

Application

Federal Award Identification Number (FAIN)	WI-2020-028-00
Temporary Application Number	7230-2020-1
Application Name	Bad River Transit 2020 Operating and Capital Projects
Application Status	Final Concurrence / Reservation
Application Budget Number	0

Period of Performance Start Date	N/A	
Original Period of Performance End Date	3/30/2023	
Current Period of Performance End Date	N/A	Revision #: N/A

Part 1: Recipient Information

Name: Bad River Band Of Lake Superior Tribe Of Chippewa Indians

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
7230	Indian Tribe	BAD RIVER BAND OF LAKE SUPERIOR TRIBE OF CHIPPEWA INDIANS	053806998

Location Type	Address	City	State	Zip
Headquarters	72682 MAPLE ST	ODANAH	WI	54861
Physical Address	72682 MAPLE ST	ODANAH	WI	54861
Mailing Address	P.O. BOX 39	ODANAH	WI	54861

Union Information

There are no union contacts for this application

Part 2: Application Information

Title: Bad River Transit 2020 Operating and Capital Projects					
---	--	--	--	--	--

FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
WI-2020-028-00	Final Concurrence / Reservation	Grant	2/28/2020	2/28/2020	No

Application Executive Summary

This grant will utilize \$370,720 in FY19 5311 Tribal Transit Program Formula funds. \$183,220 will be used to support the Bad River Transit Fiscal Year 2020 Operating budget.

The remaining \$187,500 will be used for Capital items in 2020. This includes 1 replacement cutaway bus, and capital equipment for support/maintenance and safety/security including: hydraulic lift, Wheel balancer, Bus camera systems, Electronic whiteboard, Vinyl detail machine, snowblower, lawnmower, Diagnostic tools, power tools and hand tools, forklift, and tablets. No local match required for Tribal Transit Program Formula funds. Attachment to this application is FY19 5311 Apportionment table.

In executing this grant, Bad River Tribe agrees to abide by Section 3011 of the FAST Act (49 U.S.C. 5323(j)(2)(C) regarding the phased increase in domestic content under the Buy America statute for rolling stock. As this Grant Agreement includes an FTA-funded transit vehicle purchase, Bad River Tribe agrees to comply with the related requirements set forth in 49 CFR § 26.49(a). Specifically, Bad River Tribe must ensure as a condition of being authorized to bid or propose on FTA-assisted transit vehicle procurements, that each transit vehicle manufacturer certified that it complied with DOT requirements for submitting a DBE program and goal to FTA prior to bidding. Bad River Tribe is also required to submit the name of the successful bidder and the total dollar value of the contract within 30 days of making a FTA- assisted transit vehicle award using the required FTA reporting tool. Bad River Tribe understands that failure to comply with these requirements may result in formal enforcement action or appropriate sanction as determined by FTA, including reimbursement of FTA funds used for the vehicle procurement.

Frequency of Milestone Progress Reports (MPR)

Annual

Frequency of Federal Financial Reports (FFR)

Annual

Does this application include funds for research and/or development activities?

This award includes research and development activities.

Pre-Award Authority

This award is using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Will this Grant be using Lapsing Funds?

No, this Grant does not use Lapsing Funds.

Will indirect costs be applied to this application?

This award does not include an indirect cost rate.

Indirect Rate Details: N/A

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Evan	Gross	Transportation Program Specialist	evan.gross@dot.gov	312-886-1619
Lloyd	Hartwell	Transit Manager	transitmgr@badriver-nsn.gov	715-685-9461 1315

Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$370,720
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$370,720

Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
WI-2020-028-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$370,720.00	\$0.00	\$370,720.00	15
WI-2020-028-01-00	11.12.04 BUY REPLACEMENT	\$71,000.00	\$0.00	\$71,000.00	1
WI-2020-028-01-00	11.42.06 ACQUIRE - SHOP EQUIPMENT	\$103,500.00	\$0.00	\$103,500.00	10
WI-2020-028-01-00	11.42.09 ACQUIRE - MOBILE	\$13,000.00	\$0.00	\$13,000.00	3

WI-2020-028-01-00	30.09.01	SURV/SECURITY EQUIP UP TO 50% FEDERAL SHARE	\$183,220.00	\$0.00	\$183,220.00	1
-------------------	----------	---	--------------	--------	--------------	---

Discretionary Allocations

This application does not contain discretionary allocations.

Part 3: Project Information

Project Title: 2020 Capital and Operating Project: FY19 5311 Tribal Transit Program Formula funds

Project Number	Temporary Project Number	Date Created	Start Date	End Date
WI-2020-028-01-00	7230-2020-1-P1	2/28/2020	10/1/2019	9/30/2022

Project Description

The FY19 5311 Tribal Transit Program apportionment of \$370,720 will support the FY2020 capital and operating budget for the Bad River Transit service:

\$183,220 - Operating Budget:

- Operating assistance support to Bad River Transit between 10/1/19 and 9/30/20

\$185,500 - Capital Budget:

- 1 Replacement cutaway bus (\$71,000)
- 3 Bus surveillance camera systems and associated equipment/hardware (\$13,000)
- Shop equipment budgeted for purchase in 2020 including Wheel Balancer, hydraulic lift, electronic whiteboard, snow blower, lawnmower, vinyl tape cutter, various diagnostic, power, and hand tools (\$103,500)

Project Benefits

This project supports continued operations, maintenance, and safety of the transit service.

Additional Information

None provided.

Location Description

Odanah, WI

Project Location (Urbanized Areas)

UZA Code	Area Name
550000	Wisconsin

Congressional District Information

State	District	Representative
Wisconsin	7	Sean P Duffy

Program Plan Information

STIP/TIP

Date: N/A

Description: N/A

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$370,720
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$370,720

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
WI-2020-028-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$370,720.00	\$0.00	\$370,720.00	15
WI-2020-028-01-00	11.12.04 BUY REPLACEMENT	\$71,000.00	\$0.00	\$71,000.00	1
WI-2020-028-01-00	11.42.06 ACQUIRE - SHOP EQUIPMENT	\$103,500.00	\$0.00	\$103,500.00	10

WI-2020-028-01-00	11.42.09	ACQUIRE - MOBILE SURV/SECURITY EQUIP	\$13,000.00	\$0.00	\$13,000.00	3
WI-2020-028-01-00	30.09.01	UP TO 50% FEDERAL SHARE	\$183,220.00	\$0.00	\$183,220.00	1

Project Budget Activity Line Items

Budget Activity Line Item: 30.09.01 - UP TO 50% FEDERAL SHARE

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE	1

Extended Budget Description

Operating Assistance for FY 2020 (10/1/19 - 9/30/20). (Undertakings are consistent with allowable activities outlined in C9040.1G III-11 and X-1)

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$183,220
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$183,220

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2019	Fiscal year start date
End Date	9/30/2020	

Budget Activity Line Item: 11.12.04 - BUY REPLACEMENT

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
-------------------	-------------	----------------	----------	----------

OTHER PROGRAM COSTS (600-00) 11.12.04 BUY REPLACEMENT BUY REPLACEMENTS - CAPITAL BUS 1

Extended Budget Description

1 Replacement E-350 cutaway bus: This new bus will replace a 2011 E-350 Cutaway Supreme that has exceeded its useful life (Miles: 342,500 | VIN#1FDEE3FL7BDB07256)
Useful life of the new vehicle: 7 years or 200,000 miles

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$71,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$71,000

Milestone Name	Est. Completion Date	Description
Bus procurement Start	3/31/2020	RFP/Quotes
Bus Contract/PO Award	7/31/2020	Vendor PO delivery for replacement bus. TVM Reporting due
Bus Delivered Contract Closed	9/30/2022	Bus delivered and in service

Budget Activity Line Item: 11.42.09 - ACQUIRE - MOBILE SURV/SECURITY EQUIP

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	11.42.09	ACQUIRE - MOBILE SURV/SECURITY EQUIP	ACQUISITION OF BUS SUPPORT EQUIP/FACILITIES	3

Extended Budget Description

3 bus surveillance systems with review hardware. Useful life of 5 years.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
----------------	--------------------	-------------	--------

5311 - Tribal Transit Program Formula	5311-1B	20509	\$13,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$13,000

Milestone Name	Est. Completion Date	Description
Start Date	3/2/2020	Start procurement process for purchase camera surveillance and review hardware equipment
POs/Contract Awarded	3/31/2020	purchase orders for camera systems
End Date/Contracts Closed	12/31/2020	All cameras installed

Budget Activity Line Item: 11.42.06 - ACQUIRE - SHOP EQUIPMENT

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	11.42.06	ACQUIRE - SHOP EQUIPMENT	ACQUISITION OF BUS SUPPORT EQUIP/FACILITIES	10

Extended Budget Description

Shop equipment budgeted for purchase in 2020 including:

Wheel Balancer (\$5,000, useful life 10 years)

Hydraulic lift (\$45,000, useful life 10 years)

Electronic whiteboard and LED screens (\$8,452, useful life 5 years)

Snow blower (\$1,800, useful life 5 years)

Lawnmower (\$600, useful life 5 years)

Vinyl tape cutter (\$2,500, useful life 5 years)

Various other diagnostic, power, and hand tools, parts, and shop equipment for vehicle maintenance (\$40,148, useful life, approx. 5 years)

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$103,500
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0

Other Federal		\$0
Transportation Development Credit		\$0
Adjustment		\$0
Total Eligible Cost		\$103,500

Milestone Name	Est. Completion Date	Description
Procurement start date	3/2/2020	Start procurement processes
All POs/Contracts Awarded	12/31/2020	Procure all equipment.
All Contracts Closed	6/30/2022	All items delivered and installed

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description
3/6/2020	Class IIc CE Approved

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	30.09.01	UP TO 50% FEDERAL SHARE	1	\$183,220.00	\$183,220.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	3/6/2020

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	11.12.04	BUY REPLACEMENT	1	\$71,000.00	\$71,000.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	3/6/2020

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	11.42.09	ACQUIRE - MOBILE SURV/SECURITY EQUIP	3	\$13,000.00	\$13,000.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date	Description	Date
	Class IIc CE Approved	3/6/2020

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	11.42.06	ACQUIRE - SHOP EQUIPMENT	10	\$103,500.00	\$103,500.00

Part 4: Fleet Details

No fleet data exists for this application.

Part 5: FTA Review Comments

FTA Comments for DOL

Comment By **Evan Gross**

Comment Type	DOL Review for Information
Date	6/5/2020
Comment	5311 Tribal Transit Program - DOL Certification not required Bad River Tribe Transit, Wisconsin
	FTA Contact: Evan Gross Evan.Gross@dot.gov , 312-886-1619

Part 6: Agreement

**UNITED STATES OF AMERICA
DEPARTMENT OF TRANSPORTATION
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT
(FTA G-26, October 1, 2019)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(26), October 1, 2019, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

FTA AWARD

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

Recipient Information

Recipient Name: Bad River Band Of Lake Superior Tribe Of Chippewa Indians

Recipient ID: 7230

DUNS No: 053806998

Application Information

Federal Award Identification Number: WI-2020-028-00

Application Name: Bad River Transit 2020 Operating and Capital Projects

Application Start Date: N/A

Original Award End Date: 3/30/2023

Current Award End Date: N/A

Application Executive Summary: This grant will utilize \$370,720 in FY19 5311 Tribal Transit Program Formula funds. \$183,220 will be used to support the Bad River Transit Fiscal Year 2020 Operating budget.

The remaining \$187,500 will be used for Capital items in 2020. This includes 1 replacement cutaway bus, and capital equipment for support/maintenance and safety/security including: hydraulic lift, Wheel balancer, Bus camera systems, Electronic whiteboard, Vinyl detail machine, snowblower, lawnmower, Diagnostic tools, power tools and hand tools, forklift, and tablets. No local match required for Tribal Transit Program Formula funds. Attachment to this application is FY19 5311 Apportionment table.

In executing this grant, Bad River Tribe agrees to abide by Section 3011 of the FAST Act (49 U.S.C. 5323(j)(2)(C) regarding the phased increase in domestic content under the Buy America statute for rolling stock. As this Grant Agreement includes an FTA-funded transit vehicle purchase, Bad River Tribe agrees

to comply with the related requirements set forth in 49 CFR § 26.49(a). Specifically, Bad River Tribe must ensure as a condition of being authorized to bid or propose on FTA-assisted transit vehicle procurements, that each transit vehicle manufacturer certified that it complied with DOT requirements for submitting a DBE program and goal to FTA prior to bidding. Bad River Tribe is also required to submit the name of the successful bidder and the total dollar value of the contract within 30 days of making a FTA- assisted transit vehicle award using the required FTA reporting tool. Bad River Tribe understands that failure to comply with these requirements may result in formal enforcement action or appropriate sanction as determined by FTA, including reimbursement of FTA funds used for the vehicle procurement.

Research and Development: This award includes research and development activities.

Indirect Costs: This award does not include an indirect cost rate.

Suballocation Funds: Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Pre-Award Authority: This award is using Pre-Award Authority.

Application Budget

Total Application Budget: \$370,720.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$370,720.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$0.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$370,720.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$0.00

Application Budget Control Totals

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$370,720
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$370,720

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:

Original Certification Date:

Special Conditions

There are no special conditions.

FINDINGS AND DETERMINATIONS

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

FTA AWARD OF THE GRANT AGREEMENT

Awarded By:

FEDERAL TRANSIT ADMINISTRATION
U.S. DEPARTMENT OF TRANSPORTATION
Contact Info:
Award Date:

EXECUTION OF THE GRANT AGREEMENT

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
 - (a) Statements,
 - (b) Representations,
 - (c) Warranties,
 - (d) Covenants, and
 - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

Bad River Band Of Lake Superior Tribe Of Chippewa Indians