

## Application

<b>Federal Award Identification Number (FAIN)</b>	AK-2020-023-00
<b>Temporary Application Number</b>	6985-2020-1
<b>Application Name</b>	CARES Act - FY 2020 Sec. 5311 TTP Operating Assistance and Indirect Expenses for Nome Eskimo Community
<b>Application Status</b>	Final Concurrence / Reservation
<b>Application Budget Number</b>	0

<b>Period of Performance Start Date</b>	N/A
<b>Original Period of Performance End Date</b>	3/31/2021
<b>Current Period of Performance End Date</b>	N/A Revision #: N/A

### Part 1: Recipient Information

**Name: Nome Eskimo Community**

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
6985	Indian Tribe	NOME ESKIMO COMMUNITY	053486205

Location Type	Address	City	State	Zip
Headquarters				
Physical Address	200 W 5TH AVE	NOME	AK	99762
Mailing Address	P.O. BOX 1090	NOME	AK	99762

### Union Information

There are no union contacts for this application

### Part 2: Application Information

**Title: CARES Act - FY 2020 Sec. 5311 TTP Operating Assistance and Indirect Expenses for Nome Eskimo Community**

<b>FAIN</b>	<b>Application Status</b>	<b>Application Type</b>	<b>Date Created</b>	<b>Last Updated Date</b>	<b>From TEAM?</b>
AK-2020-023-00	Final Concurrence / Reservation	Grant	4/10/2020	4/10/2020	No

**Application Executive Summary**

This grant includes \$3,497 in FY 2020 Section 5311 CARES Act funds, which is the full amount allocated to the Nome Eskimo Community. These funds will be used, at 100% federal share, for operating and indirect expenses to prevent, prepare for, and respond to the COVID-19 pandemic.

**\*\*\* Special Condition for CARES Act Grants \*\*\***

The Recipient agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.

**Frequency of Milestone Progress Reports (MPR)**

Annual

**Frequency of Federal Financial Reports (FFR)**

Annual

**Does this application include funds for research and/or development activities?**

This award does not include research and development activities.

**Pre-Award Authority**

This award is using Pre-Award Authority.

**Does this application include suballocation funds?**

Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

**Will this Grant be using Lapsing Funds?**

No, this Grant does not use Lapsing Funds.

**Will indirect costs be applied to this application?**

This award is applying an approved Indirect cost rate(s).

*Indirect Rate Details:* The DOI approved the Nome Eskimo Community indirect cost rate on 7/2/2019 and a copy of the approved indirect cost rate is on file with FTA in TrAMS. The approved rate of 25.95% or the most currently approved annual rate will be applied to the applicable activity line descriptions. The current Indirect Cost Rate proposal has been submitted to DOI and is awaiting negotiation and approval.

**Requires E.O. 12372 Review**

No, this application does not require E.O. 12372 Review.

**Delinquent Federal Debt**

No, my organization does not have delinquent federal debt.

## Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
			jacob.martin@necalaska.org	Tribal Resources Director
			annette.mckelvey@dot.gov	Transportation Program Specialist
			scot.rastelli@dot.gov	Transportation Program Specialist

## Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 Tribal formula (CARES Act)	5311-7	20509	\$3,497
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$3,497</b>

## Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
AK-2020-023-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$3,497.00	\$0.00	\$3,497.00	0
AK-2020-023-01-00	11.79.00 PROJECT ADMINISTRATION - Indirect Expenses (20 CARES Act 5311 TTP 100:0)(00)	\$907.00	\$0.00	\$907.00	0
AK-2020-023-01-00	30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share (20	\$2,590.00	\$0.00	\$2,590.00	0

CARES Act 5311  
TTP 100:0)(00)

## Discretionary Allocations

This application does not contain discretionary allocations.

## Part 3: Project Information

### Project Title: CARES Act - FY 2020 Sec. 5311 TTP Operating Assistance and Indirect Expenses for Nome Eskimo Community

Project Number	Temporary Project Number	Date Created	Start Date	End Date
AK-2020-023-01-00	6985-2020-1-P1	4/28/2020	1/20/2020	12/31/2020

#### Project Description

This project includes \$3,497 in FY 2020 Section 5311 CARES Act funds, which is the full amount allocated to the Nome Eskimo Community. These funds will be used, at 100% federal share, for operating and indirect expenses to prevent, prepare for, and respond to the COVID-19 pandemic for the Nome Eskimo Community public transportation system in Nome, Alaska.

#### Project Benefits

This funding will assist our driver(s) to protect riders and themselves and minimize risk of exposure to the coronavirus and other virus (cold and flu) and other bacteria.

#### Additional Information

*None provided.*

#### Location Description

Nome Eskimo Community (NEC) was formed in 1939 by the Nome Native population and organized under the Indian Reorganization Act (IRA) of 1934 as a Federally Recognized Tribe. The primary purpose of NEC is to design and implement programs for increased quality of life and well-being of its Tribal membership and Alaska Natives who reside in Nome. NEC is governed by a seven (7) member Tribal Council which conducts meetings on a quarterly or as needed basis.

NEC is located in Nome, Alaska. The City of Nome is an isolated rural community with a population of 3,598 people (over 54% Alaska Native; Source: 2010 U.S. Census data). Nome encompasses approximately 12.5 square miles and lies 539 air miles northwest of Anchorage and 102 air miles south of the Arctic Circle. The city and is located on the southern Seward Peninsula along the Bering Sea and Norton Sound coastline. Nome is only accessible by airplane, and large freight items and fuel are brought in the ice-free summer months by barge. Produce and groceries are flown in by air freight, and this contributes to the high cost of living for Nome residents. In addition, rising fuel and freight costs have caused the price of goods to increase drastically in recent years.

NEC currently has about 2,900 Tribal members who benefit from services. According to the US Census of 2010, there are 1,955 Alaska Natives residing in Nome, and it is estimated that around 1,500 of these are Nome Eskimo Community Tribal members. Based on past enrollment records, it is estimated that enrollment will continue to rise by about 30 enrollees each year.

## Project Location (Urbanized Areas)

UZA Code	Area Name
020000	Alaska

### Congressional District Information

State	District	Representative
Alaska	1	Don Young

### Program Plan Information

#### STIP/TIP

Date: Not Provided

Description: This Project include only operating assistance, planning and/or capital activities with no substantial functional, location, or capacity change. Per CARES Act requirements, no Statewide Transportation Improvement Program (STIP) or Transportation Improvement Plan (TIP) documentation is needed.

#### UPWP

Date: N/A

Description: N/A

#### Long Range Plan

Date: N/A

Description: N/A

### Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 Tribal formula (CARES Act)	5311-7	20509	\$3,497
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$3,497</b>

### Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
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AK-2020-023-01-00	600-00 (600-A1)	OTHER PROGRAM COSTS	\$3,497.00	\$0.00	\$3,497.00	0
AK-2020-023-01-00	11.79.00	PROJECT ADMINISTRATION - Indirect Expenses (20 CARES Act 5311 TTP 100:0)(00)	\$907.00	\$0.00	\$907.00	0
AK-2020-023-01-00	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share (20 CARES Act 5311 TTP 100:0)(00)	\$2,590.00	\$0.00	\$2,590.00	0

### Project Budget Activity Line Items

**Budget Activity Line Item: 11.79.00 - PROJECT ADMINISTRATION - Indirect Expenses (20 CARES Act 5311 TTP 100:0)(00)**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	11.79.00	PROJECT ADMINISTRATION	OTHER CAPITAL ITEMS (BUS)	0

**Extended Budget Description**

This activity line item uses FY2020 Section 5311 TTP CARES Act funding for indirect expenses using the most current federally approved indirect cost rate of 25.95% for the period of January 1, 2018 to December 31, 2020 to support administration of the Nome Eskimo Community tribal transportation program.

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 Tribal formula (CARES Act)	5311-7	20509	\$907
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0

<b>Total Eligible Cost</b>	<b>\$907</b>
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<b>Milestone Name</b>	<b>Est. Completion Date</b>	<b>Description</b>
Start Indirect Expenses	1/20/2020	
End Indirect Expenses	12/31/2020	End date of operations.

**Budget Activity Line Item: 30.09.08 - EMER RELIEF - OPERATING ASSIST - 100% Fed Share (20 CARES Act 5311 TTP 100:0)(00)**

<b>Scope Name / Code</b>	<b>Line Item #</b>	<b>Line Item Name</b>	<b>Activity</b>	<b>Quantity</b>
OTHER PROGRAM COSTS (600-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	OPERATING ASSISTANCE	0

**Extended Budget Description**

This activity line item uses FY2020 CARES Act Section 5311 TTP funds for operating assistance for the period of January 20, 2020 to December 31, 2020 for transit operations under the Nome Eskimo Community tribal transportation program.

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

<b>Funding Source</b>	<b>Section of Statute</b>	<b>CFDA Number</b>	<b>Amount</b>
5311 Tribal formula (CARES Act)	5311-7	20509	\$2,590
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$2,590</b>

<b>Milestone Name</b>	<b>Est. Completion Date</b>	<b>Description</b>
Start Operations	1/20/2020	
End Operations	12/31/2020	

## Project Environmental Findings

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

**Categorical Exclusion Description**

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

**Part 4: Fleet Details**

No fleet data exists for this application.

**Part 5: FTA Review Comments**

**FTA Comments for DOL**

**Comment By Annette McKelvey**

Comment Type	DOL Review for Information
Date	7/15/2020
Comment	The Federal Transit Administration (FTA) requests that the U.S. Department of Labor undertake all of the steps necessary to perform its certification for this grant application. FTA requests that DOL complete its referral and review within 30 days. Please advise us of any 40 U.S.C. Section 5333(b) terms and conditions to be included in the grant.

**Application Review Comments**

**Comment By Annette McKelvey**

Comment Type	Application Details
Date	7/9/2020
Comment	From: Fong, Amy (FTA) Sent: Thursday, May 14, 2020 10:54 AM To: Adams, Vanessa (FTA) <Vanessa.Adams@dot.gov>; Ayala, Abel (FTA) <Abel.Ayala@dot.gov>; Belmont, Emma (FTA) <emma.belmont@dot.gov>; Buckley, Robert (FTA) <Robert.Buckley@dot.gov>; Changchien, Amy (FTA) <Amy.Changchien@dot.gov>; Coats, Sharon (FTA) <sharon.coats@dot.gov>; Coronado, Sergio (FTA) <sergio.coronado@dot.gov>; Daniels, Logan (FTA)



<Logan.Daniels@dot.gov>; Ensor, Deborah (FTA) <Deborah.ENSOR@dot.gov>; Gallegos, Tiffany (FTA) <Tiffany.Gallegos@dot.gov>; Gonzales, Terry (FTA) <terry.gonzales@dot.gov>; Goveia, James (FTA) <James.Goveia@dot.gov>; Gross, Evan (FTA) <evan.gross@dot.gov>; Lange, Matthew (FTA) <matthew.lange@dot.gov>; MacDonald, Tracey (FTA) <tracey.macdonald@dot.gov>; Matley, Ted (FTA) <Ted.Matley@dot.gov>; Mcguill, Kristine (FTA) <kristine.mcguill@dot.gov>; McKelvey, Annette (FTA) <Annette.McKelvey@dot.gov>; Nears, Luciana (FTA) <luciana.nears@dot.gov>; Onco, Eldridge (FTA) <Eldridge.Onco@dot.gov>; Peschell, Daniel (FTA) <daniel.peschell@dot.gov>; Salgado, Angelica (FTA) <Angelica.Salgado@dot.gov>; Walker, Julia (FTA) <julia.walker@dot.gov>; Younger-Riehl, Eboni (FTA) <eboni.younger-riehl@dot.gov>; Yue, Phil (FTA) <Phil.Yue@dot.gov>  
Cc: Stock, Marianne (FTA) <marianne.stock@dot.gov>  
Subject: RE: Further Tribal Transit Program requirement clarifications

Hi liaisons,

Apologies, please ignore the draft email I just sent out on accident!

I have a couple updates to TTP guidance to share with you.

First, here is approved, clarified language on how indirect cost rates will be applied to tribal transit discretionary and formula grants on page X-3 of the 9040 circular. You can share this with your recipients to clarify the existing guidance on TTP grants only:

**INDIRECT COST RATE.** FTA allows indirect costs to be charged to both discretionary and formula Tribal Transit Program awards when the tribe has an approved cost allocation plan or approved indirect rates by a cognizant federal agency.

Under the discretionary program, FTA will apply a 10 percent cap of the total FTA funding amount on indirect costs that are eligible for reimbursement to ensure the limited discretionary resources are spent on tangible transit services and equipment. This determination was made based on the comments gathered in response to 77 FR 67439 (November 9th, 2012) and additional oral comments received from the Indian tribes, other stakeholders, and organizations at two outreach meetings held in November and December of 2012. This determination is documented in 78 FR 27284 (May 9th, 2013).

For the formula program, FTA will apply the indirect cost rate negotiated with a cognizant agency pursuant to FTA's guidance on charging indirect costs to grants in the Award Management Requirements Circular (FTA C 5010.1E).

Second, on DBE:

The DBE \$250,000 threshold requirement that would apply to tribes that receive funds outside of the TTP would not include contracts for rolling stock. As you may know, transit vehicle manufacturers are required to independently comply with the DBE requirements to FTA. So, the \$250,000 threshold would apply only to non-rolling stock prime contracts awarded by a recipient in a federal fiscal year.

## **Part 6: Agreement**

**UNITED STATES OF AMERICA**

**DEPARTMENT OF TRANSPORTATION  
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT  
(FTA G-26, October 1, 2019)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(26), October 1, 2019, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

**FTA AWARD**

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

**Recipient Information**

Recipient Name: Nome Eskimo Community

Recipient ID: 6985

DUNS No: 053486205

**Application Information**

Federal Award Identification Number: AK-2020-023-00

Application Name: CARES Act - FY 2020 Sec. 5311 TTP Operating Assistance and Indirect Expenses for Nome Eskimo Community

Application Start Date: N/A

Original Award End Date: 3/31/2021

Current Award End Date: N/A

Application Executive Summary: This grant includes \$3,497 in FY 2020 Section 5311 CARES Act funds, which is the full amount allocated to the Nome Eskimo Community. These funds will be used, at 100% federal share, for operating and indirect expenses to prevent, prepare for, and respond to the COVID-19 pandemic.

**\*\*\* Special Condition for CARES Act Grants \*\*\***

The Recipient agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.

Research and Development: This award does not include research and development activities.

Indirect Costs: This award is applying an approved Indirect cost rate(s).

Suballocation Funds: Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Pre-Award Authority: This award is using Pre-Award Authority.

**Application Budget**

Total Application Budget: \$3,497.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$3,497.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$0.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$3,497.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$0.00

**Application Budget Control Totals**

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

<b>Funding Source</b>	<b>Section of Statute</b>	<b>CFDA Number</b>	<b>Amount</b>
5311 Tribal formula (CARES Act)	5311-7	20509	\$3,497
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$3,497</b>

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

**U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:**

Original Certification Date:

**Special Conditions**

There are no special conditions.

**FINDINGS AND DETERMINATIONS**

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

**FTA AWARD OF THE GRANT AGREEMENT**

Awarded By:

FEDERAL TRANSIT ADMINISTRATION  
U.S. DEPARTMENT OF TRANSPORTATION  
Contact Info:  
Award Date:

**EXECUTION OF THE GRANT AGREEMENT**

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
  - (a) Statements,
  - (b) Representations,
  - (c) Warranties,
  - (d) Covenants, and
  - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

*Nome Eskimo Community*