

Application

Federal Award Identification Number (FAIN)	CA-2020-054-00
Temporary Application Number	1622-2020-4
Application Name	COVID-19 CARES Act 5311 FFY 2020\$ (Calif ID #0020000192)
Application Status	Final Concurrence / Reservation
Application Budget Number	0

Period of Performance Start Date	N/A
Original Period of Performance End Date	9/30/2022
Current Period of Performance End Date	N/A Revision #: N/A

Part 1: Recipient Information

Name: Transportation, California Department Of

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
1622	State Agency	CALIFORNIA STATE DOT (CALTRANS) DIVISION OF MASS TRANSPORTATION	135253776

Location Type	Address	City	State	Zip
Headquarters	1120 N ST	SACRAMENTO	CA	95814
Physical Address	1120 N STREET RM 3300	SACRAMENTO	CA	95814
Mailing Address	1120 N STREET, ROOM 3300 - MS39	SACRAMENTO	CA	94274

Union Information

There are no union contacts for this application

Part 2: Application Information

Title: COVID-19 CARES Act 5311 FFY 2020\$ (Calif ID #0020000192)

FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
CA-2020-054-00	Final Concurrence / Reservation	Grant	4/9/2020	4/9/2020	No

Application Executive Summary

Coronavirus Aid, Relief and Economic Security Act (FFY 2020) funding for operating expenses due to impacts of the global COVID-19 pandemic. This grant will provide assistance to rural transit agencies across California that are experiencing increased costs and decreased revenues. A total of \$30,000,000 is requested for obligation for immediate distribution to affected transit agencies at this time. Additional funding of \$64,976,667 of the California apportionment remains available for future obligation. Federal share rate of 100% is requested. No Transportation Development (Toll) Credits are requested.

Frequency of Milestone Progress Reports (MPR)

Annual

Frequency of Federal Financial Reports (FFR)

Annual

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Will this Grant be using Lapsing Funds?

No, this Grant does not use Lapsing Funds.

Will indirect costs be applied to this application?

This award does not include an indirect cost rate.

Indirect Rate Details: N/A

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
-------------------	------------------	--------------	-----------------------	--------------

Darin	Allan	Supervisory Transportation Program Specialist	Darin.Allan@dot.gov	(415) 734-9470
Tracy	Harrison	Chief, Grants Management Branch	tracy_harrison@dot.ca.gov	916-654-5289

Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$30,000,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$30,000,000

Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
CA-2020-054-01-00	300-00 (300-A1) OPERATING ASSISTANCE	\$25,500,000.00	\$0.00	\$25,500,000.00	77
CA-2020-054-01-00	30.09.08 CARES Act - OPERATING ASSIST - 100% Fed Share	\$25,500,000.00	\$0.00	\$25,500,000.00	77
CA-2020-054-02-00	300-00 (300-A2) OPERATING ASSISTANCE	\$4,500,000.00	\$0.00	\$4,500,000.00	21
CA-2020-054-02-00	30.09.08 CARES Act - OPERATING ASSIST - 100% Fed Share	\$4,500,000.00	\$0.00	\$4,500,000.00	21

Discretionary Allocations

This application does not contain discretionary allocations.

Part 3: Project Information

Project Title: 5311 CARES Act Operating Assistance

Project Number	Temporary Project Number	Date Created	Start Date	End Date
CA-2020-054-01-00	1622-2020-4-P2	4/9/2020	1/19/2020	9/19/2022

Project Description

5311 CARES Act Operating Assistance in response to increased costs due to COVID-19 pandemic

Project Benefits

Immediate assistance to rural transit providers across California to address increased costs for COVID-19 impacts on transit operations. Covers operating expenses for the prevention, preparation for and response to COVID-19 with funding from CARES Act.

Additional Information

Support and maintain continued intercity bus operations, a vital lifeline to many Californians, during the COVID-19 global pandemic and subsequent recovery.

Location Description

All rural areas of California with transit service

Project Location (Urbanized Areas)

UZA Code	Area Name
060000	California

Congressional District Information

State	District	Representative
California	1	Doug LaMalfa
California	2	Jared Huffman
California	3	John Garamendi
California	4	Tom McClintock
California	5	Mike Thompson
California	6	Doris O Matsui
California	7	Ami Bera
California	8	Paul Cook
California	9	Jerry McNerney
California	11	Mark DeSaulnier
California	12	Nancy Pelosi

California	13	Barbara Lee
California	14	Jackie Speier
California	15	Eric Swalwell
California	16	Jim Costa
California	18	Anna G Eshoo
California	19	Zoe Lofgren
California	22	Devin Nunes
California	23	Kevin McCarthy
California	26	Julia Brownley
California	27	Judy Chu
California	28	Adam B Schiff
California	29	Tony Cardenas
California	30	Brad Sherman
California	31	Pete Aguilar
California	32	Grace F Napolitano
California	33	Ted Lieu
California	35	Norma J Torres
California	36	Raul Ruiz
California	37	Karen Bass
California	38	Linda T Sanchez
California	40	Lucille Roybal-Allard
California	41	Mark Takano
California	42	Ken Calvert
California	43	Maxine Waters
California	47	Alan S Lowenthal
California	50	Duncan Hunter
California	51	Juan Vargas
California	52	Scott H Peters
California	53	Susan A Davis
California	17	Ro Khanna
California	20	Jimmy Panetta
California	21	T J Cox
California	24	Salud Carbajal
California	25	Katie Hill
California	34	Jimmy Gomez
California	39	Gil Cisneros
California	44	Nanette Barragán
California	45	Katie Porter
California	46	Lou Correa

California	48	Harley Rouda
California	49	Mike Levin
California	10	Josh Harder

Program Plan Information

STIP/TIP

Date: 1/19/2020

Description: TIP/STIP exemption per CARES Act for programming of operating assistance projects

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$25,500,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$25,500,000

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
CA-2020-054-01-00	300-00 (300-A1) OPERATING ASSISTANCE	\$25,500,000.00	\$0.00	\$25,500,000.00	77
CA-2020-054-01-00	CARES Act - 30.09.08 OPERATING ASSIST -	\$25,500,000.00	\$0.00	\$25,500,000.00	77

100% Fed
Share

Project Budget Activity Line Items

Budget Activity Line Item: 30.09.08 - CARES Act - OPERATING ASSIST - 100% Fed Share

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OPERATING ASSISTANCE (300-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	OPERATING ASSISTANCE	77

Extended Budget Description

Operating Assistance from CARES Act at 100% federal share due to COVID-19 impacts for the following transit agencies providing rural service:

City of Arcata
Eureka Transit Service
Humboldt Transit Authority
Lake Transit Authority
Mendocino Transit Authority
Redwood Coast Transit Authority
County of Shasta Department of Public Works
County of Siskiyou
Lassen Transit Service Agency
Modoc Transportation Agency
Plumas County Transportation Commission
Tehama County Transit Agency
Trinity County Department of Transportation
Butte County Association of Governments/ Butte Regional Transit
City of Auburn
Colusa County Transit Agency
County of Nevada Public Works, Transit Services Division
County of Sacramento Department of Transportation
El Dorado County Transit Authority
Glenn County Transportation Commission
Placer County Public Works (TART & PCT)
Sierra County Transportation Commission
Town of Truckee
Yolo County Transportation District
Yuba-Sutter Transit Authority
City of Dixon
City of Rio Vista
Marin County Transit District
Napa Valley Transportation Authority
San Mateo County Transit District
Santa Clara Valley Transportation Authority
Sonoma County Transit
City of Guadalupe
City of Solvang
Monterey-Salinas Transit
San Benito County Local Transportation Authority
San Luis Obispo Regional Transit Authority

Santa Cruz Metropolitan Transit District
 City of Arvin
 City of Chowchilla
 City of Corcoran - Corcoran Area Transit
 City of Dinuba
 City of McFarland
 City of Porterville
 City of Shafter
 City of Taft
 City of Wasco
 City of Woodlake
 County of Tulare
 Fresno County Rural Transit Agency
 Kern Regional Transit
 Kings County Area Public Transit Agency
 Madera County
 City of Ojai
 County of Los Angeles - Department of Public Works
 City of Needles
 Morongo Basin Transit Authority
 Mountain Area Regional Transit Authority
 Palo Verde Valley Transit Agency
 Riverside Transit Agency
 Sunline Transit Agency
 Victor Valley Transit Authority
 City of California City
 City of Ridgecrest
 City of Tehachapi
 Eastern Sierra Transit Authority
 Alpine County Community Development
 Amador Transit
 Calaveras Transit Agency
 County of Mariposa
 San Joaquin Regional Transit District (RTD)
 Stanislaus County Public Works - Transit Division
 Transit Joint Powers Authority for Merced County
 Tuolumne County Transit Agency (TCTA)
 Imperial County Transportation Commission
 North County Transit District
 San Diego Metropolitan Transit System

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$25,500,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0

Transportation Development Credit	\$0
Adjustment	\$0
Total Eligible Cost	\$25,500,000

Milestone Name	Est. Completion Date	Description
Start Date	1/20/2020	COVID-19 impacts begin
End Date	9/20/2022	COVID-19 impacts end (Estimated, Round 1)

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Project Title: 5311(f) CARES Act Operating Assistance

Project Number	Temporary Project Number	Date Created	Start Date	End Date
CA-2020-054-02-00	1622-2020-4-P3	4/9/2020	1/19/2020	9/29/2022

Project Description

5311(f) CARES Act Operating Assistance in response to increased costs due to COVID-19 pandemic

Project Benefits

Immediate assistance to intercity bus providers across California to address increased costs for COVID-19 impacts on transit operations. Covers operating expenses for the prevention, preparation for and response to COVID-19 with funding from CARES Act.

Additional Information

Support and maintain continued intercity bus operations, a vital lifeline to many Californians, during the COVID-19 global pandemic and subsequent recovery.

Location Description

Rural areas across California receiving intercity bus service

Project Location (Urbanized Areas)

UZA Code	Area Name
060000	California

Congressional District Information

State	District	Representative
California	1	Doug LaMalfa
California	2	Jared Huffman
California	3	John Garamendi
California	4	Tom McClintock
California	5	Mike Thompson
California	6	Doris O Matsui
California	7	Ami Bera
California	8	Paul Cook
California	9	Jerry McNerney
California	11	Mark DeSaulnier
California	12	Nancy Pelosi
California	13	Barbara Lee
California	14	Jackie Speier
California	15	Eric Swalwell
California	16	Jim Costa
California	18	Anna G Eshoo
California	19	Zoe Lofgren
California	22	Devin Nunes
California	23	Kevin McCarthy
California	26	Julia Brownley
California	27	Judy Chu
California	28	Adam B Schiff
California	29	Tony Cardenas
California	30	Brad Sherman
California	31	Pete Aguilar
California	32	Grace F Napolitano
California	33	Ted Lieu
California	35	Norma J Torres

California	36	Raul Ruiz
California	37	Karen Bass
California	38	Linda T Sanchez
California	40	Lucille Roybal-Allard
California	41	Mark Takano
California	42	Ken Calvert
California	43	Maxine Waters
California	47	Alan S Lowenthal
California	50	Duncan Hunter
California	51	Juan Vargas
California	52	Scott H Peters
California	53	Susan A Davis
California	17	Ro Khanna
California	20	Jimmy Panetta
California	21	T J Cox
California	24	Salud Carbajal
California	25	Katie Hill
California	34	Jimmy Gomez
California	39	Gil Cisneros
California	44	Nanette Barragán
California	45	Katie Porter
California	46	Lou Correa
California	48	Harley Rouda
California	49	Mike Levin
California	10	Josh Harder

Program Plan Information

STIP/TIP

Date: Not Provided

Description: Per CARES Act, operating assistance has no requirement for TIP/STIP programming

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
----------------	--------------------	-------------	--------

5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$4,500,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$4,500,000

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
CA-2020-054-02-00	300-00 (300-A2) OPERATING ASSISTANCE	\$4,500,000.00	\$0.00	\$4,500,000.00	21
CA-2020-054-02-00	30.09.08 CARES Act - OPERATING ASSIST - 100% Fed Share	\$4,500,000.00	\$0.00	\$4,500,000.00	21

Project Budget Activity Line Items

Budget Activity Line Item: 30.09.08 - CARES Act - OPERATING ASSIST - 100% Fed Share

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OPERATING ASSISTANCE (300-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	OPERATING ASSISTANCE	21

Extended Budget Description

5311(f) CARES Act Operating Assistance for the following agencies:

Greyhound Lines, Inc.

Humboldt Transit Authority

Lake Transit Authority

Mendocino Transit Authority

Redwood Coast Transit Authority

Butte County Association of Governments/ Butte Regional Transit

County of Shasta Department of Public Works

Modoc Transportation Agency

Napa Valley Transportation Authority

Plumas County Transportation Commission

Trinity County Department of Transportation
 City of Santa Maria
 City of Visalia
 Fresno Council of Governments
 Kern Regional Transit
 Monterey-Salinas Transit
 Mountain Area Regional Transit Authority
 Sunline Transit Agency
 Eastern Sierra Transit Authority
 Yosemite Area Regional Transportation System
 San Diego Metropolitan Transit System

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$4,500,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$4,500,000

Milestone Name	Est. Completion Date	Description
Start Date	1/20/2020	COviD-19 impacts begin
End Date	9/30/2022	COvid-19 impacts end (Estimated, Round 1)

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Part 4: Fleet Details

No fleet data exists for this application.

Part 5: FTA Review Comments

FTA Comments for DOL

Comment By Darin Allan

Comment Type	DOL Review for Information
Date	4/13/2020
Comment	Grantee POC is Ms Tracy Harrison, tracy_harrison@dot.ca.gov or 916-654-5289.

Application Review Comments

Comment By Jerome Wiggins

Comment Type	Application Details
Date	4/9/2020
Comment	operating worksheet should be included in application documentsJW

Application Review Comments

Comment By Jerome Wiggins

Comment Type	Project Overview
Date	4/9/2020
Project Title	5311 CARES Act Operating Assistance
Project Number	CA-2020-054-01-00
Comment	

Part 6: Agreement

**DEPARTMENT OF TRANSPORTATION
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT
(FTA G-26, October 1, 2019)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(26), October 1, 2019, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

FTA AWARD

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

Recipient Information

Recipient Name: Transportation, California Department Of

Recipient ID: 1622

DUNS No: 135253776

Application Information

Federal Award Identification Number: CA-2020-054-00

Application Name: COVID-19 CARES Act 5311 FFY 2020\$ (Calif ID #0020000192)

Application Start Date: N/A

Original Award End Date: 9/30/2022

Current Award End Date: N/A

Application Executive Summary: Coronavirus Aid, Relief and Economic Security Act (FFY 2020) funding for operating expenses due to impacts of the global COVID-19 pandemic. This grant will provide assistance to rural transit agencies across California that are experiencing increased costs and decreased revenues. A total of \$30,000,000 is requested for obligation for immediate distribution to affected transit agencies at this time. Additional funding of \$64,976,667 of the California apportionment remains available for future obligation. Federal share rate of 100% is requested. No Transportation Development (Toll) Credits are requested.

Research and Development: This award does not include research and development activities.

Indirect Costs: This award does not include an indirect cost rate.

Suballocation Funds: Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Pre-Award Authority: This award is using Pre-Award Authority.

Application Budget

Total Application Budget: \$30,000,000.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$30,000,000.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$0.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$30,000,000.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$0.00

Application Budget Control Totals

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$30,000,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$30,000,000

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

U.S. Department of Labor Certification of Public Transportation Employee Protective

Arrangements:

Original Certification Date:

Special Conditions

There are no special conditions.

FINDINGS AND DETERMINATIONS

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

FTA AWARD OF THE GRANT AGREEMENT

Awarded By:

FEDERAL TRANSIT ADMINISTRATION
U.S. DEPARTMENT OF TRANSPORTATION
Contact Info:
Award Date:

EXECUTION OF THE GRANT AGREEMENT

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
 - (a) Statements,
 - (b) Representations,
 - (c) Warranties,
 - (d) Covenants, and
 - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

Transportation, California Department Of