

Application

Federal Award Identification Number (FAIN)	MN-2020-044-00
Temporary Application Number	6688-2020-3
Application Name	5311 CARES Act Operating Assistance and Bus Purchase
Application Status	Final Concurrence / Reservation
Application Budget Number	0

Period of Performance Start Date	N/A
Original Period of Performance End Date	3/30/2024
Current Period of Performance End Date	N/A Revision #: N/A

Part 1: Recipient Information

Name: Leech Lake Reservation Business Committee Inc

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
6688	Indian Tribe	LEECH LAKE BAND OF OJIBWE	066511122

Location Type	Address	City	State	Zip
Headquarters	190 SAILSTAR DR NW	CASS LAKE	MN	56633
Physical Address	190 SAILSTAR DR NW	CASS LAKE	MN	56633
Mailing Address	190 SAILSTAR DRIVE NW	CASS LAKE	MN	56633

Union Information

There are no union contacts for this application

Part 2: Application Information

Title: 5311 CARES Act Operating Assistance and Bus Purchase

FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
MN-2020-044-00	Final Concurrence / Reservation	Grant	6/8/2020	6/8/2020	No

Application Executive Summary

This application utilizes CARES Act funding to prevent, prepare for, and respond to coronavirus. The application scope of work includes the full FY20 5311 CARES Act apportionment of \$112,433 to be used towards the purchase of (1) replacement, Class 400 Medium Light-Duty Cutaway Bus in the amount of \$92,000 and Operating Assistance for PPE and sanitizing supplies in the amount of \$20,433 (in addition to operating assistance formula funds for operating assistance).

Period of performance 6/15/20 - 06/15/2022.

The Recipient agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.

Per the CARES Act, the project(s) in this application is not required to be programmed in the Long-Range Transportation Plan or Statewide Transportation Improvement Program.

In executing this grant, the recipient agrees to abide by Section 3011 of the FAST Act (49 U.S.C. 5323(j)(2)(C) regarding the phased increase in domestic content under the Buy America statute for rolling stock.

As Grant Agreement includes an FTA-funded transit vehicle purchase, Recipient agrees to comply with the related requirements set forth in 49 CFR § 26.49(a). Specifically, Recipient must ensure as a condition of being authorized to bid or propose on FTA-assisted transit vehicle procurements, that each transit vehicle manufacturer certified that it complied with DOT requirements for submitting a DBE program and goal to FTA prior to bidding. Recipient is also required to submit the name of the successful bidder and the total dollar value of the contract within 30 days of making a FTA- assisted transit vehicle award using the required FTA reporting tool. Recipient understands that failure to comply with these requirements may result in formal enforcement action or appropriate sanction as determined by FTA, including reimbursement of FTA funds used for the vehicle procurement.

Frequency of Milestone Progress Reports (MPR)

Annual

Frequency of Federal Financial Reports (FFR)

Annual

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Will this Grant be using Lapsing Funds?

No, this Grant does not use Lapsing Funds.

Will indirect costs be applied to this application?

This award does not include an indirect cost rate.

Indirect Rate Details: N/A

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Matthew	Lange	Transportation Program Specialist	matthew.lange@dot.gov	(312) 353-4118
Ernest	Robinson	Fleet Manager	ernie.robinson@llojibwe.org	(218) 335-4452

Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$112,433
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$112,433

Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
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MN-2020-044-01-00	111-00 (111-A1)	BUS - ROLLING STOCK	\$92,000.00	\$0.00	\$92,000.00	1
MN-2020-044-01-00		BUY 11.12.03 REPLACEMENT 30-FT BUS	\$92,000.00	\$0.00	\$92,000.00	1
MN-2020-044-01-00	300-00 (300-A2)	OPERATING ASSISTANCE	\$20,433.00	\$0.00	\$20,433.00	1
MN-2020-044-01-00		EMER RELIEF - 30.09.08 OPERATING ASSIST - 100% Fed Share	\$20,433.00	\$0.00	\$20,433.00	1

Discretionary Allocations

This application does not contain discretionary allocations.

Part 3: Project Information

Project Title: FY20 5311 CARES Act Operating and Bus Purchase

Project Number	Temporary Project Number	Date Created	Start Date	End Date
MN-2020-044-01-00	6688-2020-3-P1	6/8/2020	6/15/2020	6/15/2022

Project Description

This application utilizes CARES Act funding to prevent, prepare for, and respond to coronavirus. The application scope of work includes the full FY20 5311 CARES Act apportionment of \$112,433 to be used towards the purchase of (1) replacement, Class 400 Medium Light-Duty Cutaway Bus in the amount of \$92,000 and Operating Assistance for PPE and sanitizing supplies in the amount of \$20,433 (in addition to operating assistance formula funds).

Period of performance 6/15/20 - 06/15/2022.

Project Benefits

The Leech Lake Band of Ojibwe purchased (3) 400 Class buses in 2011, that are now well-beyond their "useful-life mileage." The CARES Act funding will be used towards the replacement of one bus, the other (2) buses will be replaced utilizing 5311c Tribal Transit funding. The types of vehicles used have a seating capacity of up to 18 passengers. This vehicle offers more interior space, which is often necessary for lift equipment and a wheelchair station. The service area that the Leech Lake Transit Service Program operates within includes two service routes. The first service route is between Cass Lake and Bemidji, and the second route consists of the geographical between Squaw Lake, Deer River, and Grand Rapids. The types of services operate as a route deviation service. The Cass Lake and Bemidji routes are roughly 12,000 miles annually and 12,000 miles for the Squaw Lake, Deer River, and Grand Rapids route. Primary origins and destinations between the towns include the first route – the Cass Lake/Bemidji Route – would operate along U.S. Highway 2 from Cass Lake to Bemidji Monday Through Friday from 8:00 am – 4:30 pm. The second route is the Squaw Lake to Deer River to Grand Rapids Route Monday-Wednesday-Friday 7:30 am to 4:00, and on Tuesdays and Thursdays, this Squaw Lake trip goes to Cass Lake, Bemidji and points in between. The population served has been public in general, which includes youth, elderly, handicapped, and disabled. The Leech Lake Transit Service Project vehicles are maintained on a routine basis. Daily inspections are

conducted to ensure safety. Regular inspections are completed as well as annual inspections of the total vehicle. General maintenance is tracked for cost as well as for safety precautions.

Additional Information

None provided.

Location Description

The service area that the Leech Lake Transit Service Program has been in operation with two routes between Cass Lake and Bemidji as well as between Squaw Lake, Deer River, and Grand Rapids. The types of service operating as a deviated route service. The Cass Lake and Bemidji routes are roughly 12,000 miles annually and 12,000 miles for the Squaw Lake, Deer River, and Grand Rapids route. Primary origins and destinations between the towns are identified in both routes. The first route – the Cass Lake/Bemidji Route – would operate along U.S. Highway 2 from Cass Lake to Bemidji Monday Through Friday from 8:00 am – 4:30 pm. The second route is the Squaw Lake to Deer River to Grand Rapids Route Monday-Wednesday-Friday 7:30 am to 4:00, and on Tuesdays and Thursdays. The Squaw Lake trip goes to Cass Lake, Bemidji, and points in between.

Project Location (Urbanized Areas)

UZA Code	Area Name
270000	Minnesota

Congressional District Information

State	District	Representative
Minnesota	8	Richard M Nolan

Program Plan Information

STIP/TIP

Date: N/A

Description: N/A

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$112,433
Local			\$0

Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$112,433

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
MN-2020-044-01-00	111-00 (111-A1) BUS - ROLLING STOCK	\$92,000.00	\$0.00	\$92,000.00	1
MN-2020-044-01-00	BUY 11.12.03 REPLACEMENT 30-FT BUS	\$92,000.00	\$0.00	\$92,000.00	1
MN-2020-044-01-00	300-00 (300-A2) OPERATING ASSISTANCE	\$20,433.00	\$0.00	\$20,433.00	1
MN-2020-044-01-00	30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$20,433.00	\$0.00	\$20,433.00	1

Project Budget Activity Line Items

Budget Activity Line Item: 11.12.03 - BUY REPLACEMENT 30-FT BUS

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS - ROLLING STOCK (111-00)	11.12.03	BUY REPLACEMENT 30-FT BUS	BUY REPLACEMENTS - CAPITAL BUS	1

Extended Budget Description

CARES Act funding: \$92,000. The current bus; YEAR: 2011, VIN: 1FD4E4FS2BDA27137, MILEAGE: 196,835, will be replaced with another Class 400, Medium-Size, Light-Duty, that has a 5 useful-life years, and is up to 30' in length. Both vehicles have a useful life of 7 years or 200,000 miles. Project Performance Period: 06/15/2020 - 06/15/2022

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Propulsion	Fuel Type	Vehicle Condition	Vehicle Size (ft.)
N/A	Gasoline	New	Class 400 Medium Light-Duty Cutaway Bus

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$92,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$92,000

Milestone Name	Est. Completion Date	Description
RFP/IFB Issue Date	7/1/2020	RFP/IFB Issue Date
Contract Selection	8/31/2020	Contract Selection
Final Delivery Date	9/1/2021	Final Delivery Date

Budget Activity Line Item: 30.09.08 - EMER RELIEF - OPERATING ASSIST - 100% Fed Share

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OPERATING ASSISTANCE (300-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	OPERATING ASSISTANCE	1

Extended Budget Description

CARES Act funding to be used towards PPE equipment and sanitizing supplies in the amount of \$20,433. 06/15/2020 - 06/15/2022. The undertakings are consistent with allowable activities outlined in C9030.1E, pages IV-14 to IV-15 and Appendix B, respectively

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$20,433
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0

Other Federal		\$0
Transportation Development Credit		\$0
Adjustment		\$0
Total Eligible Cost		\$20,433

Milestone Name	Est. Completion Date	Description
Start Date	6/15/2020	Operating Assistance CARES Act Start Date
End Date	6/15/2022	Operating Assistance CARES Act End Date

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
BUS - ROLLING STOCK (111-00)	11.12.03	BUY REPLACEMENT 30-FT BUS	1	\$92,000.00	\$92,000.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description	Date
	Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OPERATING ASSISTANCE (300-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	1	\$20,433.00	\$20,433.00

Part 4: Fleet Details

No fleet data exists for this application.

Part 5: FTA Review Comments

FTA Comments for DOL

Comment By **Matthew Lange**

Comment Type DOL Review for Information

Date 6/16/2020

Comment Information only.

Part 6: Agreement

**UNITED STATES OF AMERICA
DEPARTMENT OF TRANSPORTATION
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT
(FTA G-26, October 1, 2019)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(26), October 1, 2019, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and

provided to FTA, and

(3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

FTA AWARD

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

Recipient Information

Recipient Name: Leech Lake Reservation Business Committee Inc

Recipient ID: 6688

DUNS No: 066511122

Application Information

Federal Award Identification Number: MN-2020-044-00

Application Name: 5311 CARES Act Operating Assistance and Bus Purchase

Application Start Date: N/A

Original Award End Date: 3/30/2024

Current Award End Date: N/A

Application Executive Summary: This application utilizes CARES Act funding to prevent, prepare for, and respond to coronavirus. The application scope of work includes the full FY20 5311 CARES Act apportionment of \$112,433 to be used towards the purchase of (1) replacement, Class 400 Medium Light-Duty Cutaway Bus in the amount of \$92,000 and Operating Assistance for PPE and sanitizing supplies in the amount of \$20,433 (in addition to operating assistance formula funds for operating assistance).

Period of performance 6/15/20 - 06/15/2022.

The Recipient agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.

Per the CARES Act, the project(s) in this application is not required to be programmed in the Long-Range

Transportation Plan or Statewide Transportation Improvement Program.

In executing this grant, the recipient agrees to abide by Section 3011 of the FAST Act (49 U.S.C. 5323(j)(2)(C) regarding the phased increase in domestic content under the Buy America statute for rolling stock.

As Grant Agreement includes an FTA-funded transit vehicle purchase, Recipient agrees to comply with the related requirements set forth in 49 CFR § 26.49(a). Specifically, Recipient must ensure as a condition of being authorized to bid or propose on FTA-assisted transit vehicle procurements, that each transit vehicle manufacturer certified that it complied with DOT requirements for submitting a DBE program and goal to FTA prior to bidding. Recipient is also required to submit the name of the successful bidder and the total dollar value of the contract within 30 days of making a FTA- assisted transit vehicle award using the required FTA reporting tool. Recipient understands that failure to comply with these requirements may result in formal enforcement action or appropriate sanction as determined by FTA, including reimbursement of FTA funds used for the vehicle procurement.

Research and Development: This award does not include research and development activities.

Indirect Costs: This award does not include an indirect cost rate.

Suballocation Funds: Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Pre-Award Authority: This award is using Pre-Award Authority.

Application Budget

Total Application Budget: \$112,433.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$112,433.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$0.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$112,433.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$0.00

Application Budget Control Totals

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$112,433
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0

Adjustment		\$0
Total Eligible Cost		\$112,433

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:

Original Certification Date:

Special Conditions

There are no special conditions.

FINDINGS AND DETERMINATIONS

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

FTA AWARD OF THE GRANT AGREEMENT

Awarded By:

FEDERAL TRANSIT ADMINISTRATION
U.S. DEPARTMENT OF TRANSPORTATION
Contact Info:
Award Date:

EXECUTION OF THE GRANT AGREEMENT

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
 - (a) Statements,
 - (b) Representations,
 - (c) Warranties,
 - (d) Covenants, and
 - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

Leech Lake Reservation Business Committee Inc