

## Application

<b>Federal Award Identification Number (FAIN)</b>	IA-2020-010-00
<b>Temporary Application Number</b>	1812-2020-3
<b>Application Name</b>	IaDOT 5311 CARES ACT
<b>Application Status</b>	Final Concurrence / Reservation
<b>Application Budget Number</b>	0

<b>Period of Performance Start Date</b>	N/A
<b>Original Period of Performance End Date</b>	9/30/2025
<b>Current Period of Performance End Date</b>	N/A Revision #: N/A

### Part 1: Recipient Information

**Name: TRANSPORTATION, IOWA DEPT OF**

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
1812	State Agency	IOWA DEPARTMENT OF TRANSPORTATION	120527275

Location Type	Address	City	State	Zip
Headquarters	800 Lincoln Way	Ames	IA	50010
Physical Address	800 LINCOLN WAY	AMES	IA	50010
Mailing Address	800 LINCOLN WAY	AMES	IA	50010

### Union Information

There are no union contacts for this application

### Part 2: Application Information

**Title: IaDOT 5311 CARES ACT**

<b>FAIN</b>	<b>Application Status</b>	<b>Application Type</b>	<b>Date Created</b>	<b>Last Updated Date</b>	<b>From TEAM?</b>
IA-2020-010-00	Final Concurrence / Reservation	Grant	4/3/2020	4/3/2020	No

**Application Executive Summary**  
FFY 2020 Section 5311 CARES ACT

This application utilizes CARES Act funding to help respond to and recover from the COVID-19 emergency situation.

This is an FFY 2020 Section 5311 CARES Act application in the amount of \$33,288,313. This is a partial apportionment request. Our full allocation is \$42,820,959. We will amend the grant at a future date to program the remaining \$9,532,646 for eligible projects.

Per the CARES Act, the grant requests 100% (up to 100%) federal share. This application utilizes CARES Act funding to prevent, prepare for, and respond to coronavirus. Projects included in this grant are only operations, planning and/or capital projects, with no substantial functional, location, or capacity change. Therefore, per CARES Act requirements, no Statewide Transportation Improvement Program (STIP) or Transportation Improvement Plan (TIP) documentation is needed.

The application scope of work includes operating assistance for eligible 5311 subrecipients. Subrecipients in this application cover sixteen (16) regional areas, seven (7) small cities (populations under 50,000), and one (1) rural portion of an urban area. This application also includes two intercity bus companies that operate in Iowa--Burlington Trailways and Jefferson Lines.

The Iowa DOT agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company. A POP and budget is included with this application.

**Frequency of Milestone Progress Reports (MPR)**

Annual

**Frequency of Federal Financial Reports (FFR)**

Annual

**Does this application include funds for research and/or development activities?**

This award does not include research and development activities.

**Pre-Award Authority**

This award is using Pre-Award Authority.

**Does this application include suballocation funds?**

Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

**Will this Grant be using Lapsing Funds?**

No, this Grant does not use Lapsing Funds.

**Will indirect costs be applied to this application?**

This award does not include an indirect cost rate.

*Indirect Rate Details: N/A*

**Requires E.O. 12372 Review**

No, this application does not require E.O. 12372 Review.

**Delinquent Federal Debt**

No, my organization does not have delinquent federal debt.

**Application Point of Contact Information**

First Name	Last Name	Title	E-mail Address	Phone
Jeremiah	Shuler	Transportation Program Specialist	jeremiah.shuler@dot.gov	816-329-3940
Sreeparna	Mitra	Transit Grants Program Administrator	sreeparna.mitra@iowadot.us	515-239-1806

**Application Budget Control Totals**

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$33,288,313
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$33,288,313</b>

**Application Budget**

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
IA-2020-010-01-00	300-00 OPERATING ASSISTANCE	\$26,865,170.00	\$0.00	\$26,865,170.00	0

	(300-A1)						
IA-2020-010-01-00		30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$26,865,170.00	\$0.00	\$26,865,170.00	0
IA-2020-010-01-00	634-00 (634-A2)		INTERCITY BUS TRANSPORTATION	\$6,423,143.00	\$0.00	\$6,423,143.00	0
IA-2020-010-01-00		30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$6,423,143.00	\$0.00	\$6,423,143.00	0

## Discretionary Allocations

This application does not contain discretionary allocations.

## Part 3: Project Information

### Project Title: 5311 CARES ACT

Project Number	Temporary Project Number	Date Created	Start Date	End Date
IA-2020-010-01-00	1812-2020-3-P2	4/17/2020	1/19/2020	6/29/2025

#### Project Description

This is an FFY 2020 Section 5311 CARES Act application in the amount of 33,288,313. This is a partial apportionment request. Our full allocation is \$42,820,959. We will amend the grant at a future date to program the remaining \$9,532,646 for eligible projects.

Per the CARES Act, the grant requests 100% (up to 100%) federal share. This application utilizes CARES Act funding to prevent, prepare for, and respond to coronavirus. Projects included in this grant are only operations, planning and/or capital projects, with no substantial functional, location, or capacity change. Therefore, per CARES Act requirements, no Statewide Transportation Improvement Program (STIP) or Transportation Improvement Plan (TIP) documentation is needed.

The application scope of work includes operating assistance for eligible 5311 subrecipients. Subrecipients in this application cover sixteen (16) regional areas, seven (7) small cities (populations under 50,000), and one (1) rural portion of an urban area.

This application also includes two intercity bus companies that operate in Iowa--Burlington Trailways and Jefferson Lines.

A Program of Projects and budget is included with this application.

#### Project Benefits

This application utilizes CARES Act funding to help respond to and recover from the COVID-19 emergency situation.

**Additional Information**

None provided.

**Location Description**

This is for operating assistance for rural agencies throughout Iowa and intercity bus agencies Burlington Trailways and Jefferson Lines that serve rural Iowa

**Project Location (Urbanized Areas)**

UZA Code	Area Name
190000	Iowa

**Congressional District Information**

State	District	Representative
Iowa	1	Abby Finkenauer
Iowa	2	David Loebsack
Iowa	3	Cynthia Axne
Iowa	4	Steve King

**Program Plan Information****STIP/TIP**

Date: N/A

Description: N/A

**UPWP**

Date: N/A

Description: N/A

**Long Range Plan**

Date: N/A

Description: N/A

**Project Control Totals**

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$33,288,313
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0

Other Federal		\$0
Transportation Development Credit		\$0
Adjustment		\$0
<b>Total Eligible Cost</b>		<b>\$33,288,313</b>

## Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
IA-2020-010-01-00	300-00 (300-A1) OPERATING ASSISTANCE	\$26,865,170.00	\$0.00	\$26,865,170.00	0
IA-2020-010-01-00	30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$26,865,170.00	\$0.00	\$26,865,170.00	0
IA-2020-010-01-00	634-00 (634-A2) INTERCITY BUS TRANSPORTATION	\$6,423,143.00	\$0.00	\$6,423,143.00	0
IA-2020-010-01-00	30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$6,423,143.00	\$0.00	\$6,423,143.00	0

## Project Budget Activity Line Items

**Budget Activity Line Item: 30.09.08 - EMER RELIEF - OPERATING ASSIST - 100% Fed Share**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
INTERCITY BUS TRANSPORTATION (634-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	OPERATING ASSISTANCE	0

### Extended Budget Description

This line includes operating assistance for two intercity bus corporations--Jefferson Lines and Burlington Trailways. Operating funds will cover expenses for existing service incurred beginning 1/20/2020 until 6/25/2025, including the recovery of operational deficits due to COVID 19, and maintaining service in Iowa

**Will 3rd Party contractors be used to fulfill this activity line item?**

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$6,423,143
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$6,423,143</b>

Milestone Name	Est. Completion Date	Description
Start Date	1/20/2020	This application utilizes CARES Act funding to prevent, prepare for, and respond to the COVID-19 emergency situation.
End Date	6/30/2025	

**Budget Activity Line Item: 30.09.08 - EMER RELIEF - OPERATING ASSIST - 100% Fed Share**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OPERATING ASSISTANCE (300-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	OPERATING ASSISTANCE	0

**Extended Budget Description**

This line includes operating assistance for total of 24 subrecipients. Operating funds will cover expenses for existing service incurred beginning 1/20/2020 until 6/25/2025, including the recovery of the operational deficits, maintaining service and continuing to provide essential medical services, hiring of additional staff to clean/sanitize vehicles, the general operating costs of maintaining and transit facility and fleet.

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$26,865,170
Local			\$0
Local/In-Kind			\$0
State			\$0

State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$26,865,170</b>

Milestone Name	Est. Completion Date	Description
Start Date	1/20/2020	This application utilizes CARES Act funding to prevent, prepare for, and respond to the COVID-19 emergency situation.
End Date	6/30/2025	

## Project Environmental Findings

### Finding: Class II(c) - Categorical Exclusions (C-List)

#### Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

#### Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	4/21/2020

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OPERATING ASSISTANCE (300-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	0	\$26,865,170.00	\$26,865,170.00

### Finding: Class II(c) - Categorical Exclusions (C-List)

#### Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

#### Categorical Exclusion Description



Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	4/21/2020

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
INTERCITY BUS TRANSPORTATION (634-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	0	\$6,423,143.00	\$6,423,143.00

## Part 4: Fleet Details

No fleet data exists for this application.

## Part 5: FTA Review Comments

### FTA Comments for DOL

**Comment By** Jeremiah Shuler

**Comment Type** DOL Review for Information

**Date** 4/21/2020

This application utilizes CARES Act funding to help respond to and recover from the COVID-19 emergency situation.

**Comment** This is an FFY 2020 Section 5311 CARES Act application in the amount of \$33,288,313. This is a partial apportionment request. Our full allocation is \$42,820,959. We will amend the grant at a future date to program the remaining \$9,532,646 for eligible projects.

### Application Review Comments

**Comment By** Jeremiah Shuler

**Comment Type** FTA Post Review Comments for Grantee

**Date** 4/20/2020

**Comment** Please make change to the project details.

### Application Review Comments

**Comment By Beth Held**

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Comment Type Environmental Findings

Date 4/21/2020

Project Title 5311 CARES ACT

Project Number IA-2020-010-01-00

Comment This application utilizes CARES Act funding to assist and reimburse the operating costs associated with the response and recovery from the COVID-19 pandemic for eligible 5311 subrecipients that cover sixteen (16) regional areas, seven (7) small cities, one (1) rural portion of an urban area; two intercity bus companies that operate in Iowa-- Burlington Trailways and Jefferson Lines, is found compliant as a Categorical Exclusion under 23 CFR Part 771.118 (c)(4).

**Comment By Beth Held**

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Comment Type Environmental Findings

Date 4/21/2020

Project Title 5311 CARES ACT

Project Number IA-2020-010-01-00

Comment This application utilizes CARES Act funding to assist and reimburse the operating costs associated with the response and recovery from the COVID-19 pandemic for eligible 5311 subrecipients that cover sixteen (16) regional areas, seven (7) small cities, one (1) rural portion of an urban area; two intercity bus companies that operate in Iowa-- Burlington Trailways and Jefferson Lines, is found compliant as a Categorical Exclusion under 23 CFR Part 771.118 (c)(4).

## Part 6: Agreement

**UNITED STATES OF AMERICA  
DEPARTMENT OF TRANSPORTATION  
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT  
(FTA G-26, October 1, 2019)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

(1) "Federal Transit Administration Master Agreement," FTA MA(26), October 1, 2019,  
<http://www.transit.dot.gov>,

(2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and

provided to FTA, and

(3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

### **FTA AWARD**

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

#### **Recipient Information**

Recipient Name: TRANSPORTATION, IOWA DEPT OF

Recipient ID: 1812

DUNS No: 120527275

#### **Application Information**

Federal Award Identification Number: IA-2020-010-00

Application Name: IaDOT 5311 CARES ACT

Application Start Date: N/A

Original Award End Date: 9/30/2025

Current Award End Date: N/A

Application Executive Summary: FFY 2020 Section 5311 CARES ACT

This application utilizes CARES Act funding to help respond to and recover from the COVID-19 emergency situation.

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under 50,000), and one (1) rural portion of an urban area.

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The Iowa DOT agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company

A POP and budget is included with this application.

Research and Development: This award does not include research and development activities.

Indirect Costs: This award does not include an indirect cost rate.

Suballocation Funds: Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Pre-Award Authority: This award is using Pre-Award Authority.

### **Application Budget**

Total Application Budget: \$33,288,313.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$33,288,313.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$0.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$33,288,313.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$0.00

### **Application Budget Control Totals**

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

<b>Funding Source</b>	<b>Section of Statute</b>	<b>CFDA Number</b>	<b>Amount</b>
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$33,288,313
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$33,288,313</b>

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

**U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:**

Original Certification Date:

**Special Conditions**

There are no special conditions.

**FINDINGS AND DETERMINATIONS**

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

**FTA AWARD OF THE GRANT AGREEMENT**

Awarded By:

FEDERAL TRANSIT ADMINISTRATION  
U.S. DEPARTMENT OF TRANSPORTATION  
Contact Info:  
Award Date:

**EXECUTION OF THE GRANT AGREEMENT**

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
  - (a) Statements,
  - (b) Representations,
  - (c) Warranties,
  - (d) Covenants, and
  - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

*TRANSPORTATION, IOWA DEPT OF*