Liles

Comment Type FTA Post Review Comments for Grantee

Date 7/14/2020

Comment Please address comments

Comment By Holly Liles

Comment Type FTA Post Review Comments for Grantee

Date 7/21/2020

Comment

If you are able, please correct amendment Amendment Remarks language:

Amendment #1 - July 10, 2020

This amendment is to increase award funding with a portion of FFY20 Section 5311 CARES Act funds in the amount of \$7,879,756. This amendment is for operating, intercity, and capital funds for replacement vehicles, expansion vehicles, computer hardware/software, shop equipment, office furniture, phone systems, security cameras/alarm systems, cleaning/hygiene supplies, fencing, and a Facility Renovation.

The \$ amount is incorrect. I am unable to change this. Thank you.

Part 6: Agreement

**UNITED STATES OF AMERICA
DEPARTMENT OF TRANSPORTATION
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT
(FTA G-26, October 1, 2019)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(26), October 1, 2019, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

FTA AWARD

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

Recipient Information

Recipient Name: Transportation Cabinet, Kentucky

Recipient ID: 1003

DUNS No: 927328732

Application Information

Federal Award Identification Number: KY-2020-011-01

Application Name: KYTC/OTD 5311 CARES ACT Operating; Rural Kentucky Statewide

Application Start Date: 5/4/2020

Original Award End Date: 3/30/2023

Current Award End Date: 3/30/2023

Application Executive Summary: Amendment #1 – July 17, 2020

This is an Amendment for FFY 2020 Section 5311 CARES Act funds in the amount of \$6,413,177 (100% Federal Share). This application utilizes CARES Act funding to help respond to and recover from the COVID-19 pandemic. Per the CARES Act, the grant requests 100% Federal Share. The application scope of work includes \$469,650 in Operating Assistance, \$354,418 in Intercity Operating Assistance, \$4,695,625 in Capital Assistance, and \$893,484 in Intercity Capital Assistance for rural transit Agencies statewide in Kentucky.

The total 5311 and 5340 apportionment is \$58,808,456, and there is a current balance of \$37,678,355. This Amendment decreases the total balance to \$31,265,178.

Total Revised Federal Share: \$29,307,278.

Currently, only \$2,797,418 (10.16%) of the 5311 CARES Act grant has been programmed for Intercity. Although 15% (\$8,821,268) of the total Apportionment has not yet been fully designated for Intercity, when the remaining apportionment balance is applied for, it will include at least another \$6,023,850 for Intercity. This will meet the requirement of 15% of the total 5311 CARES Act Apportionment to be spent on Intercity.

See Attached POP and STIP.

See attached POP for Subrecipient Names, Amounts by ALI Code and Scope, and replacement vehicle information.

KYTC will not use indirect funds. Some subrecipients will claim indirect costs. This is not a Research and Development Grant.

The Office of Transportation Delivery (OTD) has neither applied for nor received funding from FEMA or any other Federal Agency. The Recipient agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.

No projects in this application lead to a functional, location, or capacity change. Per the CARES Act, the project(s) in this application is not required to be programmed in the Long-Range Transportation Plan or Statewide Transportation Improvement Program.

KYTC/OTD is a designated recipient of the Section 5311 funds.

The Operational Expenses requested in this application were determined by subrecipients' requests that were based on current & past expenditures and projected revenues & expenses due to COVID-19. The KYTC/OTD understands that the expenses requested in this application are subject to review as part of the agency's next State Management Review.

No insurance claims have been filed, and no insurance proceeds have been received.

KYTC/OTD will follow all 3rd party procurement policies as defined in C4220.1F (Third Party Contracting Guidance).

KYTC/OTD will require subrecipients to have Independent Cost Estimates and a Price/Cost Analysis on file for these projects, to ensure contractors procured will not be on the FTA Debarment and Suspension List, & to follow all 3rd party procurement policies as defined in C 4220.1F.

KYTC/OTD will ensure contractors procured will not be on the FTA Suspension and Debarment list.

KYTC/OTD will hold liens on the vehicles. Subrecipients are required to have insurance, oversee maintenance, and generate reports on the vehicles. All subrecipients will follow useful life standards as defined in the Kentucky State Management Plan.

KYTC/OTD will ensure that all vehicles are purchased from FTA approved TVM and reported to FTA within 30 days after contract is signed.

KYTC/OTD will check the current listing of Transit Vehicle Manufacturers (TVM) (<https://www.transit.dot.gov/regulations-and-guidance/civil-rights-ada/eligible-tvms-list>) to ensure each is listed as a certified TVM in compliance with FTA regulations.

Start date is Date of Award. End date is 03/30/2024.

Original Application

This is an FFY 2020 Section 5311 CARES Act application in the amount of \$22,894,101 (100% Federal Share)), this application utilizes CARES Act funding to help respond to and recover from the COVID-19 pandemic. Per the CARES Act, the grant requests 100% Federal Share. The application scope of work includes \$19,580,585 in Operating Assistance, \$1,549,516 in Intercity Operating Assistance, and \$1,764,000 in Appalachian Operating Assistance for rural transit Agencies statewide in Kentucky. Please see attached POP for details.

The total 5311 and 5340 apportionment is \$58,808,456, so this application leaves a balance of \$37,678,355 which will be requested in future grants or amendments. The Appalachian apportionment is \$1,764,000, so this application leaves a balance of \$0.

Currently only \$1,549,516 (6.77%) of the 5311 CARES Act grant has been programmed for Intercity. Although 15% (\$8,821,268) of the total Apportionment has not yet been fully designated for Intercity, when the remaining apportionment balance is applied for, it will include at least another \$7,271,752 for Intercity. This will meet the requirement of 15% of the total 5311 CARES Act Apportionment to be spent on Intercity.

The Office of Transportation Delivery (OTD) has neither applied for nor received funding from FEMA or any other Federal Agency. The Recipient agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.

No projects in this application lead to a functional, location, or capacity change. Per the CARES Act, the project(s) in this application is not required to be programmed in the Long-Range Transportation Plan or Statewide Transportation Improvement Program.

KYTC/OTD is a designated recipient of the Section 5311 funds.

The Operational Expenses requested in this application were determined by subrecipients' requests that were based on current & past expenditures and projected revenues & expenses due to COVID-19. The KYTC/OTD understands that the expenses requested in this application are subject to review as part of the agency's next State Management Review.

No insurance claims have been filed, and no insurance proceeds have been received.

KYTC/OTD will follow all 3rd party procurement policies as defined in C4220.1F (Third Party Contracting Guidance).

KYTC/OTD will ensure contractors procured will not be on the FTA Suspension and Debarment list.

Research and Development: This award does not include research and development activities.

Indirect Costs: This award does not include an indirect cost rate.

Suballocation Funds: Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Pre-Award Authority: This award is using Pre-Award Authority.

Application Budget

Total Application Budget: \$29,307,278.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$6,413,177.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$0.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$29,307,278.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$0.00

Application Budget Control Totals

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$27,543,278
5311 Appalachian Dev Formula (CARES Act)	5311-6	20509	\$1,764,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$29,307,278

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:

Original Certification Date:

Special Conditions

There are no special conditions.

FINDINGS AND DETERMINATIONS

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

FTA AWARD OF THE GRANT AGREEMENT

Awarded By:

FEDERAL TRANSIT ADMINISTRATION
U.S. DEPARTMENT OF TRANSPORTATION
Contact Info:
Award Date:

EXECUTION OF THE GRANT AGREEMENT

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
 - (a) Statements,
 - (b) Representations,
 - (c) Warranties,
 - (d) Covenants, and
 - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

Transportation Cabinet, Kentucky