

Application

Federal Award Identification Number (FAIN)	MN-2020-040-00
Temporary Application Number	6935-2020-5
Application Name	5311 Operating Assistance
Application Status	Final Concurrence / Reservation
Application Budget Number	0

Period of Performance Start Date	N/A
Original Period of Performance End Date	3/30/2024
Current Period of Performance End Date	N/A Revision #: N/A

Part 1: Recipient Information

Name: Fond Du Lac Reservation

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
6935	Indian Tribe	FOND DU LAC RESERVATION	039582366

Location Type	Address	City	State	Zip
Headquarters	1785 HIGHWAY 210	CARLTON	MN	557188161
Physical Address	1785 HIGHWAY 210	CARLTON	MN	55718
Mailing Address	1720 BIG LAKE RD	CLOQUET	MN	55720

Union Information

There are no union contacts for this application

Part 2: Application Information

Title: 5311 Operating Assistance

FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
MN-2020-040-00	Final Concurrence / Reservation	Grant	6/1/2020	6/1/2020	No

Application Executive Summary

The purpose of this award is to provide support for transit operations for 2020 and 2021.

Formula funds from the FY 19 5311 program will be used for transit operations for FY 2020 and FY 2021. These funds total \$548,468 including local share. These funds will come from the Fond du Lac Development Corporation (the Band's general fund) as indicated by the attached resolution. Federal total is \$274,234.00 and there is a 50% match from the Fond du Lac Band for the 5311 funds in the amount of \$274,234.00. MnDOT STIP project number TRF-9101-20, page 196 of the 2020-2023 MnDOT STIP.

Also, copies the 5311 Award letters have been added in TRAMS.

FY 2020 and FY 2021 formula funds of \$274,234 will be spent from 1/1/2020 to 12/31/2020. Please see the attached resolution for the local share.

This application for the Fond du Lac Reservation Section 5311 federal assistance is consistent with the federal register.

This application does not include funds for Research and/or Development Activities.

Frequency of Milestone Progress Reports (MPR)

Annual

Frequency of Federal Financial Reports (FFR)

Annual

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Will this Grant be using Lapsing Funds?

No, this Grant does not use Lapsing Funds.

Will indirect costs be applied to this application?

The de minimus 10% indirect cost rate is applied to this application.

Indirect Rate Details: N/A

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Matthew	Lange	Transportation Program Specialist	matthew.lange@dot.gov	(312) 353-4118
Tammy	Anderson	Transit Manager	tammyanderson@fdlrez.com	(218) 878-8008

Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$274,234
Local			\$274,234
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$548,468

Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
MN-2020-040-01-00	300-00 (300-A1) OPERATING ASSISTANCE	\$274,234.00	\$274,234.00	\$548,468.00	1
MN-2020-040-01-00	30.09.01 UP TO 50% FEDERAL SHARE	\$274,234.00	\$274,234.00	\$548,468.00	1

Discretionary Allocations

This application does not contain discretionary allocations.

Part 3: Project Information

Project Title: FY 19 5311 Operating Assistance

Project Number	Temporary Project Number	Date Created	Start Date	End Date
MN-2020-040-01-00	6935-2020-5-P1	6/1/2020	1/1/2020	12/31/2021

Project Description

Operating assistance will be supported by Section 5311 Public Transportation on Indian Reservations formula funds in the amount of \$548,468.00. There is a 50% local match of \$274,234.00.

Fond du Lac Transit runs from 6:00AM through 7:30PM on weekdays. Transit has a dispatch system for an on demand system in addition to a fix route system. Fond du Lac Transit serves the Fond du Lac Reservation with on call and fixed route services. The fixed route services reach Duluth, MN. More information can be found at www.fdlrez.com under the Transit Tab.

Project Benefits

This grant request is for an operations grant that will allow the Band to better serve the Reservation and assist in funding transit operations. Fond du Lac Transit is a service provided directly by the Fond du Lac Band of Lake Superior Chippewa. Fond du Lac Transit was launched in December 2007 and has been awarded operations grants since 2010. These operations grants as well as Fond du Lac Band funds have been used to support the program over the past 10 years.

This project will make a large impact for the community by improving the access of Reservation residents and visitors to health care, shopping, education, recreation, public services and employment, because we will continue to operate Fond du Lac Transit in calendar year 2020 and 2021. Our people have come to depend on Fond du Lac Transit for access to employment, educational opportunities, health care, shopping, and community services. Fond du Lac Transit has a duty to provide transportation services to all Band members who live on or near the Reservation.

The number of riders who use the transit system has been and will continue to be measured on a per-run basis and reported on a monthly basis. This impact indicator relates to our project goal of continuing and expanding public transit services offered as Fond du Lac Transit by showing trends in transit ridership. For example, this indicator was 7,831 in the first six months of 2008 (ahead of estimates). We have steadily increased our ridership over the years and we served 12,483 riders in the first six months of 2011. The benchmark for this indicator will be 42,000 riders in calendar 2020.

The number of riders per hour of operation has been and will continue to be measured on a per-run basis and reported on a monthly basis. This impact indicator relates to our project goal of continuing and expanding public transit services offered as Fond du Lac Transit by showing transit ridership compared to hours of operation. The status of this indicator was 2 people per hour of service in the first six months of 2008, and it increased to 2.5 people per hour of service in the first six months of 2011. The benchmark for this indicator will be 2.50 or more people per hour of service in calendar 2020.

The hours of service have been and will continue to be measured on a per-run basis and reported on a monthly basis. This impact indicator relates to our project goal of continuing and expanding public transit services offered as Fond du Lac Transit by increased hours of service and therefore increased transit services offered to the Fond du Lac community. The current status of this indicator was 140 hours per week for the first six months of 2008 and 189 hours per week for the first six months of 2011. The benchmark for this indicator will be 180-200 hours per week for 2020, because we will have to find ways to do more with less funding.

The number of weekly scheduled trips to Duluth has been and will continue to be measured on a per-run basis and reported on a monthly basis. This impact indicator relates to our project goal of

continuing and expanding public transit services offered as Fond du Lac Transit by increased runs to Duluth and therefore increased transit services offered to the Fond du Lac community. The current status of this indicator is 10 and we hope to retain the 10 scheduled trips to Duluth with the use of Tribal Transit funds. This indicator will certainly be reduced if we are not awarded Tribal Transit funds.

Additional Information

None provided.

Location Description

Fond du Lac Transit serves the Fond du Lac Reservation. The reservation consists of 101,000 acres in Northeastern Minnesota adjacent to the City of Cloquet, approximately 25 miles southwest of Duluth. The Fond du Lac Reservation is rural and is in both Carlton and St. Louis Counties. Fond du Lac Transit also provides two scheduled trips each weekday from the reservation to Duluth. Fond du Lac Transit is directly operated by the Fond du Lac Band of Lake Superior Chippewa. Coverage for Fond du Lac Transit is the Reservation, Cloquet and two trips to Duluth.

Project Location (Urbanized Areas)

UZA Code	Area Name
270000	Minnesota

Congressional District Information

State	District	Representative
Minnesota	8	Richard M Nolan

Program Plan Information

STIP/TIP

Date: 11/26/2019

Description: Page 196 of the 2020-2023 MnDOT STIP.

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: 1/30/2017

Description: Description: Attached is the Fond du Lac Long Range Transportation Plan. This plan is updated every 5 years. Transit is reference on pages 2, 11, 12.

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$274,234
Local			\$274,234

Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$548,468

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
MN-2020-040-01-00	300-00 (300-A1) OPERATING ASSISTANCE	\$274,234.00	\$274,234.00	\$548,468.00	1
MN-2020-040-01-00	30.09.01 UP TO 50% FEDERAL SHARE	\$274,234.00	\$274,234.00	\$548,468.00	1

Project Budget Activity Line Items

Budget Activity Line Item: 30.09.01 - UP TO 50% FEDERAL SHARE				
Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OPERATING ASSISTANCE (300-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE	1

Extended Budget Description

This is an operations grant for Fond du Lac Transit for 1/1/20 to 12/31/21. These costs are in addition to those in the CARES Act application (MN-2020-015 and will include: salaries, fringe, fuel, oil, and supplies for the transit program.

The undertakings are consistent with allowable activities outlined in C9030.1E, pages IV-14 to IV-15 and Appendix B, respectively.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$274,234

Local		\$274,234
Local/In-Kind		\$0
State		\$0
State/In-Kind		\$0
Other Federal		\$0
Transportation Development Credit		\$0
Adjustment		\$0
Total Eligible Cost		\$548,468

Milestone Name	Est. Completion Date	Description
Start Date	1/1/2020	The period of operations will begin.
End Date	12/31/2021	The period of operations will end.

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description
6/1/2020	Class IIc CE Approved

Part 4: Fleet Details

No fleet data exists for this application.

Part 5: FTA Review Comments

FTA Comments for DOL

Comment By Matthew Lange

Comment Type	DOL Review for Information
Date	6/11/2020
Comment	Information only

Part 6: Agreement

**UNITED STATES OF AMERICA
DEPARTMENT OF TRANSPORTATION
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT
(FTA G-26, October 1, 2019)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(26), October 1, 2019, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA'S AWARD DATE SET FORTH HEREIN.

FTA AWARD

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

Recipient Information

Recipient Name: Fond Du Lac Reservation

Recipient ID: 6935

DUNS No: 039582366

Application Information

Federal Award Identification Number: MN-2020-040-00

Application Name: 5311 Operating Assistance

Application Start Date: N/A

Original Award End Date: 3/30/2024

Current Award End Date: N/A

Application Executive Summary: The purpose of this award is to provide support for transit operations for 2020 and 2021.

Formula funds from the FY 19 5311 program will be used for transit operations for FY 2020 and FY 2021. These funds total \$548,468 including local share. These funds will come from the Fond du Lac Development Corporation (the Band's general fund) as indicated by the attached resolution. Federal total is \$274,234.00 and there is a 50% match from the Fond du Lac Band for the 5311 funds in the amount of \$274,234.00. MnDOT STIP project number TRF-9101-20, page 196 of the 2020-2023 MnDOT STIP.

Also, copies the 5311 Award letters have been added in TRAMS.

FY 2020 and FY 2021 formula funds of \$274,234 will be spent from 1/1/2020 to 12/31/2020. Please see the attached resolution for the local share.

This application for the Fond du Lac Reservation Section 5311 federal assistance is consistent with the federal register.

This application does not include funds for Research and/or Development Activities.

Research and Development: This award does not include research and development activities.

Indirect Costs: The de minimus 10% indirect cost rate is applied to this application.

Suballocation Funds: Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Pre-Award Authority: This award is using Pre-Award Authority.

Application Budget

Total Application Budget: \$548,468.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$274,234.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$274,234.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$274,234.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$274,234.00

Application Budget Control Totals

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$274,234
Local			\$274,234
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$548,468

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:

Original Certification Date:

Special Conditions

There are no special conditions.

FINDINGS AND DETERMINATIONS

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

FTA AWARD OF THE GRANT AGREEMENT

Awarded By:

FEDERAL TRANSIT ADMINISTRATION
U.S. DEPARTMENT OF TRANSPORTATION
Contact Info:
Award Date:

EXECUTION OF THE GRANT AGREEMENT

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

(1) Affirms this FTA Award,

- (2) Adopts and ratifies all of the following information it has submitted to FTA:
 - (a) Statements,
 - (b) Representations,
 - (c) Warranties,
 - (d) Covenants, and
 - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

Fond Du Lac Reservation