

DOT

FTA

U.S. Department of Transportation

Federal Transit Administration

Application

Federal Award Identification Number (FAIN)	ME-2020-019-00
Temporary Application Number	1346-2020-9
Application Name	CARES Act Funding for Maine Rural Programs
Application Status	Final Concurrence / Reservation
Application Budget Number	0

Period of Performance Start Date	N/A
Original Period of Performance End Date	12/31/2022
Current Period of Performance End Date	N/A Revision #: N/A

Part 1: Recipient Information

Name: Transportation, Maine Department of

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
1346	State Agency	MAINE DEPARTMENT OF TRANSPORTATION	809045966

Location Type	Address	City	State	Zip
Headquarters	1 State House STA Ste 236	Augusta	ME	04333
Physical Address	24 Child St	Augusta	ME	04330
Mailing Address	16 STATE HOUSE STATION	AUGUSTA	ME	04333

Union Information

Union Name	AMALGAMATED TRANSIT UNION
Address 1	5025 Wisconsin Avenue, N.W.
Address 2	
City	Washington
State	District of Columbia

Zipcode	20016
Contact Name	Jim La Sala
Telephone	2022447824
Fax	
E-mail	
Website	
<hr/>	
Union Name	LOCAL 481, COUNCIL 93, AMERICAN FEDERATION OF STATE, COUNTY AND MUNICI
Address 1	20 Winter Street
Address 2	
City	Augusta
State	ME
Zipcode	04330
Contact Name	James Mackie
Telephone	(207) 622-6191
Fax	(207) 622-6550
E-mail	jmackey@afscmecouncil93.org
Website	afscmecouncil93.org/maine
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Union Name	SEIU LOCAL 1989
Address 1	65 State Street
Address 2	
City	Augusta
State	Maine
Zipcode	04330
Contact Name	Tim Belcher
Telephone	207-622-31
Fax	
E-mail	tim.belcher@mseaseiu.org
Website	http://www.mseaseiu.org/

Part 2: Application Information

Title: CARES Act Funding for Maine Rural Programs

FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
ME-2020-019-00	Final Concurrence / Reservation	Grant	6/3/2020	6/3/2020	No

Application Executive Summary

This is a Maine Department of Transportation (MaineDOT) application for the remaining balance of

Section 5311 CARES Act funds awarded to Maine in the amount of \$7,283,794 for a program of projects to support rural transit in Maine.

On March 27, 2020, President Trump signed the Coronavirus Aid, Relief, and Economic Security (CARES) Act into law. The CARES Act provides emergency assistance and health care response for individuals, families and businesses affected by the COVID-19. The MaineDOT was apportioned \$24,554,813 in section 5311 CARES Act funding (FTA Table 3 attached). MaineDOT was awarded \$17,271,019 in ME-2020-005-00 activities for CARES Act funding for Rural Providers in Maine. This grant will apply for the remaining apportionment of \$7,283,794 for rural providers in Maine.

These funds will be used for:

- (1) \$4,783,794 for operating assistance for 15 regional transit authorities operating in total or partially rural areas of Maine,
- (2) \$1,000,000 for operating assistance for Downeast Transportation in Trenton including the Island Explorer Bus Service which operates in Acadia National Park,
- (3) \$500,000 for operating assistance for the Brunswick Explorer, and
- (4) \$1,000,000 for operating assistance for the Maine State Ferry Service based in Rockland, Maine.

Details of the services funded under this application are provided in the Extended Budget Descriptions.

MaineDOT is charged with managing all modes of transportation within the State of Maine.

Source of Local Match – FTA CARES Act funding is provided at 100% and requires no match.

Attached - Program of Projects

STIP – not required for operating projects. No capital projects requiring STIP requested in this application.

Ridership - Attached is a description of each Provider. Ridership since the start of the Coronavirus pandemic has declined rapidly. Transit systems are striving to meet essential needs and keep riders safe while cutting back on service and maintaining a safe working environment for transit employees.

MaineDOT has a Statewide ITS Architecture as defined in the FTA National ITS Architecture Policy issued on April 8, 2001. It was approved on July 12, 2005 by the FHWA and was updated January 2011.

MaineDOT is current with Civil Rights Requirements, Milestone Progress Reports and Financial Status Reports. Annual Certifications and Assurances were signed and filed March 9, 2020. All administrative and statutory requirements have been met.

MaineDOT agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.

Frequency of Milestone Progress Reports (MPR)

Annual

Frequency of Federal Financial Reports (FFR)

Annual

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Will this Grant be using Lapsing Funds?

No, this Grant does not use Lapsing Funds.

Will indirect costs be applied to this application?

This award does not include an indirect cost rate.

Indirect Rate Details: N/A

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Michael	Burns	Transportation Program Specialist	michael.burns1@dot.gov	617-494-2484
Barbie-Jo	Lord	Transportation Planning Analyst	barbie-jo.lord@maine.gov	2076243026

Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$7,283,794
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$7,283,794

Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
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ME-2020-019-01-00	300-00 (300-A1)	OPERATING ASSISTANCE	\$7,283,794.00	\$0.00	\$7,283,794.00	0
ME-2020-019-01-00		30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$6,283,794.00	\$0.00	\$6,283,794.00	0
ME-2020-019-01-00		30.09.08 MSFS - EMER RELIEF OPERATING ASSIST 100% Fed Share	\$1,000,000.00	\$0.00	\$1,000,000.00	0

Discretionary Allocations

This application does not contain discretionary allocations.

Part 3: Project Information

Project Title: CARES Act - Rural Programs Administration and Operations
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Project Number	Temporary Project Number	Date Created	Start Date	End Date
ME-2020-019-01-00	1346-2020-9-P1	6/3/2020	7/1/2020	12/31/2022

Project Description

This is a Maine Department of Transportation (MaineDOT) grant application for \$7,283,794 of FTA CARES Act funds for a program of projects to include administrative and operating assistance.

These funds will be used for: (1) \$4,783,794 for operating assistance for 15 regional transit authorities operating in total or partially rural areas of Maine, (2) \$1,000,000 for operating assistance for Downeast Transportation in Trenton including the Island Explorer Bus Service which operates in Acadia National Park, (3) \$500,000 for operating assistance for the Brunswick Explorer, and (4) \$1,000,000 for operating assistance for the Maine State Ferry Service based in Rockland, Maine. Details of the services funded under this application are provided in the Extended Budget Descriptions.

MaineDOT is charged with managing all modes of transportation within the State of Maine.

Source of Local Match - The federal share of the CARES Act is 100%. MaineDOT will not be collecting local share for these projects.

Designated Subrecipients:

Aroostook Regional Transportation System, Inc. (ARTS) – Region 1
 Bath CityBus/City of Bath – Region 5
 Biddeford-Saco-OOB Transit Committee (BSOOB) – Region 8
 Casco Bay Island Transit District (CBITD) – Region 6

Downeast Community Partners (DCP) (formerly WHCA) – Region 2
 Downeast Transportation, Inc. (DTI) – Region 2
 Isle Au Haut Boat Service – Region 2
 Kennebec Valley Community Action Program (KVCAP) – Region 4
 Penquis - The LYNX – Region 3
 Regional Transportation Program (RTP) – Region 6
 Town of Cranberry Isles – Region 2
 Waldo Community Action Partners (WCAP) (DBA Mid-Coast Public Transportation) – Region 5
 West's Transportation, Inc. (West) – Region 2
 Western Maine Transportation Services, Inc. (WMTS) – Region 7
 York County Community Action Corporation (YCCAC) – Region 8

Project Benefits

Benefits include: assisting rural transit system operators so they can continue to operate during the COVID-19 pandemic, providing valuable assistance at 100% federal share, ensuring that the transit and ferry systems won't suffer catastrophic losses of revenue resulting in business failure or bankruptcy.

Additional Information

None provided.

Location Description

Maine Rural Programs Statewide

Project Location (Urbanized Areas)

UZA Code	Area Name
230000	Maine

Congressional District Information

State	District	Representative
Maine	1	Chellie Pingree
Maine	2	Jared Golden

Program Plan Information

STIP/TIP

Date: N/A

Description: N/A

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$7,283,794
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$7,283,794

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
ME-2020-019-01-00	300-00 (300-A1) OPERATING ASSISTANCE	\$7,283,794.00	\$0.00	\$7,283,794.00	0
ME-2020-019-01-00	30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$6,283,794.00	\$0.00	\$6,283,794.00	0
ME-2020-019-01-00	30.09.08 MSFS - EMER RELIEF OPERATING ASSIST 100% Fed Share	\$1,000,000.00	\$0.00	\$1,000,000.00	0

Project Budget Activity Line Items

Budget Activity Line Item: 30.09.08 - MSFS - EMER RELIEF OPERATING ASSIST 100% Fed Share				
Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OPERATING ASSISTANCE (300-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	OPERATING ASSISTANCE	0

Extended Budget Description

Funds will be used for all operating activities (net fare revenues) incurred for Maine State Ferry Service for the period of July 1, 2020 through December 31, 2022. Operating expenses include costs necessary to operate, maintain, and manage a public transportation system including driver salaries, fuel, personal protective equipment, cleaning supplies and administrative leave for employees put on leave due to reductions in service or leave required for a quarantined worker.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$1,000,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$1,000,000

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2020	operating assistance for Maine State Ferry Service
End Date	12/31/2022	funds drawn down and fully expended

Budget Activity Line Item: 30.09.08 - EMER RELIEF - OPERATING ASSIST - 100% Fed Share

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OPERATING ASSISTANCE (300-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	OPERATING ASSISTANCE	0

Extended Budget Description

Funds will be used for all operating activities (net fare revenues) to support fifteen (15) Rural Transit Providers Statewide 15 regional transit authorities operating in total or partially rural areas of Maine, Downeast Transportation in Trenton including the Island Explorer Bus Service which operates in Acadia National Park, and the Brunswick Explorer for the period of July 1, 2020 through December 31, 2022.

Operating expenses include costs necessary to operate, maintain, and manage a public transportation system including driver salaries, fuel, personal protective equipment, cleaning supplies and administrative leave for employees put on leave due to reductions in service or leave required for a quarantined worker.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$6,283,794
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$6,283,794

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2020	Administrative and operating assistance to support fifteen (15) Rural Transit Providers Statewide for the period of July 1, 2020 through December 31, 2022. See attached provider descriptions and program of projects.
End Date	12/31/2022	funds drawn down and fully expended

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	7/1/2020

Part 4: Fleet Details

No fleet data exists for this application.

Part 5: FTA Review Comments

FTA Comments for DOL

Comment By Michael Burns

Comment Type DOL Review for Information

Date 6/16/2020

Comment Per DOL's guidelines FTA is transmitting this grant application for informational purposes through TrAMS to the Department so the Department can notify the labor organizations representing potentially affected employees of the grants approval and their rights under the Special Warranty Arrangement.

Part 6: Agreement

**UNITED STATES OF AMERICA
DEPARTMENT OF TRANSPORTATION
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT
(FTA G-26, October 1, 2019)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(26), October 1, 2019, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

FTA AWARD

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

Recipient Information

Recipient Name: Transportation, Maine Department of

Recipient ID: 1346

DUNS No: 809045966

Application Information

Federal Award Identification Number: ME-2020-019-00

Application Name: CARES Act Funding for Maine Rural Programs

Application Start Date: N/A

Original Award End Date: 12/31/2022

Current Award End Date: N/A

Application Executive Summary: This is a Maine Department of Transportation (MaineDOT) application for the remaining balance of Section 5311 CARES Act funds awarded to Maine in the amount of \$7,283,794 for a program of projects to support rural transit in Maine.

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Reports. Annual Certifications and Assurances were signed and filed March 9, 2020. All administrative and statutory requirements have been met.

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Research and Development: This award does not include research and development activities.

Indirect Costs: This award does not include an indirect cost rate.

Suballocation Funds: Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Pre-Award Authority: This award is using Pre-Award Authority.

Application Budget

Total Application Budget: \$7,283,794.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$7,283,794.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$0.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$7,283,794.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$0.00

Application Budget Control Totals

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$7,283,794
Local			\$0
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State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$7,283,794

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:

Original Certification Date:

Special Conditions

There are no special conditions.

FINDINGS AND DETERMINATIONS

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

FTA AWARD OF THE GRANT AGREEMENT

Awarded By:

FEDERAL TRANSIT ADMINISTRATION
U.S. DEPARTMENT OF TRANSPORTATION
Contact Info:
Award Date:

EXECUTION OF THE GRANT AGREEMENT

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
 - (a) Statements,
 - (b) Representations,
 - (c) Warranties,
 - (d) Covenants, and
 - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

Transportation, Maine Department of