

Application

| | |
|---|--|
| Federal Award Identification Number (FAIN) | GU-2017-002-01 |
| Temporary Application Number | 1641-2017-1 |
| Application Name | Operating Assistance, State or Programs Administration, Acquisition of Rolling Stocks for Expansion, and Purchase of Communications System |
| Application Status | Final Concurrence / Reservation |
| Application Budget Number | 0 |

| | |
|--|-------------------------|
| Period of Performance Start Date | 9/20/2017 |
| Original Period of Performance End Date | 9/30/2020 |
| Current Period of Performance End Date | 9/30/2020 Revision #: 0 |

Part 1: Recipient Information

Name: GUAM REGIONAL TRANSIT AUTHORITY

| Recipient ID | Recipient OST Type | Recipient Alias | Recipient DUNS |
|--------------|--------------------|---------------------------------|----------------|
| 1641 | Transit Authority | GUAM REGIONAL TRANSIT AUTHORITY | 855025297 |

| Location Type | Address | City | State | Zip |
|------------------|---------------------------|-------------|-------|-------|
| Headquarters | | | | |
| Physical Address | 542 NORTH MARINE CORPS DR | UPPER TUMON | GU | 96913 |
| Mailing Address | P.O. BOX 2896 | HAGATNA | GU | 96932 |

Union Information

There are no union contacts for this application

Part 2: Application Information

Title: Operating Assistance, State or Programs Administration, Acquisition of Rolling Stocks for Expansion, and Purchase of Communications System

| FAIN | Application Status | Application Type | Date Created | Last Updated Date | From TEAM? |
|----------------|---------------------------------|------------------|--------------|-------------------|------------|
| GU-2017-002-01 | Final Concurrence / Reservation | Grant | 5/8/2020 | 5/8/2020 | No |

Application Executive Summary

GRTA requests to extend the expiration date to September 30, 2023, from September 30, 2020, for GRTA to have more time to award the ongoing procurement. The local Government Services Administration has forwarded the procurement documents to the Attorney General's Office for their final review. Guam law requires that any procurement with the value of \$500,000 or more must be approved by the AG's Office prior to the award.

GRTA anticipates that in the event that a protest is filed, GRTA gave ourselves ample allowance in case the procurement will be re-solicited. The procurement of these buses is crucial in supporting the GRTA paratransit service.

In addition, the Governor of Guam has directed the procurement department of the Government of Guam to assist Guam Regional Transit Authority to procure buses for paratransit and fixed-routes transit requirements. However, without funds from the grant, GRTA will not be able to buy buses. Without additional buses, the Governor is clearly aware that GRTA will have a tough time bringing persons with disabilities to the medical appointments, and workers without transportation to work.

This is the end of Amendment Number 1.

The FY 2017 federal funding are as follows: Section 5310 amounting to \$47,983 (Enhanced Mobility of Seniors & Individuals with Disabilities Grant Program), Section 5311 (b) (3) amounting to \$23,225 (Rural Transit Assistance Program (RTAP)), Section 5339 amounting to \$500,000 are consolidated to Section 5311 amounting to \$846,278 for a grand total amounting to \$1,417,486 which will be used as operating assistance for GRTA's paratransit service, state or programs administration, and acquisition of rolling stocks, and purchase of communications system.

As per FTA C9040.1G, Page III-7, 3.a., a state or program administration funds totaling \$141,749 which is 10 percent of the combined total of FY 2017 Sections 5310, 5311, 5311 (b) (3), 5339 will be used by GRTA for partially funding the salaries and benefits of 2 (two) limited-term employees to administer all FTA grant programs in FY 2018 amounting to \$37,502, and for the partial funding of the purchase of communications system amounting to \$104,247.

In addition, as per FTA C9040.1G, Page III-11, 3.c., the FY 2017 Operating Assistance amounting to \$775,737 will be used for the expenditures incurred for the operational expenses of GRTA paratransit service in FY 2018.

GRTA earmarks the FY 2017 Section 5339 grant funds which is consolidated to FY 2017 Section 5311 amounting to \$500,000.00 to acquire 5 (five) new 14 seats ADA compliant gasoline fueled buses which are less than 30 ft. long.

The seniors & individuals with disabilities including the economically disadvantaged target populace of the island will reap the benefits of partially funding GRTA paratransit service which translates to more operating hours and committed federal funding. Moreover, with the acquisition of rolling stocks, GRTA

will be able to expand its paratransit and fixed route services to the target populace and the general riding public. Further, with the partial funding of the communications system purchase, GRTA will be able to employ a transportation management system with computer-aided reservations, scheduling, and dispatching thereby making GRTA Dispatch Center more efficient and effective. Finally, all federal grant program requirements will be complied with thereby insuring continued funding for GRTA's paratransit service with the partial funding of the salaries and benefits of 2 (two) limited-term employees to administer the grant programs.

Frequency of Milestone Progress Reports (MPR)

Annual

Frequency of Federal Financial Reports (FFR)

Annual

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Will this Grant be using Lapsing Funds?

No, this Grant does not use Lapsing Funds.

Will indirect costs be applied to this application?

This award does not include an indirect cost rate.

Indirect Rate Details: N/A

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Application Point of Contact Information

| First Name | Last Name | Title | E-mail Address | Phone |
|------------|-----------|------------------|------------------------------|----------------|
| Rally | Pilipina | Chief Planner | rally.pilipina@grta.guam.gov | (671) 300-7261 |
| Ryan | Fujii | General Engineer | ryan.fujii@dot.gov | 202-748-0677 |

Application Budget Control Totals

| Funding Source | Section of Statute | CFDA Number | Amount |
|----------------|--------------------|-------------|--------|
|----------------|--------------------|-------------|--------|

| | | | |
|--|---------|-------|--------------------|
| 49 USC 5311 - (MAP 21) Formula Grants for Rural Areas (FY2013 and forward) | 5311-1A | 20509 | \$1,417,486 |
| Local | | | \$0 |
| Local/In-Kind | | | \$0 |
| State | | | \$0 |
| State/In-Kind | | | \$0 |
| Other Federal | | | \$0 |
| Transportation Development Credit | | | \$0 |
| Adjustment | | | \$0 |
| Total Eligible Cost | | | \$1,417,486 |

Application Budget

| Project Number | Budget Item | FTA Amount | Non-FTA Amount | Total Eligible Amount | Quantity |
|-------------------|--|--------------|----------------|-----------------------|----------|
| GU-2017-002-01-01 | 116-00 (116-A2) SIGNAL & COMM EQUIPMENT (BUS) | \$56,264.00 | \$0.00 | \$56,264.00 | 2 |
| GU-2017-002-01-01 | 11.62.02 PURCHASE COMMUNICATIONS SYSTEM | \$4,262.00 | \$0.00 | \$4,262.00 | 1 |
| GU-2017-002-01-01 | 11.62.02 PURCHASE COMMUNICATIONS SYSTEM | \$52,002.00 | \$0.00 | \$52,002.00 | 1 |
| GU-2017-002-01-01 | 300-00 (300-A1) OPERATING ASSISTANCE | \$775,737.00 | \$0.00 | \$775,737.00 | 1 |
| GU-2017-002-01-01 | 30.09.02 SLIDING SCALE (5311 OR 5310 PILOT ONLY) | \$775,737.00 | \$0.00 | \$775,737.00 | 1 |
| GU-2017-002-01-01 | 610-00 (610-A4) STATE / PROGRAMS ADMINISTRATION | \$14,277.00 | \$0.00 | \$14,277.00 | 1 |
| GU-2017-002-01-01 | 11.80.00 STATE OR PROGRAM ADMINISTRATION | \$14,277.00 | \$0.00 | \$14,277.00 | 1 |
| GU-2017-002-02-01 | 116-00 (116-A3) SIGNAL & COMM EQUIPMENT (BUS) | \$47,983.00 | \$0.00 | \$47,983.00 | 1 |

| | | | | | | | |
|-------------------|--------------------|----------|---------------------------------------|--------------|--------|--------------|---|
| GU-2017-002-02-01 | | 11.62.02 | PURCHASE COMMUNICATIONS SYSTEM | \$47,983.00 | \$0.00 | \$47,983.00 | 1 |
| GU-2017-002-03-01 | 111-00 (111-A6) | | BUS - ROLLING STOCK | \$500,000.00 | \$0.00 | \$500,000.00 | 5 |
| GU-2017-002-03-01 | | 11.13.04 | BUY | \$500,000.00 | \$0.00 | \$500,000.00 | 5 |
| GU-2017-002-03-01 | 610-00 (610-A5) | | STATE / PROGRAMS ADMINISTRATION | \$23,225.00 | \$0.00 | \$23,225.00 | 1 |
| GU-2017-002-03-01 | | 11.80.00 | STATE OR PROGRAM ADMINISTRATION | \$23,225.00 | \$0.00 | \$23,225.00 | 1 |

Discretionary Allocations

This application does not contain discretionary allocations.

Part 3: Project Information

Project Title: Operating Assistance, State or Programs Administration, and Purchase of Communications System

| Project Number | Temporary Project Number | Date Created | Start Date | End Date |
|-------------------|--------------------------|--------------|------------|-----------|
| GU-2017-002-01-01 | 1641-2017-1-P1 | 5/8/2020 | 10/1/2017 | 9/30/2019 |

Project Description

As per FTA C9040.1G, Page III-7, 3.a., a state or program administration funds totaling \$141,749 which is 10 percent of the combined total of FY 2017 Sections 5310, 5311, 5311 (b) (3), 5339 amounting to \$1,417,486 will be used by GRTA to partially fund the salaries and benefits of 2 (two) limited-term employees to administer all FTA grant programs in FY 2018 amounting to \$37,502 (For this project number P1, \$14,277 are budgeted for salaries and benefits), and to partially fund the purchase of communications system amounting to \$104,247 (For this project number P1, \$52,002 in addition to \$4,262 for a total of \$56,264 is budgeted for the purchase of communications system).

In addition, as per FTA C9040.1G, Page III-11, 3.c., the FY 2017 Operating Assistance amounting to \$775,737 will be used for the expenditures incurred for the operational expenses of GRTA paratransit service in FY 2018.

Project Benefits

The seniors & individuals with disabilities including the economically disadvantaged target populace of the island will reap the benefits of partially funding GRTA paratransit service which translates to more operating hours and committed federal funding. Moreover, with the partial funding of the communications system purchase, GRTA will be able to employ a transportation management system with computer-aided reservation, scheduling, and dispatching thereby making GRTA Dispatch Center more efficient and effective. Finally, all federal grant program requirements will be complied with

thereby insuring continued funding for GRTA's paratransit service with the partial funding of the salaries and benefits of 2 (two) limited-term employees to administer the grant programs.

Additional Information

Not Applicable.

Location Description

GRTA fixed route and paratransit services operate throughout the island. Thus, the grant project locations are also island-wide.

Project Location (Urbanized Areas)

| UZA Code | Area Name |
|----------|-----------|
| 660000 | Guam |

Congressional District Information

| State | District | Representative |
|-------|----------|----------------------|
| Guam | 1 | Madeleine Z Bordallo |

Program Plan Information

STIP/TIP

Date: 2/16/2016

Description: Guam Transportation Improvement Program (GTIP) for Fiscal Years 2016-2019 is a short-term Statewide Transportation Improvement Plan (STIP) for the implementation of transportation projects within a 3 year period. The GTIP is based upon Guam long-range plan namely the 2030 Guam Transportation Plan (GTP). GRTA projects are mentioned on the second paragraph of the cover letter as well as on Page 9 of 19 with heading: Grant Anticipation Revenue Vehicles (GARVEE). The GRTA projects are mentioned on the last paragraph. GRTA FY 2017 State Program of Projects newspaper publication on August 21, 2017 stated all the grant projects that GRTA will implement from the FY 2017 Continuing Grant Program. GRTA sought public comments on the subject projects.

UPWP

Date: 8/20/2017

Description: The newspaper publication on August 21, 2017 sought public comments on all the FY 2017 Continuing Grant Projects.

Long Range Plan

Date: 12/18/2008

Description: 2030 Guam Transportation Plan is a comprehensive long-term strategy to improve transportation infrastructure and operations throughout Guam. Pages 77-93 deal with Mass Transit, Pages 159-161 state the Mass Transit Improvements and Page 172 is about the Federal Transit Administration transit funding programs.

Project Control Totals

| Funding Source | Section of Statute | CFDA Number | Amount |
|--|--------------------|-------------|------------------|
| 49 USC 5311 - (MAP 21) Formula Grants for Rural Areas (FY2013 and forward) | 5311-1A | 20509 | \$846,278 |
| Local | | | \$0 |
| Local/In-Kind | | | \$0 |
| State | | | \$0 |
| State/In-Kind | | | \$0 |
| Other Federal | | | \$0 |
| Transportation Development Credit | | | \$0 |
| Adjustment | | | \$0 |
| Total Eligible Cost | | | \$846,278 |

Project Budget

| Project Number | Budget Item | FTA Amount | Non-FTA Amount | Total Eligible Amount | Quantity |
|-------------------|--|--------------|----------------|-----------------------|----------|
| GU-2017-002-01-01 | 116-00 (116-A2) SIGNAL & COMM EQUIPMENT (BUS) | \$56,264.00 | \$0.00 | \$56,264.00 | 2 |
| GU-2017-002-01-01 | 11.62.02 PURCHASE COMMUNICATIONS SYSTEM | \$4,262.00 | \$0.00 | \$4,262.00 | 1 |
| GU-2017-002-01-01 | 11.62.02 PURCHASE COMMUNICATIONS SYSTEM | \$52,002.00 | \$0.00 | \$52,002.00 | 1 |
| GU-2017-002-01-01 | 300-00 (300-A1) OPERATING ASSISTANCE | \$775,737.00 | \$0.00 | \$775,737.00 | 1 |
| GU-2017-002-01-01 | 30.09.02 SLIDING SCALE (5311 OR 5310 PILOT ONLY) | \$775,737.00 | \$0.00 | \$775,737.00 | 1 |
| GU-2017-002-01-01 | 610-00 (610-A4) STATE / PROGRAMS ADMINISTRATION | \$14,277.00 | \$0.00 | \$14,277.00 | 1 |
| GU-2017-002-01-01 | 11.80.00 STATE OR PROGRAM ADMINISTRATION | \$14,277.00 | \$0.00 | \$14,277.00 | 1 |

Project Budget Activity Line Items

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|--|
| Budget Activity Line Item: 30.09.02 - SLIDING SCALE (5311 OR 5310 PILOT ONLY) |
|--|

| Scope Name / Code | Line Item # | Line Item Name | Activity | Quantity |
|-------------------------------|-------------|---|----------------------|----------|
| OPERATING ASSISTANCE (300-00) | 30.09.02 | SLIDING SCALE (5311 OR 5310 PILOT ONLY) | OPERATING ASSISTANCE | 1 |

Extended Budget Description

GRTA will use \$775,737.00 federal operating assistance funds for GRTA paratransit service in FY 2018.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

| Funding Source | Section of Statute | CFDA Number | Amount |
|--|--------------------|-------------|------------------|
| 49 USC 5311 - (MAP 21) Formula Grants for Rural Areas (FY2013 and forward) | 5311-1A | 20509 | \$775,737 |
| Local | | | \$0 |
| Local/In-Kind | | | \$0 |
| State | | | \$0 |
| State/In-Kind | | | \$0 |
| Other Federal | | | \$0 |
| Transportation Development Credit | | | \$0 |
| Adjustment | | | \$0 |
| Total Eligible Cost | | | \$775,737 |

| Milestone Name | Est. Completion Date | Description |
|----------------|----------------------|--|
| Start Date | 10/1/2017 | GRTA will use \$775,737.00 federal operating assistance funds for GRTA paratransit service in FY 2018. |
| End Date | 9/30/2018 | GRTA will use \$775,737.00 federal operating assistance funds for GRTA paratransit service in FY 2018. |

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|---|
| Budget Activity Line Item: 11.62.02 - PURCHASE COMMUNICATIONS SYSTEM |
|---|

| Scope Name / Code | Line Item # | Line Item Name | Activity | Quantity |
|--|-------------|--------------------------------|------------|----------|
| SIGNAL & COMM EQUIPMENT (BUS) (116-00) | 11.62.02 | PURCHASE COMMUNICATIONS SYSTEM | AQUISITION | 1 |

Extended Budget Description

Partial funding of the Request for Proposal of the Transportation Management System Software & Hardware for our One-Call/One-Click Dispatch Center.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

| Funding Source | Section of Statute | CFDA Number | Amount |
|--|--------------------|-------------|----------------|
| 49 USC 5311 - (MAP 21) Formula Grants for Rural Areas (FY2013 and forward) | 5311-1A | 20509 | \$4,262 |
| Local | | | \$0 |
| Local/In-Kind | | | \$0 |
| State | | | \$0 |
| State/In-Kind | | | \$0 |
| Other Federal | | | \$0 |
| Transportation Development Credit | | | \$0 |
| Adjustment | | | \$0 |
| Total Eligible Cost | | | \$4,262 |

| Milestone Name | Est. Completion Date | Description |
|----------------|----------------------|---|
| Start Date | 10/1/2017 | Partial funding of the Request for Proposal of the Transportation Management System Software & Hardware for our One-Call/One-Click Dispatch Center. |
| End Date | 9/30/2019 | Partial funding of the Request for Proposal of the Transportation Management System Software & Hardware for our One-Call/One-Click Dispatch Center. |

Budget Activity Line Item: 11.62.02 - PURCHASE COMMUNICATIONS SYSTEM

| Scope Name / Code | Line Item # | Line Item Name | Activity | Quantity |
|--|-------------|--------------------------------|--------------|----------|
| SIGNAL & COMM EQUIPMENT (BUS) (116-00) | 11.62.02 | PURCHASE COMMUNICATIONS SYSTEM | AQUISITION 1 | |

Extended Budget Description

Partial funding of the Request for Proposal of the Transportation Management System Software & Hardware for GRTA One-Call/One-Click Dispatch Center.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

| Funding Source | Section of Statute | CFDA Number | Amount |
|----------------|--------------------|-------------|--------|
|----------------|--------------------|-------------|--------|

| | | | |
|--|---------|-------|-----------------|
| 49 USC 5311 - (MAP 21) Formula Grants for Rural Areas (FY2013 and forward) | 5311-1A | 20509 | \$52,002 |
| Local | | | \$0 |
| Local/In-Kind | | | \$0 |
| State | | | \$0 |
| State/In-Kind | | | \$0 |
| Other Federal | | | \$0 |
| Transportation Development Credit | | | \$0 |
| Adjustment | | | \$0 |
| Total Eligible Cost | | | \$52,002 |

| Milestone Name | Est. Completion Date | Description |
|----------------|----------------------|--|
| Start Date | 10/1/2017 | Partial funding of the Request for Proposal of the Transportation Management System Software & Hardware for GRTA One-Call/One-Click Dispatch Center. |
| End Date | 9/30/2019 | Partial funding of the Request for Proposal of the Transportation Management System Software & Hardware for GRTA One-Call/One-Click Dispatch Center. |

Budget Activity Line Item: 11.80.00 - STATE OR PROGRAM ADMINISTRATION

| Scope Name / Code | Line Item # | Line Item Name | Activity | Quantity |
|--|-------------|---------------------------------|--------------------------------|----------|
| STATE / PROGRAMS ADMINISTRATION (610-00) | 11.80.00 | STATE OR PROGRAM ADMINISTRATION | STATE / PROGRAM ADMINISTRATION | 1 |

Extended Budget Description

Partial funding amounting to \$14,277.00 from the State or Programs Administration funds for salaries and benefits of 2 (two) limited-term employees that are assigned to administer the federal programs in FY 2018.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

| Funding Source | Section of Statute | CFDA Number | Amount |
|--|--------------------|-------------|----------|
| 49 USC 5311 - (MAP 21) Formula Grants for Rural Areas (FY2013 and forward) | 5311-1A | 20509 | \$14,277 |
| Local | | | \$0 |
| Local/In-Kind | | | \$0 |
| State | | | \$0 |

| | | | | |
|-----------------------------------|--|--|--|-----------------|
| State/In-Kind | | | | \$0 |
| Other Federal | | | | \$0 |
| Transportation Development Credit | | | | \$0 |
| Adjustment | | | | \$0 |
| Total Eligible Cost | | | | \$14,277 |

| Milestone Name | Est. Completion Date | Description |
|----------------|----------------------|---|
| Start Date | 10/1/2017 | Partial funding amounting to \$14,277.00 from the State or Programs Administration funds for salaries and benefits of 2 (two) limited-term employees that are assigned to administer the federal programs in FY 2018. |
| End Date | 9/30/2018 | Partial funding amounting to \$14,277.00 from the State or Programs Administration funds for salaries and benefits of 2 (two) limited-term employees that are assigned to administer the federal programs in FY 2018. |

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

| Date | Description | Date |
|------|-----------------------|------|
| | Class IIc CE Approved | |

| Scope Name / Code | Line Item Number | Line Item Name | Quantity | FTA Amount | Total Eligible Cost |
|-------------------------------|------------------|---|----------|--------------|---------------------|
| OPERATING ASSISTANCE (300-00) | 30.09.02 | SLIDING SCALE (5311 OR 5310 PILOT ONLY) | 1 | \$775,737.00 | \$775,737.00 |

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

| Date Description | Date |
|-----------------------|------|
| Class IIc CE Approved | |

| Scope Name / Code | Line Item Number | Line Item Name | Quantity | FTA Amount | Total Eligible Cost |
|--|------------------|--------------------------------|----------|------------|---------------------|
| SIGNAL & COMM EQUIPMENT (BUS) (116-00) | 11.62.02 | PURCHASE COMMUNICATIONS SYSTEM | 1 | \$4,262.00 | \$4,262.00 |

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

| Date Description | Date |
|-----------------------|------|
| Class IIc CE Approved | |

| Scope Name / Code | Line Item Number | Line Item Name | Quantity | FTA Amount | Total Eligible Cost |
|--|------------------|--------------------------------|----------|-------------|---------------------|
| SIGNAL & COMM EQUIPMENT (BUS) (116-00) | 11.62.02 | PURCHASE COMMUNICATIONS SYSTEM | 1 | \$52,002.00 | \$52,002.00 |

Finding: Class II(c) - Categorical Exclusions (C-List)**Class Level Description**

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

| Date Description | Date |
|-----------------------|------|
| Class IIc CE Approved | |

| Scope Name / Code | Line Item Number | Line Item Name | Quantity | FTA Amount | Total Eligible Cost |
|--|------------------|---------------------------------|----------|-------------|---------------------|
| STATE / PROGRAMS ADMINISTRATION (610-00) | 11.80.00 | STATE OR PROGRAM ADMINISTRATION | 1 | \$14,277.00 | \$14,277.00 |

Project Title: Purchase of Communications System

| Project Number | Temporary Project Number | Date Created | Start Date | End Date |
|-------------------|--------------------------|--------------|------------|-----------|
| GU-2017-002-02-01 | 1641-2017-1-P2 | 5/8/2020 | 10/1/2017 | 9/30/2019 |

Project Description

As per FTA C9040.1G, Page III-7, 3.a., a state or program administration funds totaling \$141,749 which is 10 percent of the combined total of FY 2017 Sections 5310, 5311, 5311 (b) (3), 5339 amounting to \$1,417,486 will be used by GRTA for partially funding the salaries and benefits of 2 (two) limited-term employees to administer all FTA grant programs in FY 2018 amounting to \$37,502, and to partially fund the purchase of communications system amounting to \$104,247 (For this project number P2, \$47,983 are budgeted to the purchase of communications system).

Project Benefits

With the partial funding of the communications system purchase, GRTA will be able to employ a transportation management system with computer-aided reservations, scheduling, and dispatching thereby making GRTA Dispatch Center more efficient and effective. These improvements will heavily benefit the target populace.

Additional Information

Not Applicable.

Location Description

GRTA fixed route and paratransit services operate throughout the island. Thus, the grant project locations are Island-wide.

Project Location (Urbanized Areas)

| UZA Code | Area Name |
|----------|-----------|
| 660000 | Guam |

Congressional District Information

| State | District | Representative |
|-------|----------|----------------------|
| Guam | 1 | Madeleine Z Bordallo |

Program Plan Information

STIP/TIP

Date: 2/16/2016

Description: Guam Transportation Improvement Program (GTIP) for Fiscal Years 2016-2019 is a short-term Statewide Transportation Improvement Plan (STIP) for the implementation of transportation projects within a 3 year period. The GTIP is based upon Guam long-range plan namely the 2030 Guam Transportation Plan (GTP). GRTA projects are mentioned on the second paragraph of the cover letter as well as on Page 9 of 19 with heading: Grant Anticipation Revenue Vehicles (GARVEE). The GRTA projects are mentioned on the last paragraph. GRTA FY 2017 State Program of Projects newspaper publication on August 21, 2017 stated all the grant projects that GRTA will implement from the FY 2017 Continuing Grant Program. GRTA sought public comments on the subject projects.

UPWP

Date: 8/20/2017

Description: The newspaper publication on August 21, 2017 sought public comments on all the FY 2017 Continuing Grant Projects.

Long Range Plan

Date: 12/18/2008

Description: 2030 Guam Transportation Plan is a comprehensive long-term strategy to improve transportation infrastructure and operations throughout Guam. Pages 77-93 deal with Mass Transit, Pages 159-161 state the Mass Transit Improvements and Page 172 is about the Federal Transit Administration transit funding programs.

Project Control Totals

| Funding Source | Section of Statute | CFDA Number | Amount |
|--|--------------------|-------------|----------|
| 49 USC 5311 - (MAP 21) Formula Grants for Rural Areas (FY2013 and forward) | 5311-1A | 20509 | \$47,983 |
| Local | | | \$0 |
| Local/In-Kind | | | \$0 |
| State | | | \$0 |

| | | |
|-----------------------------------|--|-----------------|
| State/In-Kind | | \$0 |
| Other Federal | | \$0 |
| Transportation Development Credit | | \$0 |
| Adjustment | | \$0 |
| Total Eligible Cost | | \$47,983 |

Project Budget

| Project Number | Budget Item | FTA Amount | Non-FTA Amount | Total Eligible Amount | Quantity |
|-------------------|---|-------------|----------------|-----------------------|----------|
| GU-2017-002-02-01 | 116-00 (116-A3) SIGNAL & COMM EQUIPMENT (BUS) | \$47,983.00 | \$0.00 | \$47,983.00 | 1 |
| GU-2017-002-02-01 | 11.62.02 PURCHASE COMMUNICATIONS SYSTEM | \$47,983.00 | \$0.00 | \$47,983.00 | 1 |

Project Budget Activity Line Items

Budget Activity Line Item: 11.62.02 - PURCHASE COMMUNICATIONS SYSTEM

| Scope Name / Code | Line Item # | Line Item Name | Activity | Quantity |
|--|-------------|--------------------------------|------------|----------|
| SIGNAL & COMM EQUIPMENT (BUS) (116-00) | 11.62.02 | PURCHASE COMMUNICATIONS SYSTEM | AQUISITION | 1 |

Extended Budget Description

Partial funding of the Request for Proposal of the Transportation Management System Software & Hardware for GRTA One-Call/One-Click Dispatch Center.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

| Funding Source | Section of Statute | CFDA Number | Amount |
|--|--------------------|-------------|----------|
| 49 USC 5311 - (MAP 21) Formula Grants for Rural Areas (FY2013 and forward) | 5311-1A | 20509 | \$47,983 |
| Local | | | \$0 |
| Local/In-Kind | | | \$0 |
| State | | | \$0 |
| State/In-Kind | | | \$0 |

| | | |
|-----------------------------------|--|-----------------|
| Other Federal | | \$0 |
| Transportation Development Credit | | \$0 |
| Adjustment | | \$0 |
| Total Eligible Cost | | \$47,983 |

| Milestone Name | Est. Completion Date | Description |
|----------------|----------------------|--|
| Start Date | 10/1/2017 | Partial funding of the Request for Proposal of the Transportation Management System Software & Hardware for GRTA One-Call/One-Click Dispatch Center. |
| End Date | 9/30/2019 | Partial funding of the Request for Proposal of the Transportation Management System Software & Hardware for GRTA One-Call/One-Click Dispatch Center. |

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

| Date | Description | Date |
|------|-----------------------|------|
| | Class IIc CE Approved | |

| Scope Name / Code | Line Item Number | Line Item Name | Quantity | FTA Amount | Total Eligible Cost |
|--|------------------|--------------------------------|----------|-------------|---------------------|
| SIGNAL & COMM EQUIPMENT (BUS) (116-00) | 11.62.02 | PURCHASE COMMUNICATIONS SYSTEM | 1 | \$47,983.00 | \$47,983.00 |

Project Title: Acquisition of Rolling Stocks for Expansion and State or Programs Administration

| Project Number | Temporary Project Number | Date Created | Start Date | End Date |
|-------------------|--------------------------|--------------|------------|-----------|
| GU-2017-002-03-01 | 1641-2017-1-P3 | 5/8/2020 | 10/1/2017 | 9/30/2020 |

Project Description

GRTA earmarks the FY 2017 Section 5339 grant funds which is consolidated to FY 2017 Section 5311 amounting to \$500,000 to acquire 5 (five) new 14 seats ADA compliant gasoline fueled buses which are less than 30 foot long.

As per FTA C9040.1G, Page III-7, 3.a., a state or program administration funds totaling \$141,749 which is 10 percent of the combined total of FY 2017 Sections 5310, 5311, 5311 (b) (3), 5339 amounting to \$1,417,486 will be used by GRTA for partially funding the salaries and benefits of 2 (two) limited-term employees to administer all FTA grant programs in FY 2018 amounting to \$37,502 (For the project number P3, \$23,225 are budgeted to the salaries and benefits), and for the partial funding of the purchase of communications system amounting to \$104,247.

Project Benefits

With the acquisition of rolling stocks, GRTA will be able to expand its transit services to the target populace and the general riding public. Moreover, the seniors & individuals with disabilities including the economically disadvantaged target populace of the island will reap the benefits of partially funding 2 (two) limited-term employees to administer the grant programs. As a result, all federal grant program requirements will be complied with thereby insuring continued federal funding for GRTA's paratransit services.

Additional Information

Not Applicable.

Location Description

GRTA fixed route and paratransit services operate throughout the island. Thus, the project locations are also island-wide.

Project Location (Urbanized Areas)

| UZA Code | Area Name |
|----------|-----------|
| 660000 | Guam |

Congressional District Information

| State | District | Representative |
|-------|----------|----------------------|
| Guam | 1 | Madeleine Z Bordallo |

Program Plan Information

STIP/TIP

Date: 2/6/2016

Description: Guam Transportation Improvement Program (GTIP) for Fiscal Years 2016-2019 is a short-term Statewide Transportation Improvement Plan (STIP) for the implementation of transportation projects within a 3 year period. The GTIP is based upon Guam long-range plan namely the 2030 Guam Transportation Plan (GTP). GRTA projects are mentioned on the second paragraph of the cover letter as

well as on Page 9 of 19 with heading: Grant Anticipation Revenue Vehicles (GARVEE). The GRTA projects are mentioned on the last paragraph. GRTA FY 2017 State Program of Projects newspaper publication on August 21, 2017 stated all the grant projects that GRTA will implement from the FY 2017 Continuing Grant Program. GRTA sought public comments on the subject projects.

UPWP

Date: 8/20/2017

Description: The newspaper publication on August 21, 2017 sought public comments on all the FY 2017 Continuing Grant Projects.

Long Range Plan

Date: 12/18/2008

Description: 2030 Guam Transportation Plan is a comprehensive long-term strategy to improve transportation infrastructure and operations throughout Guam. Pages 77-93 deal with Mass Transit, Pages 159-161 state the Mass Transit Improvements and Page 172 is about the Federal Transit Administration transit funding programs.

Project Control Totals

| Funding Source | Section of Statute | CFDA Number | Amount |
|--|--------------------|-------------|------------------|
| 49 USC 5311 - (MAP 21) Formula Grants for Rural Areas (FY2013 and forward) | 5311-1A | 20509 | \$523,225 |
| Local | | | \$0 |
| Local/In-Kind | | | \$0 |
| State | | | \$0 |
| State/In-Kind | | | \$0 |
| Other Federal | | | \$0 |
| Transportation Development Credit | | | \$0 |
| Adjustment | | | \$0 |
| Total Eligible Cost | | | \$523,225 |

Project Budget

| Project Number | Budget Item | FTA Amount | Non-FTA Amount | Total Eligible Amount | Quantity |
|-------------------|---|--------------|----------------|-----------------------|----------|
| GU-2017-002-03-01 | 111-00 (111-A6) BUS - ROLLING STOCK | \$500,000.00 | \$0.00 | \$500,000.00 | 5 |
| GU-2017-002-03-01 | 11.13.04 BUY | \$500,000.00 | \$0.00 | \$500,000.00 | 5 |
| GU-2017-002-03-01 | 610-00 (610-A5) STATE / PROGRAMS ADMINISTRATION | \$23,225.00 | \$0.00 | \$23,225.00 | 1 |

| | | | | | | |
|-------------------|----------|---------------------------------|-------------|--------|-------------|---|
| GU-2017-002-03-01 | 11.80.00 | STATE OR PROGRAM ADMINISTRATION | \$23,225.00 | \$0.00 | \$23,225.00 | 1 |
|-------------------|----------|---------------------------------|-------------|--------|-------------|---|

Project Budget Activity Line Items

Budget Activity Line Item: 11.80.00 - STATE OR PROGRAM ADMINISTRATION

| Scope Name / Code | Line Item # | Line Item Name | Activity | Quantity |
|--|-------------|---------------------------------|--------------------------------|----------|
| STATE / PROGRAMS ADMINISTRATION (610-00) | 11.80.00 | STATE OR PROGRAM ADMINISTRATION | STATE / PROGRAM ADMINISTRATION | 1 |

Extended Budget Description

To partially fund the salaries and benefits of 2 (two) limited-term employees amounting to \$23,225.00 that are assigned to administer the federal programs in FY 2018.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

| Funding Source | Section of Statute | CFDA Number | Amount |
|--|--------------------|-------------|-----------------|
| 49 USC 5311 - (MAP 21) Formula Grants for Rural Areas (FY2013 and forward) | 5311-1A | 20509 | \$23,225 |
| Local | | | \$0 |
| Local/In-Kind | | | \$0 |
| State | | | \$0 |
| State/In-Kind | | | \$0 |
| Other Federal | | | \$0 |
| Transportation Development Credit | | | \$0 |
| Adjustment | | | \$0 |
| Total Eligible Cost | | | \$23,225 |

| Milestone Name | Est. Completion Date | Description |
|----------------|----------------------|---|
| Start Date | 10/1/2017 | To partially fund the salaries and benefits of 2 (two) limited-term employees amounting to \$23,225.00 that are assigned to administer the federal programs in FY 2018. |
| End Date | 9/30/2018 | To partially fund the salaries and benefits of 2 (two) limited-term employees amounting to \$23,225.00 that are assigned to administer the federal programs in FY 2018. |

Budget Activity Line Item: 11.13.04 - BUY

| Scope Name / Code | Line Item # | Line Item Name | Activity | Quantity |
|------------------------------|-------------|----------------|-----------------------------|----------|
| BUS - ROLLING STOCK (111-00) | 11.13.04 | BUY | BUY EXPANSION - CAPITAL BUS | 5 |

Extended Budget Description

Acquire 5 (five) new less than 30 foot long 14 seats ADA compliant gasoline-fueled buses to expand GRTA transit services.

GRTA requests to extend the expiration date to September 30, 2023, from September 30, 2020, for GRTA to have more time to award the ongoing procurement. The local Government Services Administration has forwarded the procurement documents to the Attorney General's Office for their final review. Guam law requires that any procurement with the value of \$500,000 or more must be approved by the AG's Office prior to the award.

GRTA anticipates that in the event that a protest is filed, GRTA gave ourselves ample allowance in case the procurement will be re-solicited. The procurement of these buses is crucial in supporting the GRTA paratransit service. This is the end of Amendment Number 1.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

| Propulsion | Fuel Type | Vehicle Condition | Vehicle Size (ft.) |
|------------|-----------|-------------------|------------------------|
| N/A | Gasoline | New | Less than 30 foot long |

| Funding Source | Section of Statute | CFDA Number | Amount |
|--|--------------------|-------------|------------------|
| 49 USC 5311 - (MAP 21) Formula Grants for Rural Areas (FY2013 and forward) | 5311-1A | 20509 | \$500,000 |
| Local | | | \$0 |
| Local/In-Kind | | | \$0 |
| State | | | \$0 |
| State/In-Kind | | | \$0 |
| Other Federal | | | \$0 |
| Transportation Development Credit | | | \$0 |
| Adjustment | | | \$0 |
| Total Eligible Cost | | | \$500,000 |

| Milestone Name | Est. Completion Date | Description |
|-----------------------|----------------------|--|
| RFP/IFB Issue Date | 1/30/2019 | GRTA will issue the Invitation for Bid on or before 01/30/2019. |
| Contract Award Date | 4/30/2019 | GRTA will award the contract on or before 04/30/2019. |
| Initial Delivery Date | 6/30/2020 | GRTA will accept delivery of some of the vehicles on or before 06/30/2020. |

| | | |
|--------------------------|-----------|--|
| Final Delivery Date | 7/30/2020 | GRTA will accept delivery of all remaining vehicles on or before 07/30/2020. |
| Contract Completion Date | 9/30/2020 | Upon completion of the contract on or before 09/30/2020, GRTA will close the contract. |

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

| Date Description | Date |
|-----------------------|------|
| Class IIc CE Approved | |

| Scope Name / Code | Line Item Number | Line Item Name | Quantity | FTA Amount | Total Eligible Cost |
|--|------------------|---------------------------------|----------|-------------|---------------------|
| STATE / PROGRAMS ADMINISTRATION (610-00) | 11.80.00 | STATE OR PROGRAM ADMINISTRATION | 1 | \$23,225.00 | \$23,225.00 |

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

| Date Description | Date |
|-----------------------|------|
| Class IIc CE Approved | |

| Scope Name / Code | Line Item Number | Line Item Name | Quantity | FTA Amount | Total Eligible Cost |
|------------------------------|------------------|----------------|----------|--------------|---------------------|
| BUS - ROLLING STOCK (111-00) | 11.13.04 | BUY | 5 | \$500,000.00 | \$500,000.00 |

Part 4: Fleet Details

Fleet Type: Fixed Route

Fleet Comments

ADA accessible fixed-route transit service complemented by island-wide ADA accessible demand response transit service (6 Buses, 4 vans, 1 backup van and 1 backup bus).

| | | Current Value |
|------|-----------------------------|---------------|
| I. | Active Fleet | |
| | A. Peak Requirement | 6 |
| | B. Spares | 2 |
| | C. Total (A+B) | 8 |
| | D. Spare Ratio (B/A) | 33.33% |
| II. | Inactive Fleet | |
| | A. Other | 0 |
| | B. Pending Disposal | 0 |
| | C. Total (A+B) | 0 |
| III. | Total (I.C and II.C) | 8 |

Fleet Type: Paratransit

Fleet Comments

ADA accessible paratransit buses/vans with island-wide service for the elderly individuals and persons with disabilities. (4 buses, 4 vans and 1 backup van)

| | | Current Value |
|------|-----------------------------|---------------|
| I. | Active Fleet | |
| | A. Peak Requirement | 6 |
| | B. Spares | 1 |
| | C. Total (A+B) | 7 |
| | D. Spare Ratio (B/A) | 16.67% |
| II. | Inactive Fleet | |
| | A. Other | 0 |
| | B. Pending Disposal | 0 |
| | C. Total (A+B) | 0 |
| III. | Total (I.C and II.C) | 7 |

Fleet Type: Other

Fleet Comments

2 (two) ADA accessible vans for pilot program with Guam Department of Labor (1 (one) van), and Guam Veterans Affairs Office (State) (1 (one) van).

| | | Current Value |
|-------------|-----------------------------|----------------------|
| I. | Active Fleet | |
| | A. Peak Requirement | 2 |
| | B. Spares | 0 |
| | C. Total (A+B) | 2 |
| | D. Spare Ratio (B/A) | 0% |
| II. | Inactive Fleet | |
| | A. Other | 0 |
| | B. Pending Disposal | 0 |
| | C. Total (A+B) | 0 |
| III. | Total (I.C and II.C) | 2 |

Part 5: FTA Review Comments

FTA Comments for DOL

Comment By Ryan Fujii

Comment Type DOL Review for Information

Date 6/25/2020

Comment This amendment extends the period of performance

Application Review Comments

Comment By Ryan Fujii

Comment Type FTA Post Review Comments for Grantee

Date 5/31/2020

Comment include explanation for extending period of performance in the executive summary and the extended budget description

Application Review Comments

Comment By Rally Pilipina

Comment Type Line Item Review

| | |
|----------------|---|
| Date | 6/1/2020 |
| Project Title | Acquisition of Rolling Stocks for Expansion and State or Programs Administration |
| Project Number | GU-2017-002-03-01 |
| Comment | <p>GRTA requests to extend the expiration date to September 30, 2023, from September 30, 2020, for GRTA to have more time to award the ongoing procurement. The local Government Services Administration has forwarded the procurement documents to the Attorney General's Office for their final review. Guam law requires that any procurement with the value of \$500,000 or more must be approved by the AG's Office prior to the award.</p> <p>GRTA anticipates that in the event that a protest is filled, GRTA gave ourselves ample allowance in case the procurement will be re-solicited. The procurement of these buses is crucial in supporting the GRTA paratransit service. This is the end of Amendment Number 1.</p> |

Part 6: Agreement

**UNITED STATES OF AMERICA
DEPARTMENT OF TRANSPORTATION
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT
(FTA G-26, October 1, 2019)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(26), October 1, 2019, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

FTA AWARD

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

Recipient Information

Recipient Name: GUAM REGIONAL TRANSIT AUTHORITY

Recipient ID: 1641

DUNS No: 855025297

Application Information

Federal Award Identification Number: GU-2017-002-01

Application Name: Operating Assistance, State or Programs Administration, Acquisition of Rolling Stocks for Expansion, and Purchase of Communications System

Application Start Date: 9/20/2017

Original Award End Date: 9/30/2020

Current Award End Date: 9/30/2020

Application Executive Summary: GRTA requests to extend the expiration date to September 30, 2023, from September 30, 2020, for GRTA to have more time to award the ongoing procurement. The local Government Services Administration has forwarded the procurement documents to the Attorney General's Office for their final review. Guam law requires that any procurement with the value of \$500,000 or more must be approved by the AG's Office prior to the award.

GRTA anticipates that in the event that a protest is filed, GRTA gave ourselves ample allowance in case the procurement will be re-solicited. The procurement of these buses is crucial in supporting the GRTA paratransit service.

In addition, the Governor of Guam has directed the procurement department of the Government of Guam to assist Guam Regional Transit Authority to procure buses for paratransit and fixed-routes transit requirements. However, without funds from the grant, GRTA will not be able to buy buses. Without additional buses, the Governor is clearly aware that GRTA will have a tough time bringing persons with disabilities to the medical appointments, and workers without transportation to work.

This is the end of Amendment Number 1.

The FY 2017 federal funding are as follows: Section 5310 amounting to \$47,983 (Enhanced Mobility of Seniors & Individuals with Disabilities Grant Program), Section 5311 (b) (3) amounting to \$23,225 (Rural Transit Assistance Program (RTAP)), Section 5339 amounting to \$500,000 are consolidated to Section 5311 amounting to \$846,278 for a grand total amounting to \$1,417,486 which will be used as operating assistance for GRTA's paratransit service, state or programs administration, and acquisition of rolling stocks, and purchase of communications system.

As per FTA C9040.1G, Page III-7, 3.a., a state or program administration funds totaling \$141,749 which is 10 percent of the combined total of FY 2017 Sections 5310, 5311, 5311 (b) (3), 5339 will be used by GRTA for partially funding the salaries and benefits of 2 (two) limited-term employees to administer all FTA grant programs in FY 2018 amounting to \$37,502, and for the partial funding of the purchase of communications system amounting to \$104,247.

In addition, as per FTA C9040.1G, Page III-11, 3.c., the FY 2017 Operating Assistance amounting to \$775,737 will be used for the expenditures incurred for the operational expenses of GRTA paratransit service in FY 2018.

GRTA earmarks the FY 2017 Section 5339 grant funds which is consolidated to FY 2017 Section 5311 amounting to \$500,000.00 to acquire 5 (five) new 14 seats ADA compliant gasoline fueled buses which are less than 30 ft. long.

The seniors & individuals with disabilities including the economically disadvantaged target populace of the island will reap the benefits of partially funding GRTA paratransit service which translates to more operating hours and committed federal funding. Moreover, with the acquisition of rolling stocks, GRTA will be able to expand its paratransit and fixed route services to the target populace and the general riding public. Further, with the partial funding of the communications system purchase, GRTA will be able to employ a transportation management system with computer-aided reservations, scheduling, and dispatching thereby making GRTA Dispatch Center more efficient and effective. Finally, all federal grant program requirements will be complied with thereby insuring continued funding for GRTA's paratransit service with the partial funding of the salaries and benefits of 2 (two) limited-term employees to administer the grant programs.

Research and Development: This award does not include research and development activities.

Indirect Costs: This award does not include an indirect cost rate.

Suballocation Funds: Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Pre-Award Authority: This award is using Pre-Award Authority.

Application Budget

Total Application Budget: \$1,417,486.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$0.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$0.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$1,417,486.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$0.00

Application Budget Control Totals

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

| Funding Source | Section of Statute | CFDA Number | Amount |
|--|---------------------------|--------------------|---------------|
| 49 USC 5311 - (MAP 21) Formula Grants for Rural Areas (FY2013 and forward) | 5311-1A | 20509 | \$1,417,486 |
| Local | | | \$0 |
| Local/In-Kind | | | \$0 |
| State | | | \$0 |
| State/In-Kind | | | \$0 |
| Other Federal | | | \$0 |

| | |
|-----------------------------------|--------------------|
| Transportation Development Credit | \$0 |
| Adjustment | \$0 |
| Total Eligible Cost | \$1,417,486 |

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:

Review Decision: DOL Concur - Certified
Original Certification Date: 9/20/2017

Special Conditions

There are no special conditions.

FINDINGS AND DETERMINATIONS

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

FTA AWARD OF THE GRANT AGREEMENT

Awarded By:

FEDERAL TRANSIT ADMINISTRATION
U.S. DEPARTMENT OF TRANSPORTATION
Contact Info:
Award Date:

EXECUTION OF THE GRANT AGREEMENT

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
 - (a) Statements,
 - (b) Representations,
 - (c) Warranties,
 - (d) Covenants, and
 - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

GUAM REGIONAL TRANSIT AUTHORITY