

## Application

<b>Federal Award Identification Number (FAIN)</b>	AK-2020-020-00
<b>Temporary Application Number</b>	1725-2020-6
<b>Application Name</b>	CARES Act - FY 2020 Sec 5311 Operating Assistance and State Administration for Alaska Marine Highways System
<b>Application Status</b>	Final Concurrence / Reservation
<b>Application Budget Number</b>	0

<b>Period of Performance Start Date</b>	N/A
<b>Original Period of Performance End Date</b>	9/30/2022
<b>Current Period of Performance End Date</b>	N/A Revision #: N/A

### Part 1: Recipient Information

**Name: TRANSPORTATION AND PUBLIC FACILITIES, ALASKA DEPARTMENT OF**

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
1725	State Agency	ALASKA DEPARTMENT OF TRANSPORTATION AND PUBLIC FACILITIES	809387434

Location Type	Address	City	State	Zip
Headquarters	Capitol Bldg 3RD FL	Juneau	AK	99811
Physical Address	3132 CHANNEL DR	JUNEAU	AK	99801
Mailing Address	PO BOX 112500	JUNEAU	AK	99801

### Union Information

<b>Union Name</b>	<b>AFSCME</b>
-------------------	---------------

Address 1	1625 L Street NW
Address 2	
City	Washington
State	District of Columbia
Zipcode	20036
Contact Name	Gerald McEntee
Telephone	2024291000
Fax	2024291293
E-mail	kkorpi@afscme.org
Website	www.afscme.org/

<b>Union Name</b>	<b>Alaska Public Employees Association</b>
Address 1	211 4th Street, Suite 306
Address 2	
City	Juneau
State	Alaska
Zipcode	99801
Contact Name	Bruce Ludwig
Telephone	9075862334
Fax	9074862334
E-mail	bludwig@apea-aft.org
Website	www.apea-aft.org/

<b>Union Name</b>	<b>Confidential Employees Association</b>
Address 1	211 4th Street
Address 2	
City	Juneau
State	Alaska
Zipcode	99801
Contact Name	Bruce Ludwig
Telephone	9075862334
Fax	9074862334
E-mail	cea@apea-aft.org
Website	www.apea-aft.org/

<b>Union Name</b>	<b>InlandBoatmans Union National Office</b>
Address 1	1711 West Nickerson ST Suite D
Address 2	
City	Seattle
State	Washington
Zipcode	98111
Contact Name	David Freiboth

Telephone	2062846001
Fax	2062845043
E-mail	david.ibu@mindspring.com
Website	www.ibu.org/
<b>Union Name</b>	<b>International Org of Masters, Mates and Pilots International HQ</b>
Address 1	700 Maritime BLVD
Address 2	
City	Linthicum Heights
State	Maryland
Zipcode	21090
Contact Name	Timothy Brown
Telephone	4108508700
Fax	4108500973
E-mail	president@bridgedeck.org
Website	www.bridgedeck.org/
<b>Union Name</b>	<b>Laborers International Union of North America</b>
Address 1	905 16th ST NW
Address 2	
City	Washington
State	District of Columbia
Zipcode	20006
Contact Name	Terence OSullivan
Telephone	2027378320
Fax	2027372754
E-mail	communications@liuna.org
Website	www.liuna.org/
<b>Union Name</b>	<b>MARINE ENGINEERS BENEFICIAL ASSOCIATION</b>
Address 1	444 North Capitol St., NW, Sui
Address 2	
City	Washington
State	District of Columbia
Zipcode	20001
Contact Name	Ron Davis
Telephone	2026385355
Fax	2026385369
E-mail	mebahq@d1meba.org
Website	www.d1meba.org
<b>Union Name</b>	<b>Public Employees Local 71 AFL-CIO Anchorage Office</b>
Address 1	2510 Arctic Boulevard

Address 2	
City	Anchorage
State	Alaska
Zipcode	99530
Contact Name	Jim Ashton
Telephone	9072767211
Fax	9072797171
E-mail	tracy@local71.com
Website	ak.aflcio.org/anchorageclc
<b>Union Name</b>	<b>Public Employees Local 71 AFL-CIO Juneau Office</b>
Address 1	710 West 9th St
Address 2	
City	Juneau
State	Alaska
Zipcode	99801
Contact Name	Tom Brice
Telephone	9075866993
Fax	9075865757
E-mail	tom@local71.com
Website	www.ak.aflcio.org/12

## Part 2: Application Information

<p><b>Title: CARES Act - FY 2020 Sec 5311 Operating Assistance and State Administration for Alaska Marine Highways System</b></p>
---

FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
AK-2020-020-00	Final Concurrence / Reservation	Grant	4/28/2020	4/28/2020	No

### Application Executive Summary

This grant includes \$10,000,000 (out of \$28,801,429 available) in FY 2020 CARES Act Section 5311 program funding allocated to Alaska. Funds will be used for operating assistance and state administration to help the Alaska Marine Highway System's public transportation services to prevent, respond to, and recover from the COVID-19 pandemic.

### \*\*\* Special Condition for CARES Act Grants \*\*\*

The Recipient agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.

**Frequency of Milestone Progress Reports (MPR)**  
Annual

**Frequency of Federal Financial Reports (FFR)**  
Annual

**Does this application include funds for research and/or development activities?**  
This award does not include research and development activities.

**Pre-Award Authority**  
This award is using Pre-Award Authority.

**Does this application include suballocation funds?**  
Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

**Will this Grant be using Lapsing Funds?**  
No, this Grant does not use Lapsing Funds.

**Will indirect costs be applied to this application?**  
This award does not include an indirect cost rate.

*Indirect Rate Details: N/A*

**Requires E.O. 12372 Review**  
No, this application does not require E.O. 12372 Review.

**Delinquent Federal Debt**  
No, my organization does not have delinquent federal debt.

## Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Matthew	McLaren	Marine Transportation Services Manager	matt.mclaren@alaska.gov	(907) 228-7274
Annette	McKelvey	Transportation Program Specialist	Annette.McKelvey@dot.gov	(206) 220-4461
Scot	Rastelli	Transportation Program Specialist	Scot.Rastelli@dot.gov	206-220-7965

## Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$10,000,000
Local			\$0
Local/In-Kind			\$0

State		\$0
State/In-Kind		\$0
Other Federal		\$0
Transportation Development Credit		\$0
Adjustment		\$0
<b>Total Eligible Cost</b>		<b>\$10,000,000</b>

## Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
AK-2020-020-01-00	300-00 (300-A2) OPERATING ASSISTANCE	\$9,000,000.00	\$0.00	\$9,000,000.00	0
AK-2020-020-01-00	30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share (20 5311 CARES Act 100:0)(00)	\$9,000,000.00	\$0.00	\$9,000,000.00	0
AK-2020-020-01-00	610-00 (610-A1) STATE / PROGRAMS ADMINISTRATION	\$1,000,000.00	\$0.00	\$1,000,000.00	0
AK-2020-020-01-00	11.80.00 STATE OR PROGRAM ADMINISTRATION (20 5311 CARES Act 100:0)(00)	\$1,000,000.00	\$0.00	\$1,000,000.00	0

## Discretionary Allocations

This application does not contain discretionary allocations.

## Part 3: Project Information

### Project Title: CARES Act - FY 2020 Sec 5311 Operating Assistance and State Administration for Alaska Marine Highways System

Project Number	Temporary Project Number	Date Created	Start Date	End Date
AK-2020-020-01-00	1725-2020-6-P1	4/30/2020	1/20/2020	6/30/2022

#### Project Description

This project includes \$10,000,000 (out of \$28,801,429 available) in FY 2020 CARES Act Section 5311 program funding allocated to Alaska. Funds will be used for operating assistance and state

administration to help the Alaska Marine Highway System's public transportation services to prevent, respond to, and recover from the COVID-19 pandemic.

The Governor's certification that all intercity bus services have been met is attached to the Recipient Profile.

**Project Benefits**

The benefits of this project will be providing emergency relief funds to subrecipients affected by the COVID-19 pandemic, ensuring continued operation of public transportation systems throughout the State of Alaska.

**Additional Information**

*None provided.*

**Location Description**

Locations for subrecipient projects will take place in various rural State of Alaska locations as provided in the attached Program of Projects.

**Project Location (Urbanized Areas)**

UZA Code	Area Name
020000	Alaska

**Congressional District Information**

State	District	Representative
Alaska	1	Don Young

**Program Plan Information**

**STIP/TIP**

Date: Not Provided

Description: This project includes only operations and state administration activities, with no substantial functional, location, or capacity change. Therefore, per CARES Act requirements, no Statewide Transportation Improvement Program (STIP) or Transportation Improvement Plan (TIP) documentation is needed.

**UPWP**

Date: N/A

Description: N/A

**Long Range Plan**

Date: N/A

Description: N/A

**Project Control Totals**

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$10,000,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$10,000,000</b>

## Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
AK-2020-020-01-00	300-00 (300-A2) OPERATING ASSISTANCE	\$9,000,000.00	\$0.00	\$9,000,000.00	0
AK-2020-020-01-00	30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share (20 5311 CARES Act 100:0)(00)	\$9,000,000.00	\$0.00	\$9,000,000.00	0
AK-2020-020-01-00	610-00 (610-A1) STATE / PROGRAMS ADMINISTRATION	\$1,000,000.00	\$0.00	\$1,000,000.00	0
AK-2020-020-01-00	11.80.00 STATE OR PROGRAM ADMINISTRATION (20 5311 CARES Act 100:0)(00)	\$1,000,000.00	\$0.00	\$1,000,000.00	0

## Project Budget Activity Line Items

**Budget Activity Line Item: 30.09.08 - EMER RELIEF - OPERATING ASSIST - 100% Fed Share (20 5311 CARES Act 100:0)(00)**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
-------------------	-------------	----------------	----------	----------



OPERATING ASSISTANCE (300-00) 30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share OPERATING ASSISTANCE 0

**Extended Budget Description**

This activity line item utilizes FY2020 CARES Act 5311 funds in the amount of \$9,000,000. Funds will be used for operating assistance for the Alaska Marine Highway System's public transportation services to prevent, respond to and recover from the COVID-19 pandemic, as referenced in the attached Program of Projects for the period of January 20, 2020 to June 30, 2022.

The federal-to-local match ratio is 100:0.

This activity includes only operating costs, with no substantial functional, location, or capacity change. Therefore, per CARES Act requirements, no Statewide Transportation Improvement Program (STIP) or Transportation Improvement Plan (TIP) documentation is needed.

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$9,000,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$9,000,000</b>

Milestone Name	Est. Completion Date	Description
Start Operating Assistance	1/20/2020	
End Operating Assistance	6/30/2022	

**Budget Activity Line Item: 11.80.00 - STATE OR PROGRAM ADMINISTRATION (20 5311 CARES Act 100:0)(00)**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
STATE / PROGRAMS ADMINISTRATION (610-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	STATE / PROGRAM ADMINISTRATION	0

**Extended Budget Description**

This activity line item utilizes FY2020 CARES Act 5311 funds in the amount of \$1,000,000 for State administration costs for the period of January 20, 2020 to June 30, 2022. The federal-to-local match

ratio is 100:0.

This activity includes only operations-related state administration costs, with no substantial functional, location, or capacity change. Therefore, per CARES Act requirements, no Statewide Transportation Improvement Program (STIP) or Transportation Improvement Plan (TIP) documentation is needed.

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$1,000,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$1,000,000</b>

Milestone Name	Est. Completion Date	Description
Start State Administration Expenses	1/20/2020	
End State Administration Expenses	6/30/2022	

## Project Environmental Findings

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

**Categorical Exclusion Description**

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description	Date
	Class IIc CE Approved	

## Part 4: Fleet Details

No fleet data exists for this application.

## Part 5: FTA Review Comments

### FTA Comments for DOL

**Comment By** Annette McKelvey

Comment Type	DOL Review for Information
Date	6/12/2020
Comment	The Federal Transit Administration (FTA) requests that the U.S. Department of Labor undertake all of the steps necessary to perform its certification for this grant application. FTA requests that DOL complete its referral and review within 30 days. Please advise us of any 40 U.S.C. Section 5333(b) terms and conditions to be included in the grant.

### Application Review Comments

**Comment By** Annette McKelvey

Comment Type	FTA Post Review Comments for Grantee
Date	5/20/2020
Comment	per recipient request

**Comment By** Annette McKelvey

Comment Type	FTA Post Review Comments for Grantee
Date	5/29/2020
Comment	returned for budget edits

## Part 6: Agreement

UNITED STATES OF AMERICA  
DEPARTMENT OF TRANSPORTATION  
FEDERAL TRANSIT ADMINISTRATION

**GRANT AGREEMENT  
(FTA G-26, October 1, 2019)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(26), October 1, 2019, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

**FTA AWARD**

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

**Recipient Information**

Recipient Name: TRANSPORTATION AND PUBLIC FACILITIES, ALASKA DEPARTMENT OF

Recipient ID: 1725

DUNS No: 809387434

**Application Information**

Federal Award Identification Number: AK-2020-020-00

Application Name: CARES Act - FY 2020 Sec 5311 Operating Assistance and State Administration for Alaska Marine Highways System

Application Start Date: N/A

Original Award End Date: 9/30/2022

Current Award End Date: N/A

Application Executive Summary: This grant includes \$10,000,000 (out of \$28,801,429 available) in FY 2020 CARES Act Section 5311 program funding allocated to Alaska. Funds will be used for operating assistance and state administration to help the Alaska Marine Highway System's public transportation

services to prevent, respond to, and recover from the COVID-19 pandemic.

**\*\*\* Special Condition for CARES Act Grants \*\*\***

The Recipient agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.

Research and Development: This award does not include research and development activities.

Indirect Costs: This award does not include an indirect cost rate.

Suballocation Funds: Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Pre-Award Authority: This award is using Pre-Award Authority.

**Application Budget**

Total Application Budget: \$10,000,000.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$10,000,000.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$0.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$10,000,000.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$0.00

**Application Budget Control Totals**

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

<b>Funding Source</b>	<b>Section of Statute</b>	<b>CFDA Number</b>	<b>Amount</b>
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$10,000,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$10,000,000</b>

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

**U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:**

Original Certification Date:

**Special Conditions**

There are no special conditions.

**FINDINGS AND DETERMINATIONS**

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

**FTA AWARD OF THE GRANT AGREEMENT**

Awarded By:

FEDERAL TRANSIT ADMINISTRATION  
U.S. DEPARTMENT OF TRANSPORTATION  
Contact Info:  
Award Date:

**EXECUTION OF THE GRANT AGREEMENT**

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
  - (a) Statements,
  - (b) Representations,
  - (c) Warranties,
  - (d) Covenants, and
  - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

*TRANSPORTATION AND PUBLIC FACILITIES, ALASKA DEPARTMENT OF*