

Application

Federal Award Identification Number (FAIN)	AS-2020-002-00
Temporary Application Number	2161-2020-1
Application Name	American Samoa Department of Public Works FFY20 5311 CARES Act Grant Operating Assistance
Application Status	Final Concurrence / Reservation
Application Budget Number	0

Period of Performance Start Date	N/A
Original Period of Performance End Date	5/28/2021
Current Period of Performance End Date	N/A Revision #: N/A

Part 1: Recipient Information

Name: American Samoa Government, De Partment Of Public Works

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
2161	Other Government Agency	GOVERNMENT OF AMERICAN SAMOA	854993297

Location Type	Address	City	State	Zip
Headquarters				
Physical Address	TAFUNA INDUSTRIAL PARK DPW CO	PAGO PAGO	AS	96799
Mailing Address	AMERICAN SAMOA GOVERNMENT, DEPARTMENT OF PUBLIC WORKS	PAGO PAGO	AS	96799

Union Information

There are no union contacts for this application

Part 2: Application Information

Title: American Samoa Department of Public Works FFY20 5311 CARES Act Grant Operating Assistance

FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
AS-2020-002-00	Final Concurrence / Reservation	Grant	4/28/2020	4/28/2020	No

Application Executive Summary

This application utilizes CARES Act funding to prevent, prepare for, and respond to coronavirus. This grant applies for \$1,105,059 in Section 5311 CARES Act for the American Samoa Department of Public Works to support operating costs as defined by the NTD Reporting System for the period of January 20, 2020. Funds will be drawdown once the grant is awarded. The performance time for this grant is estimated for two years with a closeout date of December 31, 2022.

The ASDPW agrees that if it receives federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.

Frequency of Milestone Progress Reports (MPR)

Annual

Frequency of Federal Financial Reports (FFR)

Annual

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Will this Grant be using Lapsing Funds?

No, this Grant does not use Lapsing Funds.

Will indirect costs be applied to this application?

This award is applying an approved Indirect cost rate(s).

Indirect Rate Details: Approved rate from the Department of Interior attached.

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Ryan	Fujii	General Engineer	ryan.fujii@dot.gov	202-748-0677
Fiatuiga	Faoa-Danielson	Program Manager	cathy.faoa-danielson@dpw.as.gov	(684)699-4257

Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$1,105,059
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$1,105,059

Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
AS-2020-002-01-00	300-00 (300-A2) OPERATING ASSISTANCE	\$994,553.00	\$0.00	\$994,553.00	1
AS-2020-002-01-00	30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$994,553.00	\$0.00	\$994,553.00	1
AS-2020-002-02-00	610-00 (610-A1) STATE / PROGRAMS ADMINISTRATION	\$110,506.00	\$0.00	\$110,506.00	1
AS-2020-002-02-00	11.80.00 STATE OR PROGRAM ADMINISTRATION	\$110,506.00	\$0.00	\$110,506.00	1

Discretionary Allocations

This application does not contain discretionary allocations.

Part 3: Project Information

Project Title: Operating Assistance
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Project Number	Temporary Project Number	Date Created	Start Date	End Date
AS-2020-002-01-00	2161-2020-1-P1	4/28/2020	1/1/2020	12/31/2022

Project Description

This project will fund the cost for personnel, Vehicle maintenance, Fuel, purchase of PPE and vehicle cleaning, and communication cost (internet, mobile phone, and Land mobile radios and landline communication cost). Project variance from previous years and NTD reported amount was used to determine requested amount.

Project Benefits

This project will support operating costs to keep Dial-a-ride running smoothly to support service to Senior citizens and individuals with disabilities.

Additional Information

None provided.

Location Description

American Samoa is about 2,575 miles (4,144 Km) south of Hawaii". It could also be said to be about 2034 miles (3274 km) north, northeast or New Zealand. American Samoa is "right in the center of the South Pacific Ocean.

Project Location (Urbanized Areas)

UZA Code	Area Name
600000	American Samoa

Congressional District Information

State	District	Representative
American Samoa	1	Amata C Radewagen

Program Plan Information

STIP/TIP

Date: 4/27/2020

Description: TIP/TTIP exemption per CARES Act for programming of operating assistance projects.

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$994,553
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$994,553

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
AS-2020-002-01-00	300-00 (300-A2) OPERATING ASSISTANCE	\$994,553.00	\$0.00	\$994,553.00	1
AS-2020-002-01-00	30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$994,553.00	\$0.00	\$994,553.00	1

Project Budget Activity Line Items

Budget Activity Line Item: 30.09.08 - EMER RELIEF - OPERATING ASSIST - 100% Fed Share

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OPERATING ASSISTANCE (300-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	OPERATING ASSISTANCE	1

Extended Budget Description

Operating Assistance from CARES Act at 100% federal share.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$994,553
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$994,553

Milestone Name	Est. Completion Date	Description
Start Date	1/1/2020	To cover cost associated with Covid-19 measures and eligible cost under the 5311 programs.
End Date	12/31/2022	The grant period is projected for a two-year span. If expended sooner revisions will be made.

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	4/29/2020

Project Title: Program Administration

Project Number	Temporary Project Number	Date Created	Start Date	End Date
AS-2020-002-02-00	2161-2020-1-P2	4/29/2020	1/1/2020	12/31/2022

Project Description

This project will cover the cost to successfully manage the grant. Cost for the Program Manager's salary as well as the cost for office overhead cost, supplies, and communications. Budget variance from previous years was used to determine requested Program administration amounts.

Project Benefits

The proper management of this grant will benefit the riders utilizing the Dial-a-ride service. Having safely maintained vehicles, equipped mechanics, and drivers contribute to the success of the program.

Additional Information

None provided.

Location Description

American Samoa is about 2,575 miles (4,144 Km) south of Hawaii" and about 2034 miles (3274 km) north, northeast of New Zealand.

Project Location (Urbanized Areas)

UZA Code	Area Name
600000	American Samoa

Congressional District Information

State	District	Representative
American Samoa	1	Amata C Radewagen

Program Plan Information

STIP/TIP

Date: Not Provided

Description: TIP/TTIP exemption per CARES Act for programming of operating assistance projects.

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
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5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$110,506
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$110,506

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
AS-2020-002-02-00	610-00 (610-A1) STATE / PROGRAMS ADMINISTRATION	\$110,506.00	\$0.00	\$110,506.00	1
AS-2020-002-02-00	11.80.00 STATE OR PROGRAM ADMINISTRATION	\$110,506.00	\$0.00	\$110,506.00	1

Project Budget Activity Line Items

Budget Activity Line Item: 11.80.00 - STATE OR PROGRAM ADMINISTRATION

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
STATE / PROGRAMS ADMINISTRATION (610-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	STATE / PROGRAM ADMINISTRATION	1

Extended Budget Description

Operating Assistance from CARES Act at 100% federal share. The indirect cost rate negotiated with the Dept of Interior is 20.18%. Agreement date, May 6, 2020.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$110,506
Local			\$0

Local/In-Kind		\$0
State		\$0
State/In-Kind		\$0
Other Federal		\$0
Transportation Development Credit		\$0
Adjustment		\$0
Total Eligible Cost		\$110,506

Milestone Name	Est. Completion Date	Description
Start Date	1/1/2020	The cost associated with Covid-19 and other eligible costs associated with the administration of this grant.
End Date	12/31/2022	This grant is projected to be used for a two-year period. If expended sooner revisions will be made accordingly.

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	4/29/2020

Part 4: Fleet Details

No fleet data exists for this application.

Part 5: FTA Review Comments

FTA Comments for DOL

Comment By Ryan Fujii

Comment Type	DOL Review for Certification
Date	7/16/2020
Comment	This application is for operation an admisitrative funds

Application Review Comments

Comment By Ryan Fujii

Comment Type	FTA Post Review Comments for Grantee
Date	6/30/2020
Comment	review sample application for required elements

Comment By Ryan Fujii

Comment Type	FTA Post Review Comments for Grantee
Date	7/6/2020
Comment	Need to Attach copy of Approved Indirect Cost rate, Verify amount applied for \$1,105,000 or \$1,105,059. Check sample application for STIP/TIP data needed, verify period of performance for consistency. Check email for application mark up

Comment By Ryan Fujii

Comment Type	FTA Post Review Comments for Grantee
Date	7/10/2020
Comment	Based on discussion adjust periods of performance to 12/31/22

Part 6: Agreement

**UNITED STATES OF AMERICA
DEPARTMENT OF TRANSPORTATION
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT
(FTA G-26, October 1, 2019)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(26), October 1, 2019, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

FTA AWARD

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

Recipient Information

Recipient Name: American Samoa Government, De Partment Of Public Works

Recipient ID: 2161

DUNS No: 854993297

Application Information

Federal Award Identification Number: AS-2020-002-00

Application Name: American Samoa Department of Public Works FFY20 5311 CARES Act Grant Operating Assistance

Application Start Date: N/A

Original Award End Date: 5/28/2021

Current Award End Date: N/A

Application Executive Summary: This application utilizes CARES Act funding to prevent, prepare for, and respond to coronavirus. This grant applies for \$1,105,059 in Section 5311 CARES Act for the American Samoa Department of Public Works to support operating costs as defined by the NTD Reporting System for the period of January 20, 2020. Funds will be drawdown once the grant is awarded. The performance time for this grant is estimated for two years with a closeout date of December 31, 2022.

The ASDPW agrees that if it receives federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.

Research and Development: This award does not include research and development activities.

Indirect Costs: This award is applying an approved Indirect cost rate(s).

Suballocation Funds: Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Pre-Award Authority: This award is using Pre-Award Authority.

Application Budget

Total Application Budget: \$1,105,059.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$1,105,059.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$0.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$1,105,059.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$0.00

Application Budget Control Totals

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$1,105,059
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$1,105,059

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:

Original Certification Date:

Special Conditions

There are no special conditions.

FINDINGS AND DETERMINATIONS

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

FTA AWARD OF THE GRANT AGREEMENT

Awarded By:

FEDERAL TRANSIT ADMINISTRATION
U.S. DEPARTMENT OF TRANSPORTATION
Contact Info:
Award Date:

EXECUTION OF THE GRANT AGREEMENT

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
 - (a) Statements,
 - (b) Representations,
 - (c) Warranties,
 - (d) Covenants, and
 - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

American Samoa Government, De Partment Of Public Works