

## Application

<b>Federal Award Identification Number (FAIN)</b>	UT-2020-008-00
<b>Temporary Application Number</b>	1164-2020-4
<b>Application Name</b>	UDOT Section 5311 CARES Act - Operations and Project Administration
<b>Application Status</b>	Final Concurrence / Reservation
<b>Application Budget Number</b>	0

<b>Period of Performance Start Date</b>	N/A
<b>Original Period of Performance End Date</b>	4/30/2021
<b>Current Period of Performance End Date</b>	N/A Revision #: N/A

### Part 1: Recipient Information

**Name: Transportation, Utah Department Of**

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
1164	State Agency	Utah Department of Transportation	878690338

Location Type	Address	City	State	Zip
Headquarters	2110 State Office Building	Salt Lake City	UT	84114
Physical Address	4501 S 2700 West	Salt Lake City	UT	84119
Mailing Address	PO BOX 1510	SALT LAKE CITY	UT	84114

### Union Information

There are no union contacts for this application

### Part 2: Application Information

<b>Title: UDOT Section 5311 CARES Act - Operations and Project Administration</b>
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<b>FAIN</b>	<b>Application Status</b>	<b>Application Type</b>	<b>Date Created</b>	<b>Last Updated Date</b>	<b>From TEAM?</b>
UT-2020-008-00	Final Concurrence / Reservation	Grant	5/28/2020	5/28/2020	No

**Application Executive Summary**

This is an FFY 2020 Section 5311 CARES Act grant in the amount of \$7,547,000 (partial apportionment; \$14,766,111 remaining). Per the CARES Act, the grant request 100% federal share. This grant utilizes CARES Act funding to prevent, prepare for, and respond to COVID-19.

The grant scope of work includes the following projects:

- 1) Operating assistance for 6 rural transit agencies -\$6,702,130
- 2) Project Administration for 6 rural transit agencies - \$844,870

Details are included in the program of projects (POP) in the application documents.

The Recipient agrees that if it receives federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under the Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any federal share that duplicates funding provided by FEMA, another federal agency, or an insurance company.

Per the CARES Act, the projects in this grant are not required to be programmed in the STIP.

**Frequency of Milestone Progress Reports (MPR)**

Annual

**Frequency of Federal Financial Reports (FFR)**

Annual

**Does this application include funds for research and/or development activities?**

This award does not include research and development activities.

**Pre-Award Authority**

This award is using Pre-Award Authority.

**Does this application include suballocation funds?**

Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

**Will this Grant be using Lapsing Funds?**

No, this Grant does not use Lapsing Funds.

**Will indirect costs be applied to this application?**

This award does not include an indirect cost rate.

*Indirect Rate Details: N/A*

**Requires E.O. 12372 Review**

Yes, this application requires E.O. 12372 Review

State Application ID: 200528010-ac

Date Received by State: 5/27/2020 8:00 PM EDT

**Delinquent Federal Debt**

No, my organization does not have delinquent federal debt.

**Application Point of Contact Information**

First Name	Last Name	Title	E-mail Address	Phone
Tim	Boschert	Public Transit Director	tboschert@utah.gov	801-870-4770
Kevin	Osborn	Transportation Program Specialist	kevin.osborn@dot.gov	(303) 362-2393
Eboni	Younger-Riehl	Transportation Program Specialist	eboni.younger-riehl@dot.gov	(303) 362-2394

**Application Budget Control Totals**

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$7,547,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$7,547,000</b>

**Application Budget**

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
UT-2020-008-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$6,702,130.00	\$0.00	\$6,702,130.00	6
UT-2020-008-01-00	30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$6,702,130.00	\$0.00	\$6,702,130.00	6

UT-2020-008-02-00	620-00 (620-A2)	PROJECT ADMINISTRATION	\$844,870.00	\$0.00	\$844,870.00	6
UT-2020-008-02-00	11.79.00	PROJECT ADMINISTRATION	\$844,870.00	\$0.00	\$844,870.00	6

## Discretionary Allocations

This application does not contain discretionary allocations.

## Part 3: Project Information

### Project Title: Operating Assistance

Project Number	Temporary Project Number	Date Created	Start Date	End Date
UT-2020-008-01-00	1164-2020-4-P1	5/28/2020	1/20/2020	1/31/2021

#### Project Description

FFY20 CARES Act 5311 funds in the amount of \$6,702,130 will be used for operating assistance for six rural transit agencies providing service throughout rural Utah. The federal share is 100%. A Program of Projects is attached in the in Application Documents.

The six rural transit agencies are Cedar Area Transit System, Park City Transit, Uintah Basin Association of Governments, Cache Valley Transit District, Navajo Transit System, and Ute Tribe Transit.

#### Project Benefits

The funds are essential for transit agencies in rural communities to continue to provide transit service during the COVID-19 pandemic. These rural communities provide transportation for the general public, elderly, and individuals with disabilities to shopping, employment, medical, work, and social appointments. ND rural transit agencies also provide complementary and/or feeder services to other agencies in surrounding communities.

#### Additional Information

None

#### Location Description

Rural areas throughout Utah.

## Project Location (Urbanized Areas)

UZA Code	Area Name
490000	Utah

## Congressional District Information

State	District	Representative
Utah	1	Rob Bishop
Utah	2	Chris Stewart
Utah	3	John Curtis

## Program Plan Information

### STIP/TIP

Date: Not Provided

Description: This project includes only operations and will have no substantial function, location, or capacity change. Per CARES Act requirements, no STIP documentation is needed.

### UPWP

Date: N/A

Description: N/A

### Long Range Plan

Date: N/A

Description: N/A

## Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$6,702,130
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$6,702,130</b>

## Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
UT-2020-008-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$6,702,130.00	\$0.00	\$6,702,130.00	6

UT-2020-008-01-00	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$6,702,130.00	\$0.00	\$6,702,130.00	6
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## Project Budget Activity Line Items

### Budget Activity Line Item: 30.09.08 - EMER RELIEF - OPERATING ASSIST - 100% Fed Share

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	OPERATING ASSISTANCE	6

#### Extended Budget Description

FY20 CARES Act funds will be used to provide operating assistance to six rural transit agencies who provide transportation in their communities and coordinate with neighboring transit agencies to provide transportation in their communities. The federal share is 100%. Operating funds will cover expenses incurred beginning January 20, 2020, and cover approximately twelve months of expenses, including drivers' salaries and fringe benefits, fuel, oil, dispatcher salaries, and fringe benefits, and licenses, and preventative maintenance costs. See attached Program of Projects for a list of rural transit agencies. This also includes paying administrative leave for operations and maintenance personnel due to reductions/suspending of service. Operating expenses are based on an average of their current monthly spending.

#### Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$6,702,130
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$6,702,130</b>

Milestone Name	Est. Completion Date	Description
Start Date	1/20/2020	5/28/2020 Remarks by Michelle Jeronimo. Estimated date when funds will start being spent.

End Date	1/31/2021	5/28/2020 Remarks by Michelle Jeronimo. Estimated date when funds will be spent.
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## Project Environmental Findings

### Finding: Class II(c) - Categorical Exclusions (C-List)

#### Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

#### Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

### Project Title: Project Administration

Project Number	Temporary Project Number	Date Created	Start Date	End Date
UT-2020-008-02-00	1164-2020-4-P2	5/28/2020	1/20/2020	1/31/2021

#### Project Description

FFY20 CARES Act 5311 funds in the amount of \$844,870 will be used for project administration for six rural transit agencies providing service throughout rural Utah. The federal share is 100%. A Program of Projects is attached in the in Application Documents.

The six rural transit agencies are Cedar Area Transit System, Park City Transit, Uintah Basin Association of Governments, Cache Valley Transit District, Navajo Transit System, and Ute Tribe Transit.

#### Project Benefits

The funds are essential for transit agencies in rural communities to continue to provide transit service during the COVID-19 pandemic. These rural communities provide transportation for the general public, elderly, and individuals with disabilities to shopping, employment, medical, work, and social appointments. Utah rural transit agencies also provide complementary and/or feeder services to other agencies in surrounding communities.

#### Additional Information

None

**Location Description**

Rural areas throughout Utah.

**Project Location (Urbanized Areas)**

UZA Code	Area Name
490000	Utah

**Congressional District Information**

State	District	Representative
Utah	1	Rob Bishop
Utah	2	Chris Stewart
Utah	3	John Curtis

**Program Plan Information****STIP/TIP**

Date: Not Provided

Description: This project includes only project administration and will have no substantial functional, location, or capacity change. Per CARES Act requirements, no STIP documentation is needed.

**UPWP**

Date: N/A

Description: N/A

**Long Range Plan**

Date: N/A

Description: N/A

**Project Control Totals**

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$844,870
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$844,870</b>



## Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
UT-2020-008-02-00	620-00 (620-A2) PROJECT ADMINISTRATION	\$844,870.00	\$0.00	\$844,870.00	6
UT-2020-008-02-00	11.79.00 PROJECT ADMINISTRATION	\$844,870.00	\$0.00	\$844,870.00	6

## Project Budget Activity Line Items

### Budget Activity Line Item: 11.79.00 - PROJECT ADMINISTRATION

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
PROJECT ADMINISTRATION (620-00)	11.79.00	PROJECT ADMINISTRATION	PROJECT ADMINISTRATION	6

### Extended Budget Description

FFY20 CARES Act funds will be used for Project Administration for six rural transit agencies to provide transportation services in their communities and coordinate with other transit agencies in surrounding communities for public transportation. The federal share is 100%. Project Admin expenses will be covered for existing service incurred beginning January 30, 2020, and will cover approximately twelve months of expenses, and include general admin expenses (salaries of project director, admin assistant, accounting/finance staff), marketing expenses, insurance premiums, office supplies, facilities and equipment rental, standard overhead rates, and costs of administering drug and alcohol testing. This also includes paying administrative leave for general administrative personnel due to reductions/suspending of service

### Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$844,870
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$844,870</b>

Milestone Name	Est. Completion Date	Description
Start Date	1/20/2020	5/28/2020 Remarks by Michelle Jeronimo. Estimated date when the first invoice will be paid.
End Date	1/31/2021	5/28/2020 Remarks by Michelle Jeronimo. Estimated date when funds will be spent.

## Project Environmental Findings

<b>Finding: Class II(c) - Categorical Exclusions (C-List)</b>
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**Class Level Description**

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

**Categorical Exclusion Description**

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

## Part 4: Fleet Details

No fleet data exists for this application.

## Part 5: FTA Review Comments

### FTA Comments for DOL

**Comment By** Kevin Osborn

Comment Type	DOL Review for Information
Date	6/4/2020
Comment	Utah CARES Act Grant 5311 for Operations:  UDOT POC:  Tim Boschert Director, Public Transit Plans & Programs Utah Department of Transportation

(801) 964-4508 desk  
tboschert@utah.gov

## Part 6: Agreement

**UNITED STATES OF AMERICA  
DEPARTMENT OF TRANSPORTATION  
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT  
(FTA G-26, October 1, 2019)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(26), October 1, 2019, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

### **FTA AWARD**

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

#### **Recipient Information**

Recipient Name: Transportation, Utah Department Of

Recipient ID: 1164

DUNS No: 878690338

#### **Application Information**

Federal Award Identification Number: UT-2020-008-00

Application Name: UDOT Section 5311 CARES Act - Operations and Project Administration

Application Start Date: N/A

Original Award End Date: 4/30/2021

Current Award End Date: N/A

Application Executive Summary: This is an FFY 2020 Section 5311 CARES Act grant in the amount of \$7,547,000 (partial apportionment; \$14,766,111 remaining). Per the CARES Act, the grant request 100% federal share. This grant utilizes CARES Act funding to prevent, prepare for, and respond to COVID-19.

The grant scope of work includes the following projects:

- 1) Operating assistance for 6 rural transit agencies - \$6,702,130
- 2) Project Administration for 6 rural transit agencies - \$844,870

Details are included in the program of projects (POP) in the application documents.

The Recipient agrees that if it receives federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under the Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any federal share that duplicates funding provided by FEMA, another federal agency, or an insurance company.

Per the CARES Act, the projects in this grant are not required to be programmed in the STIP.

Research and Development: This award does not include research and development activities.

Indirect Costs: This award does not include an indirect cost rate.

Suballocation Funds: Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Pre-Award Authority: This award is using Pre-Award Authority.

### **Application Budget**

Total Application Budget: \$7,547,000.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$7,547,000.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$0.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$7,547,000.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$0.00

### **Application Budget Control Totals**

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

<b>Funding Source</b>	<b>Section of Statute</b>	<b>CFDA Number</b>	<b>Amount</b>
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5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$7,547,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$7,547,000</b>

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

**U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:**

Original Certification Date:

**Special Conditions**

There are no special conditions.

**FINDINGS AND DETERMINATIONS**

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

**FTA AWARD OF THE GRANT AGREEMENT**

Awarded By:

FEDERAL TRANSIT ADMINISTRATION  
U.S. DEPARTMENT OF TRANSPORTATION  
Contact Info:  
Award Date:

**EXECUTION OF THE GRANT AGREEMENT**

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
  - (a) Statements,
  - (b) Representations,
  - (c) Warranties,

- (d) Covenants, and
- (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

*Transportation, Utah Department Of*