

Application

Federal Award Identification Number (FAIN)	NE-2020-014-00
Temporary Application Number	1815-2019-6
Application Name	NDOT 5311 FFY 2019 Funds for Capital Projects (Vehicle Procurement, Bus Facilities and Contractual Services) funding.
Application Status	Final Concurrence / Reservation
Application Budget Number	0

Period of Performance Start Date	N/A
Original Period of Performance End Date	12/30/2024
Current Period of Performance End Date	N/A Revision #: N/A

Part 1: Recipient Information

Name: Transportation, Nebraska Department Of

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
1815	State Agency	NEBRASKA DEPARTMENT OF ROADS	808881403

Location Type	Address	City	State	Zip
Headquarters	1445 K St Rm 2316	Lincoln	NE	68508
Physical Address	1500 Hwy 2	Lincoln	NE	68509
Mailing Address	PO BOX 94759	LINCOLN	NE	68509

Union Information

Union Name	NONE
Address 1	
Address 2	

City	
State	
Zipcode	00000
Contact Name	
Telephone	
Fax	00000
E-mail	
Website	

Part 2: Application Information

Title: NDOT 5311 FFY 2019 Funds for Capital Projects (Vehicle Procurement, Bus Facilities and Contractual Services) funding.

FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
NE-2020-014-00	Final Concurrence / Reservation	Grant	5/16/2019	5/16/2019	No

Application Executive Summary

The funds, for Nebraska's non-urbanized areas, will be utilized to reimburse for or purchase of:

1. Vehicle Procurements during SFYs 20 through 22.
2. Contracted Services with the University of Nebraska during SFY 22.
3. Bus Facilities and Equipment during SFYs 20 through 21.

The Nebraska Department of Transportation (NDOT) is programming: FFY 2019 apportionment funds of: \$4,315,440 (Grant Award NE-2019-013-00 used \$3,873,475 from FFY 2019; this leaves \$836,288 of 2019 apportionment available.)

Local funds come from: local taxes, community assessments, contributions from local service clubs, and other miscellaneous gifts of local citizens and organizations which highly value the Transit services.

Application Documents Attached:

The original NE STIP for FYs 2019-2022 (both the general information and the projects listing)
 ONEDOT approval letter for the original STIP submitted.
 Program of Projects (POP) revised 6-16-2020.
 NEPA Approvals for Kimball County Capital - Bus Facility.
 NEPA Approvals for Cedar County Capital - Bus Facility.
 Nebraska's Long Range Transportation Plan entitled Visions 2032.00
 Kimball Co 2018 CE Approval 9-20-2018.
 Cedar Co CE Approval 4-22-2020.
 STIP revised as of March 31, 2020.
 ONEDOT Approval for the STIP revised as of March 31, 2020.
 Financial Summary of Agreements for the Kimball Facility.
 Percentage of completion report for the Kimball facility dated 4-24-2020.
 Agreement with the University of Nebraska.

Frequency of Milestone Progress Reports (MPR)

Annual

Frequency of Federal Financial Reports (FFR)

Annual

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Will this Grant be using Lapsing Funds?

No, this Grant does not use Lapsing Funds.

Will indirect costs be applied to this application?

This award does not include an indirect cost rate.

*Indirect Rate Details: N/A***Requires E.O. 12372 Review**

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Logan	Daniels	Transportation Program Specialist	Logan.Daniels@dot.gov	(816) 329-3933
Ken	Rouch	Federal Aid Administrator III	ken.rouch@nebraska.gov	(402) 479-3680

Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$4,315,440
Local			\$578,860
Local/In-Kind			\$0
State			\$500,000
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$5,394,300

Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
NE-2020-014-01-00	111-00 (111-A1) BUS - ROLLING STOCK	\$1,500,000.00	\$375,000.00	\$1,875,000.00	36
NE-2020-014-01-00	11.13.04 Buy < 30 ft. Bus to Expand Fleet(s).	\$750,000.00	\$187,500.00	\$937,500.00	15
NE-2020-014-01-00	11.13.15 Buy Vans to Expand Fleet(s)	\$750,000.00	\$187,500.00	\$937,500.00	21
NE-2020-014-01-00	114-00 (114-A3) BUS: SUPPORT EQUIP AND FACILITIES	\$1,565,440.00	\$391,360.00	\$1,956,800.00	2
NE-2020-014-01-00	11.43.04 Cedar Co. - Construct Storage Facility	\$605,440.00	\$151,360.00	\$756,800.00	1
NE-2020-014-01-00	11.44.03 REHAB/RENOVATE - ADMIN/MAINT of Kimball County FACILITY	\$960,000.00	\$240,000.00	\$1,200,000.00	1
NE-2020-014-01-00	117-00 (117-A2) OTHER CAPITAL ITEMS (BUS)	\$1,250,000.00	\$312,500.00	\$1,562,500.00	1
NE-2020-014-01-00	11.71.11 3rd Party Contractual Services	\$1,250,000.00	\$312,500.00	\$1,562,500.00	1

Discretionary Allocations

This application does not contain discretionary allocations.

Part 3: Project Information

Project Title: NDOT Non-Urban FFY 2019 and 2020 Apportionments for Capital Projects (Vehicle Procurements, Bus Facilities and Contractual Services)

Project Number	Temporary Project Number	Date Created	Start Date	End Date
NE-2020-014-01-00	1815-2019-6-P1	7/30/2019	7/1/2019	9/30/2023

Project Description

A. This project is to acquire transit compatible vehicles for eligible and approved Section 5311 rural sub-recipients. The breakdown below estimates the number and cost of vehicles to procure. The Vehicle Procurements will be vans (5-passenger lowered floor minivan and 7-passenger minivan) and small transit buses. Total cost is \$1,875,000, including Federal share of \$1,500,000, State share of \$187,500, and Local share of \$187,500.

The State Fiscal Year, vehicle type, number and amount budgeted are:

SFY	Vehicle Type	Vehicle #	Amount
19/20	Vans	7	\$ 250,000
19/20	Small Bus	5	\$ 250,000
20/21	Vans	7	\$ 250,000
20/21	Small Bus	5	\$ 250,000
21/22	Vans	7	\$ 250,000
21/22	Small Bus	5	\$ 250,000
Total SFYs 20-22 Vans 21			\$ 750,000
Total SFYs 20-22 Small Bus 15			\$ 750,000
Total			\$ 1,500,000

B. Third party contract for the NE Statewide Transit Initiative. Contractor will be the University of Nebraska. Services to be performed include training, technology, marketing, research, etc. SFYs will be 20-22. Total estimated cost is \$1,562,500 including Federal share of \$1,250,000, State share of \$312,500, and Local share of zero.

C. Rehab a warehouse to convert to a new bus facility. Planning provides for location of Kimball County. SFYs include 2020. Estimated total cost is \$1,200,000 including Federal share of \$960,000, State share of zero, and Local share of \$240,000.

1. The proposed project for Kimball County Transit renovates an existing commercial warehouse and retail space to accommodate transit vehicle storage, office, and dispatch. The renovation includes repairing the roof, gutting the bus storage, space, updating and bringing electrical up to code throughout the building, repairing water-damaged drywall in the office/dispatch area and replacing garage doors. The project owner intends to purchase the preferred site without Federal participation and request FTA grant funds for the renovation.

2. The purpose of the project is to provide a facility to store five (5) transit vehicles (3 federally funded) and include office space for two (2) full time transit employees. The transit office is currently housed in the County Courthouse and vehicles are parked outside on a nearby surface lot. The project would prolong the life of federally funded assets by providing indoor, climate controlled storage. In addition, the vehicles would be in the same location as the transit office.

D. Construction of new bus facility. Planning provides for location of Cedar County. SFYs include 2020-2022. Estimated cost is \$756,800 including Federal share of \$605,440, State share of zero, and Local share of \$151,360.

1. The purpose of the project is to build a structure to store up to 10 Cedar County Public Transit vehicles and administration space for employees. Currently, the vehicles are stored outside and the transit manager is working from her home. The new facility will allow for indoor storage with weather protection and office space on-site for staff.

Project Benefits

With the ever-increasing demand of ridership comes the need for additional vehicles and additional facilities in which to store and provide maintenance. This grant will help in reaching these new/future demands.

Additional Information

None.

Location Description

Rural western Nebraska for Projects 1A and 1C and Statewide for Project 1B.

Project Location (Urbanized Areas)

UZA Code	Area Name
310000	Nebraska

Congressional District Information

State	District	Representative
Nebraska	1	Jeff Fortenberry
Nebraska	3	Adrian Smith
Nebraska	2	Don Bacon

Program Plan Information**STIP/TIP**

Date: 9/29/2019

Description: A. Original STIP - Transit 5311 projects are referred on page 47 as a listing. The STIP's listing of Project activities is attached in the Documents section of the application. The Transit listing of projects begins on Page 115. For this application, a revised Transit listing has been added as a document in the application and was approved with the ONEDOT letter. The revisions are: 1. updating the program years from 2018-2022 to 2019-2023; 2. segmenting the listing by FTA funding section; 3. adding the FTA awards and applications to the FTA funding sections with funds programmed by State fiscal year; 4. adding comments, where needed, to provide clarification. B. Amended STIP - STIP was amended and submitted for approval on 3/31/2020, The grant financial information starts on page 138. The ONEDOT approval for this amended STIP has been submitted. This amended STIP grant financial information included the addition of a construction project and related increase in budgeted dollars.

UPWP

Date: Not Provided

Description: Not applicable.

Long Range Plan

Date: 5/17/2012

Description: Beginning on page 20, Vision 2032's Goals are presented. Transit services, such as NDOT's FTA Section 5311 transit procurements and third party contracting, incorporate the goals of safety, mobility, environmental stewardship, coordination and cooperation to address rural transit needs in Nebraska.

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
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5311 - Rural Area Formula	5311-1A	20509	\$4,315,440
Local			\$578,860
Local/In-Kind			\$0
State			\$500,000
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$5,394,300

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
NE-2020-014-01-00	111-00 (111-A1) BUS - ROLLING STOCK	\$1,500,000.00	\$375,000.00	\$1,875,000.00	36
NE-2020-014-01-00	11.13.04 Buy < 30 ft. Bus to Expand Fleet(s).	\$750,000.00	\$187,500.00	\$937,500.00	15
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NE-2020-014-01-00	114-00 (114-A3) BUS: SUPPORT EQUIP AND FACILITIES	\$1,565,440.00	\$391,360.00	\$1,956,800.00	2
NE-2020-014-01-00	11.43.04 Cedar Co. - Construct Storage Facility	\$605,440.00	\$151,360.00	\$756,800.00	1
NE-2020-014-01-00	11.44.03 REHAB/RENOVATE - ADMIN/MAINT of Kimball County FACILITY	\$960,000.00	\$240,000.00	\$1,200,000.00	1
NE-2020-014-01-00	117-00 (117-A2) OTHER CAPITAL ITEMS (BUS)	\$1,250,000.00	\$312,500.00	\$1,562,500.00	1
NE-2020-014-01-00	11.71.11 3rd Party Contractual Services	\$1,250,000.00	\$312,500.00	\$1,562,500.00	1

Project Budget Activity Line Items

Budget Activity Line Item: 11.44.03 - REHAB/RENOVATE - ADMIN/MAINT of Kimball County FACILITY
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Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS: SUPPORT EQUIP AND FACILITIES (114-00)	11.44.03	REHAB/RENOVATE - ADMIN/MAINT FACILITY	REHAB/RENOVATE BUS SUPPORT FACIL/EQUIP	1

Extended Budget Description

1. The proposed project for Kimball County Transit renovates an existing commercial warehouse and retail space to accommodate transit vehicle storage, office, and dispatch. The renovation includes repairing the roof, gutting the bus storage, space, updating and bringing electrical up to code throughout the building, repairing water-damaged drywall in the office/dispatch area and replacing garage doors. The project owner intends to purchase the preferred site without Federal participation and request FTA grant funds for the renovation.
2. The purpose of the project is to provide a facility to store five (5) transit vehicles (3 federally funded) and include office space for two (2) full time transit employees. The transit office is currently housed in the County Courthouse and vehicles are parked outside on a nearby surface lot. The project would prolong the life of federally funded assets by providing indoor, climate controlled storage. In addition, the vehicles would be in the same location as the transit office.
3. Federal funds will not be used to acquire the real estate for this activity.
4. This facility will comply with all laws, rules, regulations, and guidelines of the Americans with Disabilities Act (ADA).

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$960,000
Local			\$240,000
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$1,200,000

Milestone Name	Est. Completion Date	Description
Request for Proposals	10/1/2019	Date on which RFPs were sent.
Contract Award Date	11/10/2019	Self-explanatory.

Construction Start Date	12/15/2019	This is the approximate date by which construction will start.
Construction Progress Report at Approximately 50% of Completion.	4/30/2020	Self-explanatory.
Construction Progress Report at Approximately 90% of Completion.	6/30/2020	Self-explanatory.
End Date	7/15/2020	Self-explanatory.

Budget Activity Line Item: 11.43.04 - Cedar Co. - Construct Storage Facility

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS: SUPPORT EQUIP AND FACILITIES (114-00)	11.43.04	CONSTRUCT - STORAGE FACILITY	CONSTRUCTION OF BUS SUPPORT EQUIP/FACIL	1

Extended Budget Description

1. Project Description - Cedar County Public Transit provides demand response service within a 250 mile radius of Hartington, Nebraska. The purpose of the project is to build a structure to store up to 10 Cedar County Public Transit vehicles and administration space for employees. Currently, the vehicles are stored outside and the transit manager is working from her home. The new facility will allow for indoor storage with weather protection and office space on-site for staff. The county currently has 7 vehicles (all minivans) but the project takes into consideration potential future growth and the new facility will have capacity to store up to 10 vehicles. The project does not include refueling or charging stations. The site will not be open to the general public but ill house vehicles, drivers and office staff.
2. Federal funds will not be used to acquire real estate for this activity.
3. ADA - This facility will fully comply with all the laws, rules, regulations, and guidelines as promulgated within the Americans with Disabilities Act (ADA).

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$605,440
Local			\$151,360
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$756,800

Milestone Name	Est. Completion Date	Description
Request for Proposals	10/1/2019	
Contract Award Date	11/10/2019	
Construction start date.	12/15/2019	
Estimated construction completion date.	6/15/2020	

Budget Activity Line Item: 11.71.11 - 3rd Party Contractual Services

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER CAPITAL ITEMS (BUS) (117-00)	11.71.11	OTHER 3RD PARTY CONTRACTUAL SERVICES	3RD PARTY CONTRACTS	1

Extended Budget Description

The 3rd party contractor, University of Nebraska (UN), will assist with the following broad categories of Tasks (more detail is at uploaded Agreement, Exhibit D).

1. Mobility Management.
2. Transit Technology for FTA Compliance and Oversight.
3. Transit System Training.
4. The Impact of Transportation on Nebraska.
5. FTA Safety Planning and Oversight.
6. FTA Civil Rights Compliance and Enhancements.
7. Public Outreach in Support of Public Transit.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$1,250,000
Local			\$0
Local/In-Kind			\$0
State			\$312,500
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$1,562,500

Milestone Name	Est. Completion Date	Description
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Start date	7/1/2021	This milestone provides funding for Year 1 of a five-year extended agreement with the University of Nebraska.
End Date	6/30/2022	

Budget Activity Line Item: 11.13.15 - Buy Vans to Expand Fleet(s)

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS - ROLLING STOCK (111-00)	11.13.15	BUY VAN FOR SVC EXPANSION	BUY EXPANSION - CAPITAL BUS	21

Extended Budget Description

1. The vans will be obtained through an approved contract administered by the state of Nebraska's Purchasing Bureau. Conditions of the contract and any negotiations needed to revise the contract due to changes in scope and/or conditions will be conducted in accordance with FTA C 4220.1F, revised March 18, 2013.
2. The vans will be placed in service with an expected useful life of at least four (4) years or traveled in service of at least 100,000 miles.
3. The state funds are appropriated by the Nebraska Legislature every two years and are available, as match, throughout each year for vehicle procurements.
4. The sub-recipients' local funds source(s) will be from client fares, non-medical transportation fees paid by the Nebraska Health and Human Services, community contributions, fundraising activities, inter-organizational fee charges or parent organization transfers.
5. Vehicles will comply with all laws, rules, regulations, and guidelines of the Americans with Disabilities Act (ADA)

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Propulsion	Fuel Type	Vehicle Condition	Vehicle Size (ft.)
N/A	Gasoline	New	5-passenger (including 2 wheelchair positions for lowered floor minivan and 12-passenger for van

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$750,000
Local			\$93,750
Local/In-Kind			\$0
State			\$93,750
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$937,500

Milestone Name	Est. Completion Date	Description
RFP/IFB Issue Date	7/1/2019	The Nebraska Department of Transportation (NDOT) solicits applications periodically by a list serve email to all eligible rural transit systems. The application is made available online on the transit systems' website dashboard at www.nebraskatransit.com.
Contract Award Date	9/1/2019	Contracts for all vehicle types (5-passenger lowered floor minivan, 12-passenger van and small bus (8 + 2 wheelchair positions) are currently in effect.
Initial Delivery Date	3/31/2020	The contract award stipulates the lowered floor minivans will be supplied by Braun.
Final Delivery Date	9/30/2023	The final delivery of the small transit (cutaway) buses to arrive at NDOT is contingent upon subrecipient receipt of its local share, "build-out" production dates may cause temporary shutdowns when chassis year of manufacture changes and delivery schedule of the supplier.
Contract Completion Date	9/30/2023	Warranty issues, if any, will be addressed during the year following the final delivery of vehicles.

Budget Activity Line Item: 11.13.04 - Buy < 30 ft. Bus to Expand Fleet(s).

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS - ROLLING STOCK (111-00)	11.13.04	BUY	BUY EXPANSION - CAPITAL BUS	15

Extended Budget Description

1. The small buses will be obtained through an approved contract administered by the state of Nebraska's Purchasing Bureau. Conditions of the contract and any negotiations needed to revise the contract due to changes in scope and/or conditions will be conducted in accordance with FTA C 4220.1F, revised March 18, 2013.
2. The small buses will be placed in service with an expected useful life of at least four (4) years or at least 100,000 miles.
3. The state funds are appropriated by the Nebraska Legislature every two years and are available, as match, throughout each year for vehicle procurement.
4. The sub-recipients' local funds source(s) will be from client fares, non-medical transportation fees paid by the Nebraska Health and Human Services, community contributions, fundraising activities, inter-organizational fee charges or parent organization transfers.
5. Vehicles will comply with all laws, rules, regulations, and guidelines of the Americans with Disabilities Act (ADA).

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Propulsion	Fuel Type	Vehicle Condition	Vehicle Size (ft.)
N/A	Gasoline	New	8 seats + 2 wheelchair positions

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$750,000
Local			\$93,750
Local/In-Kind			\$0
State			\$93,750
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$937,500

Milestone Name	Est. Completion Date	Description
RFP/IFB Issue Date	7/1/2019	The Nebraska Department of Transportation (NDOT) solicits applications periodically by a list serve email to all eligible rural transit systems. The application is made available online on the transit systems' website dashboard at www.nebraskatransit.com .
Contract Award Date	8/1/2019	Contracts for all vehicle types (5-passenger lowered floor minivan, 12-passenger van and small bus (8 + 2 wheelchair positions) are currently in effect. The first agreement was released for a small bus procurement.
Initial Delivery Date	3/31/2020	Small bus assembly plant is in Kansas and the assembly is monitored by the supplier, Master's Transportation. Assembly usually takes 90 days if there are no build out delays by the chassis' manufacturer.
Final Delivery Date	9/30/2023	The final delivery of the small transit (cutaway) buses to arrive at NDOT is contingent upon subrecipient receipt of its local share, "build-out" production dates may cause temporary shutdowns when chassis year of manufacture changes and delivery schedule of the supplier.
Contract Completion Date	9/30/2023	Warranty issues, if any, will be addressed during the year following the final delivery of vehicles.

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	5/26/2020

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
BUS - ROLLING STOCK (111-00)	11.13.04	Buy < 30 ft. Bus to Expand Fleet(s).	15	\$750,000.00	\$937,500.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	5/26/2020

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
BUS - ROLLING STOCK (111-00)	11.13.15	Buy Vans to Expand Fleet(s)	21	\$750,000.00	\$937,500.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or

program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER CAPITAL ITEMS (BUS) (117-00)	11.71.11	3rd Party Contractual Services	1	\$1,250,000.00	\$1,562,500.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 09: Assembly or construction of facilities that is consistent with existing land use and zoning requirements (including floodplain regulations) and uses primarily land disturbed for transportation use, such as: buildings and associated structures; bus transfer stations or intermodal centers; busways and streetcar lines or other transit investments within areas of the right-of-way occupied by the physical footprint of the existing facility or otherwise maintained or used for transportation operations; and parking facilities.

Date Description	Date
Class IIc CE Approved	6/29/2020

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
BUS: SUPPORT EQUIP AND FACILITIES (114-00)	11.43.04	Cedar Co. - Construct Storage Facility	1	\$605,440.00	\$756,800.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 08: Maintenance, rehabilitation, and reconstruction of facilities that occupy substantially the same geographic footprint and do not result in a change in functional use, such as: improvements to bridges, tunnels, storage yards, buildings, stations, and terminals; construction of platform extensions, passing track, and retaining walls; and improvements to tracks and railbeds.

Date Description	Date
Class IIc CE Approved	6/29/2020

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
BUS: SUPPORT EQUIP AND FACILITIES (114-00)	11.44.03	REHAB/RENOVATE - ADMIN/MAINT of Kimball County FACILITY	1	\$960,000.00	\$1,200,000.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
BUS: SUPPORT EQUIP AND FACILITIES (114-00)	11.44.03	REHAB/RENOVATE - ADMIN/MAINT of Kimball County FACILITY	1	\$960,000.00	\$1,200,000.00

Part 4: Fleet Details

Fleet Type: Other

Fleet Comments

All vehicles in the project are expected to be placed in service upon receiving them.

		Current Value
I.	Active Fleet	
	A. Peak Requirement	36

	B. Spares	0
	C. Total (A+B)	36
	D. Spare Ratio (B/A)	0%
II.	Inactive Fleet	
	A. Other	0
	B. Pending Disposal	0
	C. Total (A+B)	0
III.	Total (I.C and II.C)	36

Part 5: FTA Review Comments

FTA Comments for DOL

Comment By Logan Daniels

Comment Type	DOL Review for Information
Date	6/30/2020
	NDOT 5311 FFY 2019 Funds of: \$4,315,440 for Capital Projects (Vehicle Procurement, Bus Facilities and Contractual Services)
Comment	<ol style="list-style-type: none"> 1. Vehicle Procurements during SFYs 20 through 22. 2. Contracted Services with the University of Nebraska during SFY 22. 3. Bus Facilities and Equipment during SFYs 20 through 21.

Application Review Comments

Comment By Logan Daniels

Comment Type	FTA Post Review Comments for Grantee
Date	8/28/2019
	First for your construction projects, please tell me Beth has seen them and has approved them prior to you putting them into a grant. I don't see any Environmental Docs in the grant.
Comment	<p>The city of Norfolk, for Norfolk Public Transportation, will either renovate an existing building or build a bus transit facility. The building sites are owned by the city of Norfolk. The bus transit facility will be for 100% Norfolk Public Transportation's bus storage and administrative office use.</p> <p>The Legal side wants you or the subs to pick one (throughout the grant), are they going to build or rehab? You can't have both. Maybe unless the projects are on the Category B listing.</p> <p>I'm gonna start asking that since Cathy taught me about it the other day.</p> <p>This doesn't specifically explain the contract services:</p>

Project Description

A continuing partnership of the Nebraska Department of Transportation and the University of Nebraska to promote and support safe, affordable and efficient public transit in Nebraska.

We need more description on the contract activities for the U of N under ALI 11.71.11, we see the benefits, but maybe if you attach the contract, we can see what activities are spelled out. But we do need more in the Extended Budget Description area. Legal feels the Projects Benefits don't explain specifically.

Comment By Logan Daniels

Comment Type FTA Post Review Comments for Grantee

Date 9/10/2019

Comment It's too late in the grant processing season for us to try to get the grant through the review process, there's too much back and forth. We're going to need a facilities purpose & needs checklist and other requirements, I'm sure. Eva can give you the checklist and then you can work with her on the preplanning activities and get Beth to review the site(s) for environmental.

Comment By Logan Daniels

Comment Type FTA Post Review Comments for Grantee

Date 1/13/2020

Comment ? Update your funds, you have \$5,151,505, but our records show you have \$5,151,728 available, so you are leaving \$223 on the FY 2019 table.
? For the construction/Rehab for the facility in Kimball, NE, does NDOT have an approved Environmental approval letter to attach?
? Region VII Environmental Specialist Beth Held can be reached at: beth.held@dot.gov or (816) 329-3934 for your EPA questions.
? The question "Will 3rd Party Contractors be used..." the answer should read "Yes,...", because NDOT must go out to buy or get a services contract for the project.
? Are the milestones realistic for ALI: 11.44.04 Rehab/Renovate Storage Facility you have the End Date as 9/30/2020; does NDOT really think this project will be complete by September? Please revisit.

Comment By Logan Daniels

Comment Type FTA Post Review Comments for Grantee

Date 3/4/2020

Comment Please delete -P2 and - P3 to have only one project using only one apportionment funding 5311.

Comment By Logan Daniels

Comment Type	FTA Post Review Comments for Grantee
Date	4/21/2020
Comment	<p>Good job on your grant just a few more changes to do; Somethings to focus on:</p> <ul style="list-style-type: none"> - Since you are using multiple FY funds, you must state in each ALI Extended Budget Description what FY funds and how much belong in that ALI. - Please create a new Scope/ALI for the Cedar County construction project, construction projects move at their own pace and things change constantly its best to give them their own line item, milestones and required documents. - Please attach maps, any facility designs you may have, aerial satellite pictures of property, if its already there please state so and where. - I changed your executive summary to be more readable in sections versus a big paragraph, in the future try to have all your grants look like this.

Comment By Logan Daniels

Comment Type	FTA Post Review Comments for Grantee
Date	6/4/2020
Comment	<p>Technical Concurrence Comment:</p> <ol style="list-style-type: none"> 1) For both facility projects add a comment in the grant that the design submittals at 50/90% will be submitted to the FTA Regional Engineer for review to ensure the project scope has not changed from the scope outlined in the planning documents and grant award. 2) The Kimball project ALI indicates this is \$3M facility rehab. The purpose and need outline a \$121,000 project. This needs to be addressed. If a \$3M project scope is requested, additional documentation with the backup cost estimate would need to be provided. The Uniform Relocation Act is required to be followed regardless if grant funds will be used for the purchase of the commercial building. If grant funds will be used for the purchase, the appraisal and review appraisal documents would need to be attached to the grant and a separate ALI would be required. This would also apply if used for in-kind contribution. 3) For both projects - if the grant funds will not be used for real estate add the following statement - "This project will not use any grant funds for the purchase of real estate nor will the cost be used towards the in-kind contribution for local match. The Uniform Relocation Act will be followed for all real estate activities."

Comment By Logan Daniels

Comment Type	FTA Post Review Comments for Grantee
Date	6/10/2020
Comment	Returned to grantee per grantee request and also for comments e-mailed to grant manager.

Application Review Comments

Comment By Beth Held

Comment Type	Environmental Findings
Date	5/26/2020
Project Title	NDOT Non-Urban FFY 2019 and 2020 Apportionments for Capital Projects (Vehicle Procurements, Bus Facilities and Contractual Services)
Project Number	NE-2020-014-01-00
Comment	The proposed acquisition activity to purchase replacement and service expansion vehicles for eligible sub-recipients is found compliant as a Categorical Exclusion under 23 CFR Part 771.118 (c)(7).

Comment By Beth Held

Comment Type	Environmental Findings
Date	5/26/2020
Project Title	NDOT Non-Urban FFY 2019 and 2020 Apportionments for Capital Projects (Vehicle Procurements, Bus Facilities and Contractual Services)
Project Number	NE-2020-014-01-00
Comment	The proposed acquisition activity to purchase replacement and service expansion vehicles for eligible sub-recipients is found compliant as a Categorical Exclusion under 23 CFR Part 771.118 (c)(7).

Comment By Beth Held

Comment Type	Environmental Findings
Date	5/26/2020
Project Title	NDOT Non-Urban FFY 2019 and 2020 Apportionments for Capital Projects (Vehicle Procurements, Bus Facilities and Contractual Services)
Project Number	NE-2020-014-01-00
Comment	The proposed planning and program administration activity for 3rd party contractual services, is found compliant as a Categorical Exclusion under 23 CFR Part 771.118 (c)(4).

Comment By Beth Held

Comment Type	Environmental Findings
Date	6/29/2020
Project Title	NDOT Non-Urban FFY 2019 and 2020 Apportionments for Capital Projects (Vehicle Procurements, Bus Facilities and Contractual Services)

Project Number	NE-2020-014-01-00
Comment	The proposed activity for construction of a new bus facility with an estimated cost of \$756,800 including Federal share of \$605,440, to build a structure to store up to 10 Cedar County Public Transit vehicles and administration space for employees, is found compliant as a Categorical Exclusion under 23 CFR Part 771.118 (c)(9,10). FTA approved NEPA findings 4/22/20.

Comment By Beth Held

Comment Type	Environmental Findings
Date	6/29/2020
Project Title	NDOT Non-Urban FFY 2019 and 2020 Apportionments for Capital Projects (Vehicle Procurements, Bus Facilities and Contractual Services)
Project Number	NE-2020-014-01-00
Comment	The proposed activity to convert a warehouse to a new bus facility for an estimated total cost of \$1,200,000 including Federal share of \$960,000, for Kimball County Transit that involves the renovation of an existing commercial warehouse and retail space to accommodate transit vehicle storage, office, and dispatch, that includes repairing the roof, gutting the bus storage, space, updating and bringing electrical up to code throughout the building, repairing water-damaged drywall in the office/dispatch area and replacing garage doors in order to provide a facility to store five (5) transit vehicles (3 federally funded) and include office space for two (2) full time transit employees, is found compliant as a Categorical Exclusion under 23 CFR Part 771.118 (c)(8). FTA approved NEPA findings 9/20/18.

Application Review Comments

Comment By Morgan Hecht

Comment Type	Application Details
Date	5/26/2020
Comment	<p>Your agency must comply with all applicable Federal laws and regulations related to this project, including the ADA Standards for Transportation Facilities, based on the U.S. Access Board's ADA Accessibility Guidelines. Found here: http://www.access-board.gov/guidelines-and-standards/transportation/facilities/ada-standards-for-transportation-facilities</p> <p>Specifically, your agency must comply with the ADA Standards for Transportation Facilities. The following is a non-exhaustive list of ADA standards:</p> <p>Requirements to Remember for: New Construction of Facilities</p> <ul style="list-style-type: none"> • As required under DOT ADA Standard 206.4.1, your agency must ensure that 60 percent of all public entrances to the facility will be accessible. If a station has only two entrances, then both must be accessible. • As required under DOT ADA Standard 810.10, for rail projects, your agency must ensure that no flange way gap can be greater than 2.5" where passenger circulation paths cross tracks at grade (i.e. a street-level pedestrian crossing over streetcar tracks).

- As required under DOT ADA Standard 206.3, your agency must ensure that accessible routes coincide with or are located in the same area as general circulation paths, and elements such as ramps, elevators, and fare vending and collection areas are placed to minimize the distance that wheelchair users and other persons who cannot climb steps must travel in comparison to the general public.
- As required under DOT ADA Standard 406.8, your agency must ensure that curb ramps will have detectable warnings.
- As required under DOT ADA Standard 810.2, your agency must ensure that bus boarding and alighting areas are in compliance with the ADA-ABA Guidelines (Section 810.2), which address surfaces (sturdy); dimensions (96" long x 60" wide); connection to sidewalks, streets, and pedestrian paths; slope (not steeper than 1:48); signs; and public address systems.
- As required by DOT ADA Standard 810.5.3, your agency must ensure that station platforms must be coordinated with the vehicle floor height.

Requirements to Remember for: Alterations or Additions to Facilities

- As required under DOT ADA Standard 201 and DOT ADA Regulation 49 C.F.R. 37.43(a)(1), your agency must ensure that altered or added portions of the facility must be made accessible.
- As required by DOT ADA Regulation 49 C.F.R. 37.43(a)(2) and DOT ADA Standard 202.3, your agency must ensure that the path of travel to the altered or added portion of the facility must be made accessible, to the maximum extent feasible.
- As required by DOT ADA Standard 202.4 and DOT ADA Regulation 49 C.F.R. 37.43(e)(1), if the path of travel cannot be made accessible, your agency must submit to FTA an analysis demonstrating that the cost of making the path of travel accessible is disproportionate to (i.e., > 20%) the cost of the alterations or additions to the primary function area.
- As required by DOT ADA Standard 202.4 and DOT ADA Regulation 49 C.F.R. 37.43(b), if the path of travel cannot be made accessible, your agency must submit to FTA an analysis demonstrating that site-specific conditions prevent you from making the path of travel accessible. Include relevant diagrams and maps.

Requirements to Remember for: FTA-assisted vehicle purchases:

Effective November 2014, FTA recipients are required to submit, within 30 days of making an award, the name of the successful bidder and the total dollar value of the contract. Remember, only eligible TVMs may bid on FTA-assisted transit vehicle procurements. Recipients must report these vehicle purchases on the form on FTA's website:

<https://www.surveymonkey.com/r/vehicleawardreportsurvey>

As your agency undergoes the procurement process for vehicles, please ensure that you are in compliance with these requirements and verifying that any manufacturers bidding on the contract have so complied. Please find linked here a list of transit vehicle manufacturers (TVMs) that have DBE programs and goals which are FTA-approved:

<https://www.transit.dot.gov/TVM>

These TVMs are approved to bid on and be awarded FTA-assisted vehicle contracts. This list is regularly updated by FTA and should be used as reference for your upcoming vehicle purchases, but if you do not see a TVM on the list and are under the impression that it had an FTA-approved program, please contact FTA to confirm.

Requirements to Remember for: Remanufacture or Rebuilt Vehicles:

Your agency must comply with all applicable Federal laws and regulations related to this project, including the accessibility specifications for transportation vehicles.

Specifically, your agency must comply with the ADA Standards for rehabilitating vans and cutaway buses. If altering or rebuilding vehicles, the vehicles must be readily accessible. (DOT ADA Regulation 49 C.F.R. 37.75; DOT ADA Regulation 49 C.F.R. 37.83; and DOT ADA Regulation 49 C.F.R. 37.89.)

If a remanufactured or rebuilt vehicle will not be accessible, the grantee must submit information to FTA demonstrating that the structural integrity of the vehicle would be significantly compromised if it is made accessible. Include an appropriate structural engineering analysis. (DOT ADA Regulation 49 C.F.R. 37.75 (c); DOT ADA Regulation 49 C.F.R. 37.83(c) and DOT ADA Regulation 49 C.F.R. 37.89(c).)

The information provided above is intended as technical assistance and applies only to the project as described. Should the project scope change or new information on the project be provided, FTA may require a re-evaluation of the project information and require additional information. This confirmation is not an express or implied promise of project compliance with the ADA or other civil rights requirements.

Part 6: Agreement

**UNITED STATES OF AMERICA
DEPARTMENT OF TRANSPORTATION
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT
(FTA G-25, October 1, 2018)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(25), October 1, 2018, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

FTA AWARD

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

Recipient Information

Recipient Name: Transportation, Nebraska Department Of

Recipient ID: 1815

DUNS No: 808881403

Application Information

Federal Award Identification Number: NE-2020-014-00

Application Name: NDOT 5311 FFY 2019 Funds for Capital Projects (Vehicle Procurement, Bus Facilities and Contractual Services) funding.

Application Start Date: N/A

Original Award End Date: 12/30/2024

Current Award End Date: N/A

Application Executive Summary: The funds, for Nebraska's non-urbanized areas, will be utilized to reimburse for or purchase of:

1. Vehicle Procurements during SFYs 20 through 22.
2. Contracted Services with the University of Nebraska during SFY 22.
3. Bus Facilities and Equipment during SFYs 20 through 21.

The Nebraska Department of Transportation (NDOT) is programming:
FFY 2019 apportionment funds of: \$4,315,440 (Grant Award NE-2019-013-00 used \$3,873,475 from FFY 2019; this leaves \$836,288 of 2019 apportionment available.)

Local funds come from: local taxes, community assessments, contributions from local service clubs, and other miscellaneous gifts of local citizens and organizations which highly value the Transit services.

Application Documents Attached:

The original NE STIP for FYs 2019-2022 (both the general information and the projects listing)

ONEDOT approval letter for the original STIP submitted.

Program of Projects (POP) revised 6-16-2020.

NEPA Approvals for Kimball County Capital - Bus Facility.

NEPA Approvals for Cedar County Capital - Bus Facility.

Nebraska's Long Range Transportation Plan entitled Visions 2032.00

Kimball Co 2018 CE Approval 9-20-2018.

Cedar Co CE Approval 4-22-2020.

STIP revised as of March 31, 2020.

ONEDOT Approval for the STIP revised as of March 31, 2020.

Financial Summary of Agreements for the Kimball Facility.

Percentage of completion report for the Kimball facility dated 4-24-2020.

Agreement with the University of Nebraska.

Research and Development: This award does not include research and development activities.

Indirect Costs: This award does not include an indirect cost rate.

Suballocation Funds: Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Pre-Award Authority: This award is using Pre-Award Authority.

Application Budget

Total Application Budget: \$5,394,300.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$4,315,440.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$1,078,860.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$4,315,440.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$1,078,860.00

Application Budget Control Totals

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$4,315,440
Local			\$578,860
Local/In-Kind			\$0
State			\$500,000
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$5,394,300

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:

Original Certification Date:

Special Conditions

There are no special conditions.

FINDINGS AND DETERMINATIONS

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

FTA AWARD OF THE GRANT AGREEMENT

Awarded By:

FEDERAL TRANSIT ADMINISTRATION
U.S. DEPARTMENT OF TRANSPORTATION
Contact Info:
Award Date:

EXECUTION OF THE GRANT AGREEMENT

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
 - (a) Statements,
 - (b) Representations,
 - (c) Warranties,
 - (d) Covenants, and
 - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

Transportation, Nebraska Department Of