

## Application

<b>Federal Award Identification Number (FAIN)</b>	NE-2020-004-00
<b>Temporary Application Number</b>	6776-2020-2
<b>Application Name</b>	Winnebago Tribe Sec. 5311 FY 2020 CARES Act Funding for Operating Assistance
<b>Application Status</b>	Final Concurrence / Reservation
<b>Application Budget Number</b>	0

<b>Period of Performance Start Date</b>	N/A
<b>Original Period of Performance End Date</b>	6/30/2022
<b>Current Period of Performance End Date</b>	N/A Revision #: N/A

### Part 1: Recipient Information

**Name: Winnebago Tribe Of Nebraska**

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
6776	Indian Tribe	WINNEBAGO TRIBE OF NEBRASKA	041643834

Location Type	Address	City	State	Zip
Headquarters	100 BLUFF ST	WINNEBAGO	NE	680719787
Physical Address	100 BLUFF ST	WINNEBAGO	NE	68071
Mailing Address	P.O. BOX 687	WINNEBAGO	NE	68071

### Union Information

There are no union contacts for this application

### Part 2: Application Information

<b>Title: Winnebago Tribe Sec. 5311 FY 2020 CARES Act Funding for Operating Assistance</b>
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<b>FAIN</b>	<b>Application Status</b>	<b>Application Type</b>	<b>Date Created</b>	<b>Last Updated Date</b>	<b>From TEAM?</b>
NE-2020-004-00	Final Concurrence / Reservation	Grant	4/3/2020	4/3/2020	No

**Application Executive Summary**

This application utilizes CARES Act funding to help with the Operating costs to respond to and recover from the COVID-19 Pandemic Emergency.

This project includes \$339,919 of federal funding (up to 100% federal share) for operations activities incurred beginning 01/20/2020.

2020 Cares Act Funding \$339,919.

There is no local match required.

“The Recipient agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.”

**Frequency of Milestone Progress Reports (MPR)**

Annual

**Frequency of Federal Financial Reports (FFR)**

Annual

**Does this application include funds for research and/or development activities?**

This award does not include research and development activities.

**Pre-Award Authority**

This award is using Pre-Award Authority.

**Does this application include suballocation funds?**

Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

**Will this Grant be using Lapsing Funds?**

No, this Grant does not use Lapsing Funds.

**Will indirect costs be applied to this application?**

This award does not include an indirect cost rate.

*Indirect Rate Details: N/A*

**Requires E.O. 12372 Review**

No, this application does not require E.O. 12372 Review.

**Delinquent Federal Debt**

No, my organization does not have delinquent federal debt.

## Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Logan	Daniels	Transportation Program Specialist	Logan.Daniels@dot.gov	(816) 329-3933
Rita	Dunn	Grant and Contract Specialist	rita.dunn@winnebagotribe.com	(402) 878-2272 2213

## Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 Tribal formula (CARES Act)	5311-7	20509	\$339,919
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$339,919</b>

## Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
NE-2020-004-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$339,919.00	\$0.00	\$339,919.00	0
NE-2020-004-01-00	30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$339,919.00	\$0.00	\$339,919.00	0

## Discretionary Allocations

This application does not contain discretionary allocations.

## Part 3: Project Information

<b>Project Title: Winnebago Tribe FY2020 CARES Act Pandemic Emergency Fund</b>
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Project Number	Temporary Project Number	Date Created	Start Date	End Date
NE-2020-004-01-00	6776-2020-2-P1	4/3/2020	1/19/2020	6/29/2021

### Project Description

This application utilizes CARES Act funding to help with the Operating costs to respond to and recover from the COVID-19 Pandemic.

2020 Cares Act Funding \$339,919.

There is no local match required.

### Project Benefits

The Winnebago Tribe will be able to maintain transit services during the COVID-19 Pandemic emergency.

### Additional Information

*None provided.*

### Location Description

Winnebago Tribe, Winnebago, Thurston County, Nebraska

## Project Location (Urbanized Areas)

UZA Code	Area Name
310000	Nebraska

## Congressional District Information

State	District	Representative
Nebraska	1	Jeff Fortenberry

## Program Plan Information

### STIP/TIP

Date: Not Provided

Description: N/A

### UPWP

Date: Not Provided

Description: N/A

### Long Range Plan

Date: Not Provided

Description: N/A

## Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 Tribal formula (CARES Act)	5311-7	20509	\$339,919
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$339,919</b>

## Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
NE-2020-004-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$339,919.00	\$0.00	\$339,919.00	0
NE-2020-004-01-00	30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$339,919.00	\$0.00	\$339,919.00	0

## Project Budget Activity Line Items

Budget Activity Line Item: 30.09.08 - EMER RELIEF - OPERATING ASSIST - 100% Fed Share				
Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	OPERATING ASSISTANCE	0

### Extended Budget Description

This application utilizes CARES Act funding to help with the Operating costs to respond to and recover from the COVID-19 Pandemic.

2020 Cares Act Funding \$339,919.

There is no local match required.

Provision of transit service, including but not limited to:

Driver salaries  
 Fuel  
 Supplies (including personal protective equipment and cleaning supplies)  
 Administrative leave for operations employees  
 Maintenance

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 Tribal formula (CARES Act)	5311-7	20509	\$339,919
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$339,919</b>

Milestone Name	Est. Completion Date	Description
Emergency Start Date	1/20/2020	This application utilizes CARES Act funding to help with the Operating costs to respond to and recover from the COVID-19 Pandemic.
End Date	6/30/2021	

## Project Environmental Findings

### Finding: Class II(c) - Categorical Exclusions (C-List)

#### Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

#### Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description	Date
	Class IIc CE Approved	4/13/2020

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	0	\$339,919.00	\$339,919.00

## Part 4: Fleet Details

No fleet data exists for this application.

## Part 5: FTA Review Comments

### FTA Comments for DOL

**Comment By** Logan Daniels

Comment Type	DOL Review for Information
Date	4/13/2020
Comment	The Winnebago Tribe is coming in for their Sec. 5311 FY 2020 CARES Act Funding for Operating Assistance in the amount of \$339,919.

### Application Review Comments

**Comment By** Logan Daniels

Comment Type	FTA Post Review Comments for Grantee
Date	4/10/2020
Comment	The Funding Source need to be changed to 5311-7 5311(c)(1) Tribal Formula (CARES Act)

### Application Review Comments

**Comment By** Beth Held

Comment Type	Environmental Findings
Date	4/13/2020
Project Title	Winnebago Tribe FY2020 CARES Act Pandemic Emergency Fund
Project Number	NE-2020-004-01-00
Comment	This application utilizes CARES Act funding to assist and reimburse the operating costs associated with the response and recovery from the COVID-19 pandemic, is found compliant as a Categorical Exclusion under 23 CFR Part 771.118 (c)(4).

# Part 6: Agreement

**UNITED STATES OF AMERICA  
DEPARTMENT OF TRANSPORTATION  
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT  
(FTA G-26, October 1, 2019)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(26), October 1, 2019, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

## **FTA AWARD**

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

### **Recipient Information**

Recipient Name: Winnebago Tribe Of Nebraska

Recipient ID: 6776

DUNS No: 041643834

### **Application Information**

Federal Award Identification Number: NE-2020-004-00

Application Name: Winnebago Tribe Sec. 5311 FY 2020 CARES Act Funding for Operating Assistance

Application Start Date: N/A



Original Award End Date: 6/30/2022

Current Award End Date: N/A

Application Executive Summary: This application utilizes CARES Act funding to help with the Operating costs to respond to and recover from the COVID-19 Pandemic Emergency. This project includes \$339,919 of federal funding (up to 100% federal share) for operations activities incurred beginning 01/20/2020.

2020 Cares Act Funding \$339,919.  
There is no local match required.

“The Recipient agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.”

Research and Development: This award does not include research and development activities.

Indirect Costs: This award does not include an indirect cost rate.

Suballocation Funds: Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Pre-Award Authority: This award is using Pre-Award Authority.

### **Application Budget**

Total Application Budget: \$339,919.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$339,919.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$0.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$339,919.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$0.00

### **Application Budget Control Totals**

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

<b>Funding Source</b>	<b>Section of Statute</b>	<b>CFDA Number</b>	<b>Amount</b>
5311 Tribal formula (CARES Act)	5311-7	20509	\$339,919
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0

Other Federal		\$0
Transportation Development Credit		\$0
Adjustment		\$0
<b>Total Eligible Cost</b>		<b>\$339,919</b>

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

**U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:**

Original Certification Date:

**Special Conditions**

There are no special conditions.

**FINDINGS AND DETERMINATIONS**

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

**FTA AWARD OF THE GRANT AGREEMENT**

Awarded By:

FEDERAL TRANSIT ADMINISTRATION  
U.S. DEPARTMENT OF TRANSPORTATION  
Contact Info:  
Award Date:

**EXECUTION OF THE GRANT AGREEMENT**

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
  - (a) Statements,
  - (b) Representations,
  - (c) Warranties,
  - (d) Covenants, and
  - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

*Winnebago Tribe Of Nebraska*