

## Application

<b>Federal Award Identification Number (FAIN)</b>	IN-2020-007-00
<b>Temporary Application Number</b>	1189-2020-1
<b>Application Name</b>	Section 5311 - Coronavirus Aid, Relief, and Economic Security (CARES) Act Funding
<b>Application Status</b>	Final Concurrence / Reservation
<b>Application Budget Number</b>	0

<b>Period of Performance Start Date</b>	N/A
<b>Original Period of Performance End Date</b>	12/31/2023
<b>Current Period of Performance End Date</b>	N/A Revision #: N/A

### Part 1: Recipient Information

**Name: Transportation, Indiana Department Of**

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
1189	State Agency	INDIANA DEPARTMENT OF TRANSPORTATION	824799555

Location Type	Address	City	State	Zip
Headquarters	200 W WASHINGTON ST STE 206	INDIANAPOLIS	IN	462042731
Physical Address	100 N SENATE AVE RM N758	INDIANAPOLIS	IN	46204
Mailing Address	100 N SENATE AVE RM N749	INDIANAPOLIS	IN	46204

### Union Information

There are no union contacts for this application

### Part 2: Application Information

**Title: Section 5311 - Coronavirus Aid, Relief, and Economic Security (CARES) Act Funding**

<b>FAIN</b>	<b>Application Status</b>	<b>Application Type</b>	<b>Date Created</b>	<b>Last Updated Date</b>	<b>From TEAM?</b>
IN-2020-007-00	Final Concurrence / Reservation	Grant	4/12/2020	4/12/2020	No

**Application Executive Summary**

This grant provides \$55,504,089 in Coronavirus Aid, Relief, and Economic Security (CARES) Act Funding for operating assistance to Indiana’s Rural Transit Operators and Intercity Bus Providers.

This grant to the Indiana Department of Transportation (INDOT) will utilize Coronavirus Aid, Relief, and Economic Security (CARES) Act Funding for Indiana Rural Transit and Intercity Bus. On, March 27, 2020, President Trump signed the Coronavirus Aid, Relief, and Economic Security (CARES) Act into law. The CARES Act provides emergency assistance and health care response for individuals, families, and businesses affected by the COVID-19 pandemic and provide emergency appropriations to support Executive Branch agency operations during the COVID-19 pandemic.

The CARES Act Funding will be provided at a 100-percent federal share, with no local match required. The funds will be used for operating assistance for 42 rural transit providers and Indiana’s intercity bus providers.

Attachments to this application include Section 5311 CARES Act POP, CARES Act Section 5311 Apportionments, CARES Act Allocation Formula, Intercity 15 Percent Calculation, Miller Bus Route Maps and Schedules, Barons Bus Route Maps and Schedules, Sample Net Loss Invoice, Section 5311/5339 State Management Plan, INDOT Intercity Bus Study, INDOT TAM Plan, DOL Spread Sheet, and DOL Letter of Assurance.

CARES Act funds used to pay for operating expenses do not need to be included in the Transportation Improvement Program (TIP) or Statewide Transportation Improvement Program (STIP).

The Indiana Department of Transportation agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.

**Frequency of Milestone Progress Reports (MPR)**

Quarterly

**Frequency of Federal Financial Reports (FFR)**

Quarterly

**Does this application include funds for research and/or development activities?**

This award does not include research and development activities.

**Pre-Award Authority**

This award is using Pre-Award Authority.

**Does this application include suballocation funds?**

Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

**Will this Grant be using Lapsing Funds?**

No, this Grant does not use Lapsing Funds.

**Will indirect costs be applied to this application?**

This award does not include an indirect cost rate.

*Indirect Rate Details: N/A*

**Requires E.O. 12372 Review**

No, this application does not require E.O. 12372 Review.

**Delinquent Federal Debt**

No, my organization does not have delinquent federal debt.

**Application Point of Contact Information**

First Name	Last Name	Title	E-mail Address	Phone
Chevonne	Madison	Transportation Program Specialist	chevonne.madison@dot.gov	312-353-3853
Cecilia	Crenshaw	Community Planner	Cecilia.Crenshaw@dot.gov	312-705-1268
Larry	Buckel	Section Manager	lbuckel@indot.in.gov	317-232-5292

**Application Budget Control Totals**

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$55,504,089
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$55,504,089</b>

**Application Budget**

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
IN-2020-007-01-00	300-00 (300-A1) OPERATING ASSISTANCE	\$47,178,476.00	\$0.00	\$47,178,476.00	1
IN-2020-007-01-00	30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$47,178,476.00	\$0.00	\$47,178,476.00	1
IN-2020-007-02-00	634-00 (634-A2) INTERCITY BUS TRANSPORTATION	\$8,325,613.00	\$0.00	\$8,325,613.00	1
IN-2020-007-02-00	30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$8,325,613.00	\$0.00	\$8,325,613.00	1

## Discretionary Allocations

This application does not contain discretionary allocations.

## Part 3: Project Information

### Project Title: Section 5311 FFY 2020 CARES Act Funds for Operating Assistance

Project Number	Temporary Project Number	Date Created	Start Date	End Date
IN-2020-007-01-00	1189-2020-1-P1	4/12/2020	1/19/2020	12/30/2023

#### Project Description

Project Description

The Indiana Department of Transportation is utilizing Section 5311 Federal Fiscal Year 2020 CARES Act Funds in the amount of \$47,178,476 for operating assistance. The Section 5311 sub-grantees are listed on the attached Program of Projects (POP).

Operating assistance includes labor, fringe, services, materials, supplies, fuel, utilities, casualty and liability insurance, purchased transportation and other eligible operating expenses.

Funding will be provided at a 100-percent federal share, with no local match required, and will be available to support operating to prevent, prepare for, and respond to COVID-19.

### **Project Benefits**

The Project Benefits is to help offset operating cost for Indiana's 42 rural transit agencies. These agencies provide over 2 million passenger trips and over 4 million total vehicle miles of public transit service annually in Rural Areas around the State of Indiana.

### **Additional Information**

The Indiana Department of Transportation is utilizing Section 5311 Federal Fiscal Year 2020 CARES Act Funds in the amount of \$47,178,476 for operating assistance. The CARES Act funds will offset operating cost for Indiana's 42 rural transit agencies. These agencies provide over 2 million passenger trips and over 4 million total vehicle miles of public transit service annually in Rural Areas around the State of Indiana.

Funding will be provided at a 100-percent federal share, with no local match required, and will be available to support operating to prevent, prepare for, and respond to COVID-19.

### **Location Description**

This grant will provide operating assistance to 42 transit systems all around the state of Indiana in Rural Areas. The agencies include city transit systems, county transit systems, and multi-county transit systems that serve rural areas in the state of Indiana.

## **Project Location (Urbanized Areas)**

<b>UZA Code</b>	<b>Area Name</b>
180000	Indiana

## **Congressional District Information**

<b>State</b>	<b>District</b>	<b>Representative</b>
Indiana	1	Pete Visclosky
Indiana	2	Jackie Walorski
Indiana	3	Jim Banks
Indiana	4	Todd Rokita
Indiana	5	Susan Brooks
Indiana	6	Luke Messer
Indiana	7	Andre Carson
Indiana	8	Larry Bucshon
Indiana	9	Trey Hollingsworth

## **Program Plan Information**

### **STIP/TIP**

Date: N/A

Description: N/A

### **UPWP**

Date: N/A  
 Description: N/A

**Long Range Plan**

Date: N/A  
 Description: N/A

**Project Control Totals**

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$47,178,476
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$47,178,476</b>

**Project Budget**

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
IN-2020-007-01-00	300-00 (300-A1) OPERATING ASSISTANCE	\$47,178,476.00	\$0.00	\$47,178,476.00	1
IN-2020-007-01-00	30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$47,178,476.00	\$0.00	\$47,178,476.00	1

**Project Budget Activity Line Items**

**Budget Activity Line Item: 30.09.08 - EMER RELIEF - OPERATING ASSIST - 100% Fed Share**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OPERATING ASSISTANCE (300-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	OPERATING ASSISTANCE	1

### Extended Budget Description

The Indiana Department of Transportation (INDOT) is utilizing Federal Fiscal Year 2020 Section 5311 CARES Act Funds in the total amount of \$47,178,476 for operating assistance to 42 rural transit agencies. The Section 5311 sub grantees are listed on the attached Program of Projects.

Funding will be provided at a 100-percent federal share, with no local match required in response to COVID-19.

This ALI will use FY 2020 CARES Act Funds for operating expenses for the period of January 20, 2020 through December 31, 2023. Funding is to operate, maintain, and manage public transit. Operating assistance activities include driver salaries and fuel costs and items with a useful life of less than one year as outlined in C90301C.1E, pages IV-14 to IV-15.

### Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$47,178,476
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$47,178,476</b>

Milestone Name	Est. Completion Date	Description
Start Date	1/20/2020	
End Date	12/31/2023	

## Project Environmental Findings

### Finding: Class II(c) - Categorical Exclusions (C-List)

#### Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

#### Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or

program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description
	Class IIc CE Approved

## Project Title: Section 5311(f) FFY 2020 CARES Act Funding for Intercity Bus

Project Number	Temporary Project Number	Date Created	Start Date	End Date
IN-2020-007-02-00	1189-2020-1-P2	4/12/2020	1/19/2020	12/30/2023

### Project Description

The Indiana Department of Transportation (INDOT) is utilizing Federal Fiscal Year 2020 CARES Act Funds in the amount of \$8,325,613 for Intercity Bus Operating Assistance Projects. These funds represent 15 percent of the full CARES Act Section 5311 Indiana Apportionment. The INDOT Intercity Bus Study is attached to this application as planning support for our intercity bus routes. Indiana has bus operators that provide intercity bus routes (Barons Bus, Miller Transportation, Greyhound, and Burlington Trailways) that serve the rural areas and provide meaningful connections. The CARES Act intercity funds will replace loss revenue due to COVID-19 for our intercity operators providing service to rural communities with meaningful connections.

Funding will be provided at a 100-percent federal share, with no local match required, and will be available to support operating assistance to prevent, prepare for, and respond to COVID-19.

### Project Benefits

The Project Benefit is to offset operating cost for Indiana's Intercity Bus Projects and provide intercity bus service to rural areas. A sample invoice has been attached to this application. The intercity bus routes that serve the rural areas all provide meaningful connections.

The Funding will be provided at a 100-percent federal share, with no local match required, and is available to respond to COVID-19. A sample invoice has been attached to this application which will be used as supporting documentation to reimburse intercity providers for allocated net losses in Indiana.

### Additional Information

The intercity bus providers provide service in Indiana that serve the rural areas and provide meaningful connections. The intercity route maps and bus schedules are attached to this grant application. A sample invoice has been attached to this application which will be used as supporting documentation to reimburse intercity providers for allocated net losses in Indiana related to COVID-19.

### Location Description

The grant will provide access to intercity bus transportation to rural areas through-out the state. The projects include intercity bus routes around the state of Indiana with meaningful connections.

## Project Location (Urbanized Areas)



<b>UZA Code</b>	<b>Area Name</b>
180000	Indiana

## Congressional District Information

<b>State</b>	<b>District</b>	<b>Representative</b>
Indiana	1	Pete Visclosky
Indiana	2	Jackie Walorski
Indiana	3	Jim Banks
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Indiana	6	Luke Messer
Indiana	7	Andre Carson
Indiana	8	Larry Bucshon
Indiana	9	Trey Hollingsworth

## Program Plan Information

### STIP/TIP

Date: N/A

Description: N/A

### UPWP

Date: N/A

Description: N/A

### Long Range Plan

Date: N/A

Description: N/A

## Project Control Totals

<b>Funding Source</b>	<b>Section of Statute</b>	<b>CFDA Number</b>	<b>Amount</b>
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$8,325,613
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$8,325,613</b>

## Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
IN-2020-007-02-00	634-00 (634-A2) INTERCITY BUS TRANSPORTATION	\$8,325,613.00	\$0.00	\$8,325,613.00	1
IN-2020-007-02-00	30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$8,325,613.00	\$0.00	\$8,325,613.00	1

## Project Budget Activity Line Items

**Budget Activity Line Item: 30.09.08 - EMER RELIEF - OPERATING ASSIST - 100% Fed Share**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
INTERCITY BUS TRANSPORTATION (634-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	OPERATING ASSISTANCE	1

### Extended Budget Description

The Indiana Department of Transportation (INDOT) is utilizing Federal Fiscal Year 2020 CARES Act Funds in the amount of \$8,325,613 for Intercity Bus Operating Assistance Projects.

Funding will be provided at a 100-percent federal share, with no local match required, to respond to COVID-19.

This ALI will use FY 2020 CARES Act Funds for operating expenses for the period of January 20, 2020 through December 31, 2023. Funding is to operate, maintain, and manage intercity bus service. Operating assistance activities include driver salaries and fuel costs and items with a useful life of less than one year as outlined in C90301C.1E, pages IV-14 to IV-15. Funds will not be used for administrative leave expenses.

### Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$8,325,613
Local			\$0
Local/In-Kind			\$0
State			\$0

State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$8,325,613</b>

Milestone Name	Est. Completion Date	Description
Start Date	1/20/2020	
End Date	12/31/2023	

## Project Environmental Findings

### Finding: Class II(c) - Categorical Exclusions (C-List)

#### Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

#### Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

## Part 4: Fleet Details

No fleet data exists for this application.

## Part 5: FTA Review Comments

### FTA Comments for DOL

Comment By **Cecilia Crenshaw**

Comment Type DOL Review for Certification

Date 4/22/2020

Comment Please review for DOL Certification

# Part 6: Agreement

**UNITED STATES OF AMERICA  
DEPARTMENT OF TRANSPORTATION  
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT  
(FTA G-26, October 1, 2019)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(26), October 1, 2019, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

## **FTA AWARD**

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

### **Recipient Information**

Recipient Name: Transportation, Indiana Department Of

Recipient ID: 1189

DUNS No: 824799555

### **Application Information**

Federal Award Identification Number: IN-2020-007-00

Application Name: Section 5311 - Coronavirus Aid, Relief, and Economic Security (CARES) Act Funding

Application Start Date: N/A

Original Award End Date: 12/31/2023

Current Award End Date: N/A

Application Executive Summary: This grant provides \$55,504,089 in Coronavirus Aid, Relief, and Economic Security (CARES) Act Funding for operating assistance to Indiana's Rural Transit Operators and Intercity Bus Providers.

This grant to the Indiana Department of Transportation (INDOT) will utilize Coronavirus Aid, Relief, and Economic Security (CARES) Act Funding for Indiana Rural Transit and Intercity Bus. On, March 27, 2020, President Trump signed the Coronavirus Aid, Relief, and Economic Security (CARES) Act into law. The CARES Act provides emergency assistance and health care response for individuals, families, and businesses affected by the COVID-19 pandemic and provide emergency appropriations to support Executive Branch agency operations during the COVID-19 pandemic.

The CARES Act Funding will be provided at a 100-percent federal share, with no local match required. The funds will be used for operating assistance for 42 rural transit providers and Indiana's intercity bus providers.

Attachments to this application include Section 5311 CARES Act POP, CARES Act Section 5311 Apportionments, CARES Act Allocation Formula, Intercity 15 Percent Calculation, Miller Bus Route Maps and Schedules, Barons Bus Route Maps and Schedules, Sample Net Loss Invoice, Section 5311/5339 State Management Plan, INDOT Intercity Bus Study, INDOT TAM Plan, DOL Spread Sheet, and DOL Letter of Assurance.

CARES Act funds used to pay for operating expenses do not need to be included in the Transportation Improvement Program (TIP) or Statewide Transportation Improvement Program (STIP).

The Indiana Department of Transportation agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.

Research and Development: This award does not include research and development activities.

Indirect Costs: This award does not include an indirect cost rate.

Suballocation Funds: Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Pre-Award Authority: This award is using Pre-Award Authority.

## **Application Budget**

Total Application Budget: \$55,504,089.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$55,504,089.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$0.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$55,504,089.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$0.00

### **Application Budget Control Totals**

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

<b>Funding Source</b>	<b>Section of Statute</b>	<b>CFDA Number</b>	<b>Amount</b>
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$55,504,089
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$55,504,089</b>

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

### **U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:**

Original Certification Date:

### **Special Conditions**

There are no special conditions.

### **FINDINGS AND DETERMINATIONS**

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

### **FTA AWARD OF THE GRANT AGREEMENT**

Awarded By:

FEDERAL TRANSIT ADMINISTRATION  
U.S. DEPARTMENT OF TRANSPORTATION  
Contact Info:  
Award Date:

### **EXECUTION OF THE GRANT AGREEMENT**

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or

the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
  - (a) Statements,
  - (b) Representations,
  - (c) Warranties,
  - (d) Covenants, and
  - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

*Transportation, Indiana Department Of*