

Application

Federal Award Identification Number (FAIN)	HI-2020-006-00
Temporary Application Number	1623-2020-3
Application Name	Hawaii DOT FFY20 CARES Act Section 5311 Rural Grant - Operating and Replacement Assistance
Application Status	Final Concurrence / Reservation
Application Budget Number	0

Period of Performance Start Date	N/A
Original Period of Performance End Date	9/30/2023
Current Period of Performance End Date	N/A Revision #: N/A

Part 1: Recipient Information

Name: TRANSPORTATION, HAWAII DEPARTMENT OF

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
1623	State Agency	HDOT Statewide Transportation Planning Office	824671465

Location Type	Address	City	State	Zip
Headquarters	415 S Beretania St FL 5	Honolulu	HI	96813
Physical Address	869 PUNCHBOWL ST RM 509	HONOLULU	HI	96813
Mailing Address	869 PUNCHBOWLSTREET	HONOLULU	HI	96813

Union Information

Union Name	AMERICAN FEDERATION OF STATE, COUNTY & MUNICIPAL EMPLOYEES
Address 1	c/o William Wilkinson

Address 2	1625 L. Street, NW
City	Washington
State	District of Columbia
Zipcode	20036
Contact Name	Lee Saunders
Telephone	2024291000
Fax	2024291293
E-mail	wwilkinson@afscme.org
Website	webmaster@afscme.org
Union Name	HAWAII GOVERNMENT EMPLOYEES ASSOCIATION
Address 1	888 Mililani Street, Suite 601
Address 2	
City	Honolulu
State	Hawaii
Zipcode	96813
Contact Name	Randy Perreira
Telephone	8085430011
Fax	8085284059
E-mail	rperreir@hgea.org
Website	www.hgea.org
Union Name	UPW LOCAL 646
Address 1	1426 North School Street
Address 2	
City	Honolulu
State	Hawaii
Zipcode	96817
Contact Name	Dayton Nakanelua
Telephone	8088472631
Fax	8088481987
E-mail	martti@upwhawaii.org
Website	www.upwhawaii.org

Part 2: Application Information

Title: Hawaii DOT FFY20 CARES Act Section 5311 Rural Grant - Operating and Replacement Assistance

FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
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HI-2020-006-00	Final Concurrence / Reservation	Grant	5/18/2020	5/18/2020	No
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Application Executive Summary

This is an FFY 2020 Section 5311 CARES Act application in the amount of \$8,906,600. Per the CARES Act, the grant requests 100% federal share. This application utilizes CARES Act funding to prevent, prepare for, and respond to coronavirus. This grant applies for \$8,906,600 in Section 5311 CARES Act funding for the State of Hawaii to support the operating and replacement costs of the rural transit providers identified as sub-recipients for the period of January 20, 2020 through September 30, 2023.

Total federal funds apportioned to sub-recipients:

Hawaii County \$ 4,319,700

Kauai County \$ 4,319,700

Total federal funds apportioned to State of Hawaii (State Administration):

State of Hawaii \$ 267,200

The Recipient agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under the Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.

Per the CARES Act, the projects in the application is not required to be programmed in the Long-Range Transportation Plan or Statewide Transportation Improvement Program.

Frequency of Milestone Progress Reports (MPR)

Annual

Frequency of Federal Financial Reports (FFR)

Annual

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Will this Grant be using Lapsing Funds?

No, this Grant does not use Lapsing Funds.

Will indirect costs be applied to this application?

This award does not include an indirect cost rate.

Indirect Rate Details: N/A

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Ted	Matley	Supervisory Transportation Program Specialist	Ted.Matley@dot.gov	(415) 734-9468
Tad	Nakayama	Programming Section Manager	tad.t.nakayama@hawaii.gov	808-831-7987
Bernardo	Bustamante	Supervisory Transportation Program Specialist	Bernardo.Bustamante@dot.gov	415-734-9454

Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$8,906,600
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$8,906,600

Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
HI-2020-006-01-00	111-00 (111-A2) BUS - ROLLING STOCK	\$2,600,000.00	\$0.00	\$2,600,000.00	13
HI-2020-006-01-00	BUY 11.12.03 REPLACEMENT 30-FT BUS	\$2,600,000.00	\$0.00	\$2,600,000.00	13
HI-2020-006-01-00	114-00 BUS: SUPPORT EQUIP AND FACILITIES	\$575,000.00	\$0.00	\$575,000.00	6

	(114-A3)						
HI-2020-006-01-00		11.42.07	ACQUIRE - ADP HARDWARE	\$40,000.00	\$0.00	\$40,000.00	1
HI-2020-006-01-00		11.42.11	ACQUIRE - SUPPORT VEHICLES	\$295,000.00	\$0.00	\$295,000.00	3
HI-2020-006-01-00		11.42.20	ACQUIRE - MISC SUPPORT EQUIPMENT	\$40,000.00	\$0.00	\$40,000.00	1
HI-2020-006-01-00		11.44.03	REHAB/RENOVATE - ADMIN/MAINT FACILITY	\$200,000.00	\$0.00	\$200,000.00	1
HI-2020-006-01-00	300-00 (300-A1)		OPERATING ASSISTANCE	\$1,144,700.00	\$0.00	\$1,144,700.00	1
HI-2020-006-01-00		30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$1,144,700.00	\$0.00	\$1,144,700.00	1
HI-2020-006-01-00	610-00 (610-A4)		STATE / PROGRAMS ADMINISTRATION	\$133,600.00	\$0.00	\$133,600.00	1
HI-2020-006-01-00		11.80.00	STATE OR PROGRAM ADMINISTRATION	\$133,600.00	\$0.00	\$133,600.00	1
HI-2020-006-02-00	300-00 (300-A5)		OPERATING ASSISTANCE	\$4,319,700.00	\$0.00	\$4,319,700.00	1
HI-2020-006-02-00		30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$4,319,700.00	\$0.00	\$4,319,700.00	1
HI-2020-006-02-00	610-00 (610-A6)		STATE / PROGRAMS ADMINISTRATION	\$133,600.00	\$0.00	\$133,600.00	1
HI-2020-006-02-00		11.80.00	STATE OR PROGRAM ADMINISTRATION	\$133,600.00	\$0.00	\$133,600.00	1

Discretionary Allocations

This application does not contain discretionary allocations.

Part 3: Project Information

Project Title: Kauai County Transportation Agency (KTA)
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Project Number	Temporary Project Number	Date Created	Start Date	End Date
HI-2020-006-01-00	1623-2020-3-P1	6/2/2020	7/1/2020	6/30/2023

Project Description

This project encompasses all work items for the County of Kauai Transportation Agency (KTA).

Project Benefits

This project describes eligible work under the CARES Act 2020. It will assist the KTA in continuing to provide safe and effective transportation for the island of Kauai.

Additional Information

The operational cost amount was determined based on current and projected expenses in Fixed Route and on demand Operations including the hourly rate for operations (from FY2021 operational support salary and fringe), fuel costs, annual dues and subscriptions, and vehicle repair and maintenance. Anticipated fare revenues were subtracted from this amount. In addition, COVID-19 effects on operations such as cleaning and disinfection have been incorporated.

Location Description

KTA services the Island of Kauai in the State of Hawaii.

Project Location (Urbanized Areas)

UZA Code	Area Name
150000	Hawaii

Congressional District Information

State	District	Representative
Hawaii	2	Tulsi Gabbard

Program Plan Information

STIP/TIP

Date: N/A

Description: N/A

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$4,453,300
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$4,453,300

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
HI-2020-006-01-00	111-00 (111-A2) BUS - ROLLING STOCK	\$2,600,000.00	\$0.00	\$2,600,000.00	13
HI-2020-006-01-00	11.12.03 BUY REPLACEMENT 30-FT BUS	\$2,600,000.00	\$0.00	\$2,600,000.00	13
HI-2020-006-01-00	114-00 (114-A3) BUS: SUPPORT EQUIP AND FACILITIES	\$575,000.00	\$0.00	\$575,000.00	6
HI-2020-006-01-00	11.42.07 ACQUIRE - ADP HARDWARE	\$40,000.00	\$0.00	\$40,000.00	1
HI-2020-006-01-00	11.42.11 ACQUIRE - SUPPORT VEHICLES	\$295,000.00	\$0.00	\$295,000.00	3
HI-2020-006-01-00	11.42.20 ACQUIRE - MISC SUPPORT EQUIPMENT	\$40,000.00	\$0.00	\$40,000.00	1
HI-2020-006-01-00	11.44.03 REHAB/RENOVATE - ADMIN/MAINT FACILITY	\$200,000.00	\$0.00	\$200,000.00	1
HI-2020-006-01-00	300-00 (300-A1) OPERATING ASSISTANCE	\$1,144,700.00	\$0.00	\$1,144,700.00	1

HI-2020-006-01-00	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$1,144,700.00	\$0.00	\$1,144,700.00	1
HI-2020-006-01-00	610-00 (610-A4)	STATE / PROGRAMS ADMINISTRATION	\$133,600.00	\$0.00	\$133,600.00	1
HI-2020-006-01-00	11.80.00	STATE OR PROGRAM ADMINISTRATION	\$133,600.00	\$0.00	\$133,600.00	1

Project Budget Activity Line Items

Budget Activity Line Item: 11.80.00 - STATE OR PROGRAM ADMINISTRATION

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
STATE / PROGRAMS ADMINISTRATION (610-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	STATE / PROGRAM ADMINISTRATION	1

Extended Budget Description

These funds will be used to support administration of CARES 5311 funding. This ALI does not exceed the maximum of 10% of the state's CARES Act apportionment.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$133,600
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$133,600

Milestone Name	Est. Completion Date	Description
Start Date	9/1/2020	
End Date	5/1/2023	

Budget Activity Line Item: 30.09.08 - EMER RELIEF - OPERATING ASSIST - 100% Fed Share

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OPERATING ASSISTANCE (300-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	OPERATING ASSISTANCE	1

Extended Budget Description

Operating funds will cover expenses for existing service incurred beginning 07/01/2020 until 06/30/2023. Transit-related payroll and operations expenses, to include cost of bus and facility disinfection activities, fuel, collective bargaining agreements, annual dues and subscriptions, vehicle repair and maintenance expenses, etc. Cost was determined based on current and past fixed route and on demand service costs less past and anticipated revenue. Salaries based on the annual KTA FY2021 operational support rates.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$1,144,700
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$1,144,700

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2020	
End Date	6/30/2023	

Budget Activity Line Item: 11.12.03 - BUY REPLACEMENT 30-FT BUS

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS - ROLLING STOCK (111-00)	11.12.03	BUY REPLACEMENT 30-FT BUS	BUY REPLACEMENTS - CAPITAL BUS	13

Extended Budget Description

Replaces thirteen (13) 21 passenger vehicles (Vehicle ID/mileage): CK-2250 / 145,151; CK-2319 / 140,439; CK-2315 / 133,933; CK-2317 / 125,011; CK-2321 / 96,570; CK-2417 / 90,439; CK-2413 / 83,388; CK-2411 / 79,186; CK-2418 / 67,090; CK-2412 / 66,354; CK-2415 / 60,357; CK-2416 / 55,970; & CK-2419 / 47,224. All vehicles have exceeded maximum useful life.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Propulsion	Fuel Type	Vehicle Condition	Vehicle Size (ft.)
N/A	Diesel Fuel	New	30' 21 Passenger

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$2,600,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$2,600,000

Milestone Name	Est. Completion Date	Description
Start Date	1/1/2021	
RFP/IFB Issue Date	10/1/2021	
Contract Award Date	1/1/2022	
First Vehicle Delivered	2/1/2022	
All Vehicles Delivered	9/30/2022	
Contract Completion Date	10/31/2022	
End Date	10/31/2022	

Budget Activity Line Item: 11.42.07 - ACQUIRE - ADP HARDWARE

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS: SUPPORT EQUIP AND FACILITIES (114-00)	11.42.07	ACQUIRE - ADP HARDWARE	ACQUISITION OF BUS SUPPORT EQUIP/FACILITIES	1

Extended Budget Description

To purchase replacement computers, printers, and diagnostic tools within KTA in order to maintain a stable working environment to maintain consistency and quality of transit services, and better support customer service for transit passengers.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$40,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$40,000

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2020	
RFP/IFB Issued	8/1/2021	
Contract Award	1/1/2022	
End Date	1/31/2023	
Contract Complete	1/31/2023	

Budget Activity Line Item: 11.42.11 - ACQUIRE - SUPPORT VEHICLES

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS: SUPPORT EQUIP AND FACILITIES (114-00)	11.42.11	ACQUIRE - SUPPORT VEHICLES	ACQUISITION OF BUS SUPPORT EQUIP/FACILITIES	3

Extended Budget Description

Replace three (3) service trucks: CK-1841 / 94,170; CK-1933 / 55,479; & CK-2054 / 12,900 utilized for vehicle, bus stop, and administrative facility repairs and maintenance, all of which are scheduled for replacement for exceeding useful life of 8 years.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$295,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0

Transportation Development Credit	\$0
Adjustment	\$0
Total Eligible Cost	\$295,000

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2020	
RFP/IFB Issued	10/1/2021	
Contract Award	1/1/2022	
First Vehicle Delivered	2/1/2022	
All Vehicles Delivered	8/31/2022	
End Date	9/30/2022	
Contract Complete	9/30/2022	

Budget Activity Line Item: 11.42.20 - ACQUIRE - MISC SUPPORT EQUIPMENT

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS: SUPPORT EQUIP AND FACILITIES (114-00)	11.42.20	ACQUIRE - MISC SUPPORT EQUIPMENT	ACQUISITION OF BUS SUPPORT EQUIP/FACILITIES	1

Extended Budget Description

Purchase of vehicle and facility maintenance equipment to support the repair shop to keep the fleet, passenger and administrative facilities in a state of good repair.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$40,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$40,000

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2020	

RFP/IFB issued	10/1/2021	
Contract Award	1/1/2022	
End Date	1/31/2023	
Contract Complete	1/31/2023	

Budget Activity Line Item: 11.44.03 - REHAB/RENOVATE - ADMIN/MAINT FACILITY

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS: SUPPORT EQUIP AND FACILITIES (114-00)	11.44.03	REHAB/RENOVATE - ADMIN/MAINT FACILITY	REHAB/RENOVATE BUS SUPPORT FACIL/EQUIP	1

Extended Budget Description

To cover the cost of the transit repair shop mezzanine construction to support storage of additional cleaning and disinfection supplies and equipment.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$200,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$200,000

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2020	
RFP/IFB Issued	10/1/2021	
Contract Award	1/31/2022	
End Date	1/31/2023	
Contract Complete	1/31/2023	

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OPERATING ASSISTANCE (300-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	1	\$1,144,700.00	\$1,144,700.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
BUS - ROLLING STOCK (111-00)	11.12.03	BUY REPLACEMENT 30-FT BUS	13	\$2,600,000.00	\$2,600,000.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural

environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
BUS: SUPPORT EQUIP AND FACILITIES (114-00)	11.42.07	ACQUIRE - ADP HARDWARE	1	\$40,000.00	\$40,000.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
BUS: SUPPORT EQUIP AND FACILITIES (114-00)	11.42.11	ACQUIRE - SUPPORT VEHICLES	3	\$295,000.00	\$295,000.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
BUS: SUPPORT EQUIP AND FACILITIES (114-00)	11.42.20	ACQUIRE - MISC SUPPORT EQUIPMENT	1	\$40,000.00	\$40,000.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 08: Maintenance, rehabilitation, and reconstruction of facilities that occupy substantially the same geographic footprint and do not result in a change in functional use, such as: improvements to bridges, tunnels, storage yards, buildings, stations, and terminals; construction of platform extensions, passing track, and retaining walls; and improvements to tracks and railbeds.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
BUS: SUPPORT EQUIP AND FACILITIES (114-00)	11.44.03	REHAB/RENOVATE - ADMIN/MAINT FACILITY	1	\$200,000.00	\$200,000.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description
	Class IIc CE Approved

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
STATE / PROGRAMS ADMINISTRATION (610-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	1	\$133,600.00	\$133,600.00

Project Title: County of Hawaii Mass Transit Agency (HMTA)

Project Number	Temporary Project Number	Date Created	Start Date	End Date
HI-2020-006-02-00	1623-2020-3-P2	6/2/2020	1/20/2020	5/1/2023

Project Description

This project describes eligible work under the CARES Act 2020. It will assist the HMTA in continuing to provide safe and effective transportation for the island of Hawaii.

Project Benefits

This project will show the efforts HMTA is undertaking to address the impacts of COVID-19.

Additional Information

The amount of HMTA's operating expense was determined based on current and projected expenses in Fixed Route and on demand contracts including the hourly rate for operations and the fixed monthly management fee plus anticipated fuel costs. Anticipated fare revenues were subtracted from this amount. Further adjustments were made for COVID-19 effects on operations such as cleaning, disinfection and administrative expenses.

Location Description

HMTA services the Island of Hawaii, within the State of Hawaii.

Project Location (Urbanized Areas)

UZA Code	Area Name
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150000

Hawaii

Congressional District Information

State	District	Representative
Hawaii	2	Tulsi Gabbard

Program Plan Information

STIP/TIP

Date: N/A

Description: N/A

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$4,453,300
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$4,453,300

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
HI-2020-006-02-00	300-00 (300-A5) OPERATING ASSISTANCE	\$4,319,700.00	\$0.00	\$4,319,700.00	1

HI-2020-006-02-00	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$4,319,700.00	\$0.00	\$4,319,700.00	1
HI-2020-006-02-00	610-00 (610-A6)	STATE / PROGRAMS ADMINISTRATION	\$133,600.00	\$0.00	\$133,600.00	1
HI-2020-006-02-00	11.80.00	STATE OR PROGRAM ADMINISTRATION	\$133,600.00	\$0.00	\$133,600.00	1

Project Budget Activity Line Items

Budget Activity Line Item: 11.80.00 - STATE OR PROGRAM ADMINISTRATION
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Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
STATE / PROGRAMS ADMINISTRATION (610-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	STATE / PROGRAM ADMINISTRATION	1

Extended Budget Description

These funds will be used to support administration of CARES 5311 funding. This ALI does not exceed the maximum of 10% of the state's CARES Act apportionment.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$133,600
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$133,600

Milestone Name	Est. Completion Date	Description
Start Date	9/1/2020	
End Date	5/1/2023	

Budget Activity Line Item: 30.09.08 - EMER RELIEF - OPERATING ASSIST - 100% Fed Share

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OPERATING ASSISTANCE (300-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	OPERATING ASSISTANCE	1

Extended Budget Description

Operating funds will cover expenses for existing service incurred beginning 01/20/2020 until 12/31/2020. Monies to be used to pay for third party contractors for transit operations. Including transit bus operations, drivers, dispatch, cleaning/disinfection services for buses. Also includes HMTA administrative costs to manage and coordinate.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$4,319,700
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$4,319,700

Milestone Name	Est. Completion Date	Description
Start Date	1/20/2020	
End Date	12/31/2020	

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or

program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OPERATING ASSISTANCE (300-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	1	\$4,319,700.00	\$4,319,700.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

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Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
STATE / PROGRAMS ADMINISTRATION (610-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	1	\$133,600.00	\$133,600.00

Part 4: Fleet Details

Fleet Type: Other

Fleet Comments

FTA 5310 Funded Vehicles

		Current Value
I.	Active Fleet	
	A. Peak Requirement	40
	B. Spares	0

	C. Total (A+B)	40
	D. Spare Ratio (B/A)	0%
II.	Inactive Fleet	
	A. Other	0
	B. Pending Disposal	2
	C. Total (A+B)	2
III.	Total (I.C and II.C)	42

Fleet Type: Fixed Route

Fleet Comments

Kauai & Hawaii Counties total fleet is 36 for fixed route

		Current Value
I.	Active Fleet	
	A. Peak Requirement	33
	B. Spares	4
	C. Total (A+B)	37
	D. Spare Ratio (B/A)	12.12%
II.	Inactive Fleet	
	A. Other	0
	B. Pending Disposal	3
	C. Total (A+B)	3
III.	Total (I.C and II.C)	40

Fleet Type: Paratransit

Fleet Comments

Kauai and Maui total fleet of 57 for fixed route paratransit

		Current Value
I.	Active Fleet	
	A. Peak Requirement	35
	B. Spares	7
	C. Total (A+B)	42
	D. Spare Ratio (B/A)	20%
II.	Inactive Fleet	
	A. Other	2
	B. Pending Disposal	13
	C. Total (A+B)	13
III.	Total (I.C and II.C)	55

Part 5: FTA Review Comments

FTA Comments for DOL

Comment By **Ted Matley**

Comment Type DOL Review for Information

Date 6/29/2020

Comment 5311 Program CARES funds, sent for information only

Part 6: Agreement

**UNITED STATES OF AMERICA
DEPARTMENT OF TRANSPORTATION
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT
(FTA G-26, October 1, 2019)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(26), October 1, 2019, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

FTA AWARD

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

Recipient Information

Recipient Name: TRANSPORTATION, HAWAII DEPARTMENT OF

Recipient ID: 1623

DUNS No: 824671465

Application Information

Federal Award Identification Number: HI-2020-006-00

Application Name: Hawaii DOT FFY20 CARES Act Section 5311 Rural Grant - Operating and Replacement Assistance

Application Start Date: N/A

Original Award End Date: 9/30/2023

Current Award End Date: N/A

Application Executive Summary: This is an FFY 2020 Section 5311 CARES Act application in the amount of \$8,906,600. Per the CARES Act, the grant requests 100% federal share. This application utilizes CARES Act funding to prevent, prepare for, and respond to coronavirus. This grant applies for \$8,906,600 in Section 5311 CARES Act funding for the State of Hawaii to support the operating and replacement costs of the rural transit providers identified as sub-recipients for the period of January 20, 2020 through September 30, 2023.

Total federal funds apportioned to sub-recipients:

Hawaii County \$ 4,319,700

Kauai County \$ 4,319,700

Total federal funds apportioned to State of Hawaii (State Administration):

State of Hawaii \$ 267,200

The Recipient agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under the Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.

Per the CARES Act, the projects in the application is not required to be programmed in the Long-Range Transportation Plan or Statewide Transportation Improvement Program.

Research and Development: This award does not include research and development activities.

Indirect Costs: This award does not include an indirect cost rate.

Suballocation Funds: Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Pre-Award Authority: This award is using Pre-Award Authority.

Application Budget

Total Application Budget: \$8,906,600.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$8,906,600.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$0.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$8,906,600.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$0.00

Application Budget Control Totals

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$8,906,600
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$8,906,600

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:

Original Certification Date:

Special Conditions

There are no special conditions.

FINDINGS AND DETERMINATIONS

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

FTA AWARD OF THE GRANT AGREEMENT

Awarded By:

FEDERAL TRANSIT ADMINISTRATION
U.S. DEPARTMENT OF TRANSPORTATION
Contact Info:
Award Date:

EXECUTION OF THE GRANT AGREEMENT

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
 - (a) Statements,
 - (b) Representations,
 - (c) Warranties,
 - (d) Covenants, and
 - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

TRANSPORTATION, HAWAII DEPARTMENT OF