

Application

Federal Award Identification Number (FAIN)	AK-2020-024-00
Temporary Application Number	6985-2019-1
Application Name	FY 2018-2020 Sec 5311 TTP Formula for Operations and Indirect Expenses
Application Status	Final Concurrence / Reservation
Application Budget Number	0

Period of Performance Start Date	N/A
Original Period of Performance End Date	3/1/2021
Current Period of Performance End Date	N/A Revision #: N/A

Part 1: Recipient Information

Name: Nome Eskimo Community

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
6985	Indian Tribe	NOME ESKIMO COMMUNITY	053486205

Location Type	Address	City	State	Zip
Headquarters				
Physical Address	200 W 5TH AVE	NOME	AK	99762
Mailing Address	P.O. BOX 1090	NOME	AK	99762

Union Information

There are no union contacts for this application

Part 2: Application Information

<p>Title: FY 2018-2020 Sec 5311 TTP Formula for Operations and Indirect Expenses</p>

FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
AK-2020-024-00	Final Concurrence / Reservation	Grant	9/12/2019	9/12/2019	No

Application Executive Summary

This grant includes a total of \$13,270 in Section 5311 TTP formula funding that consists of \$4,303 in FY 2018 funds; \$5,166 in FY 2019 funds, and \$3,801 in FY 2020 funds. These funds will be used for operating assistance and indirect expenses for the Nome Eskimo Community public transportation system in Nome, Alaska.

Frequency of Milestone Progress Reports (MPR)

Annual

Frequency of Federal Financial Reports (FFR)

Annual

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Will this Grant be using Lapsing Funds?

Yes, this Grant will use Lapsing Funds.

Will indirect costs be applied to this application?

This award is applying an approved Indirect cost rate(s).

Indirect Rate Details: The DOI approved the Nome Eskimo Community indirect cost rate on 7/2/2019 and a copy of the approved indirect cost rate is on file with FTA in TrAMS. The approved rate of 25.95% or the most currently approved annual rate will be applied to the applicable activity line descriptions. The current Indirect Cost Rate proposal has been submitted to DOI and is awaiting negotiation and approval.

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
	jacob.martin@necalaska.org	Tribal Resources Director		
	annette.mckelvey@dot.gov	Transportation Program Specialist		
	scot.rastelli@dot.gov	Transportation Program Specialist		

Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$13,270
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$13,270

Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
AK-2020-024-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$13,270.00	\$0.00	\$13,270.00	0
AK-2020-024-01-00	11.79.00 PROJECT ADMINISTRATION - Indirect Expenses (18-20 5311 TTP 100:0)(00)	\$3,443.00	\$0.00	\$3,443.00	0
AK-2020-024-01-00	30.09.01 UP TO 50% FEDERAL SHARE (18-20 5311 TTP 100:0)(00)	\$9,827.00	\$0.00	\$9,827.00	0

Discretionary Allocations

This application does not contain discretionary allocations.

Part 3: Project Information

Project Title: FY 2018-2020 Sec 5311 TTP Formula for Operations and Indirect Expenses
--

Project Number	Temporary Project Number	Date Created	Start Date	End Date
AK-2020-024-01-00	6985-2019-1-P1	9/12/2019	1/1/2018	12/31/2020

Project Description

This Project includes a total of \$13,270 in Section 5311 TTP formula funding that consists of \$4,303 in FY 2018 funds; \$5,166 in FY 2019 funds, and \$3,801 in FY 2020 funds. These funds will be used for operating assistance and indirect expenses for the Nome Eskimo Community public transportation system in Nome, Alaska.

Project Benefits

The general public in the Nome area will benefit from a regularly running schedule and set routes.

Additional Information

None provided.

Location Description

NEC Tribal Transit Service is provided in the city limits, occasionally making a stop to one of the grocery stores along the highway .5 miles away.

Project Location (Urbanized Areas)

UZA Code	Area Name
020000	Alaska

Congressional District Information

State	District	Representative
Alaska	1	Don Young

Program Plan Information

STIP/TIP

Date: Not Provided

Description: There is no STIP requirement for 5311 TTP Formula Funds, 9040.1G Page X-3 #10. Statewide Transportation Improvement Program (STIP) and/or Transportation Improvement Program (TIP) Requirement.

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A
 Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$13,270
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$13,270

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
AK-2020-024-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$13,270.00	\$0.00	\$13,270.00	0
AK-2020-024-01-00	11.79.00 PROJECT ADMINISTRATION - Indirect Expenses (18-20 5311 TTP 100:0)(00)	\$3,443.00	\$0.00	\$3,443.00	0
AK-2020-024-01-00	30.09.01 UP TO 50% FEDERAL SHARE (18-20 5311 TTP 100:0)(00)	\$9,827.00	\$0.00	\$9,827.00	0

Project Budget Activity Line Items

Budget Activity Line Item: 11.79.00 - PROJECT ADMINISTRATION - Indirect Expenses (18-20 5311 TTP 100:0)(00)				
Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	11.79.00	PROJECT ADMINISTRATION	OTHER CAPITAL ITEMS (BUS)	0

Extended Budget Description

This activity line item obligates a total of \$3,443 in FTA Section 5311 TTP formula funding (which consists of \$1,116 in FY2018 funds, \$1,341 in FY2019 funds, and \$986 in FY2020 funds) for indirect expenses using the most current federally approved indirect cost rate of 25.95% for the period of January 1, 2018 to December 31, 2020 to support administration of the Nome Eskimo Community tribal transportation program.

There is no STIP requirement for 5311 TTP Formula Funds, 9040.1G Page X-3 #10. Statewide Transportation Improvement Program (STIP) and/or Transportation Improvement Program (TIP) Requirement.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$3,443
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$3,443

Milestone Name	Est. Completion Date	Description
Start Indirect Expenses	1/1/2018	
End Indirect Expense	12/31/2020	

Budget Activity Line Item: 30.09.01 - UP TO 50% FEDERAL SHARE (18-20 5311 TTP 100:0)(00)

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE	0

Extended Budget Description

This activity line item obligates a total of \$9,827 in FTA Section 5311 TTP formula funding (which consists of \$3,187 in FY2018 funds, \$3,825 in FY2019 funds, and \$2,815 in FY2020 funds) for operating assistance for the period of January 1, 2018 to December 31, 2020 for regular transit operations under the Nome Eskimo Community tribal transportation program.

There is no STIP requirement for 5311 TTP Formula Funds, 9040.1G Page X-3 #10. Statewide Transportation Improvement Program (STIP) and/or Transportation Improvement Program (TIP) Requirement.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$9,827
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$9,827

Milestone Name	Est. Completion Date	Description
Start Operations	1/1/2018	
End Operations	12/31/2020	

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Part 4: Fleet Details

No fleet data exists for this application.

Part 5: FTA Review Comments

FTA Comments for DOL

Comment By Annette McKelvey

Comment Type DOL Review for Information

Date 7/15/2020

Comment The Federal Transit Administration (FTA) requests that the U.S. Department of Labor undertake all of the steps necessary to perform its certification for this grant application. FTA requests that DOL complete its referral and review within 30 days. Please advise us of any 40 U.S.C. Section 5333(b) terms and conditions to be included in the grant.

Application Review Comments

Comment By Annette McKelvey

Comment Type FTA Post Review Comments for Grantee

Date 6/24/2020

Comment Returning for budget edits, adding activity line items.

Part 6: Agreement

**UNITED STATES OF AMERICA
DEPARTMENT OF TRANSPORTATION
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT
(FTA G-25, October 1, 2018)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(25), October 1, 2018, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL

ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

FTA AWARD

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

Recipient Information

Recipient Name: Nome Eskimo Community

Recipient ID: 6985

DUNS No: 053486205

Application Information

Federal Award Identification Number: AK-2020-024-00

Application Name: FY 2018-2020 Sec 5311 TTP Formula for Operations and Indirect Expenses

Application Start Date: N/A

Original Award End Date: 3/1/2021

Current Award End Date: N/A

Application Executive Summary: This grant includes a total of \$13,270 in Section 5311 TTP formula funding that consists of \$4,303 in FY 2018 funds; \$5,166 in FY 2019 funds, and \$3,801 in FY 2020 funds. These funds will be used for operating assistance and indirect expenses for the Nome Eskimo Community public transportation system in Nome, Alaska.

Research and Development: This award does not include research and development activities.

Indirect Costs: This award is applying an approved Indirect cost rate(s).

Suballocation Funds: Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Pre-Award Authority: This award is using Pre-Award Authority.

Application Budget

Total Application Budget: \$13,270.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$13,270.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$0.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$13,270.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$0.00

Application Budget Control Totals

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$13,270
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$13,270

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:

Original Certification Date:

Special Conditions

There are no special conditions.

FINDINGS AND DETERMINATIONS

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

FTA AWARD OF THE GRANT AGREEMENT

Awarded By:

FEDERAL TRANSIT ADMINISTRATION
U.S. DEPARTMENT OF TRANSPORTATION
Contact Info:
Award Date:

EXECUTION OF THE GRANT AGREEMENT

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or

the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
 - (a) Statements,
 - (b) Representations,
 - (c) Warranties,
 - (d) Covenants, and
 - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

Nome Eskimo Community