

Application

Federal Award Identification Number (FAIN)	NE-2020-012-00
Temporary Application Number	6776-2020-4
Application Name	Winnebago Tribe: TTP FFY19 Discretionary Capital Van Purchase
Application Status	Final Concurrence / Reservation
Application Budget Number	0

Period of Performance Start Date	N/A
Original Period of Performance End Date	3/31/2022
Current Period of Performance End Date	N/A Revision #: N/A

Part 1: Recipient Information

Name: Winnebago Tribe Of Nebraska

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
6776	Indian Tribe	WINNEBAGO TRIBE OF NEBRASKA	041643834

Location Type	Address	City	State	Zip
Headquarters	100 BLUFF ST	WINNEBAGO	NE	680719787
Physical Address	100 BLUFF ST	WINNEBAGO	NE	68071
Mailing Address	P.O. BOX 687	WINNEBAGO	NE	68071

Union Information

There are no union contacts for this application

Part 2: Application Information

<p>Title: Winnebago Tribe: TTP FFY19 Discretionary Capital Van Purchase</p>
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FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
NE-2020-012-00	Final Concurrence / Reservation	Grant	4/22/2020	4/22/2020	No

Application Executive Summary

The Winnebago Tribe of Nebraska is requesting FFY 2019 funds with earmark ID: D2020-TRTR-018 total amount \$32,207 in Federal funds for the purchase of a 2019 Ford Transit 15-passenger van which will accommodate the growth in ridership among patrons of the Winnebago Tribal Transit Program. We have designed a new 60-mile-roundtrip route for the van, which will provide shorter turn-around time for individuals accessing transportation services and increase patrons' access to educational and employment opportunities, healthcare services, and personal grocery shopping.

FUNDING:

FFY 2019: \$32,207
WTT Share: \$3,543
Grant Total: \$35,428

There is a 10% local match requirement under this program; the local match will be provided by the WinneVegas Casino.

Frequency of Milestone Progress Reports (MPR)

Annual

Frequency of Federal Financial Reports (FFR)

Annual

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is not using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Will this Grant be using Lapsing Funds?

No, this Grant does not use Lapsing Funds.

Will indirect costs be applied to this application?

This award is applying an approved Indirect cost rate(s).

Indirect Rate Details: The current fixed-carryforward Indirect Cost Rate for the Winnebago Tribe is 11.7%.

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Logan	Daniels	Transportation Program Specialist	Logan.Daniels@dot.gov	(816) 329-3933
Rita	Dunn	Grant and Contract Specialist	rita.dunn@winnebagotribe.com	(402) 878-2272 2213

Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Competitive	5311-1C	20509	\$32,207
Local			\$3,579
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$35,786

Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
NE-2020-012-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$32,207.00	\$3,579.00	\$35,786.00	1
NE-2020-012-01-00	11.13.15 BUY VAN FOR SVC EXPANSION	\$32,207.00	\$3,579.00	\$35,786.00	1

Discretionary Allocations

Discretionary ID	Project Title	Amount Applied	FAIN	Congressional Release Date
D2020-TRTR-018	Capital for New Route	\$32,207	NE-2020-012-00	

Part 3: Project Information

Project Title: Winnebago Tribe TTP FFY19 Discretionary Capital (Vehicle)

Project Number	Temporary Project Number	Date Created	Start Date	End Date
NE-2020-012-01-00	6776-2020-4-P1	4/22/2020	8/15/2020	3/1/2021

Project Description

The Winnebago Tribe is applying to purchase a van to meet the growing transportation needs of individuals served by the Winnebago Tribal Transit program.

The new passenger van will be a 2019 T-350 LR, 148" wheelbase, 15 passenger seats, privacy glass, exterior length running boards, heavy duty alternator, cruise control, rear window defogger, 50 state emissions, 9000# GVWR Package, 6-SPD auto select shift TR, 3.7L RIVCT V6 Engine, manual air conditioner, and Pewter Vinyl XL Trim.

The anticipated life of the 15 passenger vehicle is estimated to serve WTT in excess of 5 years.

The Van will serve as a backup and the new route that this vehicle will take is filling a gap that community members need reliable transportation for personal services as well as regularly schedule appointment for health care, and employment. Passengers on this route will include clients accessing education and employment opportunities and/or arrange by the Winnebago Human Services TANF office and tribal Education departments.

Project Benefits

The Winnebago Tribal Transit Program has continued to increase ridership year after year. Patrons/riders have increased since the inception of the service ten years ago. The service is beneficial to residents due to the ever increasing fuel costs. Our on-going growth of passengers has gone from 15,423 in 2009 to 29,018 to/from the Casino/Resort and 374 of the general public in 2018.

Based on the growth of the ridership the new van was designed to accommodate more individuals and provide shorter turn-around times with the most economical type vehicle to minimize operating costs. This route will be used for individuals who need to access grocery shopping without worry of food safety. Job growth in the region is improving and access to those jobs is attainable with the use of WTT's service. We have experienced an increase in the number of employees gaining employment at the Tribe's new hotel/resort on the Iowa side of the Reservation. Job growth continues to increase in our service area that tribal members and residents are taking advantage of and using the WTT as their source of transportation. Our community has also had an increase in the number of individuals purchasing new homes and these homes and there are plans for building more homes in our community within the next 5 years. We anticipate the number of patrons to continue to increase as the steady growth in population of our community continues.

The number of individuals impacted by our services is difficult to measure as we not only serve the residents of Thurston County, Nebraska, Native Americans in the Sioux City and South Sioux City area use the service to access healthcare at the Winnebago Tribe's 12 Clans Unity Hospital/Winnebago Comprehensive Healthcare Services. Both the Winnebago and Omaha Reservations make up a little more than the entirety of Thurston County, Nebraska.

Additional Information

The route the van will take is approximately 30 miles in length one way, providing access to employment, education, healthcare, and personal services including groceries for an estimated seventy-five people.

Location Description

Dakota and Thurston Counties in Nebraska, and Woodbury County in Iowa.

Project Location (Urbanized Areas)

UZA Code	Area Name
310000	Nebraska

Congressional District Information

State	District	Representative
Nebraska	1	Jeff Fortenberry
Iowa	4	Steve King

Program Plan Information**STIP/TIP**

Date: 3/31/2020

Description: As it pertains to the Road Department filed with the Bureau of Indian Affairs.

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Competitive	5311-1C	20509	\$32,207
Local			\$3,579
Local/In-Kind			\$0
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Total Eligible Cost	\$35,786
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Project Budget

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Project Budget Activity Line Items

Budget Activity Line Item: 11.13.15 - BUY VAN FOR SVC EXPANSION
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Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	11.13.15	BUY VAN FOR SVC EXPANSION	BUY EXPANSION - CAPITAL BUS	1

Extended Budget Description

The Winnebago Tribe is applying to purchase a van to meet the growing transportation needs of individuals served by the Winnebago Tribal Transit program.

The Tribe is authorized to have a 10% Local Share.

The new passenger van will be a 2019 T-350 LR, 148" wheelbase, 15 passenger seats, privacy glass, exterior length running boards, heavy duty alternator, cruise control, rear window defogger, 50 state emissions, 9000# GVWR Package, 6-SPD auto select shift TR, 3.7L RIVCT V6 Engine, manual air conditioner, and Pewter Vinyl XL Trim.

The anticipated useful life of the 15 passenger vehicle is estimated to serve 5 years.

The Van will serve as a backup and the new route that this vehicle will take is filling a gap that community members need reliable transportation for personal services as well as regularly schedule appointment for health care, and employment. Passengers on this route will include clients accessing education and employment opportunities and/or arrange by the Winnebago Human Services TANF office and tribal Education departments.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
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5311 - Tribal Transit Competitive	5311-1C	20509	\$32,207
Local			\$3,579
Local/In-Kind			\$0
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State/In-Kind			\$0
Other Federal			\$0
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Adjustment			\$0
Total Eligible Cost			\$35,786

Milestone Name	Est. Completion Date	Description
RFP Date	8/15/2020	Estimated completion date for 3-month procurement period, beginning 5/15/2020
Contract Award	9/1/2020	Estimated contract award date within 30 days following procurement period.
Van Delivered	12/1/2020	Estimated delivery date within 90 days after contract for 15-passenger van is awarded.
Contract Complete	3/1/2021	Estimated end date of project activities, accounting for potential complications or delays in procurement or delivery related to COVID-19 pandemic or other unforeseen issues.

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	6/8/2020

Part 4: Fleet Details

No fleet data exists for this application.

Part 5: FTA Review Comments

FTA Comments for DOL

Comment By Logan Daniels

Comment Type DOL Review for Information

Date 6/11/2020

Comment The Winnebago Tribe is coming in for their discretionary funding to purchase a van (\$32,207).

Application Review Comments

Comment By Logan Daniels

Comment Type FTA Post Review Comments for Grantee

Date 4/24/2020

Comment Please fix the Federal and Local percentage split to be 90/10 percent.

Comment By Logan Daniels

Comment Type FTA Post Review Comments for Grantee

Date 4/28/2020

Comment Sorry I need to return your grant, so you can change the Scope to 600-00 and then use the regular Operating ALL.

Comment By Logan Daniels

Comment Type FTA Post Review Comments for Grantee

Date 5/6/2020

Comment Please add these milestone dates to your project:
Not sure what you mean by "Start Date" is that the RFP Date? If so, change to that.
Contract Award Date:
Van Delivered Date:
Contract Complete Date:
You can return the grant after this, thanks.

Comment By Logan Daniels

Comment Type	FTA Post Review Comments for Grantee
Date	5/8/2020
Comment	I'm returning your grant, because the dollars amounts are not matching in the grant. The Federal funds are \$32,207, but in the budget, you have \$31,885. You also selected the wrong funding source.

Application Review Comments

Comment By Beth Held

Comment Type	Environmental Findings
Date	6/8/2020
Project Title	Winnebago Tribe TTP FFY19 Discretionary Capital (Vehicle)
Project Number	NE-2020-012-01-00
Comment	The proposed acquisition activity to purchase a 2019 Ford Transit 15-passenger van to accommodate the growth in ridership among patrons of the Winnebago Tribal Transit Program, is found compliant as a Categorical Exclusion under 23 CFR Part 771.118 (c)(7).

Part 6: Agreement

**UNITED STATES OF AMERICA
DEPARTMENT OF TRANSPORTATION
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT
(FTA G-26, October 1, 2019)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(26), October 1, 2019, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

FTA AWARD

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

Recipient Information

Recipient Name: Winnebago Tribe Of Nebraska

Recipient ID: 6776

DUNS No: 041643834

Application Information

Federal Award Identification Number: NE-2020-012-00

Application Name: Winnebago Tribe: TTP FFY19 Discretionary Capital Van Purchase

Application Start Date: N/A

Original Award End Date: 3/31/2022

Current Award End Date: N/A

Application Executive Summary: The Winnebago Tribe of Nebraska is requesting FFY 2019 funds with earmark ID: D2020-TRTR-018 total amount \$32,207 in Federal funds for the purchase of a 2019 Ford Transit 15-passenger van which will accommodate the growth in ridership among patrons of the Winnebago Tribal Transit Program. We have designed a new 60-mile-roundtrip route for the van, which will provide shorter turn-around time for individuals accessing transportation services and increase patrons' access to educational and employment opportunities, healthcare services, and personal grocery shopping.

FUNDING:

FFY 2019: \$32,207

WTT Share: \$3,543

Grant Total: \$35,428

There is a 10% local match requirement under this program; the local match will be provided by the WinneVegas Casino.

Research and Development: This award does not include research and development activities.

Indirect Costs: This award is applying an approved Indirect cost rate(s).

Suballocation Funds: Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Pre-Award Authority: This award is not using Pre-Award Authority.

Application Budget

Total Application Budget: \$35,786.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$32,207.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$3,579.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$32,207.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$3,579.00

Application Budget Control Totals

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Competitive	5311-1C	20509	\$32,207
Local			\$3,579
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State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$35,786

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:

Original Certification Date:

Special Conditions

There are no special conditions.

FINDINGS AND DETERMINATIONS

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

FTA AWARD OF THE GRANT AGREEMENT

Awarded By:

FEDERAL TRANSIT ADMINISTRATION
U.S. DEPARTMENT OF TRANSPORTATION
Contact Info:
Award Date:

EXECUTION OF THE GRANT AGREEMENT

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
 - (a) Statements,
 - (b) Representations,
 - (c) Warranties,
 - (d) Covenants, and
 - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

Winnebago Tribe Of Nebraska