

Application

Federal Award Identification Number (FAIN)	IL-2020-034-00
Temporary Application Number	1177-2020-1
Application Name	State of IL- 5311 CARES Act - Operating Assistance and Intercity Bus Operating Assistance
Application Status	Final Concurrence / Reservation
Application Budget Number	0

Period of Performance Start Date	N/A
Original Period of Performance End Date	3/30/2026
Current Period of Performance End Date	N/A Revision #: N/A

Part 1: Recipient Information

Name: ILLINOIS DEPARTMENT OF TRANSPORTATION

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
1177	State Agency	ILLINOIS DEPARTMENT OF TRANSPORTATION	133600754

Location Type	Address	City	State	Zip
Headquarters	2300 SOUTH DIRKSEN PARKWAY HANLEY BUILDING	SPRINGFIELD	IL	62764
Physical Address	2300 S DIRKSEN PKWY	SPRINGFIELD	IL	62764
Mailing Address	2300 SOUTH DIRKSEN PARKWAY, ROOM 307	SPRINGFIELD	IL	62764

Union Information

There are no union contacts for this application

Part 2: Application Information

Title: State of IL- 5311 CARES Act - Operating Assistance and Intercity Bus Operating Assistance

FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
IL-2020-034-00	Final Concurrence / Reservation	Grant	4/17/2020	4/17/2020	No

Application Executive Summary

This grant to the Illinois Department of Transportation (IDOT) will utilize FFY20 Coronavirus Aid, Relief, and Economic Security (CARES) Act funding. IDOT will allocate 100% of its \$57,457,865 of FFY20 CARES Act Section 5311 apportionment for reimbursements of eligible operating expenses to sub-recipients responding to the COVID-19 pandemic, and to meet its Intercity Bus set aside requirement. Projects under this grant include rural sub-recipient operating and ICB operating costs for the performance period of 1/20/2020 through 06/30/2023.

To encourage rural sub-recipients to fully use CARES Act funds for their Congressional intent, IDOT will be allowing operators to bill for COVID-related expenses back to 1/20/2020. The decision to make funds under this grant available solely for operating expenses will further prioritize utilization for COVID-19 related response and hopefully make tracking and reporting more expedient.

The grant is organized by general activities/ALI and split into two projects. Project One covers General Public Transportation Operating Assistance, while Project Two is for Intercity Bus Operating Assistance. Pre-Award Authority is being used for this grant. As outlined by FTA guidance for CARES Act funds, a match is not required; and IDOT does not intend to make sub-awards where CARES Act monies are further leveraged with local match.

*The Recipient agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.

IDOT's Office of Intermodal Project implementation (OIPI) is the governor's designated recipient of the Federal Transit Administration's Section 5311 formula funds for public transportation services in rural and small urban areas in Illinois. Historically, grant recipients submit annual applications for funding to the Department, but in the interest of providing funding stability, it is not a competitive process. Instead, the Department funds its approved eligible sub-recipients each year based upon the State's available Section 5311 allocation. The same allocation process will be followed for the CARES Act Section 5311 funding. IDOT intends to make separate, multi-year awards for CARES Act funding, in part, to reduce administrative burden.

*As indicated by the emergency nature of these response activities, CARES Act projects are not included in Illinois' STIP.

The Department has set aside more than 15% of its FFY20 CARES Act Section 5311 allocation apportionments for Intercity Bus.

Attachments:

POP

Illinois DOT Approval to apply for 5311 funds and to execute the subsequent agreement

Frequency of Milestone Progress Reports (MPR)
Annual

Frequency of Federal Financial Reports (FFR)
Annual

Does this application include funds for research and/or development activities?
This award does not include research and development activities.

Pre-Award Authority
This award is using Pre-Award Authority.

Does this application include suballocation funds?
Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Will this Grant be using Lapsing Funds?
No, this Grant does not use Lapsing Funds.

Will indirect costs be applied to this application?
This award does not include an indirect cost rate.

Indirect Rate Details: N/A

Requires E.O. 12372 Review
No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt
No, my organization does not have delinquent federal debt.

Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Chevonne	Madison	Transportation Program Specialist	chevonne.madison@dot.gov	312-353-3853
Karen	Strell	Section Chief, Operating Programs, Northern Section	karen.strell@illinois.gov	(312) 793-5230
Anthony	Greep	Transportation Program Specialist	anthony.greep@dot.gov	(312) 353-1646

Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$57,457,865
Local			\$0
Local/In-Kind			\$0

State		\$0
State/In-Kind		\$0
Other Federal		\$0
Transportation Development Credit		\$0
Adjustment		\$0
Total Eligible Cost		\$57,457,865

Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
IL-2020-034-01-00	300-00 (300-A1) OPERATING ASSISTANCE	\$44,842,391.00	\$0.00	\$44,842,391.00	43
IL-2020-034-01-00	30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$44,842,391.00	\$0.00	\$44,842,391.00	43
IL-2020-034-02-00	634-00 (634-A2) INTERCITY BUS TRANSPORTATION	\$12,615,474.00	\$0.00	\$12,615,474.00	7
IL-2020-034-02-00	30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$12,615,474.00	\$0.00	\$12,615,474.00	7

Discretionary Allocations

This application does not contain discretionary allocations.

Part 3: Project Information

Project Title: CARES Act 5311 Operating Assistance

Project Number	Temporary Project Number	Date Created	Start Date	End Date
IL-2020-034-01-00	1177-2020-1-P1	4/17/2020	1/20/2020	9/30/2023

Project Description

FFY20 Coronavirus Aid, Relief, and Economic Security (CARES) Act Section 5311 Operating Assistance will be used to reimburse 43 eligible sub-recipients, with the principal intent of responding

to the COVID-19 pandemic. These funds will be going to transit operators providing service in 94 of 96 Downstate Counties in Illinois. The types of expense reimbursed under this project are typically those Operating Expenses reimbursed by FTA and may include, but are not limited to the following: Operator's, dispatchers and maintenance salaries, wages and fringe benefits, building repair and maintenance expenses, fuels, leases, etc. a list of eligible recipients and a more detailed eligible expense list is included in the Extended Budget Description for this Operating Assistance project. Unique to these CARES Act funds, FTA has provided additional guidance and direction for the ways in which funding can be used to cover eligible expenses specifically related to response and mitigation of COVID-19. IDOT's decision to generate separate grant awards for CARES Act funding is meant to prioritize these funds for their Congressional intent. This project provides for uninterrupted delivery of existing transit services. Period of performance for work under this project is from January 20 of 2020 through September 30 of 2023. Pre-Award Authority is being used for this grant. FFY20 CARES Act Section 5311 funds do not have a current lapse date.

Project Benefits

The Governor of Illinois issued a disaster proclamation on March 9, 2020 to facilitate the treatment and containment of COVID-19. In the past three months, the impact of this pandemic has wrought significant disruption to the public transportation sector, which resulted in response measures and ridership trends that have consequences as yet not fully known. Through their best efforts, public transportation operators have worked to mitigate risk and respond to the personal and financial fallout of the crisis. CARES Act funding enacted by the federal government on March 27, 2020 will serve as an important lifeline for these operators, especially those serving rural and small urban populations.

The Centers for Disease Control and Prevention continues to urge people to avoid close contact and stay at home as much as possible. Additionally, a sizable portion of those utilizing transportation services funded through 5311 are older adults and other vulnerable individuals. In many rural areas where close access to grocers, restaurants, and pharmacies is limited and geographically dispersed, persons who are isolated and have no other means of transportation, depend on access to public transit. Funds made available through CARES Act Section 5311 will allow operators to make necessary fleet modifications meant to reduce potential spread of COVID-19 and increase driver/passenger safety. With lost ridership and many operators temporarily waiving fares as a safety measure, these funds will help maintain solvency and keep service as robust as possible.

The physical safety measures that CARES Act Section 5311 funds will cover, not only serve to help mitigate health and safety concerns for the immediate impact of the pandemic but will also provide long-term benefits and resiliency for the future.

Additional Information

None provided.

Location Description

5311 funding is programmed and used to support rural public transportation in areas with a population under 50,000 and outside of the six (6) county RTA region. While funding will be targeted to Illinois' non-urbanized area providers, connections and impacts will be felt throughout the entire state.

Project Location (Urbanized Areas)

UZA Code	Area Name
170000	Illinois

Congressional District Information

State	District	Representative
Illinois	11	Bill Foster
Illinois	12	Mike Bost
Illinois	13	Rodney Davis
Illinois	14	Randy Hultgren
Illinois	15	John Shimkus
Illinois	16	Adam Kinzinger
Illinois	17	Cheri Bustos
Illinois	18	Aaron Schock

Program Plan Information

STIP/TIP

Date: N/A

Description: N/A

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$44,842,391
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$44,842,391

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
IL-2020-034-01-00	300-00 (300-A1) OPERATING ASSISTANCE	\$44,842,391.00	\$0.00	\$44,842,391.00	43
IL-2020-034-01-00	30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$44,842,391.00	\$0.00	\$44,842,391.00	43

Project Budget Activity Line Items

Budget Activity Line Item: 30.09.08 - EMER RELIEF - OPERATING ASSIST - 100% Fed Share

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OPERATING ASSISTANCE (300-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	OPERATING ASSISTANCE	43

Extended Budget Description

This project will utilize \$44,892,391 in FFY20 5311 CARES Act funding with no required match to reimburse the eligible emergency COVID response operating expenses of the following rural public transportation providers during the period January 20, 2020 through September 2023. Some sub-recipients will actually spend far more to operate their systems than will be programmed for them in this grant. The State has elected to award these funds to sub-recipients in separate multi-year agreements. These funds are for Operating expenses only to make record keeping easier for the sub-recipients and to reinforce the use of these funds for COVID response related expenses. Sub-recipient Operating Project expenses which sub-recipients may charge to this ALI include, but are not limited to: operator's, dispatchers and maintenance salaries, wages and fringe benefits, temporary service, contract maintenance, building repair and maintenance, fuels, lubricants, tires, tubes and other materials and supplies consumed, propulsion power and line lease fees for radio service, purchased transportation, tolls, long and short term debt obligations, leases. These expense reimbursements allow the sub-recipients to provide uninterrupted service.

Eligible Rural Public Transportation Providers:

Bureau County
 Carroll County
 Champaign County
 Coles County
 CRIS Rural MTD
 DeKalb County
 Douglas County
 Effingham County
 City of Freeport
 Fulton County
 City of Galesburg
 Greater Peoria MTD (providing service in Peoria County)

Grundy County
 Hancock County
 Henry County
 Jackson County
 Jersey County
 JoDaviess County
 Kankakee County
 Kendall County
 Lee County
 Logan County
 City of Macomb
 Macoupin County
 Marshall County
 McLean County
 Monroe Randolph Transit District
 City of Ottawa
 Piatt County
 City of Quincy
 RIDES MTD
 Rock Island County
 Sangamon County
 Shawnee Mass Transit District
 Shelby County
 South Central Illinois Mass Transit District
 Tazewell County
 Warren County
 West Central Mass Transit District
 Whiteside County
 Woodford County

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$44,842,391
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$44,842,391

Milestone Name	Est. Completion Date	Description
Project Start Date	1/20/2020	Date at which expenses can be incurred for work related to emergency COVID response.

Project End Date	9/30/2023	Date by which all work related to COVID 19 Emergency Response work is complete and final payment is dispersed.
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Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Project Title: Cares Act 5311 Intercity Bus Operating Assistance

Project Number	Temporary Project Number	Date Created	Start Date	End Date
IL-2020-034-02-00	1177-2020-1-P2	4/17/2020	1/20/2020	9/30/2023

Project Description

FFY20 Coronavirus Aid, Relief, and Economic Security (CARES) Act Section 5311(f) InterCity Bus (ICB) Operating Assistance will be used to reimburse 4 eligible sub-recipients, with the principal intent of responding to the COVID-19 pandemic. These funds will be going to ICB operators providing service connecting rural area to urban and small urban centers.

The types of expense reimbursed under this project are typically those Operating Expenses reimbursed by FTA and may include, but are not limited to the following: Operator's, dispatchers and maintenance salaries, wages and fringe benefits, building repair and maintenance expenses, fuels, leases, etc. a list of eligible recipients and a more detailed eligible expense list is included in the Extended Budget Description for this Operating Assistance project. Unique to these CARES Act funds, FTA has provided additional guidance and direction for the ways in which funding can be used to cover eligible expenses specifically related to response and mitigation of COVID-19. IDOT's decision to generate separate grant awards for CARES Act funding is meant to prioritize these funds for their Congressional intent. This project provides for uninterrupted delivery of existing intercity bus services. Period of performance for work under this project is from January 20 of 2020 through June 30 of 2023. Pre-Award Authority is being used for this grant. FFY20 CARES Act Section 5311 funds do not have a current lapse date.

Project Benefits

The Governor of Illinois issued a disaster proclamation on March 9, 2020 to facilitate the treatment and containment of COVID-19. In the past three months, the impact of this pandemic has wrought significant disruption to the public transportation sector, which resulted in response measures and ridership trends that have consequences as yet not fully known. Through their best efforts, public transportation operators have worked to mitigate risk and respond to the personal and financial fallout of the crisis. CARES Act funding enacted by the federal government on March 27, 2020 will serve as an important lifeline for these operators, especially those serving rural and small urban populations.

The Centers for Disease Control and Prevention continues to urge people to avoid close contact and stay at home as much as possible which has had a direct impact on the viability of ICB projects. Intercity Bus projects link rural communities and the rest of the nation. Projects are encouraged to support the following 3 national objectives: connection between rural areas and the larger regional or national system of intercity bus service, support services to meet the intercity travel needs of residents in rural areas, or support the infrastructure of the intercity bus network through planning and marketing assistance and capital investment in facilities. ICB projects must meet priorities determined by the state and federal ICB requirements.

Funds made available through CARES Act Section 5311(f) will allow operators to make necessary fleet modifications meant to reduce potential spread of COVID-19 and increase driver/passenger safety. With lost ridership, these funds will help maintain solvency and keep service as robust as possible.

The physical safety measures that CARES Act Section 5311 funds will cover, not only serve to help mitigate health and safety concerns for the immediate impact of the pandemic but will also provide long-term benefits and resiliency for the future.

Additional Information

None provided.

Location Description

5311 funding is programmed and used to support rural public transportation in areas with a population under 50,000 and outside of the six (6) county RTA region. While funding will be targeted to Illinois' non-urbanized area providers, connections and impacts will be felt throughout the entire state.

Project Location (Urbanized Areas)

UZA Code	Area Name
170000	Illinois

Congressional District Information

State	District	Representative
Illinois	11	Bill Foster
Illinois	12	Mike Bost
Illinois	13	Rodney Davis
Illinois	14	Randy Hultgren
Illinois	15	John Shimkus
Illinois	16	Adam Kinzinger
Illinois	17	Cheri Bustos

Program Plan Information

STIP/TIP

Date: N/A

Description: N/A

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$12,615,474
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$12,615,474

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
IL-2020-034-02-00	634-00 (634-A2) INTERCITY BUS TRANSPORTATION	\$12,615,474.00	\$0.00	\$12,615,474.00	7
IL-2020-034-02-00	30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$12,615,474.00	\$0.00	\$12,615,474.00	7

Project Budget Activity Line Items

Budget Activity Line Item: 30.09.08 - EMER RELIEF - OPERATING ASSIST - 100% Fed Share
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Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
INTERCITY BUS TRANSPORTATION (634-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	OPERATING ASSISTANCE	7

Extended Budget Description

This project will utilize \$12,615,474 in FFY20 CARES Act 5311(f) funding with no required match to reimburse the eligible operating expenses of the following rural public transportation providers during the period January 20, 2020 through September 2023. Eligible expenses which may be charged to this ALI include, but are not limited to: operator's, dispatchers and maintenance salaries, wages and fringe benefits, temporary service, contract maintenance, building repair and maintenance, fuels, lubricants, tires, tubes and other materials and supplies consumed, propulsion power and line lease fees for radio service, purchased transportation, tolls, long and short term debt obligations, leases. These expense reimbursements allow the sub-recipients to provide continuous service.

Eligible ICB Sub-Recipients:

Shawnee Mass Transit District

RIDES Mass Transit District

Lee County - Greyhound Projects (Chicago-Rock Island, and Chicago-Danville)

South Central Illinois Mass Transit District

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$12,615,474
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$12,615,474

Milestone Name	Est. Completion Date	Description
Project Start	1/20/2020	Date at which expense can begin to be incurred for expenses related to COVID-19 emergency response.

Final Payment Date	9/30/2023	Date by which all work will be complete and final payments for COVID emergency response work will be dispersed.
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Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description	Date
	Class IIc CE Approved	

Part 4: Fleet Details

No fleet data exists for this application.

Part 5: FTA Review Comments

FTA Comments for DOL

Comment By Anthony Greep

Comment Type	DOL Review for Information
Date	6/9/2020
Comment	This grant to the Illinois Department of Transportation (IDOT) will utilize FFY20 Coronavirus Aid, Relief, and Economic Security (CARES) Act funding. IDOT will allocate 100% of it \$57,457,865 of FFY20 CARES Act Section 5311 apportionment for reimbursements of eligible operating expenses to sub-recipients responding to the COVID-19 pandemic, and to meet its Intercity Bus set aside requirement. Projects under this grant include rural sub-recipient operating and ICB operating costs.

Part 6: Agreement

**DEPARTMENT OF TRANSPORTATION
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT
(FTA G-26, October 1, 2019)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(26), October 1, 2019, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

FTA AWARD

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

Recipient Information

Recipient Name: ILLINOIS DEPARTMENT OF TRANSPORTATION

Recipient ID: 1177

DUNS No: 133600754

Application Information

Federal Award Identification Number: IL-2020-034-00

Application Name: State of IL- 5311 CARES Act - Operating Assistance and Intercity Bus Operating Assistance

Application Start Date: N/A

Original Award End Date: 3/30/2026

Current Award End Date: N/A

Application Executive Summary: This grant to the Illinois Department of Transportation (IDOT) will utilize FFY20 Coronavirus Aid, Relief, and Economic Security (CARES) Act funding. IDOT will allocate 100% of its \$57,457,865 of FFY20 CARES Act Section 5311 apportionment for reimbursements of eligible operating expenses to sub-recipients responding to the COVID-19 pandemic, and to meet its Intercity Bus set aside requirement. Projects under this grant include rural sub-recipient operating and ICB operating costs for the performance period of 1/20/2020 through 06/30/2023.

To encourage rural sub-recipients to fully use CARES Act funds for their Congressional intent, IDOT will be allowing operators to bill for COVID-related expenses back to 1/20/2020. The decision to make funds under this grant available solely for operating expenses will further prioritize utilization for COVID-19 related response and hopefully make tracking and reporting more expedient.

The grant is organized by general activities/ALI and split into two projects. Project One covers General Public Transportation Operating Assistance, while Project Two is for Intercity Bus Operating Assistance. Pre-Award Authority is being used for this grant. As outlined by FTA guidance for CARES Act funds, a match is not required; and IDOT does not intend to make sub-awards where CARES Act monies are further leveraged with local match.

*The Recipient agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.

IDOT's Office of Intermodal Project implementation (OIPI) is the governor's designated recipient of the Federal Transit Administration's Section 5311 formula funds for public transportation services in rural and small urban areas in Illinois. Historically, grant recipients submit annual applications for funding to the Department, but in the interest of providing funding stability, it is not a competitive process. Instead, the Department funds its approved eligible sub-recipients each year based upon the State's available Section 5311 allocation. The same allocation process will be followed for the CARES Act Section 5311 funding. IDOT intends to make separate, multi-year awards for CARES Act funding, in part, to reduce administrative burden.

*As indicated by the emergency nature of these response activities, CARES Act projects are not included in Illinois' STIP.

The Department has set aside more than 15% of its FFY20 CARES Act Section 5311 allocation apportionments for Intercity Bus.

Attachments:

POP

Illinois DOT Approval to apply for 5311 funds and to execute the subsequent agreement

Research and Development: This award does not include research and development activities.

Indirect Costs: This award does not include an indirect cost rate.

Suballocation Funds: Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Pre-Award Authority: This award is using Pre-Award Authority.

Application Budget

Total Application Budget: \$57,457,865.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$57,457,865.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$0.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$57,457,865.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$0.00

Application Budget Control Totals

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$57,457,865
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$57,457,865

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:

Original Certification Date:

Special Conditions

There are no special conditions.

FINDINGS AND DETERMINATIONS

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

FTA AWARD OF THE GRANT AGREEMENT

Awarded By:

FEDERAL TRANSIT ADMINISTRATION
U.S. DEPARTMENT OF TRANSPORTATION
Contact Info:
Award Date:

EXECUTION OF THE GRANT AGREEMENT

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
 - (a) Statements,
 - (b) Representations,
 - (c) Warranties,
 - (d) Covenants, and
 - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

ILLINOIS DEPARTMENT OF TRANSPORTATION