

Application

Federal Award Identification Number (FAIN)	MN-2020-018-00
Temporary Application Number	2039-2020-2
Application Name	Section 5311 CARES Act Operating and State Program Administration
Application Status	Final Concurrence / Reservation
Application Budget Number	0

Period of Performance Start Date	N/A
Original Period of Performance End Date	3/30/2026
Current Period of Performance End Date	N/A Revision #: N/A

Part 1: Recipient Information

Name: Transportation, Minnesota Department Of

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
2039	State Agency	MINNESOTA DOT OFFICE OF TRANSIT	957271604

Location Type	Address	City	State	Zip
Headquarters	395 JOHN IRELAND BLVD	SAINT PAUL	MN	551551800
Physical Address	395 JOHN IRELAND BLVD	SAINT PAUL	MN	55155
Mailing Address	395 JOHN IRELAND BLVD.	SAINT PAUL	MN	55155

Union Information

There are no union contacts for this application

Part 2: Application Information

<p>Title: Section 5311 CARES Act Operating and State Program Administration</p>
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FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
MN-2020-018-00	Final Concurrence / Reservation	Grant	4/14/2020	4/14/2020	No

Application Executive Summary

The Minnesota Department of Transportation (MnDOT) is applying for funding in the amount of \$54,432,229. The funding will be used for transit operations and State Program Administration at 100% federal share. Anticipated short-term activities include COVID-19 emergency response services and wages for employees on paid administrative leave due to service reductions. Subsequent activities will be focused on providing transit services that help the community recover from the severe social and economic impacts of COVID-19.

MnDOT agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.

No STIP or local match is required.

A Complete Program of Projects has been added to the Application Documents.

Frequency of Milestone Progress Reports (MPR)

Annual

Frequency of Federal Financial Reports (FFR)

Annual

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Will this Grant be using Lapsing Funds?

No, this Grant does not use Lapsing Funds.

Will indirect costs be applied to this application?

This award is applying an approved Indirect cost rate(s).

Indirect Rate Details: The cognizant agency Federal Highway Administration approved MnDOT's indirect cost rate on 8/21/18 and a copy of the organization's approved rate is attached to in Application Documents. The approved rate(s) of 17.17% will be applied to State Program Administration Activity Line Items.

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
William	Wheeler	Transportation Program Specialist	William.Wheeler@dot.gov	(312) 353-2639
Hassan	Dastgir	General Engineer	Hassan.Dastgir@dot.gov	312-705-1280
Deborah	Ellis	Federal Program Manager	deborah.ellis@state.mn.us	651-366-4185

Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$54,432,229
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$54,432,229

Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
MN-2020-018-01-00	300-00 (300-A1) OPERATING ASSISTANCE	\$40,824,172.00	\$0.00	\$40,824,172.00	0
MN-2020-018-01-00	30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$40,824,172.00	\$0.00	\$40,824,172.00	0
MN-2020-018-01-00	610-00 STATE / PROGRAMS ADMINISTRATION	\$5,443,222.00	\$0.00	\$5,443,222.00	0

	(610-A3)						
MN-2020-018-01-00		11.80.00	STATE OR PROGRAM ADMINISTRATION	\$5,443,222.00	\$0.00	\$5,443,222.00	0
MN-2020-018-01-00	634-00 (634-A2)		INTERCITY BUS TRANSPORTATION	\$8,164,835.00	\$0.00	\$8,164,835.00	0
MN-2020-018-01-00		30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$8,164,835.00	\$0.00	\$8,164,835.00	0

Discretionary Allocations

This application does not contain discretionary allocations.

Part 3: Project Information

Project Title: FFY 2020 Section 5311 CARES Act Operating and State Program Administration
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Project Number	Temporary Project Number	Date Created	Start Date	End Date
MN-2020-018-01-00	2039-2020-2-P1	4/14/2020	1/19/2020	12/28/2023

Project Description

The grant will provide a total of 54,432,229 for public transit operations (\$40,824,172), intercity bus operations (\$8,164,835), and State Program Administration (\$5,443,222) at 100% federal share during calendar years 2020 and 2021 (January 20, 2020 - December 31, 2021). Anticipated short-term activities include COVID-19 emergency response services and wages for employees on paid administrative leave due to service reductions. Subsequent activities will be focused on providing transit services that help the rural communities and the state to recover from the severe social and economic impacts of COVID-19. No STIP or Local Match is required.

\$8,164,835 of Intercity Bus projects utilizes the required 15% of the apportioned funds. \$5,443,222 for State Program Administrative Costs represents maximum 10% of State Program funds available per 9040.1G.

A Detailed Program of Projects has been added to the Project Plan documents to provide specific amounts that will be provided to each local transit provide and intercity bus operator.

Project Benefits

This project will maintain the ability of local transit providers to provide on-going, safe, and reliable, public transportation in the rural communities in Minnesota. It will also allow the Minnesota Department of Transportation the ability to provide required technical assistance and oversight to the local transit providers, as well as provide training and technical assistance to the public transit workforce in rural Minnesota.

Additional Information

None provided.

Location Description

Statewide

Project Location (Urbanized Areas)

UZA Code	Area Name
270000	Minnesota

Congressional District Information

State	District	Representative
Minnesota	1	Jim Hagedorn
Minnesota	2	Angie Craig
Minnesota	3	Dean Phillips
Minnesota	4	Betty McCollum
Minnesota	5	Ilhan Omar
Minnesota	6	Tom Emmer
Minnesota	7	Collin Peterson
Minnesota	8	Pete Stauber

Program Plan Information

STIP/TIP

Date: N/A

Description: N/A

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$54,432,229
Local			\$0
Local/In-Kind			\$0
State			\$0

State/In-Kind		\$0
Other Federal		\$0
Transportation Development Credit		\$0
Adjustment		\$0
Total Eligible Cost		\$54,432,229

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
MN-2020-018-01-00	300-00 (300-A1) OPERATING ASSISTANCE	\$40,824,172.00	\$0.00	\$40,824,172.00	0
MN-2020-018-01-00	30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$40,824,172.00	\$0.00	\$40,824,172.00	0
MN-2020-018-01-00	610-00 (610-A3) STATE / PROGRAMS ADMINISTRATION	\$5,443,222.00	\$0.00	\$5,443,222.00	0
MN-2020-018-01-00	11.80.00 STATE OR PROGRAM ADMINISTRATION	\$5,443,222.00	\$0.00	\$5,443,222.00	0
MN-2020-018-01-00	634-00 (634-A2) INTERCITY BUS TRANSPORTATION	\$8,164,835.00	\$0.00	\$8,164,835.00	0
MN-2020-018-01-00	30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$8,164,835.00	\$0.00	\$8,164,835.00	0

Project Budget Activity Line Items

Budget Activity Line Item: 30.09.08 - EMER RELIEF - OPERATING ASSIST - 100% Fed Share				
Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OPERATING ASSISTANCE (300-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	OPERATING ASSISTANCE	0

Extended Budget Description

Provides \$40,824,172 for calendar year 2020 and 2021 Operating Assistance (January 20, 2020 - December 31, 2021) to operate, maintain, and manage public transit systems that provide services related to COVID-19 and Economic Recovery in the following rural areas:

Arrowhead Economic Opportunity Agency, Inc.; Becker County Transit; Brown County Family Services; Cedar Valley Services, Inc.; Central Community Transit; City of Brainerd; City of Fosston; City of Hibbing; City of Morris; City of Winona; Faribault-Martin County Transit Board; Hubbard County; Isanti County; Kanabec County; Metropolitan Council; MN River Valley Transit JPA; Paul Bunyan Transit; Prairie Five CAC, Inc.; Productive Alternatives, Inc. dba Transit Alternatives; Rainbow Rider Transit Board; SEMCAC; Southwestern Minnesota Opportunity Council, Inc.; Three Rivers Community Action, Inc.; Trailblazer Joint Powers Board; Tri-County Action Program, Inc.; Tri-Valley Opportunity Council; United Community Action Partnership, Inc.; Vine Faith in Action; Wadena County Highway Department; and Watonwan County

No STIP or Local Match is required.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$40,824,172
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$40,824,172

Milestone Name	Est. Completion Date	Description
Sub-recipient Agreements Executed	6/1/2020	All CARES Act Rural Public Transit Operating Subrecipient Agreements Executed by MnDOT.
Subrecipient Agreements Complete	12/29/2023	Final audit of all CARES Act agreements
Projects Start	1/20/2020	CARES Act Eligible Activities Begin
Projects Completed	12/31/2021	CARES Act Operating Agreements End

Budget Activity Line Item: 30.09.08 - EMER RELIEF - OPERATING ASSIST - 100% Fed Share

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
INTERCITY BUS TRANSPORTATION (634-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	OPERATING ASSISTANCE	0

Extended Budget Description

Provides \$8,164,835 for Intercity Bus Services related to COVID-19 and Economic Recovery provided in calendar years 2020 and 2021 (January 20,2020 - December 31, 2021) provided by Jefferson Lines and Land to Air Express. Funds will not be used for administrative leave expenses.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$8,164,835
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$8,164,835

Milestone Name	Est. Completion Date	Description
Sub-recipient Agreements Executed	6/1/2020	All CARES Act Intercity Bus Operating Subrecipient Agreements Executed by MnDOT.
Projects Start	1/20/2020	CARES Act Eligible Activities Begin
Projects Completed	12/30/2021	CARES Act Intercity Bus Operating Agreements End
Intercity Bus Subrecipient Agreements Complete	12/29/2023	Final audit of all Intercity Bus CARES Act agreements

Budget Activity Line Item: 11.80.00 - STATE OR PROGRAM ADMINISTRATION

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
STATE / PROGRAMS ADMINISTRATION (610-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	STATE / PROGRAM ADMINISTRATION	0

Extended Budget Description

Provides \$5,443,222 for administrative expenses associated with monitoring, developing and administering the oversight of the Section 5311 program during Years 2020 and 2021 (January 20, 2020 - December 31, 2021). MnDOT intends to draw indirect costs of 17.17% of salaries charged to this grant.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$5,443,222
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$5,443,222

Milestone Name	Est. Completion Date	Description
Program Administration Begins	5/13/2020	Begin utilizing obligated funds for on-going State Program Administration, including activities related to CARES Act Funding and oversight.
Program Administration is Complete	6/30/2022	Final obligated funds expended for on-going State Program Administration. MnDOT intends to draw indirect costs of 17.17% of salaries charged to this grant.
Grant Closed	12/29/2023	Final state program activities completed prior to closing grant.

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Part 4: Fleet Details

No fleet data exists for this application.

Part 5: FTA Review Comments

FTA Comments for DOL

Comment By William Wheeler

Comment Type DOL Review for Information

Date 4/16/2020

Comment Please review.

Part 6: Agreement

**UNITED STATES OF AMERICA
DEPARTMENT OF TRANSPORTATION
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT
(FTA G-26, October 1, 2019)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(26), October 1, 2019, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

FTA AWARD

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

Recipient Information

Recipient Name: Transportation, Minnesota Department Of

Recipient ID: 2039

DUNS No: 957271604

Application Information

Federal Award Identification Number: MN-2020-018-00

Application Name: Section 5311 CARES Act Operating and State Program Administration

Application Start Date: N/A

Original Award End Date: 3/30/2026

Current Award End Date: N/A

Application Executive Summary: The Minnesota Department of Transportation (MnDOT) is applying for funding in the amount of \$54,432,229. The funding will be used for transit operations and State Program Administration at 100% federal share. Anticipated short-term activities include COVID-19 emergency response services and wages for employees on paid administrative leave due to service reductions. Subsequent activities will be focused on providing transit services that help the community recover from the severe social and economic impacts of COVID-19.

MnDOT agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.

No STIP or local match is required.

A Complete Program of Projects has been added to the Application Documents.

Research and Development: This award does not include research and development activities.

Indirect Costs: This award is applying an approved Indirect cost rate(s).

Suballocation Funds: Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Pre-Award Authority: This award is using Pre-Award Authority.

Application Budget

Total Application Budget: \$54,432,229.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$54,432,229.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$0.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$54,432,229.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$0.00

Application Budget Control Totals

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$54,432,229
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$54,432,229

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:

Original Certification Date:

Special Conditions

There are no special conditions.

FINDINGS AND DETERMINATIONS

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

FTA AWARD OF THE GRANT AGREEMENT

Awarded By:

FEDERAL TRANSIT ADMINISTRATION
U.S. DEPARTMENT OF TRANSPORTATION
Contact Info:
Award Date:

EXECUTION OF THE GRANT AGREEMENT

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
 - (a) Statements,
 - (b) Representations,
 - (c) Warranties,
 - (d) Covenants, and
 - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

Transportation, Minnesota Department Of