

DOT

FTA

U.S. Department of Transportation

Federal Transit Administration

Application

Federal Award Identification Number (FAIN)	NE-2020-013-00
Temporary Application Number	1815-2020-2
Application Name	NDOT Sec. 5311 CARES Act for Vans, Operating, Admin, Intercity Bus & Equipment
Application Status	Final Concurrence / Reservation
Application Budget Number	0

Period of Performance Start Date	N/A
Original Period of Performance End Date	9/30/2023
Current Period of Performance End Date	N/A Revision #: N/A

Part 1: Recipient Information

Name: Transportation, Nebraska Department Of

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
1815	State Agency	NEBRASKA DEPARTMENT OF ROADS	808881403

Location Type	Address	City	State	Zip
Headquarters	1445 K St Rm 2316	Lincoln	NE	68508
Physical Address	1500 Hwy 2	Lincoln	NE	68509
Mailing Address	PO BOX 94759	LINCOLN	NE	68509

Union Information

Union Name	NONE
Address 1	
Address 2	
City	

State	
Zipcode	00000
Contact Name	
Telephone	
Fax	00000
E-mail	
Website	

Part 2: Application Information

Title: NDOT Sec. 5311 CARES Act for Vans, Operating, Admin, Intercity Bus & Equipment

FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
NE-2020-013-00	Final Concurrence / Reservation	Grant	4/21/2020	4/21/2020	No

Application Executive Summary

- This FY 2020 Section 5311 application utilizes \$27,108,904 in CARES Act funding to help respond to and recover from the COVID-19 emergency situation.
- The funding in this application is 100% of the apportioned amount of the CARES Act funds allocated to Nebraska.
- NDOT agrees that if Nebraska receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this grant agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.
- Documents attached to this application include: Vision 2032, Intercity Bus Certification by Governor's Letter, and Program of Projects.
- The following activities shall be conducted:
 - ALI 610-00, State Administration, for statewide rural Transit. The budget is \$1,355,445.
 - ALI 300-00, for Operating Assistance. The budget is \$18,060,959.
 - ALI 111-00, Bus - Rolling Stock. The budget is \$3,000,000.
 - ALI 114-00, Bus Support Equip/Facilities. This is for the new Kimball Co facility to purchase furnishings and equipment of \$180,000.
 - ALI 620-00, Planning. Budget includes contract with University of Nebraska of \$1,732,500, and planning for tri-cities area Transit planning of \$180,000.
 - ALI 634-00, Intercity Bus Transportation. Budget is \$2,600,000.
- For ALI 300-00, this project includes \$18,060,959 of Federal funding (up to 100% federal share) for Operations activities incurred beginning 01-20-2020.
- This Project covers only operations, planning and/or capital projects, with no substantial functional,

location, or capacity change. Therefore, per CARES Act requirements, no Statewide Transportation Improvement Program (STIP) or Transportation Improvement Plan (TIP), is needed.

Frequency of Milestone Progress Reports (MPR)

Annual

Frequency of Federal Financial Reports (FFR)

Annual

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Will this Grant be using Lapsing Funds?

No, this Grant does not use Lapsing Funds.

Will indirect costs be applied to this application?

This award does not include an indirect cost rate.

Indirect Rate Details: N/A

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Logan	Daniels	Transportation Program Specialist	Logan.Daniels@dot.gov	(816) 329-3933
Ken	Rouch	Federal Aid Administrator III	ken.rouch@nebraska.gov	(402) 479-3680

Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$27,108,904
Local			\$0

Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$27,108,904

Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
NE-2020-013-01-00	111-00 (111-A2) BUS - ROLLING STOCK	\$3,000,000.00	\$0.00	\$3,000,000.00	50
NE-2020-013-01-00	11.12.15 BUY REPLACEMENT VAN	\$3,000,000.00	\$0.00	\$3,000,000.00	50
NE-2020-013-01-00	114-00 (114-A4) BUS: SUPPORT EQUIP AND FACILITIES	\$180,000.00	\$0.00	\$180,000.00	1
NE-2020-013-01-00	11.42.20 ACQUIRE - MISC SUPPORT EQUIPMENT	\$180,000.00	\$0.00	\$180,000.00	1
NE-2020-013-01-00	300-00 (300-A1) OPERATING ASSISTANCE	\$18,060,959.00	\$0.00	\$18,060,959.00	1
NE-2020-013-01-00	30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$18,060,959.00	\$0.00	\$18,060,959.00	1
NE-2020-013-01-00	610-00 (610-A3) STATE / PROGRAMS ADMINISTRATION	\$1,355,445.00	\$0.00	\$1,355,445.00	1
NE-2020-013-01-00	11.80.00 STATE OR PROGRAM ADMINISTRATION	\$1,355,445.00	\$0.00	\$1,355,445.00	1
NE-2020-013-01-00	620-00 (620-A5) PROJECT ADMINISTRATION	\$1,912,500.00	\$0.00	\$1,912,500.00	2

NE-2020-013-01-00	11.79.00	PROJECT ADMINISTRATION	\$1,912,500.00	\$0.00	\$1,912,500.00	2
NE-2020-013-01-00	634-00 (634-A6)	INTERCITY BUS TRANSPORTATION	\$2,600,000.00	\$0.00	\$2,600,000.00	0
NE-2020-013-01-00	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$2,600,000.00	\$0.00	\$2,600,000.00	0

Discretionary Allocations

This application does not contain discretionary allocations.

Part 3: Project Information

Project Title: CARES Act funded Project Activities.

Project Number	Temporary Project Number	Date Created	Start Date	End Date
NE-2020-013-01-00	1815-2020-2-P1	5/6/2020	1/20/2020	9/30/2022

Project Description

Reimburse currently contracted FTA Section 5311 sub recipients for allowable operating expenses generally eligible under those programs to prevent, prepare for, and respond to COVID-19. Further, the sub recipients are also eligible to include operating expenses to maintain transit services as well as paying for administrative leave for transit personnel due to reduced operations during an emergency.

The reimbursement will utilize 100% federal funds from the CARES Act for the allowable operating expenses beginning January 20, 2020.

Nebraska has 57 rural transit systems providing demand response transportation services generally during hours throughout each week that has been published for public awareness.

Activity 1 - Administrative oversight and technical assistance in utilizing the CARES Act grant. Budget is \$1,355,445.

Activity 2 - Operating assistance for Nebraska's rural sub-recipients. Budget is \$18,060,959.

Activity 3 - Acquisition of vehicles for current fleet replacement in accordance with "State of Good Repair" in utilizing the CARES Act funds. Budget is \$3,000,000.

Activity 4 - Capital-Construction of Facilities to purchase furnishings and equipment for the new Kimball Co facility. Budget is \$180,000.

Activity 5 - There are two parts. One, planning and consultations with the University of Nebraska to optimize the dedication of CARES Act funds. Budget is \$1,732,500. Two, planning for development of the intercity Bus program for the tri-cities area in the counties of Hall, Adams, and Buffalo. Budget is \$180,000.

Activity 6 - Funds devoted to existing Intercity Bus operations assistance. Budget is \$2,600,000.

Project Benefits

Because of the COVID 19 pandemic, Transit operations in Nebraska have suffered. Ridership has significantly decreased. Sub-recipient Transit operations all across Nebraska are on life support. These CARES Act funds will provide much needed funding for the activities enumerated in the Project Description above.

Additional Information

None.

Location Description

All sub-recipient Transit operations across Nebraska will significantly benefit from these CARES Act funds.

Project Location (Urbanized Areas)

UZA Code	Area Name
310000	Nebraska

Congressional District Information

State	District	Representative
Nebraska	1	Jeff Fortenberry
Nebraska	3	Adrian Smith
Nebraska	2	Don Bacon

Program Plan Information**STIP/TIP**

Date: N/A

Description: N/A

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$27,108,904
Local			\$0
Local/In-Kind			\$0

State		\$0
State/In-Kind		\$0
Other Federal		\$0
Transportation Development Credit		\$0
Adjustment		\$0
Total Eligible Cost		\$27,108,904

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
NE-2020-013-01-00	111-00 (111-A2) BUS - ROLLING STOCK	\$3,000,000.00	\$0.00	\$3,000,000.00	50
NE-2020-013-01-00	BUY 11.12.15 REPLACEMENT VAN	\$3,000,000.00	\$0.00	\$3,000,000.00	50
NE-2020-013-01-00	114-00 (114-A4) BUS: SUPPORT EQUIP AND FACILITIES	\$180,000.00	\$0.00	\$180,000.00	1
NE-2020-013-01-00	ACQUIRE - MISC SUPPORT EQUIPMENT 11.42.20	\$180,000.00	\$0.00	\$180,000.00	1
NE-2020-013-01-00	300-00 (300-A1) OPERATING ASSISTANCE	\$18,060,959.00	\$0.00	\$18,060,959.00	1
NE-2020-013-01-00	EMER RELIEF - OPERATING ASSIST - 100% Fed Share 30.09.08	\$18,060,959.00	\$0.00	\$18,060,959.00	1
NE-2020-013-01-00	610-00 (610-A3) STATE / PROGRAMS ADMINISTRATION	\$1,355,445.00	\$0.00	\$1,355,445.00	1
NE-2020-013-01-00	STATE OR PROGRAM ADMINISTRATION 11.80.00	\$1,355,445.00	\$0.00	\$1,355,445.00	1
NE-2020-013-01-00	620-00 (620-A5) PROJECT ADMINISTRATION	\$1,912,500.00	\$0.00	\$1,912,500.00	2

NE-2020-013-01-00	11.79.00	PROJECT ADMINISTRATION	\$1,912,500.00	\$0.00	\$1,912,500.00	2
NE-2020-013-01-00	634-00 (634-A6)	INTERCITY BUS TRANSPORTATION	\$2,600,000.00	\$0.00	\$2,600,000.00	0
NE-2020-013-01-00	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$2,600,000.00	\$0.00	\$2,600,000.00	0

Project Budget Activity Line Items

Budget Activity Line Item: 30.09.08 - EMER RELIEF - OPERATING ASSIST - 100% Fed Share

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
INTERCITY BUS TRANSPORTATION (634-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	OPERATING ASSISTANCE	0

Extended Budget Description

This funding will be used to exclusively support existing Intercity Bus programs already operating in Nebraska. The NE Governor's letter of Intercity Bus Certificate is attached detailing that the State will not be utilizing the required 15% for intercity bus activity, because it has already been adequately met.

The period funds are being requested for: 5/15/2020 - 9/30/2020

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$2,600,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$2,600,000

Milestone Name	Est. Completion Date	Description
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Start Date	5/15/2020	Support for Intercity Bus program operating assistance has already commenced.
End Date	9/30/2022	

Budget Activity Line Item: 11.79.00 - PROJECT ADMINISTRATION

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
PROJECT ADMINISTRATION (620-00)	11.79.00	PROJECT ADMINISTRATION	PROJECT ADMINISTRATION	2

Extended Budget Description

There are two parts to this:

1. NDOT Transit will retain the University of Nebraska for consultations regarding: technical IT support, technical program support, and other matters which NDOT Transit will determine as needs arise. The budget for this is \$1,732,500.
2. Activity will be conducted to develop the Intercity Bus program in the tri-cities region consisting of Hall, Adams, and Buffalo Counties. The budget for this will be \$180,000.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$1,912,500
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$1,912,500

Milestone Name	Est. Completion Date	Description
Start Date	6/30/2020	Activities will commence on this approximate date.
End Date	9/30/2022	

Budget Activity Line Item: 11.42.20 - ACQUIRE - MISC SUPPORT EQUIPMENT

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS: SUPPORT EQUIP AND FACILITIES (114-00)	11.42.20	ACQUIRE - MISC SUPPORT EQUIPMENT	ACQUISITION OF BUS SUPPORT EQUIP/FACILITIES	1

Extended Budget Description

Acquire equipment and furnishings for the new facility in Kimball Co Transit.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$180,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$180,000

Milestone Name	Est. Completion Date	Description
Start Date	6/15/2020	Commence acquisition of equipment and furnishings.
End Date	9/30/2022	

Budget Activity Line Item: 11.80.00 - STATE OR PROGRAM ADMINISTRATION

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
STATE / PROGRAMS ADMINISTRATION (610-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	STATE / PROGRAM ADMINISTRATION	1

Extended Budget Description

Administrative oversight and technical assistance in utilizing the CARES Act grant. The Budget is \$1,355,445.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$1,355,445
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0

Other Federal		\$0
Transportation Development Credit		\$0
Adjustment		\$0
Total Eligible Cost		\$1,355,445

Milestone Name	Est. Completion Date	Description
Start Date	5/15/2020	Administration of CARES Funds has already commenced, using State funds until they are reimbursed by CARES funds.
End Date	9/30/2022	

Budget Activity Line Item: 11.12.15 - BUY REPLACEMENT VAN

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS - ROLLING STOCK (111-00)	11.12.15	BUY REPLACEMENT VAN	BUY REPLACEMENTS - CAPITAL BUS	50

Extended Budget Description

The vans will be obtained through an approved contract administered by the State of Nebraska's Purchasing Bureau. Conditions of the contract and any negotiations needed to revise the contract due to changes in scope and/or conditions will be conducted in accordance with FTA C 4220.1F revised March 18, 2013.

The vans will be placed in service with an expected useful life of at least four (4) years or traveled in service of at least 100,000 miles.

The state funds are appropriated by the Nebraska Legislature every two years and are available, as match, throughout each year, for vehicle procurements.

The sub-recipients' local funds source(s) will be from client fares, non-medical transportation fees paid by the Nebraska Health and Human Services, community contributions, fundraising activities, inter-organizational fee charges or parent organization transfers.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Propulsion	Fuel Type	Vehicle Condition	Vehicle Size (ft.)
N/A	Gasoline	New	Seating from 5 to 12 position with ADA compliant wheel-chair capability.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$3,000,000
Local			\$0
Local/In-Kind			\$0

State		\$0
State/In-Kind		\$0
Other Federal		\$0
Transportation Development Credit		\$0
Adjustment		\$0
Total Eligible Cost		\$3,000,000

Milestone Name	Est. Completion Date	Description
RFP/IFB Issue Date	7/15/2020	The Nebraska Department of Transportation (NDOT) solicits applications periodically by a list serve email to all eligible rural transit systems. The application is made available online on the transit systems' website dashboard at www.nebraskatransit.com .
Contract Award Date	8/31/2020	Contracts for all vehicle types (5-passenger lowered floor minivan 12-passenger and vans are currently in effect. It is expected there will be a contract for the Ford transit vans meeting the new specifications.
Initial Delivery Date	12/31/2020	Assembly usually takes 90 days if there are no build out delays by the chassis' manufacturer.
Final Delivery Date	9/30/2022	The final delivery of the vehicles to arrive at NDOT is contingent upon subrecipient receipt of its local share, "Build-out" production dates may cause temporary shutdowns when chassis year of manufacture changes and delivery schedule of the supplier.
Contract Completion Date	9/30/2022	Warranty issues, if any, will be addressed during the year following the final delivery of vehicles.

Budget Activity Line Item: 30.09.08 - EMER RELIEF - OPERATING ASSIST - 100% Fed Share

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OPERATING ASSISTANCE (300-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	OPERATING ASSISTANCE	1

Extended Budget Description

There is budgeted \$18,000,000 to assist all Transit sub-recipients across Nebraska with their operations. Ridership has significantly decreased effecting budgets. Sub-recipients' operations deficits are significantly increasing. The funding will help get through this pandemic.

Provision of transit service, including but not limited to:

Driver and other operations worker salaries

Fuel

Supplies (including personal protective equipment and cleaning supplies)

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$18,060,959
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$18,060,959

Milestone Name	Est. Completion Date	Description
Start Date	1/20/2020	Assist all Transit sub-recipients with their operating budgets and worsening deficits.
End Date	9/30/2022	

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	6/16/2020

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OPERATING ASSISTANCE (300-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	1	\$18,060,959.00	\$18,060,959.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	6/16/2020

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
BUS - ROLLING STOCK (111-00)	11.12.15	BUY REPLACEMENT VAN	50	\$3,000,000.00	\$3,000,000.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	6/16/2020

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
STATE / PROGRAMS ADMINISTRATION (610-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	1	\$1,355,445.00	\$1,355,445.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	6/16/2020

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
BUS: SUPPORT EQUIP AND FACILITIES (114-00)	11.42.20	ACQUIRE - MISC SUPPORT EQUIPMENT	1	\$180,000.00	\$180,000.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	6/16/2020

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
PROJECT ADMINISTRATION (620-00)	11.79.00	PROJECT ADMINISTRATION	2	\$1,912,500.00	\$1,912,500.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description	Date
	Class IIc CE Approved	6/16/2020

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
INTERCITY BUS TRANSPORTATION (634-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	0	\$2,600,000.00	\$2,600,000.00

Part 4: Fleet Details

No fleet data exists for this application.

Part 5: FTA Review Comments

FTA Comments for DOL

Comment By Logan Daniels

Comment Type	DOL Review for Information
Date	6/17/2020
Comment	This Nebraska DOT FY 2020 Section 5311 application utilizes \$27,108,904 in CARES Act funding to help respond to and recover from the COVID-19 emergency situation. The Sec. 5311 CARES Act for Vans, Operating, Admin, Intercity Bus & Equipment

Application Review Comments

Comment By Logan Daniels

Comment Type	FTA Post Review Comments for Grantee
Date	5/19/2020
Comment	Returned to grantee for updates, per grantee request.

Comment By Logan Daniels

Comment Type	FTA Post Review Comments for Grantee
Date	5/27/2020
Comment	Must return because you selected the wrong funding: Funding Source Code: 5311 - COVID-19 ER Response Rural Area Formula 5311-8A; this is for regular 5311 funds, that you want to use for the COVID emergency. You should have selected: 5311-5 - 5311 Rural Area Formula (CARES Act); these funds were appropriated specifically for the COVID emergency. Please go back and select the 5311-5 CARES Act funding source for each project.

Comment By Logan Daniels

Comment Type	FTA Post Review Comments for Grantee
Date	6/1/2020
Comment	Grant is being returned, because the expansion vehicle are not in the STIP in accordance with the CARES Act rules. You may remove all language referencing these vehicles and return the grant and it should pass for concurrence in the Office.

Application Review Comments**Comment By Beth Held**

Comment Type	Environmental Findings
Date	6/16/2020
Project Title	CARES Act funded Project Activities.
Project Number	NE-2020-013-01-00
Comment	This application utilizes CARES Act funding to assist and reimburse the operating costs associated with the response and recovery from the COVID-19 pandemic, and includes but not limited to, program administration, acquisition of vehicles, and operating assistance to rural sub-recipients, is found compliant as a Categorical Exclusion under 23 CFR Part 771.118 (c)(4).

Comment By Beth Held

Comment Type	Environmental Findings
Date	6/16/2020
Project Title	CARES Act funded Project Activities.
Project Number	NE-2020-013-01-00

Comment The proposed acquisition activity to purchase current fleet replacement for eligible sub-recipients is found compliant as a Categorical Exclusion under 23 CFR Part 771.118 (c)(7).

Comment By Beth Held

Comment Type	Environmental Findings
Date	6/16/2020
Project Title	CARES Act funded Project Activities.
Project Number	NE-2020-013-01-00
Comment	The proposed state and program administration activity is found compliant as a Categorical Exclusion under 23 CFR Part 771.118 (c)(4).

Comment By Beth Held

Comment Type	Environmental Findings
Date	6/16/2020
Project Title	CARES Act funded Project Activities.
Project Number	NE-2020-013-01-00
Comment	The proposed acquisition activity to purchase furnishings and equipment for the new Kimball Co facility that received NEPA CE(8) approval on 9/20/2018, is found compliant as a Categorical Exclusion under 23 CFR Part 771.118 (c)(7).

Comment By Beth Held

Comment Type	Environmental Findings
Date	6/16/2020
Project Title	CARES Act funded Project Activities.
Project Number	NE-2020-013-01-00
Comment	The proposed project administration activity is found compliant as a Categorical Exclusion under 23 CFR Part 771.118 (c)(4).

Comment By Beth Held

Comment Type	Environmental Findings
Date	6/16/2020
Project Title	CARES Act funded Project Activities.

Project Number	NE-2020-013-01-00
Comment	This application utilizes CARES Act funding to assist and reimburse the operating costs associated with the response and recovery from the COVID-19 pandemic, and includes but not limited to, program administration and planning for development of the intercity Bus program for the tri-cities area in the counties of Hall, Adams, and Buffalo, is found compliant as a Categorical Exclusion under 23 CFR Part 771.118 (c)(4).

Part 6: Agreement

**UNITED STATES OF AMERICA
DEPARTMENT OF TRANSPORTATION
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT
(FTA G-26, October 1, 2019)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(26), October 1, 2019, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

FTA AWARD

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

Recipient Information

Recipient Name: Transportation, Nebraska Department Of

Recipient ID: 1815

DUNS No: 808881403

Application Information

Federal Award Identification Number: NE-2020-013-00

Application Name: NDOT Sec. 5311 CARES Act for Vans, Operating, Admin, Intercity Bus & Equipment

Application Start Date: N/A

Original Award End Date: 9/30/2023

Current Award End Date: N/A

Application Executive Summary: 1. This FY 2020 Section 5311 application utilizes \$27,108,904 in CARES Act funding to help respond to and recover from the COVID-19 emergency situation.

2. The funding in this application is 100% of the apportioned amount of the CARES Act funds allocated to Nebraska.

3. NDOT agrees that if Nebraska receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this grant agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.

4. Documents attached to this application include: Vision 2032, Intercity Bus Certification by Governor's Letter, and Program of Projects.

5. The following activities shall be conducted:

a. ALI 610-00, State Administration, for statewide rural Transit. The budget is \$1,355,445.

b. ALI 300-00, for Operating Assistance. The budget is \$18,060,959.

c. ALI 111-00, Bus - Rolling Stock. The budget is \$3,000,000.

d. ALI 114-00, Bus Support Equip/Facilities. This is for the new Kimball Co facility to purchase furnishings and equipment of \$180,000.

e. ALI 620-00, Planning. Budget includes contract with University of Nebraska of \$1,732,500, and planning for tri-cities area Transit planning of \$180,000.

f. ALI 634-00, Intercity Bus Transportation. Budget is \$2,600,000.

6. For ALI 300-00, this project includes \$18,060,959 of Federal funding (up to 100% federal share) for Operations activities incurred beginning 01-20-2020.

7. This Project covers only operations, planning and/or capital projects, with no substantial functional, location, or capacity change. Therefore, per CARES Act requirements, no Statewide Transportation Improvement Program (STIP) or Transportation Improvement Plan (TIP), is needed.

Research and Development: This award does not include research and development activities.

Indirect Costs: This award does not include an indirect cost rate.

Suballocation Funds: Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Pre-Award Authority: This award is using Pre-Award Authority.

Application Budget

Total Application Budget: \$27,108,904.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$27,108,904.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$0.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$27,108,904.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$0.00

Application Budget Control Totals

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$27,108,904
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$27,108,904

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:

Original Certification Date:

Special Conditions

There are no special conditions.

FINDINGS AND DETERMINATIONS

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

FTA AWARD OF THE GRANT AGREEMENT

Awarded By:

FEDERAL TRANSIT ADMINISTRATION
U.S. DEPARTMENT OF TRANSPORTATION
Contact Info:
Award Date:

EXECUTION OF THE GRANT AGREEMENT

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
 - (a) Statements,
 - (b) Representations,
 - (c) Warranties,
 - (d) Covenants, and
 - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

Transportation, Nebraska Department Of