

# Application

<b>Federal Award Identification Number (FAIN)</b>	MN-2020-015-00
<b>Temporary Application Number</b>	6935-2020-4
<b>Application Name</b>	CARES Act Operations Grant
<b>Application Status</b>	Final Concurrence / Reservation
<b>Application Budget Number</b>	0

<b>Period of Performance Start Date</b>	N/A
<b>Original Period of Performance End Date</b>	6/30/2021
<b>Current Period of Performance End Date</b>	N/A    Revision #: N/A

## Part 1: Recipient Information

**Name: Fond Du Lac Reservation**

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
6935	Indian Tribe	FOND DU LAC RESERVATION	039582366

Location Type	Address	City	State	Zip
Headquarters	1785 HIGHWAY 210	CARLTON	MN	557188161
Physical Address	1785 HIGHWAY 210	CARLTON	MN	55718
Mailing Address	1720 BIG LAKE RD	CLOQUET	MN	55720

## Union Information

There are no union contacts for this application

## Part 2: Application Information

**Title: CARES Act Operations Grant**

<b>FAIN</b>	<b>Application Status</b>	<b>Application Type</b>	<b>Date Created</b>	<b>Last Updated Date</b>	<b>From TEAM?</b>
MN-2020-015-00	Final Concurrence / Reservation	Grant	4/3/2020	4/3/2020	No

**Application Executive Summary**

The purpose of this award is to provide support for transit operations under the CARES Act for the COVID 19 pandemic.

CARES Act apportionment funds will be used to prevent, prepare for, and respond for COVID-19 for transit operations. These 5311c funds total \$391,657. There is no local match from the Fond du Lac Tribe for this program.

Also, a copy of the FY 2020 TTP Allocation Table 4 has been included with the grant. Please see the attached resolution for support.

This application for the Fond du Lac Reservation CARES Act federal assistance is consistent with the federal register.

This application does not include funds for Research and/or Development Activities. Indirect costs will not be applied to this application and its scope of work.

**Frequency of Milestone Progress Reports (MPR)**

Annual

**Frequency of Federal Financial Reports (FFR)**

Annual

**Does this application include funds for research and/or development activities?**

This award does not include research and development activities.

**Pre-Award Authority**

This award is using Pre-Award Authority.

**Does this application include suballocation funds?**

Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

**Will this Grant be using Lapsing Funds?**

No, this Grant does not use Lapsing Funds.

**Will indirect costs be applied to this application?**

This award does not include an indirect cost rate.

*Indirect Rate Details: N/A*

**Requires E.O. 12372 Review**

No, this application does not require E.O. 12372 Review.

**Delinquent Federal Debt**

No, my organization does not have delinquent federal debt.

**Application Point of Contact Information**

First Name	Last Name	Title	E-mail Address	Phone
Matthew	Lange	Transportation Program Specialist	matthew.lange@dot.gov	(312) 353-4118
Tammy	Anderson	Transit Manager	tammyanderson@fdlrez.com	(218) 878-8008

## Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 Tribal formula (CARES Act)	5311-7	20509	\$391,657
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$391,657</b>

## Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
MN-2020-015-01-00	300-00 (300-A1) OPERATING ASSISTANCE	\$391,657.00	\$0.00	\$391,657.00	1
MN-2020-015-01-00	UP TO 50% 30.09.01 FEDERAL SHARE	\$391,657.00	\$0.00	\$391,657.00	1

## Earmark and Discretionary Allocations

This application does not contain earmarks or discretionary allocations.

## Part 3: Project Information

### Project Title: CARES Act COVID 19 Operations Grant

Project Number	Temporary Project Number	Date Created	Start Date	End Date
MN-2020-015-01-00	6935-2020-4-P1	4/3/2020	1/19/2020	12/30/2020

**Project Description**

Operating assistance will be supported by the CARES Act for Public Transportation on Indian Reservations formula apportionment funds in the amount of \$391,657.00. These funds will be used to assist the Band to prevent, prepare for, and respond to COVID-19. This funding will be used operational expenses such costs as salaries, fuel, and supplies (with a useful life of less than one year), including personal protective equipment and cleaning supplies.

Fond du Lac Transit runs from 5:30AM through 8:15PM on weekdays. Transit has a dispatch system for an on demand system in addition to a fix route system. Fond du Lac Transit serves the Fond du Lac Reservation with on call and fixed route services. The fixed route services reach Duluth, MN. More information can be found at [www.fdlrez.com](http://www.fdlrez.com) under the Transit Tab. At this time Fond du Lac Transit is not running in response the COVID 19 pandemic.

**Project Benefits**

This grant request is for a CARES Act operations grant that will allow the Band to better serve the Reservation and assist in funding transit operations in response to the COVID 19 pandemic. Fond du Lac Transit is a service provided directly by the Fond du Lac Band of Lake Superior Chippewa. Fond du Lac Transit was launched in December 2007 and has been awarded operations grants since 2010. These operations grants as well as Fond du Lac Band funds have been used to support the program over the past 13 years.

These funds will be used to assist the Band to prevent, prepare for, and respond to COVID-19. This funding will be used operational expenses such costs as salaries, fuel, and supplies, including personal protective equipment and cleaning supplies. Funds will help with staff that are on administrative leave. This project will make a large impact for the community by improving the access of Reservation residents and visitors to health care, shopping, education, recreation, public services and employment, because we will continue to operate Fond du Lac Transit in calendar year 2020. Our people have come to depend on Fond du Lac Transit for access to employment, educational opportunities, health care, shopping, and community services. Fond du Lac Transit has a duty to provide transportation services to all Band members who live on or near the Reservation.

The number of riders who use the transit system has been and will continue to be measured on a per-run basis and reported on a monthly basis. This impact indicator relates to our project goal of continuing and expanding public transit services offered as Fond du Lac Transit by showing trends in transit ridership. For example, this indicator was 7,831 in the first six months of 2008 (ahead of estimates). We have steadily increased our ridership over the years and we served 12,483 riders in the first six months of 2011. 2019 Fond du Lac Transit had a ridership of 27813.

**Additional Information**

*None provided.*

**Location Description**

Fond du Lac Transit serves the Fond du Lac Reservation. The reservation consists of 101,000 acres in Northeastern Minnesota adjacent to the City of Cloquet, approximately 25 miles southwest of Duluth. The Fond du Lac Reservation is rural and is in both Carlton and St. Louis Counties. Fond du Lac Transit also provides three scheduled trips each weekday from the reservation to Duluth. Fond du Lac Transit is directly operated by the Fond du Lac Band of Lake Superior Chippewa. Coverage for Fond du Lac Transit is the Reservation, Cloquet and three trips to Duluth.

**Project Location (Urbanized Areas)**

UZA Code	Area Name
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270000

Minnesota

## Congressional District Information

State	District	Representative
Minnesota	8	Richard M Nolan

## Program Plan Information

### STIP/TIP

Date: N/A

Description: N/A

### UPWP

Date: N/A

Description: N/A

### Long Range Plan

Date: 1/30/2017

Description: Attached is the Fond du Lac Long Range Transportation Plan. This plan is updated every 5 years. Transit is reference on pages 2, 11, 12.

## Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 Tribal formula (CARES Act)	5311-7	20509	\$391,657
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$391,657</b>

## Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
MN-2020-015-01-00	300-00 (300-A1) OPERATING ASSISTANCE	\$391,657.00	\$0.00	\$391,657.00	1

MN-2020-015-01-00	30.09.01	UP TO 50% FEDERAL SHARE	\$391,657.00	\$0.00	\$391,657.00	1
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## Project Budget Activity Line Items

### Budget Activity Line Item: 30.09.01 - UP TO 50% FEDERAL SHARE

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OPERATING ASSISTANCE (300-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE	1

#### Extended Budget Description

This is an operations grant to fund salaries and fringe of drivers and dispatch staff, and other necessary supplies and equipment for Fond du Lac Transit for 1/20/20 to 12/31/2020. Federal funds will be used to prevent, prepare for, and respond to COVID-19. The undertakings are consistent with allowable activities outlined in C9030.1E pages IV-14 to IV-15 and Appendix B, respectively.

#### Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 Tribal formula (CARES Act)	5311-7	20509	\$391,657
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$391,657</b>

Milestone Name	Est. Completion Date	Description
Start Date	1/20/2020	The period of operations will begin.
End Date	12/31/2020	The period of operations will end.

## Project Environmental Findings

### Finding: Class II(c) - Categorical Exclusions (C-List)

#### Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

### **Categorical Exclusion Description**

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

<b>Date Description</b>	<b>Date</b>
Class IIc CE Approved	4/6/2020

## **Part 4: Fleet Details**

No fleet data exists for this application.

## **Part 5: FTA Review Comments**

### **FTA Comments for DOL**

**Comment By** Matthew Lange

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Comment Type DOL Review for Information

Date 4/8/2020

Comment Information only

## **Part 6: Agreement**

**UNITED STATES OF AMERICA  
DEPARTMENT OF TRANSPORTATION  
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT  
(FTA G-26, October 1, 2019)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

(1) "Federal Transit Administration Master Agreement," FTA MA(26), October 1, 2019, <http://www.transit.dot.gov>,

(2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and

(3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

### **FTA AWARD**

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

#### **Recipient Information**

Recipient Name: Fond Du Lac Reservation

Recipient ID: 6935

DUNS No: 039582366

#### **Application Information**

Federal Award Identification Number: MN-2020-015-00

Application Name: CARES Act Operations Grant

Application Start Date: N/A

Original Award End Date: 6/30/2021

Current Award End Date: N/A

Application Executive Summary: The purpose of this award is to provide support for transit operations under the CARES Act for the COVID 19 pandemic.

CARES Act apportionment funds will be used to prevent, prepare for, and respond for COVID-19 for transit operations. These 5311c funds total \$391,657. There is no local match from the Fond du Lac Tribe for this program.

Also, a copy of the FY 2020 TTP Allocation Table 4 has been included with the grant. Please see the attached resolution for support.

This application for the Fond du Lac Reservation CARES Act federal assistance is consistent with the federal register.

This application does not include funds for Research and/or Development Activities.

Indirect costs will not be applied to this application and its scope of work.

Research and Development: This award does not include research and development activities.

Indirect Costs: This award does not include an indirect cost rate.

Suballocation Funds: Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Pre-Award Authority: This award is using Pre-Award Authority.

### **Application Budget**

Total Application Budget: \$391,657.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$391,657.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$0.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$391,657.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$0.00

### **Application Budget Control Totals**

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

<b>Funding Source</b>	<b>Section of Statute</b>	<b>CFDA Number</b>	<b>Amount</b>
5311 Tribal formula (CARES Act)	5311-7	20509	\$391,657
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Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$391,657</b>

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

### **U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:**

Original Certification Date:

### **Special Conditions**

There are no special conditions.

## **FINDINGS AND DETERMINATIONS**

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

## **FTA AWARD OF THE GRANT AGREEMENT**

Awarded By:

FEDERAL TRANSIT ADMINISTRATION  
U.S. DEPARTMENT OF TRANSPORTATION

Contact Info:

Award Date:

## **EXECUTION OF THE GRANT AGREEMENT**

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
  - (a) Statements,
  - (b) Representations,
  - (c) Warranties,
  - (d) Covenants, and
  - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

*Fond Du Lac Reservation*