

## Application

<b>Federal Award Identification Number (FAIN)</b>	NM-2020-005-00
<b>Temporary Application Number</b>	1564-2020-1
<b>Application Name</b>	NMDOT FY20 Section 5311 Rural Area CARES Act Program
<b>Application Status</b>	Final Concurrence / Reservation
<b>Application Budget Number</b>	0

<b>Period of Performance Start Date</b>	N/A
<b>Original Period of Performance End Date</b>	12/31/2026
<b>Current Period of Performance End Date</b>	N/A Revision #: N/A

### Part 1: Recipient Information

**Name: Transportation, New Mexico Department Of**

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
1564	State Agency	NEW MEXICO DEPARTMENT OF TRANSPORTATION	117883178

Location Type	Address	City	State	Zip
Headquarters	1120 CERRILLOS RD	SANTA FE	NM	87505
Physical Address	604 SAN MATEO	SANTA FE	NM	87505
Mailing Address	1120 CERRILLOS ROAD	SANTA FE	NM	87504

### Union Information

<b>Union Name</b>	NONE
Address 1	

Address 2	
City	
State	
Zipcode	
Contact Name	NONE NONE
Telephone	
Fax	
E-mail	
Website	

## Part 2: Application Information

### Title: NMDOT FY20 Section 5311 Rural Area CARES Act Program

FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
NM-2020-005-00	Final Concurrence / Reservation	Grant	4/7/2020	4/7/2020	No

#### Application Executive Summary

4/7/2020 NMDOT is applying for \$32,775,705 of our FY 2020 CARES ACT SECTION 5311 APPORTIONMENT to the State of New Mexico that has 100% federal share, (totaling \$36,474,320) posted in FTA Table 3, based on the Coronavirus Aid, Relief, and Economic Security Act (CARES Act), (Pub. L. 116-136, Mar 27, 2020). The funds will be programmed for the Public Rural Transportation program for State Administration and Operating and Program Administration expenses to prevent, prepare for, and respond to COVID-19. The remaining balance of \$3,498,520 will be requested in future grants or amendments.

NMDOT has also uploaded a split letter to Ohkay Owingeh to apply directly for the following funds: Program Administration (\$13,498) and operating (\$68,746) for FY20 and operating (\$117,851) for FY21 (totaling \$200,095).

The amount of funding remaining for future use is \$3,498,520 (see attached POP & Budget). The funding request for this application are as follows:

#### FY20:

State Administration: \$3,647,432 - provide project administration, oversight and technical assistance to sub-grantees included in Program of Projects. State Administration has been programmed within the 10% allowed (UZA 35000).

Rural Category A: NMDOT has awarded \$12,752,686 of FY2020 5311-5 Rural Area Cares apportionment to 22 sub-grantees for Rural projects in the current FY 2020 program year for Program Administration, Operating Assistance, Capital Cost of Contract, and including Intercity Bus service 5311(f). 5 intercity bus projects are all programmed in Category A with the required 15 percent. Included in the intercity, we have programmed Capital Cost of Contracting for our Park & Ride Program. Rural Category A projects are as follows:

Program Administration (Sub-recipients): \$1,950,259 - UZA 35000  
 Operating Assistance (Sub-recipients): \$10,802,427 - UZA 35000

FY21:

Rural Category A: NMDOT plans to award \$16,375,587 of FY2020 5311-5 Rural Area Cares apportionment to 22 sub-grantees for Rural projects in the FY 2021 program year for Operating Assistance, Capital Cost of Contract, and including Intercity Bus service 5311(f). 5 intercity bus projects are all programmed in Category A with the required 15 percent. Included in the intercity, we have programmed Capital Cost of Contracting for our Park & Ride Program. Rural Category A projects for FY2021 are as follows:

Operating Assistance, including ICB (Sub-recipients): \$16,375,587 - UZA 35000

There are 25 total projects are in Category A, for 20 sub-grantees in 25 counties (Chaves, Colfax, Valencia, Eddy, Curry, Rio Arriba, Union, Hidalgo, Grant, Lea, Luna, San Miguel, Los Alamos, Roosevelt, Taos, Socorro, Sandoval, Cibola, McKinley, Otero, Lincoln, Sierra, Dona Ana, Bernalillo & Santa Fe) including 3 tribal governments; Navajo Nation, Pueblo of Laguna, and Pueblo of Zuni.

See attached Program of Projects and Budget workbook.

**Frequency of Milestone Progress Reports (MPR)**

Annual

**Frequency of Federal Financial Reports (FFR)**

Annual

**Does this application include funds for research and/or development activities?**

This award does not include research and development activities.

**Pre-Award Authority**

This award is using Pre-Award Authority.

**Does this application include suballocation funds?**

Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

**Will this Grant be using Lapsing Funds?**

No, this Grant does not use Lapsing Funds.

**Will indirect costs be applied to this application?**

This award does not include an indirect cost rate.

*Indirect Rate Details: N/A*

**Requires E.O. 12372 Review**

No, this application does not require E.O. 12372 Review.

**Delinquent Federal Debt**

No, my organization does not have delinquent federal debt.

## Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Deborah	Bach	Rural Transit Programs Supervisor	deborah.bach@state.nm.us	(505) 819-9112
Daniel	Peschell	Transportation Program Specialist	daniel.peschell@dot.gov	817-978-0563

## Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$32,775,705
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$32,775,705</b>

## Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
NM-2020-005-01-00	610-00 (610-A1) STATE / PROGRAMS ADMINISTRATION	\$3,647,432.00	\$0.00	\$3,647,432.00	0
NM-2020-005-01-00	11.80.00 STATE OR PROGRAM ADMINISTRATION	\$3,647,432.00	\$0.00	\$3,647,432.00	0
NM-2020-005-02-00	600-00 (600-A4) OTHER PROGRAM COSTS	\$21,913,780.00	\$0.00	\$21,913,780.00	0
NM-2020-005-02-00	30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$21,913,780.00	\$0.00	\$21,913,780.00	0
NM-2020-005-02-00	620-00 PROJECT ADMINISTRATION	\$1,740,094.00	\$0.00	\$1,740,094.00	0

	(620-A2)						
NM-2020-005-02-00		11.79.00	PROJECT ADMINISTRATION	\$1,740,094.00	\$0.00	\$1,740,094.00	0
NM-2020-005-02-00	634-00 (634-A3)		INTERCITY BUS TRANSPORTATION	\$5,474,399.00	\$0.00	\$5,474,399.00	0
NM-2020-005-02-00		11.71.12	CAPITAL COST OF 3RD PARTY CONTRACTING	\$1,250,000.00	\$0.00	\$1,250,000.00	0
NM-2020-005-02-00		11.80.00	STATE OR PROGRAM ADMINISTRATION	\$210,165.00	\$0.00	\$210,165.00	0
NM-2020-005-02-00		30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$4,014,234.00	\$0.00	\$4,014,234.00	0

### Discretionary Allocations

This application does not contain discretionary allocations.

## Part 3: Project Information

<b>Project Title: Rural Area CARES - State Administration</b>
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Project Number	Temporary Project Number	Date Created	Start Date	End Date
NM-2020-005-01-00	1564-2020-1-P1	4/7/2020	1/19/2020	12/30/2024

#### Project Description

Provide comprehensive program administration to 5311 subrecipients in rural areas to prevent, prepare for, and respond to COVID-19 across the State of New Mexico.

#### Project Benefits

State provided program administration under CARES is designed to assist rural subrecipients to prevent, prepare for and respond to a pandemic in order to (1) help meet the transportation needs of transit-dependent people so they may access essential services during a pandemic; (2) assist in the maintenance, development, improvement and use of public transportation systems during a pandemic; (3) encourage and facilitate the most efficient use of all federal funds used to provide passenger transportation in non-urbanized areas through the coordination of programs and services and the provision of technical and fiscal oversight during a pandemic; (4) assist in the development and support of intercity bus transportation operations to prepare for, prevent and respond during a pandemic; to the maximum extent feasible.

#### Additional Information

*None provided.*

#### Location Description

The service area covers 25 counties (Chaves, Colfax, Valencia, Eddy, Curry, Rio Arriba, Union, Hidalgo, Grant, Lea, Luna, San Miguel, Los Alamos, Roosevelt, Taos, Socorro, Sandoval, Cibola, McKinley, Otero, Lincoln, Sierra, Dona Ana, Bernalillo & Santa Fe) including 3 tribal governments; Navajo Nation, Pueblo of Laguna, and Pueblo of Zuni.

## Project Location (Urbanized Areas)

UZA Code	Area Name
350000	New Mexico

## Congressional District Information

State	District	Representative
New Mexico	1	Deb Haaland
New Mexico	2	Xochitil Torres Small
New Mexico	3	Ben R. Lujan

## Program Plan Information

### STIP/TIP

Date: N/A

Description: N/A

### UPWP

Date: N/A

Description: N/A

### Long Range Plan

Date: N/A

Description: N/A

## Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$3,647,432
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$3,647,432</b>

## Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
NM-2020-005-01-00	610-00 STATE / PROGRAMS (610-A1) ADMINISTRATION	\$3,647,432.00	\$0.00	\$3,647,432.00	0
NM-2020-005-01-00	11.80.00 STATE OR PROGRAM ADMINISTRATION	\$3,647,432.00	\$0.00	\$3,647,432.00	0

## Project Budget Activity Line Items

### Budget Activity Line Item: 11.80.00 - STATE OR PROGRAM ADMINISTRATION

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
STATE / PROGRAMS ADMINISTRATION (610-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	STATE / PROGRAM ADMINISTRATION	0

### Extended Budget Description

Provide comprehensive program administration to 5311 subrecipients in rural areas to prevent, prepare for, and respond to COVID-19 across the State of New Mexico. State provided program administration under CARES is designed to assist rural subrecipients to prevent, prepare for and respond to a pandemic in order to (1) help meet the transportation needs of transit-dependent people so they may access essential services during a pandemic; (2) assist in the maintenance, development, improvement and use of public transportation systems during a pandemic; (3) encourage and facilitate the most efficient use of all federal funds used to provide passenger transportation in non-urbanized areas through the coordination of programs and services and the provision of technical and fiscal oversight during a pandemic; (4) assist in the development and support of intercity bus transportation operations to prepare for, prevent and respond during a pandemic; to the maximum extent feasible.

### Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$3,647,432
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0

Other Federal		\$0
Transportation Development Credit		\$0
Adjustment		\$0
<b>Total Eligible Cost</b>		<b>\$3,647,432</b>

Milestone Name	Est. Completion Date	Description
Start Date	1/20/2020	Project start date: 01/20/2020 This project will provide comprehensive program administration to 5311 subrecipients in rural areas to prevent, prepare for, and respond to COVID-19 across the State of New Mexico including technical assistance and planning activities and capital projects.
End Date	12/31/2024	

## Project Environmental Findings

### Finding: Class II(c) - Categorical Exclusions (C-List)

#### Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

#### Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
STATE / PROGRAMS ADMINISTRATION (610-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	0	\$3,647,432.00	\$3,647,432.00

### Project Title: Rural Area CARES - Subrecipients Program Administration and Operating Assistance

Project Number	Temporary Project Number	Date Created	Start Date	End Date
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NM-2020-005-02-00

1564-2020-1-P2

4/8/2020

1/19/2020

12/30/2024

### **Project Description**

FY20:

Rural Category A: NMDOT has awarded \$12,752,686 of FY2020 5311-5 Rural Area Cares apportionment to 22 sub-grantees for Rural projects in the current FY 2020 program year for Program Administration, Operating Assistance, Capital Cost of Contract, and including Intercity Bus service 5311(f). 5 intercity bus projects are all programmed in Category A with the required 15 percent. Included in the intercity, we have programmed Capital Cost of Contracting for our Park & Ride Program. Rural Category A projects are as follows:

Program Administration (Sub-recipients): \$1,950,259 - UZA 35000

Operating Assistance (Sub-recipients): \$10,802,427 - UZA 35000

FY21:

Rural Category A: NMDOT plans to award \$16,375,587 of FY2020 5311-5 Rural Area Cares apportionment to 22 sub-grantees for Rural projects in the FY 2021 program year for Operating Assistance, Capital Cost of Contract, and including Intercity Bus service 5311(f). 5 intercity bus projects are all programmed in Category A with the required 15 percent. Rural Category A projects for FY2021 are as follows:

Operating Assistance, including ICB (Sub-recipients): \$16,375,587 - UZA 35000

There are 25 total projects are in Category A, for 20 sub-grantees in 25 counties (Chaves, Colfax, Valencia, Eddy, Curry, Rio Arriba, Union, Hidalgo, Grant, Lea, Luna, San Miguel, Los Alamos, Roosevelt, Taos, Socorro, Sandoval, Cibola, McKinley, Otero, Lincoln, Sierra, Dona Ana, Bernalillo & Santa Fe) including 3 tribal governments; Navajo Nation, Pueblo of Laguna, and Pueblo of Zuni.

See attached Program of Projects and Budget workbook.

### **Project Benefits**

Providing Project Administration and Operating Assistance funding to subrecipients under CARES is designed to assist rural subrecipients to prevent, prepare for and respond to a pandemic in order to (1) help meet the transportation needs of transit-dependent people so they may access essential services during a pandemic; (2) assist in the maintenance, development, improvement and use of public transportation systems during a pandemic; (3) encourage and facilitate the most efficient use of all federal funds used to provide passenger transportation in non-urbanized areas through the coordination of programs and services and the provision of technical and fiscal oversight during a pandemic; (4) assist in the development and support of intercity bus transportation operations to prepare for, prevent and respond during a pandemic; to the maximum extent feasible.

### **Additional Information**

*None provided.*

### **Location Description**

The service area covers 25 counties (Chaves, Colfax, Valencia, Eddy, Curry, Rio Arriba, Union, Hidalgo, Grant, Lea, Luna, San Miguel, Los Alamos, Roosevelt, Taos, Socorro, Sandoval, Cibola, McKinley, Otero, Lincoln, Sierra, Dona Ana, Bernalillo & Santa Fe) including 3 tribal governments; Navajo Nation, Pueblo of Laguna, and Pueblo of Zuni.

## **Project Location (Urbanized Areas)**

UZA Code	Area Name
350000	New Mexico

## Congressional District Information

State	District	Representative
New Mexico	1	Deb Haaland
New Mexico	2	Xochitil Torres Small
New Mexico	3	Ben R. Lujan

## Program Plan Information

### STIP/TIP

Date: N/A

Description: N/A

### UPWP

Date: N/A

Description: N/A

### Long Range Plan

Date: N/A

Description: N/A

## Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$29,128,273
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$29,128,273</b>

## Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
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NM-2020-005-02-00	600-00 (600-A4)	OTHER PROGRAM COSTS	\$21,913,780.00	\$0.00	\$21,913,780.00	0
NM-2020-005-02-00	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$21,913,780.00	\$0.00	\$21,913,780.00	0
NM-2020-005-02-00	620-00 (620-A2)	PROJECT ADMINISTRATION	\$1,740,094.00	\$0.00	\$1,740,094.00	0
NM-2020-005-02-00	11.79.00	PROJECT ADMINISTRATION	\$1,740,094.00	\$0.00	\$1,740,094.00	0
NM-2020-005-02-00	634-00 (634-A3)	INTERCITY BUS TRANSPORTATION	\$5,474,399.00	\$0.00	\$5,474,399.00	0
NM-2020-005-02-00	11.71.12	CAPITAL COST OF 3RD PARTY CONTRACTING	\$1,250,000.00	\$0.00	\$1,250,000.00	0
NM-2020-005-02-00	11.80.00	STATE OR PROGRAM ADMINISTRATION	\$210,165.00	\$0.00	\$210,165.00	0
NM-2020-005-02-00	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$4,014,234.00	\$0.00	\$4,014,234.00	0

## Project Budget Activity Line Items

<b>Budget Activity Line Item: 30.09.08 - EMER RELIEF - OPERATING ASSIST - 100% Fed Share</b>
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Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	OPERATING ASSISTANCE	0

### Extended Budget Description

The following subrecipients, across the state of New Mexico, will receive rural operating assistance in FY 20 to maintain transit services, pay for administrative leave for transit personnel due to reduced operations during an emergency and including prevention, preparation for, and response to COVID-19.

City of Carlsbad: \$329,088  
City of Clovis: \$376,833  
Gallup Express: \$181,510  
Golden Spread Coalition: \$103,512  
Grant County: \$394,742  
City of Hobbs: \$446,394

Pueblo of Laguna: \$108,817  
City of Las Vegas: \$109,911  
Los Alamos County: \$1,765,549  
Village of Milan: \$111,392  
NCRTD: \$1,538,994  
City of Portales: \$110,221  
Town of Red River: \$64,750  
RMRTD: \$609,880  
City of Roswell: \$685,913  
SCRTD: \$276,721  
City of Socorro: \$105,433  
Zia Therapy: \$661,100  
Zuni Pueblo: \$141,652

Total: \$8,122,412

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The following subrecipients, across the state of New Mexico, will receive rural operating assistance in FY 21 to maintain transit services, pay for administrative leave for transit personnel due to reduced operations during an emergency and including prevention, preparation for, and response to COVID-19.

City of Carlsbad: \$564,151  
City of Clovis: \$646,000  
Gallup Express: \$311,160  
Golden Spread Coalition: \$177,448  
Grant County: \$676,700  
City of Hobbs: \$765,246  
Pueblo of Laguna: \$186,544  
City of Las Vegas: \$188,419  
Los Alamos County: \$3,026,656  
Village of Milan: \$190,957  
NCRTD: \$2,638,275  
City of Portales: \$188,950  
Town of Red River: \$111,000  
RMRTD: \$912,745  
City of Roswell: \$1,175,850  
SCRTD: \$474,378  
City of Socorro: \$180,742  
Zia Therapy: \$1,133,314  
Zuni Pueblo: \$242,833

Total: \$13,791,368

Description of operating expenses commonly incurred include:

- Salaries for Operations Manager, Drivers, Mechanics, and Dispatcher
- Fringe Benefits
- Contractual services
- Uniforms and Physicals
- Per Diem to attend training and statewide conference
- Shop Supplies, replacement parts and vehicle maintenance
- Fuel

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$21,913,780
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$21,913,780</b>

Milestone Name	Est. Completion Date	Description
Start Date	1/20/2020	Project start date: 1/20/2020 The funds will be programmed for the Public Rural Transportation program subrecipients for Operating Assistance to maintain transit service expenses and including prevention, preparation for, and response to COVID-19.
End Date	12/31/2024	

**Budget Activity Line Item: 11.71.12 - CAPITAL COST OF 3RD PARTY CONTRACTING**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
INTERCITY BUS TRANSPORTATION (634-00)	11.71.12	CAPITAL COST OF 3RD PARTY CONTRACTING	3RD PARTY CONTRACTS	0

**Extended Budget Description**

Subrecipient award for at 100% under CARES Act is as follows:

\$1,250,000 to New Mexico Park and Ride to provide and maintain intercity transit services. Current program, total cost of \$7,646,777, provides service to Sandoval/Santa Fe/Los Alamos/Rio Arriba/San Miguel counties across New Mexico. NMNDOT will use a third party contractor to provide turnkey operations for the program to serve the general public in 5 counties across the state of New Mexico. NMDOT Park and Ride has been serving New Mexico since 2003. The benefits of this service in fiscal year 2019 included the following:

- Reduced traffic congestion by removing 7.7 million vehicle miles of travel from our busiest highways during the busiest commute hours
- Reduced carbon dioxide emissions (a Greenhouse Gas) by 3,598 tons
- Reduced gasoline consumption by 372,484 gallons (assumes 20 mpg average)
- Served an Average Daily Ridership of 926 passengers
- Provides a safe means of travel: In FY 2019, Park and Ride buses served over 4 million passenger rides without a fatality or serious injury.

**Will 3rd Party contractors be used to fulfill this activity line item?**

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$1,250,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$1,250,000</b>

Milestone Name	Est. Completion Date	Description
Start Date	1/20/2020	Project start date: 1/20/2020 The funds will be programmed for the Public Rural Transportation program subrecipients for Intercity Bus Service expenses to prevent, prepare for, and respond to COVID-19.
End Date	12/31/2024	

**Budget Activity Line Item: 11.80.00 - STATE OR PROGRAM ADMINISTRATION**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
INTERCITY BUS TRANSPORTATION (634-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	STATE / PROGRAM ADMINISTRATION	0

**Extended Budget Description**

Subrecipient awards for Program Administration (intercity bus) at 100% under CARES Act to maintain transit services as well as paying for administrative leave for transit personnel due to reduced operations during an emergency are as follows:

NCRTD: \$126,180  
Navajo Nation: \$83,985

Total: \$210,165

Description of Administration expenses commonly incurred include:

- Salaries for Director, Transit Manager, Financial, Accounting, and Clerical staff
- Fringe Benefits
- Contractual services
- Telephone and utilities
- Advertising
- Dues and Subscriptions
- General Liability and vehicle insurance
- Per Diem and registration fees to attend training and statewide conference
- Office Supplies

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$210,165
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$210,165</b>

Milestone Name	Est. Completion Date	Description
Start Date	1/20/2020	Project start date: 1/20/2020 The funds will be programmed for the Public Rural Transportation program subrecipients for Intercity Program Administration expenses to prevent, prepare for, and respond to COVID-19.
End Date	12/31/2024	

**Budget Activity Line Item: 30.09.08 - EMER RELIEF - OPERATING ASSIST - 100% Fed Share**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
INTERCITY BUS TRANSPORTATION (634-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	OPERATING ASSISTANCE	0

**Extended Budget Description**

The following subrecipients, across the state of New Mexico, will receive rural operating assistance in FY 20 to maintain intercity bus transit services, pay for administrative leave for transit personnel due to reduced operations during an emergency and including prevention, preparation for, and response to COVID-19:

Navajo Nation: \$424,566

RMRTD: \$358,183

NCRTD: \$569,217

SCRTD: \$78,049

Total: \$1,430,015

The following subrecipients, across the state of New Mexico, will receive rural operating assistance in FY 21 to maintain transit services, pay for administrative leave for transit personnel due to reduced operations during an emergency and including

prevention, preparation for, and response to COVID-19:

Navajo Nation: \$727,827  
 RMRTD: \$746,792  
 NCRTD: \$975,801  
 SCRTD: \$133,799

Total: \$2,584,219

- Descriptions of operating expenses commonly incurred include:
- Salaries for Operations Manager, Drivers, Mechanics, and Dispatcher
  - Fringe Benefits
  - Contractual services
  - Uniforms and Physicals
  - Per Diem to attend training and statewide conference
  - Shop Supplies, replacement parts and vehicle maintenance
  - Fuel

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$4,014,234
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$4,014,234</b>

Milestone Name	Est. Completion Date	Description
Start Date	1/20/2020	Project start date: 1/20/2020 The funds will be programmed for the Public Rural Transportation program subrecipients for Operating Assistance to maintain intercity bus transit service expenses and including prevention, preparation for, and response to COVID-19
End Date	12/31/2024	

**Budget Activity Line Item: 11.79.00 - PROJECT ADMINISTRATION**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
PROJECT ADMINISTRATION (620-00)	11.79.00	PROJECT ADMINISTRATION	PROJECT ADMINISTRATION	0



**Extended Budget Description**

Subrecipient awards for Program Administration (rural) at 100% under CARES Act to maintain transit services as well as paying for administrative leave for transit personnel due to reduced operations during an emergency are as follows:

- City of Carlsbad: \$115,590
- City of Clovis: \$132,756
- Gallup Express: \$71,336
- Golden Spread Coalition: \$48,467
- Grant County: \$140,000
- City of Hobbs: \$51,267
- Pueblo of Laguna: \$18,979
- City of Las Vegas: \$45,611
- Los Alamos: \$114,080
- Village of Milan: \$59,193
- NCRTD: \$341,154
- City of Portales: \$41,521
- Town of Red River: \$33,344
- City of Roswell: \$163,777
- SCRTD: \$113,360
- City of Socorro: \$29,254
- Zia Therapy: \$162,409
- Zuni Pueblo: \$57,996

Total: \$1,740,094

Description of Administration expenses commonly incurred include:

- Salaries for Director, Transit Manager, Financial, Accounting, and Clerical staff
- Fringe Benefits
- Contractual services
- Telephone and utilities
- Advertising
- Dues and Subscriptions
- General Liability and vehicle insurance
- Per Diem and registration fees to attend training and statewide conference
- Office Supplies

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$1,740,094
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$1,740,094</b>

Milestone Name	Est. Completion Date	Description
Start Date	1/20/2020	Project start date: 1/20/2020 The funds will be programmed for the Public Rural Transportation program subrecipients for Program Administration expenses to prevent, prepare for, and respond to COVID-19.
End Date	12/31/2024	

## Project Environmental Findings

### Finding: Class II(c) - Categorical Exclusions (C-List)

#### Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

#### Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
PROJECT ADMINISTRATION (620-00)	11.79.00	PROJECT ADMINISTRATION	0	\$1,740,094.00	\$1,740,094.00

### Finding: Class II(c) - Categorical Exclusions (C-List)

#### Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

#### Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date

Class IIc CE Approved

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
INTERCITY BUS TRANSPORTATION (634-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	0	\$210,165.00	\$210,165.00

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

**Categorical Exclusion Description**

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
INTERCITY BUS TRANSPORTATION (634-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	0	\$4,014,234.00	\$4,014,234.00

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

**Categorical Exclusion Description**

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
INTERCITY BUS TRANSPORTATION (634-00)	11.71.12	CAPITAL COST OF 3RD PARTY CONTRACTING	0	\$1,250,000.00	\$1,250,000.00

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

**Categorical Exclusion Description**

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	0	\$21,913,780.00	\$21,913,780.00

## Part 4: Fleet Details

No fleet data exists for this application.

## Part 5: FTA Review Comments

### FTA Comments for DOL

**Comment By** Daniel Peschell

Comment Type DOL Review for Information

Date 4/13/2020

Comment Section 5311 being sent for information only.

### Application Review Comments

**Comment By** Daniel Peschell

Comment Type FTA Post Review Comments for Grantee

Date 4/9/2020

Comment Sent email on 4/9/20 with revisions.

## Part 6: Agreement

**UNITED STATES OF AMERICA  
DEPARTMENT OF TRANSPORTATION  
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT  
(FTA G-26, October 1, 2019)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(26), October 1, 2019, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

### **FTA AWARD**

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

#### **Recipient Information**

Recipient Name: Transportation, New Mexico Department Of

Recipient ID: 1564

DUNS No: 117883178

#### **Application Information**

Federal Award Identification Number: NM-2020-005-00

Application Name: NMDOT FY20 Section 5311 Rural Area CARES Act Program

Application Start Date: N/A

Original Award End Date: 12/31/2026

Current Award End Date: N/A

Application Executive Summary: 4/7/2020 NMDOT is applying for \$32,775,705 of our FY 2020 CARES ACT SECTION 5311 APPORTIONMENT to the State of New Mexico that has 100% federal share, (totaling \$36,474,320) posted in FTA Table 3, based on the Coronavirus Aid, Relief, and Economic Security Act (CARES Act), (Pub. L. 116-136, Mar 27, 2020). The funds will be programmed for the Public Rural Transportation program for State Administration and Operating and Program Administration expenses to prevent, prepare for, and respond to COVID-19. The remaining balance of \$3,498,520 will be requested in future grants or amendments.

NMDOT has also uploaded a split letter to Ohkay Owingeh to apply directly for the following funds: Program Administration (\$13,498) and operating (\$68,746) for FY20 and operating (\$117,851) for FY21 (totaling \$200,095).

The amount of funding remaining for future use is \$3,498,520 (see attached POP & Budget). The funding request for this application are as follows:

FY20:

State Administration: \$3,647,432 - provide project administration, oversight and technical assistance to sub-grantees included in Program of Projects. State Administration has been programmed within the 10% allowed (UZA 35000).

Rural Category A: NMDOT has awarded \$12,752,686 of FY2020 5311-5 Rural Area Cares apportionment to 22 sub-grantees for Rural projects in the current FY 2020 program year for Program Administration, Operating Assistance, Capital Cost of Contract, and including Intercity Bus service 5311(f). 5 intercity bus projects are all programmed in Category A with the required 15 percent. Included in the intercity, we have programmed Capital Cost of Contracting for our Park & Ride Program. Rural Category A projects are as follows:

Program Administration (Sub-recipients): \$1,950,259 - UZA 35000

Operating Assistance (Sub-recipients): \$10,802,427 - UZA 35000

FY21:

Rural Category A: NMDOT plans to award \$16,375,587 of FY2020 5311-5 Rural Area Cares apportionment to 22 sub-grantees for Rural projects in the FY 2021 program year for Operating Assistance, Capital Cost of Contract, and including Intercity Bus service 5311(f). 5 intercity bus projects are all programmed in Category A with the required 15 percent. Included in the intercity, we have programmed Capital Cost of Contracting for our Park & Ride Program. Rural Category A projects for FY2021 are as follows:

Operating Assistance, including ICB (Sub-recipients): \$16,375,587 - UZA 35000

There are 25 total projects are in Category A, for 20 sub-grantees in 25 counties (Chaves, Colfax, Valencia, Eddy, Curry, Rio Arriba, Union, Hidalgo, Grant, Lea, Luna, San Miguel, Los Alamos, Roosevelt, Taos, Socorro, Sandoval, Cibola, McKinley, Otero, Lincoln, Sierra, Dona Ana, Bernalillo & Santa Fe) including 3 tribal governments; Navajo Nation, Pueblo of Laguna, and Pueblo of Zuni.

See attached Program of Projects and Budget workbook.

Research and Development: This award does not include research and development activities.

Indirect Costs: This award does not include an indirect cost rate.

Suballocation Funds: Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Pre-Award Authority: This award is using Pre-Award Authority.

### **Application Budget**

Total Application Budget: \$32,775,705.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$32,775,705.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$0.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$32,775,705.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$0.00

### **Application Budget Control Totals**

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

<b>Funding Source</b>	<b>Section of Statute</b>	<b>CFDA Number</b>	<b>Amount</b>
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$32,775,705
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$32,775,705</b>

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

### **U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:**

Original Certification Date:

## **Special Conditions**

There are no special conditions.

## **FINDINGS AND DETERMINATIONS**

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

## **FTA AWARD OF THE GRANT AGREEMENT**

Awarded By:

FEDERAL TRANSIT ADMINISTRATION  
U.S. DEPARTMENT OF TRANSPORTATION  
Contact Info:  
Award Date:

## **EXECUTION OF THE GRANT AGREEMENT**

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
  - (a) Statements,
  - (b) Representations,
  - (c) Warranties,
  - (d) Covenants, and
  - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

*Transportation, New Mexico Department Of*