

## Application

<b>Federal Award Identification Number (FAIN)</b>	ME-2020-005-00
<b>Temporary Application Number</b>	1346-2020-5
<b>Application Name</b>	CARES ACT Funding for Rural Providers in Maine
<b>Application Status</b>	Final Concurrence / Reservation
<b>Application Budget Number</b>	0

<b>Period of Performance Start Date</b>	N/A
<b>Original Period of Performance End Date</b>	12/31/2022
<b>Current Period of Performance End Date</b>	N/A Revision #: N/A

### Part 1: Recipient Information

**Name: Transportation, Maine Department of**

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
1346	State Agency	MAINE DEPARTMENT OF TRANSPORTATION	809045966

Location Type	Address	City	State	Zip
Headquarters	1 State House STA Ste 236	Augusta	ME	04333
Physical Address	24 Child St	Augusta	ME	04330
Mailing Address	16 STATE HOUSE STATION	AUGUSTA	ME	04333

### Union Information

<b>Union Name</b>	AMALGAMATED TRANSIT UNION
Address 1	5025 Wisconsin Avenue, N.W.
Address 2	
City	Washington

State	District of Columbia
Zipcode	20016
Contact Name	Jim La Sala
Telephone	2022447824
Fax	
E-mail	
Website	
<b>Union Name</b>	<b>LOCAL 481, COUNCIL 93, AMERICAN FEDERATION OF STATE, COUNTY AND MUNICI</b>
Address 1	20 Winter Street
Address 2	
City	Augusta
State	ME
Zipcode	04330
Contact Name	James Mackie
Telephone	(207) 622-6191
Fax	(207) 622-6550
E-mail	jmackey@afscmecouncil93.org
Website	afscmecouncil93.org/maine
<b>Union Name</b>	<b>SEIU LOCAL 1989</b>
Address 1	65 State Street
Address 2	
City	Augusta
State	Maine
Zipcode	04330
Contact Name	Tim Belcher
Telephone	207-622-31
Fax	
E-mail	tim.belcher@mseaseiu.org
Website	http://www.mseaseiu.org/

## Part 2: Application Information

**Title: CARES ACT Funding for Rural Providers in Maine**

FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
ME-2020-005-00	Final Concurrence / Reservation	Grant	4/8/2020	4/8/2020	No

**Application Executive Summary**

Project Details: This is a Maine Department of Transportation (MaineDOT) grant application for CARES Act funding. On March 27, 2020, President Trump signed the Coronavirus Aid, Relief, and Economic Security (CARES) Act into law. The CARES Act provides emergency assistance and health care response for individuals, families and businesses affected by the COVID-19. Through the CARE Act, MaineDOT was awarded \$24,554,813 for Section 5311 activities.

This grant application is for \$17,271,019 of Section 5311 CARES Act funds for a program of projects to support rural transit activities in Maine.

These funds will be used for: (1) \$11,587,797 for administrative and operating assistance for 15 regional transit authorities operating in total or partially rural areas of Maine, (2) \$3,683,222 intercity operating assistance for five intercity bus operators within Maine, and (3) \$2,000,000 for operating assistance for the Maine State Ferry Service based in Rockland, Maine. Details of the services funded under this application are provided in the Extended Budget Descriptions.

MaineDOT is charged with managing all modes of transportation within the State of Maine.

Source of Local Match – FTA CARES Act funding is provided at 100% and requires no match.

Attached - Program of Projects

STIP – not required for operating projects. No capital projects requiring STIP requested in this application.

Ridership - Attached is a description of each Provider. Ridership since the start of the Coronavirus pandemic has declined rapidly. Transit systems are striving to meet essential needs and keep riders safe while cutting back on service and maintaining a safe working environment for transit employees.

MaineDOT has a Statewide ITS Architecture as defined in the FTA National ITS Architecture Policy issued on April 8, 2001. It was approved on July 12, 2005 by the FHWA and was updated January 2011.

MaineDOT is current with Civil Rights Requirements, Milestone Progress Reports and Financial Status Reports. Annual Certifications and Assurances were signed and filed March 9, 2020. All administrative and statutory requirements have been met.

MEDOT agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.

**Frequency of Milestone Progress Reports (MPR)**

Annual

**Frequency of Federal Financial Reports (FFR)**

Annual

**Does this application include funds for research and/or development activities?**

This award does not include research and development activities.

**Pre-Award Authority**

This award is using Pre-Award Authority.

**Does this application include suballocation funds?**

Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

**Will this Grant be using Lapsing Funds?**

No, this Grant does not use Lapsing Funds.

**Will indirect costs be applied to this application?**

This award does not include an indirect cost rate.

*Indirect Rate Details: N/A*

**Requires E.O. 12372 Review**

No, this application does not require E.O. 12372 Review.

**Delinquent Federal Debt**

No, my organization does not have delinquent federal debt.

**Application Point of Contact Information**

First Name	Last Name	Title	E-mail Address	Phone
Michael	Burns	Transportation Program Specialist	michael.burns1@dot.gov	617-494-2484
Barbie-Jo	Lord	Transportation Planning Analyst	barbie-jo.lord@maine.gov	2076243026

**Application Budget Control Totals**

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$17,271,019
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$17,271,019</b>

**Application Budget**

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
ME-2020-005-01-00	300-00 OPERATING ASSISTANCE	\$13,587,797.00	\$0.00	\$13,587,797.00	0

	(300-A1)						
ME-2020-005-01-00		30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$11,587,797.00	\$0.00	\$11,587,797.00	0
ME-2020-005-01-00		30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share MSFS	\$2,000,000.00	\$0.00	\$2,000,000.00	0
ME-2020-005-01-00	634-00 (634-A2)		INTERCITY BUS TRANSPORTATION	\$3,683,222.00	\$0.00	\$3,683,222.00	0
ME-2020-005-01-00		30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$3,683,222.00	\$0.00	\$3,683,222.00	0

### Discretionary Allocations

This application does not contain discretionary allocations.

### Part 3: Project Information

<b>Project Title: CARES ACT - Rural Administration and Operations</b>
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Project Number	Temporary Project Number	Date Created	Start Date	End Date
ME-2020-005-01-00	1346-2020-5-P1	4/8/2020	1/31/2020	12/30/2022

#### Project Description

This is a Maine Department of Transportation (MaineDOT) grant application for \$17,271,019 of FTA CARES Act funds for a program of projects to include administrative and operating assistance.

These funds will be used for: (1) \$11,587,797 for transit operations and administration to fifteen (15) regional transit authorities; (3) \$3,683,222 intercity operating assistance to four (4) intercity bus operators operating in totally or partially rural areas of Maine and (3) \$2,000,000 in operating assistance to the Maine State Ferry Service. Details of the services funded under this application are provided in the Extended Budget Descriptions.

MaineDOT is charged with managing all modes of transportation within the State of Maine.

Source of Local Match - The federal share of the CARES Act is 100%. MaineDOT will not be collecting local share for these projects.

Designated Subrecipients:

- Aroostook Regional Transportation System, Inc. (ARTS) – Region 1
- Bath CityBus/City of Bath – Region 5
- Biddeford-Saco-OOB Transit Committee (BSOOB) – Region 8
- Casco Bay Island Transit District (CBITD) – Region 6
- Downeast Community Partners (DCP) (formerly WHCA) – Region 2
- Downeast Transportation, Inc. (DTI) – Region 2
- Isle Au Haut Boat Service – Region 2
- Kennebec Valley Community Action Program (KVCAP) – Region 4
- Penquis - The LYNX – Region 3
- Regional Transportation Program (RTP) – Region 6
- Town of Cranberry Isles – Region 2
- Waldo Community Action Partners (WCAP) (DBA Mid-Coast Public Transportation) – Region 5
- West's Transportation, Inc. (West) – Region 2
- Western Maine Transportation Services, Inc. (WMTS) – Region 7
- York County Community Action Corporation (YCCAC) – Region 8

Intercity Bus Operators: Greyhound, Cyr Bus Lines, BSOOB Transit, West's Transportation

**Project Benefits**

Benefits include: assisting rural and intercity bus operators to continue to operate during the COVID-19 pandemic; provide valuable assistance at 100% federal share; ensure that the transit and ferry systems won't suffer catastrophic losses of revenue resulting in business failure or bankruptcy.

**Additional Information**

*None provided.*

**Location Description**

All rural areas in Maine.

**Project Location (Urbanized Areas)**

UZA Code	Area Name
230000	Maine

**Congressional District Information**

State	District	Representative
Maine	1	Chellie Pingree
Maine	2	Jared Golden

**Program Plan Information**

**STIP/TIP**

Date: N/A

Description: N/A

**UPWP**

Date: N/A

Description: N/A

**Long Range Plan**

Date: N/A

Description: N/A

**Project Control Totals**

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$17,271,019
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$17,271,019</b>

**Project Budget**

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
ME-2020-005-01-00	300-00 (300-A1) OPERATING ASSISTANCE	\$13,587,797.00	\$0.00	\$13,587,797.00	0
ME-2020-005-01-00	30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$11,587,797.00	\$0.00	\$11,587,797.00	0
ME-2020-005-01-00	30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share MSFS	\$2,000,000.00	\$0.00	\$2,000,000.00	0
ME-2020-005-01-00	634-00 (634-A2) INTERCITY BUS TRANSPORTATION	\$3,683,222.00	\$0.00	\$3,683,222.00	0

ME-2020-005-01-00	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$3,683,222.00	\$0.00	\$3,683,222.00	0
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## Project Budget Activity Line Items

### Budget Activity Line Item: 30.09.08 - EMER RELIEF - OPERATING ASSIST - 100% Fed Share MSFS

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OPERATING ASSISTANCE (300-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	OPERATING ASSISTANCE	0

#### Extended Budget Description

Operating assistance for Maine State Ferry Service

#### Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$2,000,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$2,000,000</b>

Milestone Name	Est. Completion Date	Description
Start Date	2/1/2020	
End Date	6/30/2022	

### Budget Activity Line Item: 30.09.08 - EMER RELIEF - OPERATING ASSIST - 100% Fed Share

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
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OPERATING ASSISTANCE (300-00) 30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share OPERATING ASSISTANCE 0

**Extended Budget Description**

Administrative and operating assistance to support fifteen (15) Rural Transit Providers Statewide for the period of February 1, 2020 through June 30, 2022. See attached provider descriptions and program of projects.

**Will 3rd Party contractors be used to fulfill this activity line item?**

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$11,587,797
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$11,587,797</b>

Milestone Name	Est. Completion Date	Description
Start Date	2/1/2020	
End Date	6/30/2022	

**Budget Activity Line Item: 30.09.08 - EMER RELIEF - OPERATING ASSIST - 100% Fed Share**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
INTERCITY BUS TRANSPORTATION (634-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	OPERATING ASSISTANCE	0

**Extended Budget Description**

Intercity Bus Service Operating Funds to support four (5) Intercity services statewide during the period of February 1, 2020 through December 31, 2022. Intercity Operating funds continue to support four (4) established intercity bus service routes: BSOOB service from Biddeford to Portland; Cyr Bus service from Bangor to Caribou; West's Transportation service from Calais to Bangor; Concord Coach Lines Portland to NH; and Greyhound Lines, Inc. service from Portland to Bangor.

Local match is not required as these funds are 100% federal.

**Will 3rd Party contractors be used to fulfill this activity line item?**

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$3,683,222
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$3,683,222</b>

Milestone Name	Est. Completion Date	Description
Start Date	2/1/2020	
End Date	12/31/2022	

## Project Environmental Findings

### Finding: Class II(c) - Categorical Exclusions (C-List)

#### Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

#### Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description	Date
	Class IIc CE Approved	2/1/2020

## Part 4: Fleet Details

No fleet data exists for this application.

## Part 5: FTA Review Comments

### FTA Comments for DOL

**Comment By Michael Burns**

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Comment Type DOL Review for Information

Date 4/16/2020

Comment Per DOL's guidelines FTA is transmitting this grant application for informational purposes through TrAMS to the Department so the Department can notify the labor organizations representing potentially affected employees of the grants approval and their rights under the Special Warranty Arrangement.

## Part 6: Agreement

**UNITED STATES OF AMERICA  
DEPARTMENT OF TRANSPORTATION  
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT  
(FTA G-26, October 1, 2019)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(26), October 1, 2019, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

### **FTA AWARD**

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

#### **Recipient Information**

Recipient Name: Transportation, Maine Department of

Recipient ID: 1346

DUNS No: 809045966

## Application Information

Federal Award Identification Number: ME-2020-005-00

Application Name: CARES ACT Funding for Rural Providers in Maine

Application Start Date: N/A

Original Award End Date: 12/31/2022

Current Award End Date: N/A

Application Executive Summary: Project Details: This is a Maine Department of Transportation (MaineDOT) grant application for CARES Act funding. On March 27, 2020, President Trump signed the Coronavirus Aid, Relief, and Economic Security (CARES) Act into law. The CARES Act provides emergency assistance and health care response for individuals, families and businesses affected by the COVID-19. Through the CARE Act, MaineDOT was awarded \$24,554,813 for Section 5311 activities.

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MaineDOT is charged with managing all modes of transportation within the State of Maine.

Source of Local Match – FTA CARES Act funding is provided at 100% and requires no match.

Attached - Program of Projects

STIP – not required for operating projects. No capital projects requiring STIP requested in this application.

Ridership - Attached is a description of each Provider. Ridership since the start of the Coronavirus pandemic has declined rapidly. Transit systems are striving to meet essential needs and keep riders safe while cutting back on service and maintaining a safe working environment for transit employees.

MaineDOT has a Statewide ITS Architecture as defined in the FTA National ITS Architecture Policy issued on April 8, 2001. It was approved on July 12, 2005 by the FHWA and was updated January 2011.

MaineDOT is current with Civil Rights Requirements, Milestone Progress Reports and Financial Status Reports. Annual Certifications and Assurances were signed and filed March 9, 2020. All administrative and statutory requirements have been met.

MEDOT agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.

Research and Development: This award does not include research and development activities.

Indirect Costs: This award does not include an indirect cost rate.

Suballocation Funds: Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Pre-Award Authority: This award is using Pre-Award Authority.

### **Application Budget**

Total Application Budget: \$17,271,019.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$17,271,019.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$0.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$17,271,019.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$0.00

### **Application Budget Control Totals**

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

<b>Funding Source</b>	<b>Section of Statute</b>	<b>CFDA Number</b>	<b>Amount</b>
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$17,271,019
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$17,271,019</b>

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

### **U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:**

Original Certification Date:

### **Special Conditions**

There are no special conditions.

## **FINDINGS AND DETERMINATIONS**

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

## **FTA AWARD OF THE GRANT AGREEMENT**

Awarded By:

FEDERAL TRANSIT ADMINISTRATION  
U.S. DEPARTMENT OF TRANSPORTATION

Contact Info:

Award Date:

## **EXECUTION OF THE GRANT AGREEMENT**

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
  - (a) Statements,
  - (b) Representations,
  - (c) Warranties,
  - (d) Covenants, and
  - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

*Transportation, Maine Department of*