

# DOT

# FTA

U.S. Department of Transportation

Federal Transit Administration

## Application

<b>Federal Award Identification Number (FAIN)</b>	OH-2020-046-00
<b>Temporary Application Number</b>	1225-2020-3
<b>Application Name</b>	CY2020 Intercity Bus Program Grant
<b>Application Status</b>	Final Concurrence / Reservation
<b>Application Budget Number</b>	0

<b>Period of Performance Start Date</b>	N/A
<b>Original Period of Performance End Date</b>	3/30/2024
<b>Current Period of Performance End Date</b>	N/A    Revision #: N/A

### Part 1: Recipient Information

**Name: Transportation, Ohio Department Of**

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
1225	State Agency	OHIO DEPT. OF TRANSPORTATION	809174402

Location Type	Address	City	State	Zip
Headquarters	30 E BROAD ST	COLUMBUS	OH	43215
Physical Address	1980 W BROAD ST	COLUMBUS	OH	43223
Mailing Address	1980 WEST BROAD STREET	COLUMBUS	OH	43223

### Union Information

<b>Union Name</b>	<b>AMALGAMATED TRANSIT UNION</b>
Address 1	5025 WISCONSIN AVE
Address 2	LOCAL 268, CLEVELAND OHIO
City	WASHINGTON
State	District of Columbia

Zipcode	20016
Contact Name	MR. JIM LA SALA
Telephone	
Fax	
E-mail	
Website	
<b>Union Name</b>	<b>INTERNATIONAL ASSOC. OF MACHINISTS &amp; AEROSPACE WORKERS</b>
Address 1	9000 MACHINISTS PLACE
Address 2	
City	UPPER MARLBORO
State	Maryland
Zipcode	20772
Contact Name	GEORGE KOURPIAS
Telephone	
Fax	
E-mail	
Website	
<b>Union Name</b>	<b>TRANSPORT WORKERS UNION</b>
Address 1	80 WEST END AVENUE
Address 2	
City	NEW YORK,
State	New York
Zipcode	10023
Contact Name	FRANK MCCANN
Telephone	
Fax	
E-mail	
Website	
<b>Union Name</b>	<b>TRANSPORT WORKERS UNION OF AMERICA LOCAL 208</b>
Address 1	914 CLEVELAND AVENUE
Address 2	
City	COLUMBUS
State	Ohio
Zipcode	43201
Contact Name	MR. HUBERT SNEAD
Telephone	
Fax	
E-mail	

Website	
<b>Union Name</b>	<b>UNITED AUTOMOBILE, AEROSPACE AND AGRICULTURAL IMPLEMENT WORKERS</b>
Address 1	8000 EAST JEFFERSON AVENUE
Address 2	
City	DETROIT
State	Michigan
Zipcode	48214
Contact Name	STAN MARSHALL
Telephone	
Fax	
E-mail	
Website	

## Part 2: Application Information

### Title: CY2020 Intercity Bus Program Grant

FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
OH-2020-046-00	Final Concurrence / Reservation	Grant	2/4/2020	2/4/2020	No

#### Application Executive Summary

ODOT is the grantee on behalf of 3 transit sub-recipients who will be provided funds for the intercity bus program. This grant will be used to provide both operating assistance and project administration assistance with the remaining unobligated FFY 2018 5311(f) apportionment. The breakdown of funding for the projects is detailed below:

FFY 2018 Unobligated State/Territory Allocation - \$3,682,454

Total amount of funding for this grant application- \$3,682,454

REMAINING FFY 2019 Unobligated amount of \$4,000,278 will be used in a later grant or amendment.

Local Match for grant is provided by the state through the use of TDC in the total amount of \$1,629,727. The TDC letter is attached to the grant application.

All projects being funded have met the necessary requirements to be considered Category A projects.

Further details on the included projects can be found through the attached Program of Projects.

The Ohio 2018-2021 STIP project listing and Approval Letter is attached. Note all projects in the grant are listed under statewide line item #31.

#### Frequency of Milestone Progress Reports (MPR)

Annual

#### Frequency of Federal Financial Reports (FFR)

Annual

**Does this application include funds for research and/or development activities?**

This award does not include research and development activities.

**Pre-Award Authority**

This award is using Pre-Award Authority.

**Does this application include suballocation funds?**

Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

**Will this Grant be using Lapsing Funds?**

Yes, this Grant will use Lapsing Funds.

**Will indirect costs be applied to this application?**

This award does not include an indirect cost rate.

*Indirect Rate Details: N/A*

**Requires E.O. 12372 Review**

No, this application does not require E.O. 12372 Review.

**Delinquent Federal Debt**

No, my organization does not have delinquent federal debt.

**Application Point of Contact Information**

First Name	Last Name	Title	E-mail Address	Phone
Stewart	McKenzie	Community Planner	Stewart.Mckenzie@dot.gov	(312) 353-2866
Alex	Ewers	Program Coordinator	alex.ewers@dot.ohio.gov	(614) 728-5629

**Application Budget Control Totals**

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$3,682,454
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$1,629,727
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$3,682,454</b>

## Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
OH-2020-046-01-00	634-00 (634-A1) INTERCITY BUS TRANSPORTATION	\$3,682,454.00	\$0.00	\$3,682,454.00	4
OH-2020-046-01-00	11.79.00 PROJECT ADMINISTRATION	\$705,000.00	\$0.00	\$705,000.00	3
OH-2020-046-01-00	30.09.01 UP TO 50% FEDERAL SHARE	\$2,977,454.00	\$0.00	\$2,977,454.00	1

## Discretionary Allocations

This application does not contain discretionary allocations.

## Part 3: Project Information

### Project Title: Intercity Bus Transportation

Project Number	Temporary Project Number	Date Created	Start Date	End Date
OH-2020-046-01-00	1225-2020-3-P1	2/4/2020	5/25/2020	7/16/2021

#### Project Description

This funding will cover the operation of the Rural Intercity Bus Program in Ohio. The federal intercity bus requirement is met by taking 15% of the FFY 2018 FTA Apportionment (\$3,682,454).

#### Project Benefits

The Ohio Rural Intercity Bus Program (Section 5311(f)) is designed to address the intercity bus transportation needs of the entire state by supporting projects that provide transportation between non-urbanized areas and urbanized areas that result in connections of greater regional, statewide, and national significance.

#### Additional Information

*None provided.*

#### Location Description

The intercity bus service is provided through the state on six separate routes and stops in multiple rural areas in order to connect them to urban areas and the greater intercity transportation network.

## Project Location (Urbanized Areas)

UZA Code	Area Name
390000	Ohio

## Congressional District Information

State	District	Representative
Ohio	1	Steve Chabot
Ohio	2	Brad R Wenstrup
Ohio	3	Joyce Beatty
Ohio	4	Jim Jordan
Ohio	5	Robert E Latta
Ohio	6	Bill Johnson
Ohio	7	Bob Gibbs
Ohio	9	Marcy Kaptur
Ohio	10	Michael R Turner
Ohio	11	Marcia L Fudge
Ohio	13	Tim Ryan
Ohio	14	David P Joyce
Ohio	15	Steve Stivers
Ohio	12	Troy Balderson
Ohio	16	Anthony Gonzalez
Ohio	8	Warren Davidson

## Program Plan Information

### STIP/TIP

Date: N/A

Description: N/A

### UPWP

Date: N/A

Description: N/A

### Long Range Plan

Date: N/A

Description: N/A

## Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$3,682,454
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0

Other Federal		\$0
Transportation Development Credit		\$1,629,727
Adjustment		\$0
<b>Total Eligible Cost</b>		<b>\$3,682,454</b>

## Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
OH-2020-046-01-00	634-00 (634-A1) INTERCITY BUS TRANSPORTATION	\$3,682,454.00	\$0.00	\$3,682,454.00	4
OH-2020-046-01-00	11.79.00 PROJECT ADMINISTRATION	\$705,000.00	\$0.00	\$705,000.00	3
OH-2020-046-01-00	30.09.01 UP TO 50% FEDERAL SHARE	\$2,977,454.00	\$0.00	\$2,977,454.00	1

## Project Budget Activity Line Items

### Budget Activity Line Item: 11.79.00 - PROJECT ADMINISTRATION

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
INTERCITY BUS TRANSPORTATION (634-00)	11.79.00	PROJECT ADMINISTRATION	OTHER CAPITAL ITEMS (BUS)	3

#### Extended Budget Description

Providing \$460,000 to HAPCAP, \$125,000 to Knox, and \$120,000 to SEAT for a total of \$705,000 and TDC in the amount of \$141,000 for administration and ticketing for the GoBus Rural Intercity Bus Program.

#### Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$705,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0

Transportation Development Credit	\$141,000
Adjustment	\$0
<b>Total Eligible Cost</b>	<b>\$705,000</b>

Milestone Name	Est. Completion Date	Description
Create and Receive signed Contract	5/25/2020	ODOT will create and send contract to sub recipient to be signed and returned to ODOT for execution.
Complete contract obligation	12/31/2020	Sub recipient will provide public transportation services and complete the contract obligation.
Sub recipient final draw down	5/14/2021	Sub recipient will complete final invoice and draw down of final funds
Award Close-out	7/16/2021	ODOT will reconcile and close out the grant award.

**Budget Activity Line Item: 30.09.01 - UP TO 50% FEDERAL SHARE**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
INTERCITY BUS TRANSPORTATION (634-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE	1

**Extended Budget Description**

Providing \$2,977,454 federal and \$1,488,727 in TDC as match for Operating Assistance to HAPCAP for the Gobus Rural Intercity Bus Program for the provision of service. The operations will be provided though the use of 3rd party service providers under multi-year contract to HAPCAP.

**Will 3rd Party contractors be used to fulfill this activity line item?**

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$2,977,454
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$1,488,727
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$2,977,454</b>

Milestone Name	Est. Completion Date	Description
Create and Receive signed Contract	5/25/2020	ODOT will create and send contract to sub recipient to be signed and returned to ODOT for execution.

Complete contract obligation	12/31/2020	Sub recipient will provide public transportation services and complete the contract obligation.
Sub recipient final draw down	5/14/2021	Sub recipient will complete final invoice and draw down of final funds
Award Close-out	7/16/2021	ODOT will reconcile and close out the grant award.

## Project Environmental Findings

### Finding: Class II(c) - Categorical Exclusions (C-List)

#### Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

#### Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

## Part 4: Fleet Details

**Fleet Type:** Paratransit

#### Fleet Comments

This is a replacement vehicle for an 5311 rural transit agency.

		Current Value
I.	<b>Active Fleet</b>	
	A. Peak Requirement	1
	B. Spares	0
	C. Total (A+B)	1
	D. Spare Ratio (B/A)	0%
II.	<b>Inactive Fleet</b>	
	A. Other	0
	B. Pending Disposal	0
	C. Total (A+B)	0

## Part 5: FTA Review Comments

### FTA Comments for DOL

**Comment By** Stewart McKenzie

Comment Type	DOL Review for Information
Date	6/22/2020
Comment	FY2018 Section 5311 funds for Ohio Department of Transportation's Intercity Bus projects. LAPSING

### Application Review Comments

**Comment By** Stewart McKenzie

Comment Type	FTA Post Review Comments for Grantee
Date	6/10/2020
Comment	Adjust budget and address comments as submitted regarding TDC as state match.

## Part 6: Agreement

**UNITED STATES OF AMERICA  
DEPARTMENT OF TRANSPORTATION  
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT  
(FTA G-26, October 1, 2019)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(26), October 1, 2019, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

### **FTA AWARD**

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

#### **Recipient Information**

Recipient Name: Transportation, Ohio Department Of

Recipient ID: 1225

DUNS No: 809174402

#### **Application Information**

Federal Award Identification Number: OH-2020-046-00

Application Name: CY2020 Intercity Bus Program Grant

Application Start Date: N/A

Original Award End Date: 3/30/2024

Current Award End Date: N/A

Application Executive Summary: ODOT is the grantee on behalf of 3 transit sub-recipients who will be provided funds for the intercity bus program. This grant will be used to provide both operating assistance and project administration assistance with the remaining unobligated FFY 2018 5311(f) apportionment. The breakdown of funding for the projects is detailed below:

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Total amount of funding for this grant application- \$3,682,454

REMAINING FFY 2019 Unobligated amount of \$4,000,278 will be used in a later grant or amendment.

Local Match for grant is provided by the state through the use of TDC in the total amount of \$1,629,727. The TDC letter is attached to the grant application.

All projects being funded have met the necessary requirements to be considered Category A projects.

Further details on the included projects can be found through the attached Program of Projects.

The Ohio 2018-2021 STIP project listing and Approval Letter is attached. Note all projects in the grant are listed under statewide line item #31.

Research and Development: This award does not include research and development activities.

Indirect Costs: This award does not include an indirect cost rate.

Suballocation Funds: Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Pre-Award Authority: This award is using Pre-Award Authority.

### **Application Budget**

Total Application Budget: \$3,682,454.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$3,682,454.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$0.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$3,682,454.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$0.00

### **Application Budget Control Totals**

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

<b>Funding Source</b>	<b>Section of Statute</b>	<b>CFDA Number</b>	<b>Amount</b>
5311 - Rural Area Formula	5311-1A	20509	\$3,682,454
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State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$1,629,727
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$3,682,454</b>

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

### **U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:**

Original Certification Date:

### **Special Conditions**

There are no special conditions.

### **FINDINGS AND DETERMINATIONS**

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

## **FTA AWARD OF THE GRANT AGREEMENT**

Awarded By:

FEDERAL TRANSIT ADMINISTRATION  
U.S. DEPARTMENT OF TRANSPORTATION  
Contact Info:  
Award Date:

## **EXECUTION OF THE GRANT AGREEMENT**

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
  - (a) Statements,
  - (b) Representations,
  - (c) Warranties,
  - (d) Covenants, and
  - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

*Transportation, Ohio Department Of*