

## Application

<b>Federal Award Identification Number (FAIN)</b>	NM-2020-007-00
<b>Temporary Application Number</b>	6932-2020-2
<b>Application Name</b>	Ohkay Owingeh FY2020 5311 & 5311c CARES Act - PoPay Messenger Administrative and Operating
<b>Application Status</b>	Final Concurrence / Reservation
<b>Application Budget Number</b>	0

<b>Period of Performance Start Date</b>	N/A
<b>Original Period of Performance End Date</b>	12/31/2023
<b>Current Period of Performance End Date</b>	N/A Revision #: N/A

### Part 1: Recipient Information

**Name: Ohkay Owingeh Tribal Council**

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
6932	Indian Tribe	OHKAY OWINGEH PUEBLO	929442408

Location Type	Address	City	State	Zip
Headquarters	State Road 74	San Juan Pueblo	NM	87566
Physical Address	State Road 74	San Juan Pueblo	NM	87566
Mailing Address	P. O. BOX 1119	OHKAY OWINGEH	NM	87566

### Union Information

There are no union contacts for this application

### Part 2: Application Information

**Title: Ohkay Owingeh FY2020 5311 & 5311c CARES Act - PoPay Messenger Administrative and Operating**

<b>FAIN</b>	<b>Application Status</b>	<b>Application Type</b>	<b>Date Created</b>	<b>Last Updated Date</b>	<b>From TEAM?</b>
NM-2020-007-00	Final Concurrence / Reservation	Grant	4/13/2020	4/13/2020	No

**Application Executive Summary**

PoPay Mesenger Operating and Administrative expenses reimbursement for COVID-19 closure.

Requesting federal funds in the amount of \$286,786. Funds are allocated from the FY2020 TTP Program in the amount of \$86,691 and NMDOT allocated Section 5311 funds to Ohkay Owingeh for FY2020-2021 in the amount of \$200,095 (\$13,498 Administrative and \$186,597). This application utilizes CARES Act funding to help respond to and recover from the COVID-19 pandemic. Funds will go towards Operating and Administrative assistance to maintain and manage PoPay Messenger. 100% Federal Share.

Ohkay Owingeh agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.

**Frequency of Milestone Progress Reports (MPR)**

Annual

**Frequency of Federal Financial Reports (FFR)**

Annual

**Does this application include funds for research and/or development activities?**

This award does not include research and development activities.

**Pre-Award Authority**

This award is using Pre-Award Authority.

**Does this application include suballocation funds?**

Recipient organization is suballocated these apportioned funds and can apply for and receive these funds directly.

**Will this Grant be using Lapsing Funds?**

No, this Grant does not use Lapsing Funds.

**Will indirect costs be applied to this application?**

This award is applying an approved Indirect cost rate(s).

*Indirect Rate Details:* Approved rate is 25.99%. Ohkay will utilize 10%

**Requires E.O. 12372 Review**

No, this application does not require E.O. 12372 Review.

**Delinquent Federal Debt**

No, my organization does not have delinquent federal debt.

**Application Point of Contact Information**

First Name	Last Name	Title	E-mail Address	Phone
Christy	Van Buren	Planning Manager	christy.vanburen@ohkay.org	505-852-4400 111
Sharon	Coats	Transportation Program Specialist	sharon.coats@dot.gov	817-978-0552

**Application Budget Control Totals**

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$200,095
5311 Tribal formula (CARES Act)	5311-7	20509	\$86,691
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$286,786</b>

**Application Budget**

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
NM-2020-007-01-00	600-00 (600-A3) OTHER PROGRAM COSTS	\$186,597.00	\$0.00	\$186,597.00	0
NM-2020-007-01-00	30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$186,597.00	\$0.00	\$186,597.00	0
NM-2020-007-01-00	620-00 (620-A1) PROJECT ADMINISTRATION	\$13,498.00	\$0.00	\$13,498.00	0

NM-2020-007-01-00		11.79.00	PROJECT ADMINISTRATION	\$13,498.00	\$0.00	\$13,498.00	0
NM-2020-007-02-00	600-00 (600-A2)		OTHER PROGRAM COSTS	\$86,691.00	\$0.00	\$86,691.00	0
NM-2020-007-02-00		30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$86,691.00	\$0.00	\$86,691.00	0

### Discretionary Allocations

This application does not contain discretionary allocations.

## Part 3: Project Information

<b>Project Title: CARES Act FY2020 and FY2021 5311</b>
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Project Number	Temporary Project Number	Date Created	Start Date	End Date
NM-2020-007-01-00	6932-2020-2-P1	4/13/2020	1/19/2020	12/30/2021

#### Project Description

FY2020 TTP Program in the amount of \$86,691 under the CARES Act

CARES Administrative Support in the amount of \$13,498 federal share; and CARES Operating support in the amount of \$186,597 for a total of \$200,095 in 5311 CARES Act funding. 100% Federal Share.

PoPay Messenger Transit Program. The Program's operations has been temporarily suspended due to the COVID-19 Pandemic. The PoPay Messenger staff has been placed on Administrative Leave in order to prevent the spread of COVID-19; and for staff to sanitize all equipment and vehicles once they return to work.

#### Project Benefits

Ohkay Owingeh operates an on-demand transit service for the Ohkay Owingeh service area. Our service area is just over 12,000 square miles and serves the 3,000 Tribal and 11,500+ non-Tribal Members. The Transit Service (PoPay Messenger Program) serves more than 8000 clients per year with access to work, schooling, and social services related appointments

#### Additional Information

The Transit Program employees are on administrative leave and will return to normal operating hours once the quarantine mandates are over. The program will be reimbursed for the loss in ridership and administrative and operating expenses

#### Location Description

Ohkay Owingeh is located 30 miles north of Santa Fe, New Mexico; and 5 miles north of Espanola, New Mexico

## Project Location (Urbanized Areas)

UZA Code	Area Name
350000	New Mexico

## Congressional District Information

State	District	Representative
New Mexico	3	Ben R Lujan

## Program Plan Information

### STIP/TIP

Date: Not Provided

Description: N/A

### UPWP

Date: Not Provided

Description: N/A

### Long Range Plan

Date: 6/29/2017

Description: Ohkay Owingeh Long Range Transportation Plan. Pages 21-24 of the LRTP addresses the PoPay Messenger Transit program

## Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$200,095
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$200,095</b>

## Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
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NM-2020-007-01-00	600-00 (600-A3)	OTHER PROGRAM COSTS	\$186,597.00	\$0.00	\$186,597.00	0
NM-2020-007-01-00	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$186,597.00	\$0.00	\$186,597.00	0
NM-2020-007-01-00	620-00 (620-A1)	PROJECT ADMINISTRATION	\$13,498.00	\$0.00	\$13,498.00	0
NM-2020-007-01-00	11.79.00	PROJECT ADMINISTRATION	\$13,498.00	\$0.00	\$13,498.00	0

## Project Budget Activity Line Items

### Budget Activity Line Item: 30.09.08 - EMER RELIEF - OPERATING ASSIST - 100% Fed Share

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	OPERATING ASSISTANCE	0

#### Extended Budget Description

Operating Assistance for PoPay Messenger Program to include salaries for one dispatcher and four drivers; fuel expense, vehicle maintenance, shop supplies.

#### Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$186,597
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$186,597</b>

Milestone Name	Est. Completion Date	Description
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Start Date	1/20/2020
End Date	12/31/2021

**Budget Activity Line Item: 11.79.00 - PROJECT ADMINISTRATION**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
PROJECT ADMINISTRATION (620-00)	11.79.00	PROJECT ADMINISTRATION	PROJECT ADMINISTRATION	0

**Extended Budget Description**

Administrative Support in the amount of \$13,498 federal share including administrative salary for the Planning Manager; Drug and Alcohol program compliance, office supplies, and Title VI compliance

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$13,498
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$13,498</b>

Milestone Name	Est. Completion Date	Description
Start Date	1/20/2020	
End Date	12/31/2021	

## Project Environmental Findings

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

**Categorical Exclusion Description**

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
PROJECT ADMINISTRATION (620-00)	11.79.00	PROJECT ADMINISTRATION	0	\$13,498.00	\$13,498.00

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

**Categorical Exclusion Description**

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	0	\$186,597.00	\$186,597.00

**Project Title: CARES Act Operating Expenses**

Project Number	Temporary Project Number	Date Created	Start Date	End Date
NM-2020-007-02-00	6932-2020-2-P2	4/15/2020	1/19/2020	12/30/2022

**Project Description**

CARES Act Operating Support in the amount of \$86,691- 5311c Tribal Formula Funds

PoPay Messenger Transit Program. The Program's operations as been temporarily suspended due to

the COVID-19 pandemic. The PoPay Messenger staff has been placed on Administrative Leave in order to prevent the spread of COVID-19; and for staff to sanitize all equipment and vehicles once they return to work

### **Project Benefits**

Ohkay Owingeh operates an on-demand transit service for the Ohkay Owingeh service area. Our service area is just over 12,000 square miles and serves the 3000 Tribal and 11,500 non-Tribal Members. The Transit Service (PoPay Messenger Program) serves more than 8000 clients per year with access to work, schooling, an social services related appointments

### **Additional Information**

The PoPay Messenger Transit Program employees are on administrative leave an will return to normal operating hours once the quarantine mandate is over. The program will be reimbursed for the loss in ridership and operating expenses

### **Location Description**

Ohkay Owingeh is located 30 miles north of Santa Fe, New Mexico and 5 miles north of Espanola, New Mexico

## **Project Location (Urbanized Areas)**

<b>UZA Code</b>	<b>Area Name</b>
350000	New Mexico

## **Congressional District Information**

<b>State</b>	<b>District</b>	<b>Representative</b>
New Mexico	3	Ben R Lujan

## **Program Plan Information**

### **STIP/TIP**

Date: N/A

Description: N/A

### **UPWP**

Date: N/A

Description: N/A

### **Long Range Plan**

Date: N/A

Description: N/A

## **Project Control Totals**

<b>Funding Source</b>	<b>Section of Statute</b>	<b>CFDA Number</b>	<b>Amount</b>
5311 Tribal formula (CARES Act)	5311-7	20509	\$86,691

Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$86,691</b>

## Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
NM-2020-007-02-00	600-00 (600-A2) OTHER PROGRAM COSTS	\$86,691.00	\$0.00	\$86,691.00	0
NM-2020-007-02-00	30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$86,691.00	\$0.00	\$86,691.00	0

## Project Budget Activity Line Items

### Budget Activity Line Item: 30.09.08 - EMER RELIEF - OPERATING ASSIST - 100% Fed Share

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	OPERATING ASSISTANCE	0

### Extended Budget Description

TTP 5311c Operating assistance for the PoPay Messenger Program including salaries for dispatcher, and four drivers, shop supplies, vehicle maintenance, and fuel expenses

### Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 Tribal formula (CARES Act)	5311-7	20509	\$86,691
Local			\$0

Local/In-Kind		\$0
State		\$0
State/In-Kind		\$0
Other Federal		\$0
Transportation Development Credit		\$0
Adjustment		\$0
<b>Total Eligible Cost</b>		<b>\$86,691</b>

Milestone Name	Est. Completion Date	Description
Start Date	1/20/2020	
End Date	12/31/2022	

## Project Environmental Findings

### Finding: Class II(c) - Categorical Exclusions (C-List)

#### Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

#### Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description	Date
	Class IIc CE Approved	

## Part 4: Fleet Details

No fleet data exists for this application.

## Part 5: FTA Review Comments

### FTA Comments for DOL

Comment By **Sharon Coats**

Comment Type DOL Review for Information

Date 4/16/2020

Comment Section 5311 CARES Act Funding for information purposes only.

## Application Review Comments

**Comment By Sharon Coats**

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Comment Type FTA Post Review Comments for Grantee

Date 4/15/2020

Comment Returning for revisions; comments sent via email.

**Comment By Sharon Coats**

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Comment Type FTA Post Review Comments for Grantee

Date 4/15/2020

Comment Revise both Operating ALIs in Project 1 & 2 to ALI 30.09.08.

## Part 6: Agreement

**UNITED STATES OF AMERICA  
DEPARTMENT OF TRANSPORTATION  
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT  
(FTA G-26, October 1, 2019)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(26), October 1, 2019, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

**FTA AWARD**

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

### **Recipient Information**

Recipient Name: Ohkay Owingeh Tribal Council

Recipient ID: 6932

DUNS No: 929442408

### **Application Information**

Federal Award Identification Number: NM-2020-007-00

Application Name: Ohkay Owingeh FY2020 5311 & 5311c CARES Act - PoPay Messenger Administrative and Operating

Application Start Date: N/A

Original Award End Date: 12/31/2023

Current Award End Date: N/A

Application Executive Summary: PoPay Messenger Operating and Administrative expenses reimbursement for COVID-19 closure.

Requesting federal funds in the amount of \$286,786. Funds are allocated from the FY2020 TTP Program in the amount of \$86,691 and NMDOT allocated Section 5311 funds to Ohkay Owingeh for FY2020-2021 in the amount of \$200,095 (\$13,498 Administrative and \$186,597). This application utilizes CARES Act funding to help respond to and recover from the COVID-19 pandemic. Funds will go towards Operating and Administrative assistance to maintain and manage PoPay Messenger. 100% Federal Share.

Ohkay Owingeh agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.

Research and Development: This award does not include research and development activities.

Indirect Costs: This award is applying an approved Indirect cost rate(s).

Suballocation Funds: Recipient organization is suballocated these apportioned funds and can apply for and receive these funds directly.

Pre-Award Authority: This award is using Pre-Award Authority.

### **Application Budget**

Total Application Budget: \$286,786.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$286,786.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$0.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$286,786.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$0.00

### **Application Budget Control Totals**

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

<b>Funding Source</b>	<b>Section of Statute</b>	<b>CFDA Number</b>	<b>Amount</b>
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$200,095
5311 Tribal formula (CARES Act)	5311-7	20509	\$86,691
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$286,786</b>

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

### **U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:**

Original Certification Date:

### **Special Conditions**

There are no special conditions.

### **FINDINGS AND DETERMINATIONS**

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

### **FTA AWARD OF THE GRANT AGREEMENT**

Awarded By:

FEDERAL TRANSIT ADMINISTRATION  
U.S. DEPARTMENT OF TRANSPORTATION  
Contact Info:  
Award Date:

### **EXECUTION OF THE GRANT AGREEMENT**

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
  - (a) Statements,
  - (b) Representations,
  - (c) Warranties,
  - (d) Covenants, and
  - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

*Ohkay Owingeh Tribal Council*