

Application

Federal Award Identification Number (FAIN)	OR-2020-015-00
Temporary Application Number	1723-2020-3
Application Name	CARES ACT - FY2020 Sec 5311 CARES Act Operations, Preventive Maintenance, Project Administration, Intercity Bus, and State Administration
Application Status	Final Concurrence / Reservation
Application Budget Number	0

Period of Performance Start Date	N/A
Original Period of Performance End Date	6/30/2022
Current Period of Performance End Date	N/A Revision #: N/A

Part 1: Recipient Information

Name: Transportation, Oregon Department Of

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
1723	State Agency	OREGON DEPARTMENT OF TRANSPORTATION	195234364

Location Type	Address	City	State	Zip
Headquarters	355 CAPITOL ST NE MS 21	SALEM	OR	97301
Physical Address	555 13TH ST NE STE 3	SALEM	OR	97301
Mailing Address	555 13TH ST NE STE 3	SALEM	OR	97301

Union Information

Union Name	NONE
Address 1	
Address 2	
City	
State	
Zipcode	00000
Contact Name	
Telephone	
Fax	00000
E-mail	
Website	

Part 2: Application Information

Title: CARES ACT - FY2020 Sec 5311 CARES Act Operations, Preventive Maintenance, Project Administration, Intercity Bus, and State Administration

FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
OR-2020-015-00	Final Concurrence / Reservation	Grant	3/30/2020	3/30/2020	No

Application Executive Summary

This application includes \$42,686,023 in FY 2020 Section 5311 CARES Act funding (of \$42,686,023 available) for rural operations, preventive maintenance, project administration, intercity bus operations, and direct and indirect state administration costs related to the response to the coronavirus public health emergency as described in section 319 of the Public Health Service Act.

Funds are being programmed to provide initial funds for subrecipients with a secondary process to award remaining funds to address additional needs. Due to the changing nature of the current public health emergency this will provide maximum flexibility to ODOT. Funds will be treated similar to "Category B" and ODOT will revise the grant to provide a more finalized budget once all funds are programmed.

Frequency of Milestone Progress Reports (MPR)

Annual

Frequency of Federal Financial Reports (FFR)

Annual

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Will this Grant be using Lapsing Funds?

No, this Grant does not use Lapsing Funds.

Will indirect costs be applied to this application?

This award is applying an approved Indirect cost rate(s).

Indirect Rate Details: The cognizant agency, the Federal Highway Administration, approved ODOT's indirect cost rate on June 26, 2019 and a copy of the approved rate is on file with FTA (attached to TrAMS Recipient Profile). The approved rate of 10.34% will be applied to applicable activity line item descriptions.

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Maile	Boals	Analyst	maile.boals@odot.state.or.us	503-986-3372
Scot	Rastelli	Transportation Program Specialist	Scot.Rastelli@dot.gov	206-220-7965
Kristine	Mcguill	Transportation Program Specialist	kristine.mcguill@dot.gov	206-220-7963

Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$42,686,023
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$42,686,023

Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
OR-2020-015-01-00	117-00 (117-A2) OTHER CAPITAL ITEMS (BUS)	\$6,829,764.00	\$0.00	\$6,829,764.00	0
OR-2020-015-01-00	11.7A.00 Preventive Maintenance (CARES Act 5311 100:00)(00)	\$6,829,764.00	\$0.00	\$6,829,764.00	0
OR-2020-015-01-00	300-00 (300-A1) OPERATING ASSISTANCE	\$23,904,173.00	\$0.00	\$23,904,173.00	0
OR-2020-015-01-00	30.09.08 Operating (CARES Act 5311 100:00)(00)	\$23,904,173.00	\$0.00	\$23,904,173.00	0
OR-2020-015-01-00	610-00 (610-A5) STATE / PROGRAMS ADMINISTRATION	\$2,134,301.00	\$0.00	\$2,134,301.00	0
OR-2020-015-01-00	11.80.00 State Administration - Direct (CARES Act 5311 100:00)(00)	\$1,067,151.00	\$0.00	\$1,067,151.00	0
OR-2020-015-01-00	11.80.00 State Administration - Indirect (CARES Act 5311 100:00)(00)	\$1,067,150.00	\$0.00	\$1,067,150.00	0
OR-2020-015-01-00	620-00 (620-A3) PROJECT ADMINISTRATION	\$3,414,882.00	\$0.00	\$3,414,882.00	0
OR-2020-015-01-00	11.79.00 Project Administration (CARES Act 5311 100:00)(00)	\$3,414,882.00	\$0.00	\$3,414,882.00	0
OR-2020-015-01-00	634-00 (634-A4) INTERCITY BUS TRANSPORTATION	\$6,402,903.00	\$0.00	\$6,402,903.00	0

OR-2020-015-01-00	30.09.08	Intercity Operations (CARES Act 5311 100:00)(00)	\$6,402,903.00	\$0.00	\$6,402,903.00	0
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Discretionary Allocations

This application does not contain discretionary allocations.

Part 3: Project Information

Project Title: CARES Act - FY2020 Sec 5311 Operations, Preventive Maintenance, Project Administration, Intercity Bus Operations, and State Administration for ODOT and subrecipients

Project Number	Temporary Project Number	Date Created	Start Date	End Date
OR-2020-015-01-00	1723-2020-3-P1	4/2/2020	1/19/2020	6/29/2022

Project Description

This application includes \$42,686,023 in FY 2020 Section 5311 CARES Act funding (of \$42,686,023 available) for rural operations, preventive maintenance, project administration, intercity bus operations, and direct and indirect state administration costs related to the response to the coronavirus public health emergency as described in section 319 of the Public Health Service Act.

Funds are being programmed to provide initial funds for subrecipients with a secondary process to award remaining funds to address additional needs. Due to the changing nature of the current public health emergency this will provide maximum flexibility to ODOT. Funds will be treated similar to "Category B" and ODOT will revise the grant to provide a more finalized budget once all funds are programmed.

A program of projects is attached to this grant indicating initial subrecipient amounts as well as the remaining amounts which will be programmed to specific subrecipients in the next few months with a revision.

Project Benefits

This project enables rural transit providers to continue operating established transit routes and to add new services to aid communities during the COVID-19 pandemic. This includes necessary trips to work and medical appointments. Some services such as meal delivery and other critical services are being provided during this time. This project also provides for extra maintenance of transit buses including sanitizing, modifications for social distancing, and driver and other staff personal protective devices. Other benefits are the provision of salaries for staff on administrative leave, local agency administration, and continued connections for travelers between rural areas.

Additional Information

None provided.

Location Description

Project activities will take place across the state of Oregon in rural areas.

Project Location (Urbanized Areas)

UZA Code	Area Name
410000	Oregon
410280	Portland, OR-WA
411440	Eugene, OR
411940	Salem, OR
413540	Longview, WA--OR
413560	Medford, OR
413930	Corvallis, OR
414010	Bend, OR
415160	Grants Pass, OR
419550	Albany, OR

Congressional District Information

State	District	Representative
Oregon	1	Suzanne Bonamici
Oregon	2	Greg Walden
Oregon	3	Earl Blumenauer
Oregon	4	Peter A DeFazio
Oregon	5	Kurt Schrader

Program Plan Information

STIP/TIP

Date: Not Provided

Description: Transportation Improvement Program (TIP) or the Statewide Transportation Improvement Program (STIP): CARES Act funds used to pay for operating expenses do not need to be included in the TIP/STIP. CARES Act funds used to pay for capital expenses for emergency relief do not need to be included in the TIP/STIP unless the projects are for substantial functional, locational, or capacity changes. 23 CFR §§ 450.326(e)(5), 450.218(g)(5).

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$42,686,023
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$42,686,023

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
OR-2020-015-01-00	117-00 (117-A2) OTHER CAPITAL ITEMS (BUS)	\$6,829,764.00	\$0.00	\$6,829,764.00	0
OR-2020-015-01-00	11.7A.00 Preventive Maintenance (CARES Act 5311 100:00)(00)	\$6,829,764.00	\$0.00	\$6,829,764.00	0
OR-2020-015-01-00	300-00 (300-A1) OPERATING ASSISTANCE	\$23,904,173.00	\$0.00	\$23,904,173.00	0
OR-2020-015-01-00	30.09.08 Operating (CARES Act 5311 100:00)(00)	\$23,904,173.00	\$0.00	\$23,904,173.00	0
OR-2020-015-01-00	610-00 (610-A5) STATE / PROGRAMS ADMINISTRATION	\$2,134,301.00	\$0.00	\$2,134,301.00	0
OR-2020-015-01-00	11.80.00 State Administration - Direct (CARES Act 5311 100:00)(00)	\$1,067,151.00	\$0.00	\$1,067,151.00	0
OR-2020-015-01-00	11.80.00 State Administration	\$1,067,150.00	\$0.00	\$1,067,150.00	0

			- Indirect (CARES Act 5311 100:00)(00)				
OR-2020-015-01-00	620-00 (620-A3)	PROJECT ADMINISTRATION	\$3,414,882.00	\$0.00	\$3,414,882.00	0	
OR-2020-015-01-00		11.79.00 Project Administration (CARES Act 5311 100:00)(00)	\$3,414,882.00	\$0.00	\$3,414,882.00	0	
OR-2020-015-01-00	634-00 (634-A4)	INTERCITY BUS TRANSPORTATION	\$6,402,903.00	\$0.00	\$6,402,903.00	0	
OR-2020-015-01-00		30.09.08 Intercity Operations (CARES Act 5311 100:00)(00)	\$6,402,903.00	\$0.00	\$6,402,903.00	0	

Project Budget Activity Line Items

Budget Activity Line Item: 11.80.00 - State Administration - Indirect (CARES Act 5311 100:00)(00)

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
STATE / PROGRAMS ADMINISTRATION (610-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	STATE / PROGRAM ADMINISTRATION	0

Extended Budget Description

This grant will use \$1,067,150 in FY 2020 5311 CARES Act funds for state's indirect administration costs for the period January 20, 2020 through June 30, 2022.

STIP

Transportation Improvement Program (TIP) or the Statewide Transportation Improvement Program (STIP): CARES Act funds used to pay for operating expenses do not need to be included in the TIP/STIP. CARES Act funds used to pay for capital expenses for emergency relief do not need to be included in the TIP/STIP unless the projects are for substantial functional, locational, or capacity changes. 23 CFR §§ 450.326(e)(5), 450.218(g)(5).

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$1,067,150
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$1,067,150

Milestone Name	Est. Completion Date	Description
State Indirect Administration Activities Begin	1/30/2020	
State Indirect Administration Activities Complete	6/30/2022	

Budget Activity Line Item: 11.80.00 - State Administration - Direct (CARES Act 5311 100:00)(00)

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
STATE / PROGRAMS ADMINISTRATION (610-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	STATE / PROGRAM ADMINISTRATION	0

Extended Budget Description

This grant will use \$1,067,151 in CARES Act 5311 funds for state's direct administration costs for the period January 20, 2020 through June 30, 2022.

STIP

Transportation Improvement Program (TIP) or the Statewide Transportation Improvement Program (STIP): CARES Act funds used to pay for operating expenses do not need to be included in the TIP/STIP. CARES Act funds used to pay for capital expenses for emergency relief do not need to be included in the TIP/STIP unless the projects are for substantial functional, locational, or capacity changes. 23 CFR §§ 450.326(e)(5), 450.218(g)(5).

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$1,067,151
Local			\$0

Local/In-Kind		\$0
State		\$0
State/In-Kind		\$0
Other Federal		\$0
Transportation Development Credit		\$0
Adjustment		\$0
Total Eligible Cost		\$1,067,151

Milestone Name	Est. Completion Date	Description
State Direct Administration Activities Begin	1/30/2020	
State Direct Administration Activities Complete	6/30/2022	

Budget Activity Line Item: 30.09.08 - Intercity Operations (CARES Act 5311 100:00)(00)

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
INTERCITY BUS TRANSPORTATION (634-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	OPERATING ASSISTANCE	0

Extended Budget Description

This grant will use \$6,402,903 in FY 2020 5311 CARES Act funds for intercity operations for the period January 20, 2020 through June 30, 2022. Funds are being programmed to provide initial funds for subrecipients / contractors with a secondary process to award remaining funds to address additional needs. Due to the changing nature of the current public health emergency this will provide maximum flexibility to ODOT. Funds not currently programmed to a subrecipient will be treated similar to "Category B" and ODOT will revise the grant to provide a more finalized budget once all funds are programmed. Please see the attached POP for specific breakout details.

STIP

Transportation Improvement Program (TIP) or the Statewide Transportation Improvement Program (STIP): CARES Act funds used to pay for operating expenses do not need to be included in the TIP/STIP. CARES Act funds used to pay for capital expenses for emergency relief do not need to be included in the TIP/STIP unless the projects are for substantial functional, locational, or capacity changes. 23 CFR §§ 450.326(e)(5), 450.218(g)(5).

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$6,402,903
Local			\$0

Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$6,402,903

Milestone Name	Est. Completion Date	Description
CARES Intercity Operations Projects Begin	1/20/2020	First Subrecipient Agreement Executed and First Project Begins
CARES Intercity Operations Projects Complete	6/30/2022	All Projects Complete and All Subrecipient Agreements Closed
Collect information and identify COVID-19 related needs	6/1/2020	
Update POP and Revise grant to reflect programming of remaining funds	8/1/2020	

Budget Activity Line Item: 11.79.00 - Project Administration (CARES Act 5311 100:00)(00)

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
PROJECT ADMINISTRATION (620-00)	11.79.00	PROJECT ADMINISTRATION	PROJECT ADMINISTRATION	0

Extended Budget Description

This grant will use \$3,414,882 in CARES Act 5311 funds for local project administration projects for the period January 20, 2020 through June 30, 2022. Funds are being programmed to provide initial funds for subrecipients with a secondary process to award remaining funds to address additional needs. Due to the changing nature of the current public health emergency this will provide maximum flexibility to ODOT. Funds not currently programmed to a subrecipient will be treated similar to "Category B" and ODOT will revise the grant to provide a more finalized budget once all funds are programmed. Please see the attached POP for specific breakout details.

STIP

Transportation Improvement Program (TIP) or the Statewide Transportation Improvement Program (STIP): CARES Act funds used to pay for operating expenses do not need to be included in the TIP/STIP. CARES Act funds used to pay for capital expenses for emergency relief do not need to be included in the TIP/STIP unless the projects are for substantial functional, locational, or capacity changes. 23 CFR §§ 450.326(e)(5), 450.218(g)(5).

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$3,414,882
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$3,414,882

Milestone Name	Est. Completion Date	Description
CARES Project Administration Projects Begin	1/20/2020	First Subrecipient Agreement Executed and First Project Begins
CARES Project Administration Projects Complete	6/30/2022	All Projects Complete and All Subrecipient Agreements Closed
Collect information and identify COVID-19 related needs	6/1/2020	
Update POP and Revise grant to reflect programming of remaining funds	8/1/2020	

Budget Activity Line Item: 11.7A.00 - Preventive Maintenance (CARES Act 5311 100:00)(00)

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER CAPITAL ITEMS (BUS) (117-00)	11.7A.00	PREVENTIVE MAINTENANCE	OTHER CAPITAL ITEMS (BUS)	0

Extended Budget Description

This grant will use \$6,829,764 in CARES Act 5311 CARES Act funds for preventive maintenance projects for the period January 20, 2020 through June 30, 2022. Funds are being programmed to provide initial funds for subrecipients with a secondary process to award remaining funds to address additional needs. Due to the changing nature of the current public health emergency this will provide maximum flexibility to ODOT. Funds not currently programmed to a subrecipient will be treated similar to "Category B" and ODOT will revise the grant to provide a more finalized budget once all funds are programmed. Please see the attached POP for specific breakout details.

STIP

Transportation Improvement Program (TIP) or the Statewide Transportation Improvement Program (STIP): CARES Act funds used to pay for operating expenses do not need to be included in the

TIP/STIP. CARES Act funds used to pay for capital expenses for emergency relief do not need to be included in the TIP/STIP unless the projects are for substantial functional, locational, or capacity changes. 23 CFR §§ 450.326(e)(5), 450.218(g)(5).

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$6,829,764
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$6,829,764

Milestone Name	Est. Completion Date	Description
CARES Preventive Maintenance Projects Begin	1/20/2020	First Subrecipient Agreement Executed and First Project Begins
CARES Preventive Maintenance Projects Complete	6/30/2022	All Projects Complete and All Subrecipient Agreements Closed
Collect information and identify COVID-19 related needs	6/1/2020	
Update POP and Revise grant to reflect programming of remaining funds	8/1/2020	

Budget Activity Line Item: 30.09.08 - Operating (CARES Act 5311 100:00)(00)

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OPERATING ASSISTANCE (300-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	OPERATING ASSISTANCE	0

Extended Budget Description

This grant will use \$23,904,173 in CARES Act 5311 funds for rural operations for approximately 35 providers for the period January 20, 2020 through June 30, 2022. Funds are being programmed to provide initial funds for subrecipients with a secondary process to award remaining funds to address additional needs. Due to the changing nature of the current public health emergency this will provide maximum flexibility to ODOT. Funds not currently programmed to a subrecipient will be treated similar

to "Category B" and ODOT will revise the grant to provide a more finalized budget once all funds are programmed. Please see the attached POP for specific breakout details.

STIP

Transportation Improvement Program (TIP) or the Statewide Transportation Improvement Program (STIP): CARES Act funds used to pay for operating expenses do not need to be included in the TIP/STIP. CARES Act funds used to pay for capital expenses for emergency relief do not need to be included in the TIP/STIP unless the projects are for substantial functional, locational, or capacity changes. 23 CFR §§ 450.326(e)(5), 450.218(g)(5).

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$23,904,173
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$23,904,173

Milestone Name	Est. Completion Date	Description
CARES Projects Begin	1/20/2020	First Subrecipient Agreement Executed and First Project Begins
CARES Projects 50% Complete	6/30/2021	
CARES Projects Complete and Agreements Closed	6/30/2022	All Projects Complete and All Subrecipient Agreements Closed
Collect information and identify COVID-19 related needs	6/1/2020	
Update POP and Revise grant to reflect programming of remaining funds	8/1/2020	

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OPERATING ASSISTANCE (300-00)	30.09.08	Operating (CARES Act 5311 100:00)(00)	0	\$23,904,173.00	\$23,904,173.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER CAPITAL ITEMS (BUS) (117-00)	11.7A.00	Preventive Maintenance (CARES Act 5311 100:00)(00)	0	\$6,829,764.00	\$6,829,764.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
PROJECT ADMINISTRATION (620-00)	11.79.00	Project Administration (CARES Act 5311 100:00)(00)	0	\$3,414,882.00	\$3,414,882.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
INTERCITY BUS TRANSPORTATION (634-00)	30.09.08	Intercity Operations (CARES Act 5311 100:00)(00)	0	\$6,402,903.00	\$6,402,903.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
STATE / PROGRAMS ADMINISTRATION (610-00)	11.80.00	State Administration - Direct (CARES Act 5311 100:00)(00)	0	\$1,067,151.00	\$1,067,151.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
STATE / PROGRAMS ADMINISTRATION (610-00)	11.80.00	State Administration - Indirect (CARES Act 5311 100:00)(00)	0	\$1,067,150.00	\$1,067,150.00

Part 4: Fleet Details

No fleet data exists for this application.

Part 5: FTA Review Comments

FTA Comments for DOL

Comment By Kristine Mcguill

Comment Type DOL Review for Information

Date 4/14/2020

Comment The Federal Transit Administration (FTA) requests that the U.S. Department of Labor undertake all of the steps necessary to perform its certification for this grant application. FTA requests that DOL complete its referral and review within 30 days. Please advise us of any 40 U.S.C. Section 5333(b) terms and conditions to be included in the grant.

Application Review Comments

Comment By Kristine Mcguill

Comment Type FTA Post Review Comments for Grantee

Date 4/10/2020

Comment Returning to complete edits emailed on 4/9/2020. Please do not make any changes until I contact you. Edits will be pending information requested on 4/9/2020. Thank you!

Part 6: Agreement

**UNITED STATES OF AMERICA
DEPARTMENT OF TRANSPORTATION
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT
(FTA G-26, October 1, 2019)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(26), October 1, 2019, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

FTA AWARD

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

Recipient Information

Recipient Name: Transportation, Oregon Department Of

Recipient ID: 1723

DUNS No: 195234364

Application Information

Federal Award Identification Number: OR-2020-015-00

Application Name: CARES ACT - FY2020 Sec 5311 CARES Act Operations, Preventive Maintenance, Project Administration, Intercity Bus, and State Administration

Application Start Date: N/A

Original Award End Date: 6/30/2022

Current Award End Date: N/A

Application Executive Summary: This application includes \$42,686,023 in FY 2020 Section 5311 CARES Act funding (of \$42,686,023 available) for rural operations, preventive maintenance, project administration, intercity bus operations, and direct and indirect state administration costs related to the response to the coronavirus public health emergency as described in section 319 of the Public Health Service Act.

Funds are being programmed to provide initial funds for subrecipients with a secondary process to award remaining funds to address additional needs. Due to the changing nature of the current public health emergency this will provide maximum flexibility to ODOT. Funds will be treated similar to "Category B" and ODOT will revise the grant to provide a more finalized budget once all funds are programmed.

Research and Development: This award does not include research and development activities.

Indirect Costs: This award is applying an approved Indirect cost rate(s).

Suballocation Funds: Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Pre-Award Authority: This award is using Pre-Award Authority.

Application Budget

Total Application Budget: \$42,686,023.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$42,686,023.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$0.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$42,686,023.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$0.00

Application Budget Control Totals

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$42,686,023
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$42,686,023

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:

Original Certification Date:

Special Conditions

There are no special conditions.

FINDINGS AND DETERMINATIONS

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

FTA AWARD OF THE GRANT AGREEMENT

Awarded By:

FEDERAL TRANSIT ADMINISTRATION
U.S. DEPARTMENT OF TRANSPORTATION
Contact Info:
Award Date:

EXECUTION OF THE GRANT AGREEMENT

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
 - (a) Statements,
 - (b) Representations,
 - (c) Warranties,
 - (d) Covenants, and
 - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

Transportation, Oregon Department Of